# On some exactly or efficiently solvable open quantum many-body systems far from equilibrium

Tomaž Prosen

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- XY spin 1/2 chain fermionic case: transition to long range order due to local boundary opening (TP, NJP 2008, TP and I. Pižorn PRL 2008)
- Translationally invariant fermionic/bosonic chains /w bulk noise/opening (/w J. Eisert, preprint)

#### • Strongly interacting (non-linear) systems

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- XXZ spin 1/2 chain: exact matrix product NESS and negative differential conductance (/w K. Saito, preprint)
- Exact ansatz for *diffusive* NESS in XX chain /w dephasing noise and boundary driving (M. Žnidarič, JSTAT 2010)
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## Many-body Lindblad equation

The central equation we address is the Lindblad equation for the many-body density operator  $\rho(t)$ :

$$rac{\mathrm{d}
ho}{\mathrm{d}t}=\hat{\mathcal{L}}
ho:=-\mathrm{i}[H,
ho]+\sum_{\mu}\left(2\mathcal{L}_{\mu}
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ho\}
ight)$$

where H is a many-body (Hamiltonian) with k-local couplings,

$$H = \sum_{j=1}^{n-k+1} h_{[j,j+k-1]}$$

and  $L_{\mu}$  are *Lindblad operators* which act **locally** (i.e. within some [j, j + k - 1]), either near the **ends** of the chain (e.g. representing the baths), or in the **bulk** (e.g. representing dephasing noise).

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In the context of 1D quantum transport, the Lindblad model has been carefully derived and discussed in: Wichterich, Herich, Breuer and Gemmer, PRE 2007.

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TP, New J. Phys. 10, 043026 (2008)

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for a general quadratic system of n fermions, or n qubits (spins 1/2)

$$H = \sum_{j,k=1}^{2n} w_j H_{jk} w_k = \underline{w} \cdot \mathbf{H} \underline{w} \qquad L_{\mu} = \sum_{j=1}^{2n} l_{\mu,j} w_j = \underline{l}_{\mu} \cdot \underline{w}$$

where  $w_j$ , j = 1, 2, ..., 2n, are abstract *Hermitian* Majorana operators

$$\{w_j, w_k\} = 2\delta_{j,k} \qquad j, k = 1, 2, \dots, 2n$$

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Two physical realizations:

- canonical fermions  $c_m$ ,  $w_{2m-1}=c_m+c_m^\dagger, w_{2m}=\mathrm{i}(c_m-c_m^\dagger), m=1,\ldots,n.$
- spins 1/2 with canonical Pauli operators  $\vec{\sigma}_m$ ,  $m = 1, \dots, n$ ,

$$w_{2m-1} = \sigma_j^{\mathrm{x}} \prod_{m' < m} \sigma_{m'}^{\mathrm{z}} \qquad w_{2m} = \sigma_m^{\mathrm{y}} \prod_{m' < m} \sigma_{m'}^{\mathrm{z}}$$

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Let us associate a Hilbert space structure  $x \to |x\rangle$  to a linear  $2^{2n} = 4^n$  dimensional space  $\mathcal{K}$  of operators, with basis

$$P_{\alpha_1,\alpha_2,\ldots,\alpha_{2n}} := w_1^{\alpha_1} w_2^{\alpha_2} \cdots w_{2n}^{\alpha_{2n}} \qquad \alpha_j \in \{0,1\}$$

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Define a set of 2n adjoint annihilation linear maps  $\hat{c}_j$  over  $\mathcal{K}$ 

$$\hat{c}_{j}|P_{\underline{\alpha}}\rangle = \delta_{\alpha_{j},1}|w_{j}P_{\underline{\alpha}}\rangle$$

and derive the actions of their Hermitian adjoints - the creation linear maps  $\hat{c}^{\dagger}$ ,  $\langle P_{\underline{\alpha}'} | \hat{c}_{j}^{\dagger} | P_{\underline{\alpha}} \rangle = \langle P_{\underline{\alpha}} | \hat{c}_{j} | P_{\underline{\alpha}'} \rangle^{*} = \delta_{\alpha'_{j},1} \langle P_{\underline{\alpha}} | w_{j} P_{\underline{\alpha}'} \rangle^{*} = \delta_{\alpha_{j},0} \langle P_{\underline{\alpha}'} | w_{j} P_{\underline{\alpha}} \rangle$ :  $\hat{c}_{i}^{\dagger} | P_{\alpha} \rangle = \delta_{\alpha_{i},0} | w_{i} P_{\alpha} \rangle$ 

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Clearly,  $\hat{c}_j$ ,  $\hat{c}_i^{\dagger}$  satisfy canonical anti-commutation relations

$$\{\hat{c}_j, \hat{c}_k\} = 0$$
  $\{\hat{c}_j, \hat{c}_k^{\dagger}\} = \delta_{j,k}$   $j, k = 1, 2, \dots, 2n$ 

