

Summer School on Flavor Physics Benasque, Spain, 14-24 July 2008

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Outline

- Lecture 1:
 - Yukawa couplings, CKM matrix, unitarity triangle
 - Effective weak Hamiltonian (I)
- Lecture 2:
 - Effective weak Hamiltonian (II)
 - B-B mixing amplitude
- Lecture 3:
 - Inclusive processes: OPE and applications $(B \rightarrow X_{c,u} l\nu, B \rightarrow X_{s}\gamma)$
- Lecture 4:
 - Exclusive processes: trees and penguins, CP violation, searches for New Physics

Flavor physics

- What is "flavor"?
- Generations: triplication of fermion spectrum without obvious necessity
- Dynamical explanation of flavor? (new quantum number?)
- Equally mysterious as dynamics of electroweak symmetry breaking
- Connection between two phenomena?





Flavor physics

• Hierarchies in fermion mass spectrum:



• Likewise, hierarchies in quark mixings



• Quark masses relative to $\Lambda_{QCD} \approx 0.5$ GeV:



For light quarks:

- approximate SU(n_q) flavor symmetry
- spontaneously broken chiral symmetry
- chiral perturbation theory

For heavy quarks:

- approximate SU(2n_Q) spinflavor symmetry
- heavy-quark effective theory
- soft-collinear effective theory (large energy release in decays)



Flavor physics

- Flavor physics studies communication between different generations
- Standard Model: present only in charged-current interactions







• Most general, gauge invariant and renormalizable interactions of Higgs and matter fields:

generation index				SU(2),	U(1) _v
L_L^i :	$\begin{pmatrix} \nu_e \\ e_L \end{pmatrix},$	$\left(egin{array}{c} u_\mu \\ \mu_L \end{array} ight),$	$\left(\begin{array}{c}\nu_{\tau}\\\tau_{L}\end{array}\right)$	2	-1/2
Q_L^i :	$\begin{pmatrix} u_L \\ d_L \end{pmatrix},$	$\begin{pmatrix} c_L \\ s_L \end{pmatrix},$	$\begin{pmatrix} t_L \\ b_L \end{pmatrix}$	2	+1/6
e_R^i :	e_R ,	μ_R ,	$ au_R$	1	-1
u_R^i :	u_R ,	c_R ,	t_R	1	+2/3
d_R^i :	d_R ,	s_R ,	b_R	1	-1/3



$$\Phi: \begin{pmatrix} \phi_1^+ \\ \phi_2^0 \end{pmatrix}, \qquad \widetilde{\Phi} = i\sigma_2 \Phi^*: \begin{pmatrix} \phi_2^{*0} \\ -\phi_1^{*-} \end{pmatrix} \qquad \begin{array}{c} \mathsf{SU}(2)_{\mathsf{L}} & \mathsf{U}(1)_{\mathsf{Y}} \\ \mathsf{L} & \mathsf{L} \\ \mathsf{L} \\ \mathsf{L} & \mathsf{L} \\ \mathsf{L} \\ \mathsf{L} & \mathsf{L} \\ \mathsf{L}$$

• Yukawa couplings:

$$\mathcal{L}_Y = -\bar{e}_R^i Y_e^{ij} \Phi^{\dagger} L_L^j - \bar{d}_R^i Y_d^{ij} \Phi^{\dagger} Q_L^j - \bar{u}_R^i Y_u^{ij} \widetilde{\Phi}^{\dagger} Q_L^j + \text{h.c.}$$

Y: 1 -1/2 -1/2 1/3 -1/2 +1/6 -2/3 +1/2 +1/6

- Y_e, Y_d, Y_u: arbitrary complex 3x3 matrices
- Electroweak symmetry breaking: $\langle \phi_2^0 \rangle = v/\sqrt{2}$



- Gauge principle allows arbitrary generationchanging interactions, since fermions of different generations have equal gauge charges!
- Usually such couplings are eliminated by field redefinitions:

 $\psi^i \twoheadrightarrow U^{ij} \, \psi^j$

unitary (i.e., probability preserving) "rotation" in generation space

• Always possible for kinetic terms in Lagrangian



• Diagonalize Yukawa matrices using biunitary transformations, e.g.:

$$Y_{e} = W_{e} \lambda_{e} U_{e}^{\dagger}; \qquad \lambda_{e} = \begin{pmatrix} y_{e} & 0 & 0 \\ 0 & y_{\mu} & 0 \\ 0 & 0 & y_{\tau} \end{pmatrix}$$