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The generator of quantum Liouville equation can be expressed as:

$$\hat{\mathcal{L}} = \underline{\hat{a}} \cdot \mathbf{A} \underline{\hat{a}} - A_0 \,\hat{\mathbb{1}}$$

in terms of 4n Hermitian Majorana fermionic maps  $\hat{a}_{1,j} := (\hat{c}_j + \hat{c}_j^{\dagger})/\sqrt{2}, \hat{a}_{2,j} := i(\hat{c}_j - \hat{c}_j^{\dagger})/\sqrt{2}$  satisfying CAR  $\{\hat{a}_{\nu,j}, \hat{a}_{\mu,k}\} = \delta_{\nu,\mu}\delta_{j,k}, \quad \nu, \mu = 1, 2, \ j, k = 1, \dots, 2n.$ 

where **A** is a  $4n \times 4n$  complex structure matrix

$$\begin{split} \mathbf{A} &= -2\mathrm{i}\,\mathbb{I}_2\otimes \mathbf{H} - 2\sigma^{\mathrm{y}}\otimes \mathbf{M}_{\mathrm{r}} - 2(\sigma^{\mathrm{x}} - \mathrm{i}\sigma^{\mathrm{z}})\otimes \mathbf{M}_{\mathrm{i}} \\ \mathbf{M}_{\mathrm{r}} &:= \frac{1}{2}(\mathbf{M} + \bar{\mathbf{M}}) = \mathbf{M}_{\mathrm{r}}^{\mathcal{T}}, \\ \mathbf{M}_{\mathrm{i}} &:= \frac{1}{2\mathrm{i}}(\mathbf{M} - \bar{\mathbf{M}}) = -\mathbf{M}_{\mathrm{i}}^{\mathcal{T}} \end{split}$$

where  $\mathbf{M} := \sum_{\mu} \underline{l}_{\mu} \otimes \underline{\overline{l}}_{\mu}$  is a positive semidefinite  $\mathbf{M} \ge 0$  bath matrix, and  $A_0 = 2 \operatorname{tr} \mathbf{M}$ .

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The key element is a  $2n\times 2n$  real matrix  $\bm{X}:=-2i\bm{H}+\bm{M}_{\rm r}$  with Jordan canonical form

$$\mathbf{X} = \mathbf{P} \Delta \mathbf{P}^{-1} \tag{1}$$

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where **P** is a non-singular matrix, and  $\Delta = \bigoplus_{j,k} \Delta_{\ell_{j,k}}(\beta_j)$  is a direct sum of

$$\Delta_{\ell}(\beta) := \begin{pmatrix} \beta & 1 & & \\ & \beta & \ddots & \\ & & \ddots & 1 \\ & & & & \beta \end{pmatrix}.$$
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## Normal form: decomposition

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Then, the Liouvillean structure matrix **A** allows the decomposition:

$$\mathbf{A} = \mathbf{V}^{\mathcal{T}} \begin{pmatrix} \mathbf{0} & \Delta \\ -\Delta^{\mathcal{T}} & \mathbf{0} \end{pmatrix} \mathbf{V}$$

where the eigenvector matrix

$$\mathbf{V} = \frac{1}{\sqrt{2}} \begin{pmatrix} \mathbf{P}^{\mathcal{T}} (\mathbb{1}_{2n} - 4i\mathbf{Z}) & -i\mathbf{P}^{\mathcal{T}} (\mathbb{1}_{2n} - 4i\mathbf{Z}) \\ \mathbf{P}^{-1} & i\mathbf{P}^{-1} \end{pmatrix}$$

satisfies the canonical normalization  $VV^T = \sigma^x \otimes \mathbb{1}_{2n}$ , and the  $2n \times 2n$  antisymmetric matrix **Z** is a solution to the *Lyapunov equation* 

$$\mathbf{X}^{T}\mathbf{Z} + \mathbf{Z}\mathbf{X} = \mathbf{M}_{i}.$$

Let us name the first 2n rows of **V** as  $\underline{v}_{j,k,l}$ , and the last 2n rows as  $\underline{v}'_{j,k,l}$ , which are exactly the generalized eigenvectors pertaining to k-th Jordan block of the eigenvalue  $\beta_j$ , and  $-\beta_j$  respectively, and  $l = 1, \ldots, \ell_{j,k}$  (l = 1 designates the *proper* eigenvector) where  $\ell_{i,k}$  is the size of the Jordan block (j, k).