• Then perform field redefinitions:

$$\begin{split} & e_L \rightarrow U_e \; e_L \,, \quad e_R \rightarrow W_e \; e_R \\ & u_L \rightarrow U_u \; u_L \,, \quad u_R \rightarrow W_u \; u_R \\ & d_L \rightarrow U_d \; d_L \,, \quad d_R \rightarrow W_d \; d_R \end{split}$$

• This diagonalizes the mass terms, giving masses $m_f = y_f (v/\sqrt{2})$ to all fermions



- Effect of field redefinitions on weak interactions in the mass basis (QCD and QED invariant)
- Charged currents:

$$\mathcal{L}_{cc} = \frac{g_2}{\sqrt{2}} W^{\mu} \left(\bar{u}_L, \bar{c}_L, \bar{t}_L \right) \gamma_{\mu} V \begin{pmatrix} d_L \\ s_L \\ b_L \end{pmatrix} + \text{h.c.}; \qquad V = U_u^{\dagger} U_d$$

- generation changing couplings proportional to V_{ij}:

$$d_{L}^{i} \rightarrow u_{L}^{j} + W^{-} \propto V_{ji} \qquad \qquad u_{L}^{i} \rightarrow d_{L}^{j} + W^{+} \propto V_{ij}^{*}$$

(Cabibbo-Kobayashi-Maskawa matrix)

• Neutral currents:

$$\mathcal{L}_{\rm nc} = \frac{g_2}{\cos \theta_W} Z^{\mu} \sum_f \left[\bar{f}_L U_f^{\dagger} \left(T_f^3 \frac{1 - \gamma_5}{2} - Q_f \sin^2 \theta_W \right) U_f f_L + \bar{f}_R W_f^{\dagger} \left(-Q_f \sin^2 \theta_W \right) W_f f_R \right]$$

cancel each other

- no generation-changing interactions! (at level of elementary vertices)
- GIM mechanism (Glashow-Iliopoulos-Maiani, 1970)
- led to prediction of charm quark (K- \overline{K} mixing)



- Unitary 3x3 matrix V can by parameterized by 3 Euler angles und 6 phases
- Not all phases are observable, since under phase redefinitions $q_L \rightarrow e^{i\phi_q}q_L$ of the quark fields:

$$V \to \begin{pmatrix} e^{-i\varphi_u} & 0 & 0\\ 0 & e^{-i\varphi_c} & 0\\ 0 & 0 & e^{-i\varphi_t} \end{pmatrix} V \begin{pmatrix} e^{i\varphi_d} & 0 & 0\\ 0 & e^{i\varphi_s} & 0\\ 0 & 0 & e^{i\varphi_b} \end{pmatrix}, \qquad V_{ij} \to e^{i(\varphi_d^i - \varphi_u^j)} V_{ij}$$

• 5 of 6 phases can be eliminated by suitable choices of phase differences!

- Remaining phase δ_{CKM} is source of all CP-violating effects in Standard Model (assuming θ_{QCD} =0)
 - weak interactions couple to left-handed fermions and right-handed antifermions
 - violate P and C maximally, but would be invariant under CP and T if all weak couplings were real
 - physical phase of CKM matrix breaks CP invariance



• Allows for an absolute distinction between matter and antimatter!







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- CP violation required to explain the different abundances of matter and antimatter in the universe (baryogenesis)
- CP violation in quark sector requires N≥3 fermion generations
- Model for explanation of CP violation led to prediction of the third generation! Kobayashi, Maskawa (1973)

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CKM matrix

- Form of V not unique (phase conventions)
- Several parameterizations used; a very useful one is due to Wolfenstein (1983):

$$V = \begin{pmatrix} V_{ud} & V_{us} & V_{ub} \\ V_{cd} & V_{cs} & V_{cb} \\ V_{td} & V_{ts} & V_{tb} \end{pmatrix} = \begin{pmatrix} 1 - \frac{\lambda^2}{2} & \lambda & A\lambda^3(\rho - i\eta) \\ -\lambda & 1 - \frac{\lambda^2}{2} & A\lambda^2 \\ A\lambda^3(1 - \rho - i\eta) & -A\lambda^2 & 1 \end{pmatrix} + O(\lambda^4)$$

- Hierarchical structure in $\lambda \approx 0.22$
- Remaining parameters O(1)
- Complex entries $O(\lambda^3)$





 Jarlskog determinant: for arbitrary choice of i,j,k,l the quantity

$$Im(V_{ij}V_{kl}V_{il}^{*}V_{kj}^{*}) = J \sum_{m,n} \varepsilon_{ikm} \varepsilon_{jln}$$

is an invariant of the CKM matrix (independent of phase conventions)