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$$\hat{b}_{j,k,l} := \underline{v}_{j,k,l} \cdot \underline{\hat{a}}, \qquad \hat{b}'_{j,k,l} := \underline{v}'_{j,k,l} \cdot \underline{\hat{a}},$$

satisfying the almost-CAR

$$\{\hat{b}_{j,k,l},\hat{b}_{j',k',l'}\}=0, \ \{\hat{b}_{j,k,l},\hat{b}_{j',k',l'}'\}=\delta_{j,j'}\delta_{k,k'}\delta_{l,l'}, \ \{\hat{b}_{j,k,l}',\hat{b}_{j',k',l'}'\}=0.$$

so the Liouvillean acquires almost-diagonal normal form

$$\hat{\mathcal{L}} = -2\sum_{j,k} \left\{ \beta_j \sum_{l=1}^{\ell_{j,k}} \hat{b}'_{j,k,l} \hat{b}_{j,k,l} + \sum_{l=1}^{\ell_{j,k}-1} \hat{b}'_{j,k,l+1} \hat{b}_{j,k,l} \right\}.$$

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# Normal form: normal master modes

Let us name the first 2n rows of **V** as  $\underline{v}_{j,k,l}$ , and the last 2n rows as  $\underline{v}'_{j,k,l}$ , which are exactly the generalized eigenvectors pertaining to k-th Jordan block of the eigenvalue  $\beta_j$ , and  $-\beta_j$  respectively, and  $l = 1, \ldots, \ell_{j,k}$  (l = 1 designates the *proper* eigenvector) where  $\ell_{j,k}$  is the size of the Jordan block (j, k). Then we introduce the *normal master mode* (NMM) maps as

$$\hat{b}_{j,k,l} := \underline{v}_{j,k,l} \cdot \underline{\hat{a}}, \qquad \hat{b}'_{j,k,l} := \underline{v}'_{j,k,l} \cdot \underline{\hat{a}},$$

satisfying the almost-CAR

$$\{\hat{b}_{j,k,l},\hat{b}_{j',k',l'}\}=0, \ \{\hat{b}_{j,k,l},\hat{b}_{j',k',l'}'\}=\delta_{j,j'}\delta_{k,k'}\delta_{l,l'}, \ \{\hat{b}_{j,k,l}',\hat{b}_{j',k',l'}'\}=0.$$

so the Liouvillean acquires almost-diagonal normal form

$$\hat{\mathcal{L}} = -2\sum_{j,k} \left\{ \beta_j \sum_{l=1}^{\ell_{j,k}} \hat{b}'_{j,k,l} \hat{b}_{j,k,l} + \sum_{l=1}^{\ell_{j,k}-1} \hat{b}'_{j,k,l+1} \hat{b}_{j,k,l} \right\}.$$

There exist two vacua of such a Liouvillean:

- The trivial left vacuum (identity operator),  $\langle 1|\hat{b}'_{j,k,l}=$  0,
- And the non-trivial right-vacuum (NESS),  $\hat{b}_{j,k,l}|\text{NESS}\rangle = 0.$

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(a) The complete spectrum of Liouvillean  $\hat{\mathcal{L}}$  is given by the following integer linear combinations

$$\lambda_{\underline{m}} = -2\sum_{j,k} m_{j,k}\beta_j, \quad m_{j,k} \in \{0, 1, \ldots, \ell_{j,k}\}.$$

Some The 4<sup>n</sup> dimensional operator space, and its dual (the bra-space), admit the following decomposition  $\mathcal{K} = \bigoplus_{\underline{m}} \mathcal{K}_{\underline{m}}, \mathcal{K}' = \bigoplus_{\underline{m}} \mathcal{K}'_{\underline{m}}$  in terms of dim  $\mathcal{K}_{\underline{m}} = \prod_{j,k} {\ell_{j,k} \choose m_{j,k}}$  dimensional invariant subspaces  $\hat{\mathcal{L}}\mathcal{K}_{\underline{m}} \subseteq \mathcal{K}_{\underline{m}}, \mathcal{K}'_{\underline{m}} \hat{\mathcal{L}} \subseteq \mathcal{K}'_{\underline{m}}$  spanned by

$$\begin{split} & \mathcal{K}_{\underline{m}} = L \left\{ \prod_{j,k} \prod_{\eta=1}^{m_{j,k}} \hat{b}'_{j,k,l_{\eta}} | \text{NESS} \rangle; \ 1 \leq l_{1} < \ldots < l_{m_{j,k}} \leq \ell_{j,k} \right\}, \\ & \mathcal{K}'_{\underline{m}} = L \left\{ \langle 1 | \prod_{j,k} \prod_{\eta=1}^{m_{j,k}} \hat{b}_{j,k,l_{\eta}}; \ 1 \leq l_{1} < \ldots < l_{m_{j,k}} \leq \ell_{j,k} \right\}. \end{split}$$

O However, the dimension of the eigenspace (the number of proper eigenvectors corresponding to  $\lambda_{\underline{m}}$ ) is smaller than dim  $\mathcal{K}_{\underline{m}}$  in the nontrivial case when at least one  $\ell_{j,k} > 1$ . The size of the largest Jordan block corresponding to  $\lambda_{\underline{m}}$  is  $1 + \sum_{j,k} (\ell_{j,k} - m_{j,k}) m_{j,k}$ .

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 $|\text{NESS}\rangle$  is a unique stationary state of open quantum dynamics if and only if all eigenvalues  $\beta_j$  of **X** lie away from the imaginary line  $\operatorname{Re} \beta_j > 0$ .

#### If this is not the case, then:

• For each zero rapidity 
$$\beta_j = 0$$
,

$$|\text{NESS}; j, k\rangle := \hat{b}'_{j,k,1} |\text{NESS}\rangle$$

we also have the stationarity  $\hat{\mathcal{L}}|\mathrm{NESS}; j,k
angle=0.$ 

● For each imaginary rapidity β<sub>j</sub> = ib, b ∈ ℝ \ {0}, we have a corresponding negative rapidity β<sub>j'</sub> = −ib, and

$$|\text{NESS}; j, j', k, k'\rangle := \hat{b}_{j,k,1}' \hat{b}_{j',k',1}' |\text{NESS}\rangle,$$

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Assume that all the rapidities are *strictly away* from the real line  $\operatorname{Re} \beta_j > 0$ . Then the expectation value of *any quadratic observable*  $w_j w_k$  in a (unique) NESS can be explicitly computed as

$$\langle w_j w_k \rangle_{\text{NESS}} = \delta_{j,k} + \langle 1 | \hat{c}_j \hat{c}_k | \text{NESS} \rangle = \delta_{j,k} + 4 i Z_{j,k}$$

where Z is the unique solution of the Lyapunov equation

 $\mathbf{X}^{\mathsf{T}}\mathbf{Z} + \mathbf{Z}\mathbf{X} = \mathbf{M}_{i}.$ 

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## NESS expectation values of physical observables

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$$\boldsymbol{\mathsf{X}}^{\mathcal{T}}\boldsymbol{\mathsf{Z}}+\boldsymbol{\mathsf{Z}}\boldsymbol{\mathsf{X}}=\boldsymbol{\mathsf{M}}_{\mathrm{i}}.$$

Note an alternative representation of the observables

$$\langle w_j w_k \rangle_{\text{NESS}} = \delta_{j,k} - \frac{1}{\pi} \int_{-\infty}^{\infty} \mathrm{d}\omega \, \mathbf{G}_{2j-1,2k-1}(\omega)$$

in terms of the resolvent ("non-equilibrium Green's function")

$$\mathbf{G}(\omega) = \left(\mathbf{A} - \mathrm{i}\omega \mathbb{1}\right)^{-1}$$

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Consider magnetic and heat transport of a Heisenberg XY spin 1/2 chain, with arbitrary – either homogeneous or positionally dependent (e.g. disordered) – nearest neighbour interaction

$$H = \sum_{m=1}^{n-1} \left( J_m^{\mathrm{x}} \sigma_m^{\mathrm{x}} \sigma_{m+1}^{\mathrm{x}} + J_m^{\mathrm{y}} \sigma_m^{\mathrm{y}} \sigma_{m+1}^{\mathrm{y}} \right) + \sum_{m=1}^{n} h_m \sigma_m^{\mathrm{z}}$$
(3)

which is coupled to *two* thermal/magnetic baths *at the ends* of the chain, generated by two pairs of canonical Lindblad operators

$$L_{1} = \frac{1}{2}\sqrt{\Gamma_{1}^{L}}\sigma_{1}^{-} \qquad L_{3} = \frac{1}{2}\sqrt{\Gamma_{1}^{R}}\sigma_{n}^{-}$$

$$L_{2} = \frac{1}{2}\sqrt{\Gamma_{2}^{L}}\sigma_{1}^{+} \qquad L_{4} = \frac{1}{2}\sqrt{\Gamma_{2}^{R}}\sigma_{n}^{+} \qquad (4)$$

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where  $\sigma_m^{\pm} = \sigma_m^{\rm x} \pm i \sigma_m^{\rm y}$  and  $\Gamma_{1,2}^{\rm L,R}$  are positive coupling constants related to bath temperatures/magnetizations. e.g. if spins were non-interacting the bath temperatures  $T_{\rm L,R}$  would be given with  $\Gamma_2^{\rm L,R}/\Gamma_1^{\rm L,R} = \exp(-2h_{\rm 1,n}/T_{\rm L,R})$ .

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An example: XY spin 1/2 chain in a transverse field