- CP invariance is broken if and only if $J \neq 0$
- Wolfenstein parameterization:

 $J = O(\lambda^6) = O(10^{-4})$ rather small



Unitarity triangle

• Unitarity relation V⁺ V= V V⁺ =1 implies:

$$V_{ji}^* V_{jk} = \delta_{ik}$$
 and $V_{ij}^* V_{kj} = \delta_{ik}$

 For i≠k this gives 6 triangle relations, in which a sum of 3 complex numbers adds up to zero:



area = J/2

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Unitarity triangle

- Phase redefinitions turn triangles
- For two triangles, all sides are of same order in λ;
 the unitarity triangle is:

$$V_{ub}^* V_{ud} + V_{cb}^* V_{cd} + V_{tb}^* V_{td} = 0$$

• Graphical representation:





Unitarity triangle determinations



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Effective field theory

• At low energies, the exchange of heavy, virtual particles (M»E) leads to quasi-local effective interactions



exchange of heavy, virtual particles between light SM particles



induced, effective local interactions at low energies

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Effective field theory

- Effective field theory offers a systematic description of virtual heavy-particle effects (more generally, effects of modes with large virtualities) through an expansion in local operators
- Possible even if fundamental theory is unknown or strongly coupled (nonperturbative)

"Theorem of modesty": All physical theories are effective (field) theories



Effective field theory

 Standard Model is most successful effective field theory to date, even though it leaves open some questions:

Higgs mass (hierarchy problem) cosmological constant $\mathcal{L}_{EFT} = c^{(0)} M^4 + c^{(2)} M^2 O^{(d=2)} + \sum_i c_i^{(4)} O_i^{(d=4)}$ $+ \frac{1}{M} \sum_i c_i^{(5)} O_i^{(d=5)} + \frac{1}{M^2} \sum_i c_i^{(6)} O_i^{(d=6)} + \dots$ neutrino masses (see-saw mechanism)
possible effects of "new physics", proton decay, flavor physics, ...



- Fermi theory of weak interactions describes
 W-boson exchange in terms of local 4-fermion couplings
- Consider:
- Fermi constant: $G_F / \sqrt{2} = g_2^2 / 8M_W^2$
 - determines scale of weak interactions



- Semileptonic decay: QCD corrections influence both graphs in same way
- Resulting "effective" interaction for E«M_W:

$$\begin{aligned} \mathcal{L}_{\text{eff}} = -\frac{4G_F}{\sqrt{2}} \, V_{ub} \, C_1(\mu) \, \bar{e}_L \gamma_\mu \nu_L \, \bar{u}_L \gamma^\mu b_L \\ & \swarrow \\ & \mathbf{C_1} = \mathbf{1} \end{aligned}$$



 Scaling 1/M_W² for d=6 operators explains weakness of "weak" interactions



• W exchange between four different quark fields (nonleptonic decays):



• At tree level, analogous treatment as before



• Complications for loop graphs:



• Naïve Taylor expansion of W-boson propagator no longer justified!



• Problem with large loop momenta:

$$\int d^D p \, \frac{1}{M_W^2 - p^2} \, f(p) \neq \frac{1}{M_W^2} \int d^D p \, \left(1 + \frac{p^2}{M_W^2} + \dots\right) f(p) \, d^D p \, \left(1 + \frac{p^2}{M_W^2} + \dots\right) \, f(p) \, d^D p \, d^D p$$

- But no differences at low loop momenta!
- Effect can be calculated and corrected for using perturbation theory, since effective coupling $\alpha_s(M_W)$ is small







• Resulting effective interaction:

$$\mathcal{L}_{\text{eff}} = -\frac{4G_F}{\sqrt{2}} V_{cs}^* V_{ub} \left[C_1(\mu) \,\bar{s}_L^j \gamma_\mu c_L^j \,\bar{u}_L^i \gamma^\mu b_L^i + C_2(\mu) \,\bar{s}_L^i \gamma_\mu c_L^j \,\bar{u}_L^j \gamma^\mu b_L^i \right]$$

with Wilson coefficients:

$$C_1(\mu) = 1 + \frac{3}{N_c} \frac{\alpha_s(\mu)}{4\pi} \left(\ln \frac{M_W^2}{\mu^2} - \frac{11}{6} \right) + O(\alpha_s^2)$$
$$C_2(\mu) = -3 \frac{\alpha_s(\mu)}{4\pi} \left(\ln \frac{M_W^2}{\mu^2} - \frac{11}{6} \right) + O(\alpha_s^2).$$

 \rightarrow accounts for effects of hard gluons (p~M_W)



Idea of effective field theory

 Separation of short- and long-distance effects; schematically:

$$\int_{-p^2}^{M_W^2} \frac{dk^2}{k^2} = \int_{\mu^2}^{M_W^2} \frac{dk^2}{k^2} + \int_{-p^2}^{\mu^2} \frac{dk^2}{k^2}$$

- Short-distance effects (p~M_W) are perturbatively calculable
- Long-distance effects must be treated using nonperturbative methods
- Dependence on arbitrary separation scale μ controlled by RG equations

Μ_{\,,}

U

 $C_i(\mu)$

 $\langle O_{i}(\mu) \rangle$



Idea of effective field theory

- Why useful?
- Any sensitivity to high scales (including to physics beyond the Standard Model) can be treated using perturbative methods:

 $\mathsf{C}_{\mathsf{i}}(\mu) = \mathsf{C}_{\mathsf{i}}^{\mathsf{SM}}(\mathsf{M}_{\mathsf{W}},\mathsf{m}_{\mathsf{t}},\mu) + \mathsf{C}_{\mathsf{i}}^{\mathsf{NP}}(\mathsf{M}_{\mathsf{NP}},\mathsf{g}_{\mathsf{NP}},\mu)$

• Nonperturbative methods (operator product expansion, lattice gauge theory, ...) usually only work at low scales (typically μ -few GeV)



• While generation-changing couplings of W bosons to quarks exist, flavor-changing neutral currents such as

 $b \rightarrow s\gamma$, $b \rightarrow sZ^0$, $b \rightarrow sv\overline{v}$, $b \rightarrow sd\overline{d}$, $b\overline{d} \rightarrow d\overline{b}$, etc. (and others, also for light quarks)

do not exist as elementary vertices in the Standard Model (GIM mechanism)



 But such processes can be induced at loop level, e.g.:





 Effective interaction at low energies (E«M_W,M_Z,m_t):





• Detailed analysis (penguin autopsy) exhibits that GIM mechanism is "incomplete" in this case:



How to kill a penguin ...

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FCNC processes

• Detailed analysis (penguin autopsy) exhibits that GIM mechanism is "incomplete" in this case:





- Rich structure of couplings of Z^0, g, γ lead to a plethora of effective local d=6 operators
- Consider, e.g., decays of type $b \rightarrow s+X$ (or $b \rightarrow d+X$, $s \rightarrow d+X$), where X is flavor neutral:



Operator basis

• Current-current operators (W exchange):

$$Q_1^{(p)} = (\bar{s}_i p_i)_{V-A} (\bar{p}_j b_j)_{V-A}$$
$$Q_2^{(p)} = (\bar{s}_i p_j)_{V-A} (\bar{p}_j b_i)_{V-A}$$

 $(\bar{q}_1 q_2)_{V \pm A} \equiv \bar{q}_1 \gamma^\mu (1 \pm \gamma_5) q_2$

• Results analogous to earlier discussion): $C_1(M_W) = 1 - \frac{11}{6} \frac{\alpha_s(M_W)}{4\pi}$ $C_2(M_W) = \frac{11}{2} \frac{\alpha_s(M_W)}{4\pi},$



 $\leftarrow \text{ results quoted at} \\ \mu = M_w \text{ for simplicity} \\$



Operator basis

b

u,c,t

q

• QCD penguin operators:

$$Q_3 = (\bar{s}_i b_i)_{V-A} \sum_{q=u,d,s,c,b} (\bar{q}_j q_j)_{V-A}$$

$$Q_4 = (\bar{s}_i b_j)_{V-A} \sum_{q=u,d,s,c,b} (\bar{q}_j q_i)_{V-A}$$

$$Q_5 = (\bar{s}_i b_i)_{V-A} \sum_{q=u,d,s,c,b} (\bar{q}_j q_j)_{V+A}$$

$$Q_{6} = (\bar{s}_{i}b_{j})_{V-A} \sum_{q=u,d,s,c,b} (\bar{q}_{j}q_{i})_{V+A}$$



$$C_{3}(M_{W}) = C_{5}(M_{W}) = -\frac{1}{6} \widetilde{E}_{0} \left(\frac{m_{t}^{2}}{M_{W}^{2}}\right) \frac{\alpha_{s}(M_{W})}{4\pi}$$
$$C_{4}(M_{W}) = C_{6}(M_{W}) = \frac{1}{2} \widetilde{E}_{0} \left(\frac{m_{t}^{2}}{M_{W}^{2}}\right) \frac{\alpha_{s}(M_{W})}{4\pi}$$