$$\begin{split} \mathbf{A} &= \begin{pmatrix} \mathbf{B}_{\mathrm{L}} - h_{1}\mathbf{R} & \mathbf{R}_{1} & \mathbf{0} & \cdots & \mathbf{0} \\ -\mathbf{R}_{1}^{T} & -h_{2}\mathbf{R} & \mathbf{R}_{2} & \ddots & \mathbf{0} \\ \mathbf{0} & -\mathbf{R}_{2}^{T} & -h_{3}\mathbf{R} & \vdots \\ \vdots & \ddots & \ddots & \mathbf{R}_{n-1} \\ \mathbf{0} & \mathbf{0} & \cdots & -\mathbf{R}_{n-1}^{T} & \mathbf{B}_{\mathrm{R}} - h_{n}\mathbf{R} \end{pmatrix}, \qquad A_{0} = \Gamma_{+}^{\mathrm{L}} + \Gamma_{+}^{\mathrm{R}}, \end{split}$$
where  $\mathbf{B}_{\mathrm{L}} := \mathbf{B}_{\Gamma_{+}^{\mathrm{L}},\Gamma_{-}^{\mathrm{L}}}, \mathbf{B}_{\mathrm{R}} := \mathbf{B}_{\Gamma_{+}^{\mathrm{R}},\Gamma_{-}^{\mathrm{R}}}, \Gamma_{\pm}^{\mathrm{L},\mathrm{R}} := \Gamma_{2}^{\mathrm{L},\mathrm{R}} \pm \Gamma_{1}^{\mathrm{L},\mathrm{R}},$  and
$$\mathbf{R}_{m} &:= \begin{pmatrix} \mathbf{0} & \mathbf{0} & J_{m}^{\mathrm{Y}} & \mathbf{0} \\ \mathbf{0} & \mathbf{0} & \mathbf{0} & J_{m}^{\mathrm{Y}} \\ -J_{m}^{\mathrm{X}} & \mathbf{0} & \mathbf{0} & \mathbf{0} \\ \mathbf{0} & -J_{m}^{\mathrm{X}} & \mathbf{0} & \mathbf{0} \end{pmatrix}, \qquad \mathbf{R} := \begin{pmatrix} \mathbf{0} & \mathbf{0} & \mathbf{1} & \mathbf{0} \\ \mathbf{0} & \mathbf{0} & \mathbf{0} & \mathbf{1} \\ -\mathbf{1} & \mathbf{0} & \mathbf{0} & \mathbf{0} \\ \mathbf{0} & -\mathbf{1} & \mathbf{0} & \mathbf{0} \end{pmatrix}, \\ \mathbf{B}_{\Gamma_{+},\Gamma_{-}} := \begin{pmatrix} \mathbf{0} & \frac{i}{2}\Gamma_{+} & -\frac{i}{2}\Gamma_{-} & \frac{i}{2}\Gamma_{-} \\ -\frac{i}{2}\Gamma_{-} & \mathbf{0} & \frac{i}{2}\Gamma_{-} & \frac{i}{2}\Gamma_{-} \\ -\frac{i}{2}\Gamma_{-} & -\frac{i}{2}\Gamma_{-} & \mathbf{0} \end{pmatrix}$$

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## Quantum phase transition far from equilibrium in XY chain

TP & I. Pižorn, PRL 101, 105701 (2008)



 $h_c = 1 - \gamma^2$ 



n=160

n=320

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n=640

# Spectral gap of Liouvillean

The rate of relaxation to NESS is given by the **spectral gap**  $\Delta$  of  $\hat{\mathcal{L}}$ .



## Spectral gap of Liouvillean

The rate of relaxation to NESS is given by the **spectral gap**  $\Delta$  of  $\hat{\mathcal{L}}$ . We find explicit analytical result:

$$\Delta = K(\gamma, h, \Gamma_{1,2}^{\mathrm{L,R}}) \times n^{-3}$$

When  $h = h_{\rm c} = 1 - \gamma^2$  we find  $\mathcal{K} = 0$  and then  $\Delta = \mathcal{O}(n^{-5})$ 

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# Spectral gap of Liouvillean

The rate of relaxation to NESS is given by the **spectral gap**  $\Delta$  of  $\hat{\mathcal{L}}$ . We find explicit analytical result:

$$\Delta = \mathcal{K}(\gamma, h, \Gamma_{1,2}^{\mathrm{L,R}}) \times n^{-3}.$$

When  $h = h_c = 1 - \gamma^2$  we find K = 0 and then  $\Delta = O(n^{-5})$ Comparing to numerics:



Saturation vs. exponential decay & power law critical scaling at the critical point.



Near QPT: Scaling variable  $z = (h_c - h)n^2$ 



Near QPT: Scaling variable  $z = (h_c - h)n^2$ Scaling ansatz:  $C_{2j+\alpha,2k+\beta} = \Psi^{\alpha,\beta}(x = j/n, y = k/n, z)$  Fluctuation of spin-spin correlation in NESS and "wave resonators"

Near QPT: Scaling variable  $z = (h_c - h)n^2$ Scaling ansatz:  $C_{2j+\alpha,2k+\beta} = \Psi^{\alpha,\beta}(x = j/n, y = k/n, z)$ Certain combination  $\Psi(x, y) = (\partial/\partial_x + \partial/\partial_y)(\Psi^{0,0}(x, y) + \Psi^{1,1}(x, y))$  obeys Helmoltz equation!!!



Tomaž Prosen Many-body dynamical semigroups

## Operator space entanglement entropy of NESS (XY chain)

#### Von Neumann entropy of a bipartition of NESS as an element of a Fock space

Drastically different behaviour than for entanglement entropy of ground states of 1D critical/non-critical models!



Now we discuss the situation where the Hamiltonian and the set of Lindblad operators are *translationally invariant* (periodic), i.e.

$$\begin{aligned} & \mathcal{H}_{2j-1+\nu,2j'-1+\nu'} &=: \quad h_{\nu,\nu'}(j-j'), \quad j,j'=1,\ldots,n, \quad \nu,\nu'=0,1 \\ & \mathcal{I}_{(\lambda,k),2j-1+\nu} &=: \quad \omega_{(\lambda,\nu),j-k}, \qquad k=1,\ldots,n. \end{aligned}$$

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The Hermitian *bath matrix*  $\mathbf{M} = \sum_{\mu} \underline{l}_{\mu} \otimes \overline{l}_{\mu}$  is, similarly to Hamiltonian, block (2 × 2) circulant,  $M_{2j-1+\nu,2j'-1+\nu'} = m_{\nu,\nu'}(j-j')$ . Denoting 2-vectors  $\underline{\omega}_{\lambda,k} = \begin{pmatrix} \omega_{(\lambda,0),k} \\ \omega_{(\lambda,1),k} \end{pmatrix}$  we write its 2 × 2 blocks compactly in terms of a convolution

$$\mathbf{m}(j) = \sum_{\lambda} \sum_{k} \underline{\omega}_{\lambda,j+k} \otimes \overline{\underline{\omega}_{\lambda,k}}.$$

## Translationally invariant quasi-free fermionic semi-groups

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$$\begin{array}{rcl} H_{2j-1+\nu,2j'-1+\nu'} & =: & h_{\nu,\nu'}(j-j'), & j,j'=1,\ldots,n, & \nu,\nu'=0,1 \\ & l_{(\lambda,k),2j-1+\nu} & =: & \omega_{(\lambda,\nu),j-k}, & k=1,\ldots,n. \end{array}$$

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Let us now define the symbols, the Fourier transformations of  $2\times 2$  blocks and a 2-vector

$$\begin{split} \widetilde{\mathbf{h}}(\varphi) &:= \sum_{j \in \mathbb{Z}} \mathbf{h}(j) \exp(-\mathrm{i}\varphi j), \qquad \varphi \in [-\pi, \pi) \\ \underline{\widetilde{\omega}}_{\lambda}(\varphi) &:= \sum_{j \in \mathbb{Z}} \underline{\omega}_{\lambda, j} \exp(-\mathrm{i}\varphi j), \\ \mathbf{\widetilde{m}}(\varphi) &:= \sum_{j \in \mathbb{Z}} \mathbf{m}(j) \exp(-\mathrm{i}\varphi j) = \sum_{\lambda} \underline{\widetilde{\omega}}_{\lambda}(\varphi) \otimes \underline{\widetilde{\omega}}_{\lambda}(\varphi). \end{split}$$

Now, for a translationally invariant system, the spectrum of **X** is given by the two Bloch bands  $\beta_{\tau}(\varphi)$ , determined by the two eigenvalues of the 2 × 2 matrix valued symbol of **X**,  $\tilde{\mathbf{x}}(\varphi) = -2i\tilde{\mathbf{h}}(\varphi) + 2\tilde{\mathbf{m}}_{r}(\varphi)$ . Since the correlation matrix is circulant as well

$$\operatorname{tr} \rho_{\operatorname{NESS}} w_{2j-1+\nu} \, w_{j'-1+\nu'} = \delta_{j,j'} \delta_{\nu,\nu'} + 4 \mathrm{i} z_{\nu,\nu'} (j-j'),$$

the solution can be encoded in the symbol of the correlator

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The later satisfies a  $2 \times 2$  matrix equation, obtained by block Fourier transforming the Lyapunov equation

$$\widetilde{\mathbf{x}}^{\mathsf{T}}(-\varphi)\widetilde{\mathbf{z}}(\varphi) + \widetilde{\mathbf{z}}(\varphi)\widetilde{\mathbf{x}}(\varphi) = \widetilde{\mathbf{m}}_{\mathrm{i}}(\varphi)$$

which is in fact a  $4 \times 4$  linear system for elements of  $\tilde{z}(\varphi)$  (at fixed  $\varphi$ ) which is solved explicitly.

Correlations decay exponentially  $\mathbf{z}(j) = \mathcal{O}(\exp(-|j|/\xi))$  if  $\tilde{\mathbf{z}}(\varphi)$  is analytic around the strip  $|\text{Im}\varphi| < \xi$ . Note that that  $\xi$  is always finite, but may not be bounded!

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Let H be fermionized XY spin chain with any sotropy  $\gamma$  and magnetic field, and take the most general translationally invariant local noise with one Lindblad operator per site,

$$L_j = \epsilon_1(c_j + c_j^{\dagger}) + \epsilon_2 e^{i\theta} i(c_j - c_j^{\dagger}) = \epsilon_1 w_{2j-1} + \epsilon_2 e^{i\theta} w_{2j}$$

parametrized with a triple of real parameters  $\epsilon_1 > 0, \epsilon_2 > 0, \theta \in [0, \pi]$ .