Loop function:

S

u,c,t

q

W

g

$$\widetilde{E}_0(x) = -\frac{7}{12} + O(1/x)$$

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Operator basis

• Electroweak penguin operators:

$$Q_7 = (\bar{s}_i b_i)_{V-A} \sum_{q=u,d,s,c,b} \frac{3}{2} e_q \, (\bar{q}_j q_j)_{V+A}$$

$$Q_8 = (\bar{s}_i b_j)_{V-A} \sum_{q=u,d,s,c,b} \frac{3}{2} e_q \, (\bar{q}_j q_i)_{V+A}$$

$$Q_9 = (\bar{s}_i b_i)_{V-A} \sum_{q=u,d,s,c,b} \frac{3}{2} e_q \, (\bar{q}_j q_j)_{V-A}$$

$$Q_{10} = (\bar{s}_i b_j)_{V-A} \sum_{q=u,d,s,c,b} \frac{3}{2} e_q \, (\bar{q}_j q_i)_{V-A}$$





• Results:

$$C_{7}(M_{W}) = f\left(\frac{m_{t}^{2}}{M_{W}^{2}}\right) \frac{\alpha(M_{W})}{6\pi}, \qquad C_{8}(M_{W}) = C_{10}(M_{W}) = 0$$
$$C_{9}(M_{W}) = \left[f\left(\frac{m_{t}^{2}}{M_{W}^{2}}\right) + \frac{1}{\sin^{2}\theta_{W}}g\left(\frac{m_{t}^{2}}{M_{W}^{2}}\right)\right] \frac{\alpha(M_{W})}{4\pi}$$

Loop functions:

$$f(x) = \frac{x}{2} + \frac{4}{3}\ln x - \frac{125}{36} + O(1/x)$$
$$g(x) = -\frac{x}{2} - \frac{3}{2}\ln x + O(1/x)$$



Operator basis

• Dipol operators:

$$Q_{7\gamma} = -\frac{em_b}{8\pi^2} \,\bar{s}\,\sigma_{\mu\nu}\left(1+\gamma_5\right)F^{\mu\nu}\,b$$
$$Q_{8\gamma} = -\frac{g_s m_b}{5}\,\bar{s}\,\sigma_{\mu\nu}\left(1+\gamma_5\right)G^{\mu\nu}t_s\,b$$

$$Q_{8g} = -\frac{g_s m_b}{8\pi^2} \,\bar{s} \,\sigma_{\mu\nu} \left(1 + \gamma_5\right) G_a^{\mu\nu} t_a \,b$$

• Results $(x=m_t^2/M_W^2)$:

$$C_{7\gamma}(M_W) = -\frac{1}{3} + O(1/x)$$
$$C_{8g}(M_W) = -\frac{1}{8} + O(1/x)$$

That's it ! (apart from operators containing leptons ...)



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FCNC processes

- Consider finally B- \overline{B} mixing processes mediated by transitions $b\overline{d} \rightarrow d\overline{b}$ (or $b\overline{s} \rightarrow s\overline{b}$)
- Effective interaction:

$$\mathcal{H}_{\text{eff}} \propto G_F^2 M_W^2 (V_{tb} V_{td}^*)^2 S_0 \left(\frac{m_t^2}{M_W^2}\right) (\bar{d}b)_{V-A} (\bar{d}b)_{V-A}$$

- dominant contribution by far $(\propto m_t^2)$ due to top-quark loop



- first hint toward very heavy top quark



B-B Mixing



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Oscillations of neutral mesons

- Neutral mesons can be transformed into their antiparticles by second-order weak processes
- Analogy with quantum-mechanical system of coupled pendulums: state B⁰ at t=0 develops into a superposition of states B⁰ and B⁰ with timeoscillating amplitudes





Quantum-mechanical treatment

• Time evolution of an initial (at t=0) \overline{B}^0 state:

$$|\psi(t)
angle \propto \cos\left(rac{\Delta m}{2}t
ight)|\bar{B}^0
angle + ie^{2i\beta}\,\sin\left(rac{\Delta m}{2}t
ight)|B^0
angle$$

where:

$$e^{2i\beta} \frac{\Delta m}{2} = \frac{1}{2m_B} \left\langle B^0 \right| \mathcal{H}_{\text{eff}}^{\Delta B=2} \left| \bar{B}^0 \right\rangle$$





Calculation of the mass difference

• Master formula:



 Discovery of B-B mixing (ARGUS experiment, 1987) pointed to a very heavy top quark!



Determination of |V_{td}|