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parametrized with a triple of real parameters  $\epsilon_1 > 0, \epsilon_2 > 0, \theta \in [0, \pi]$ . Then, the procedure above results in

$$\widetilde{\mathbf{z}}(\varphi) = rac{1}{d} \begin{pmatrix} \mathsf{a} & b \\ -\overline{b} & c \end{pmatrix}$$

where a, b, c, d are some trigonometric polynomials of  $\varphi$ , and in particular

$$d^* = \min_{\varphi} d(\varphi) = 2(\epsilon_1^2 + \epsilon_2^2)^2((|h| - 1)^2 + \epsilon_1^2 \epsilon_2^2 \sin^2 \theta)$$

meaning that the correlation length  $\xi$  can diverge, only if the non-noisy model is critical |h| = 1 and if the noise satisfies the condition  $\epsilon_1 = \epsilon_2, \theta \in \{0, \pi\}$ .

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As a second example we consider a special case of "coherent noise", namely Lindblad operators which couple two neighboring sites. Again, we take XY hamiltonian H and a single Lindblad operator per site of the form

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The symbol of the correlator has now a simple general form

$$\widetilde{\mathsf{z}}(\varphi) = \frac{\mathrm{i}\epsilon_1\epsilon_2\sin\theta\sin\varphi}{\epsilon_1^2 + \epsilon_2^2 + 2\epsilon_1\epsilon_2\cos\theta\cos\varphi}\mathbb{1}_2.$$

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The correlation exponent  $\boldsymbol{\xi}$  can be estimated from the location of the singularity as

$$\xi = \operatorname{Im} \arccos \frac{\epsilon_1^2 + \epsilon_2^2}{2\epsilon_1 \epsilon_2 \cos \theta} = \operatorname{arcosh} \frac{\epsilon_1^2 + \epsilon_2^2}{2\epsilon_1 \epsilon_2 \cos \theta}.$$

Correlation length diverges  $\xi \to \infty$  when  $\epsilon_1 = \epsilon_2$  and  $\theta \to 0, \pi$ , and does not depend on hamiltonian parameters at all!

Very similar development can be done for quasi-free bosonic case...

Tomaž Prosen

#### First, some numerics to get the flavor of what is going on:

tDMRG simulations of NESS for locally interacting boundary driven spin chains (method as described in TP & M. Žnidarič, JSTAT 2009).

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tDMRG simulations of NESS for locally interacting boundary driven spin chains (method as described in TP & M. Žnidarič, JSTAT 2009). Example, toy model: Locally boundary driven XXZ spin 1/2 chain:

$$H = \sum_{j=1}^{n-1} h_{[j,j+1]}, \quad h_{[j,j+1]} = (\sigma_j^{\mathrm{x}} \sigma_{j+1}^{\mathrm{x}} + \sigma_j^{\mathrm{y}} \sigma_{j+1}^{\mathrm{y}} + \Delta \sigma_j^{\mathrm{z}} \sigma_{j+1}^{\mathrm{z}}) + \frac{1}{2} B(-1)^j (\sigma_j^{\mathrm{z}} + \sigma_{j+1}^{\mathrm{z}})$$

and symmetric magnetic-Lindblad boundary driving:

$$\begin{split} L_{1}^{\mathrm{L}} &= \sqrt{\frac{1}{2}(1-\mu)}\Gamma\sigma_{1}^{+}, \quad L_{1}^{\mathrm{R}} = \sqrt{\frac{1}{2}(1+\mu)}\Gamma\sigma_{n}^{+}, \\ L_{2}^{\mathrm{L}} &= \sqrt{\frac{1}{2}(1+\mu)}\Gamma\sigma_{1}^{-}, \quad L_{2}^{\mathrm{R}} = \sqrt{\frac{1}{2}(1-\mu)}\Gamma\sigma_{n}^{-}. \end{split}$$

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*H* integrable if B = 0 and non-integrable if  $B \neq 0$ .

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If  $\Delta > 1$  (arbitrary *B*) the model exhibits diffusive transport for small driving, and negative differential conductance for large driving  $\mu$ .



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Transition to long-range order in NESS (PRL **105**, 060603 (2010))  $C(r) = \langle \sigma_{(n+r)/2}^{z} \sigma_{(n-r)/2}^{z} \rangle - \langle \sigma_{(n+r)/2}^{z} \rangle \langle \sigma_{(n-r)/2}^{z} \rangle$ 



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We conclude by giving some exact results on NESS, as results of 'wild' guessing...

XXZ spin 1/2 chain for weak coupling (small  $\Gamma$ ) and strong driving  $\mu = 1$ 

$$\rho_{\rm NESS} = \mathbb{1} + \Gamma(Z' - Z'') + \frac{1}{2}\Gamma^2(Z'^2 - 2Z'Z'' + Z''^2) + \mathcal{O}(\Gamma^3)$$

$$Z' = \sum_{s_1, s_2, \dots, s_n \in \{-, 0, +\}} (\mathbf{e}_{\mathrm{L}} \cdot \mathbf{A}_{s_1} \mathbf{A}_{s_2} \cdots \mathbf{A}_{s_n} \mathbf{e}_{\mathrm{R}}) \prod_{j=1}^n \sigma_j^{s_j}$$
$$Z'' = \sum_{s_1, s_2, \dots, s_n \in \{-, 0, +\}} (\mathbf{e}_{\mathrm{L}} \cdot \mathbf{A}_{-s_1} \mathbf{A}_{-s_2} \cdots \mathbf{A}_{-s_n} \mathbf{e}_{\mathrm{R}}) \prod_{j=1}^n \sigma_j^{s_j}$$

are Matrix Product Operators, w.r.t. auxiliary space basis  $\{e_{\rm L}, e_{\rm R}, e_1, e_2, \ldots\}$ 

$$\begin{aligned} \mathbf{A}_{0} &= \mathbf{e}_{\mathrm{L}} \otimes \mathbf{e}_{\mathrm{L}} + \mathbf{e}_{\mathrm{R}} \otimes \mathbf{e}_{\mathrm{R}} + \sum_{k=1}^{\infty} T_{k}(\Delta) \mathbf{e}_{k} \otimes \mathbf{e}_{k}, \\ \mathbf{A}_{+} &= \mathbf{e}_{\mathrm{L}} \otimes \mathbf{e}_{1} + \sqrt{2\Delta(\Delta^{2}-1)} \sum_{k=1}^{\infty} U_{\lfloor(k-1)/2\rfloor}^{(0)} (2\Delta^{2}-1) \mathbf{e}_{k} \otimes \mathbf{e}_{k+1}, \\ \mathbf{A}_{-} &= \mathbf{e}_{1} \otimes \mathbf{e}_{\mathrm{R}} + \sqrt{2\Delta(\Delta^{2}-1)} \sum_{k=1}^{\infty} U_{\lfloor k/2\rfloor}^{(1)} (2\Delta^{2}-1) \mathbf{e}_{k+1} \otimes \mathbf{e}_{k}, \\ T_{0}(x) &= 1, \ T_{1}(x) = x, \quad T_{j}(x) = 2xT_{j-1}(x) - T_{j-2}(x), \\ U_{0}^{(m)}(x) &= 1, \ U_{1}^{(m)}(x) = 2x + m, \quad U_{j}^{(m)}(x) = 2xU_{j-1}^{(m)}(x) - U_{j-2}^{(m)}(x). \end{aligned}$$

Take *boundary driven* XX spin chain ( $\Delta = 0$ ) and in addition put local bulk dephasing with Lindblads  $L_j = \gamma \sigma_i^z$ . [M. Žnidarič, JSTAT, L05002 (2010)]

$$\rho_{\text{NESS}} = \mathbb{1} + \sum_{j=1}^{n} a_j \sigma^z + b \sum_{j=1}^{n-1} J_j + \mathcal{O}(\mu^2)$$

where  $J_j = \sigma_j^{\mathrm{x}} \sigma_{j+1}^{\mathrm{y}} - \sigma_j^{\mathrm{y}} \sigma_{j+1}^{\mathrm{x}}$  is the spin current and

 $\mathsf{a}_1 = -b/\Gamma - \mu, \ \mathsf{a}_j = -b(1/\Gamma + \Gamma + 2\gamma(j-1)) - \mu, \ \mathsf{a}_n = -b(1/\Gamma + 2\Gamma + 2(n-1)\gamma) - \mu,$ 

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The solution yields the spin Fick's law (spin diffusion),  $\langle (\sigma_j^z - \sigma_k^z) \rangle \propto \frac{\mu(j-k)}{n}, \langle J_j \rangle \propto \frac{\mu}{n}.$ 

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## XX spin 1/2 chain with bulk dephasing: exact diffusive NESS

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The solution yields the spin Fick's law (spin diffusion),  $\langle (\sigma_j^z - \sigma_k^z) \rangle \propto \frac{\mu(j-k)}{n}, \langle J_j \rangle \propto \frac{\mu}{n}$ . The higher orders, say  $\mathcal{O}(\mu^2)$  have also been calculated analytically and predict *'hydrodynamic long range order'* [observed in nonequilibrium classical exclussion processes (see e.g. Derrida JSTAT 2007)]

$$C_{j=xn,k=yn}=\frac{(2\mu)^2}{n}x(1-y)$$

- Long range order seems to be abundant in quasi-free and interacting one dimensional quantum systems far from equilibrium
- Perhaps a systematic theory of *integrable* (interacting) many-body dynamical semigroups can be developed

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