



*Bogoliubov theory of disordered
Bose-Einstein condensates*



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BENASQUE 2012 DISORDER

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Abstract

The interplay of interaction, disorder, and Bose statistics is a long standing problem of condensed matter physics, known as the "dirty boson problem". Here, we present a Bogoliubov theory for disordered Bose-Einstein condensates, i.e., the bosonic field operator is split into the (mean field) condensate and (quantum) fluctuations. The mean-field part consists in solving the Gross-Pitaevskii equation describing the deformed condensate wave function. The condensate, in turn, determines the Hamiltonian for the quantum fluctuations.

Diagonalizing this Bogoliubov Hamiltonian is a difficult task. As it is not desirable anyway to solve the problem for a particular realization of disorder, we resort to disorder perturbation theory in terms of Green functions and compute quantities like the disorder-averaged sound velocity or the mean free path of Bogoliubov excitations.

Beyond that, the Bogoliubov theory is used to count the number of particles that are excited out of the condensate, even at zero temperature. This depletion of the condensate is shown to remain small in presence of disorder, which validates a posteriori the Bogoliubov ansatz.

References:

C. Gaul & C.A. Müller, [Phys. Rev. A, 83, 063629](#) (2011)

C.A. Müller & C. Gaul, [New J. Phys. 14 075025](#) (2012)

Bogoliubov theory of disordered Bose-Einstein condensates

Outline

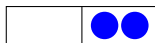
- Bose statistics + Interaction + Disorder
→ “Dirty Boson Problem”
- Experiments with ultracold quantum gases
- How is Bose-Einstein condensation affected by disorder?
 - How to define the condensate in presence of inhomogeneity?
 - Fraction of non-condensed particles
- How are the elementary excitations affected by disorder?

Bose statistics

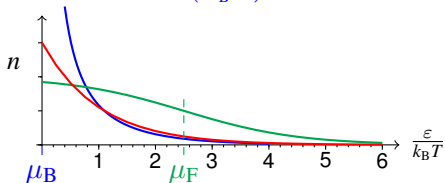
- Classical:



- Bosons: indistinguishable, symmetric wf.: $\hat{a}_1^\dagger \hat{a}_2^\dagger |0\rangle = \hat{a}_1^\dagger \hat{a}_2^\dagger |0\rangle$
- Indistinguishable bosons tend to cluster



- $$n_B(\varepsilon) = \frac{1}{\exp\left(\frac{\varepsilon - \mu_B}{k_B T}\right) - 1}$$



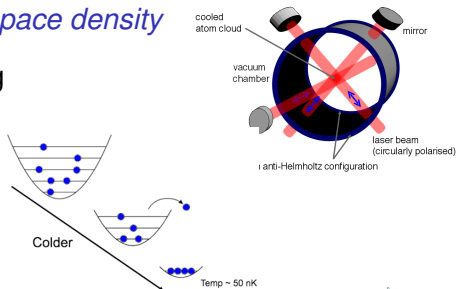
- Fermi: $n_F(\varepsilon) = \frac{1}{\exp\left(\frac{\varepsilon - \mu_F}{k_B T}\right) + 1}$
- Boltzmann: $n(\varepsilon) \propto e^{-\frac{\varepsilon}{k_B T}}$

- n_B diverges for $\varepsilon \rightarrow \mu_B \Rightarrow$ “Bose-Einstein” condensation (BEC)
If: thermal de-Broglie wavelength \sim average particle distance

BEC in experiments

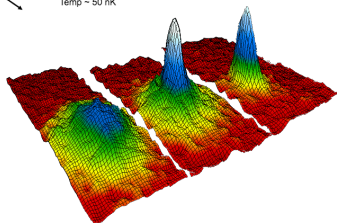
How to reach critical phase space density

- Magneto-optical trapping
- Doppler cooling
- Evaporative cooling



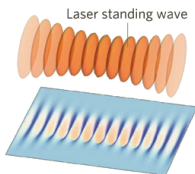
How to see it

- Time-of-flight imaging
 - momentum-space density:
 - macroscopic occupation of single-particle orbital $\Phi(\mathbf{r})$



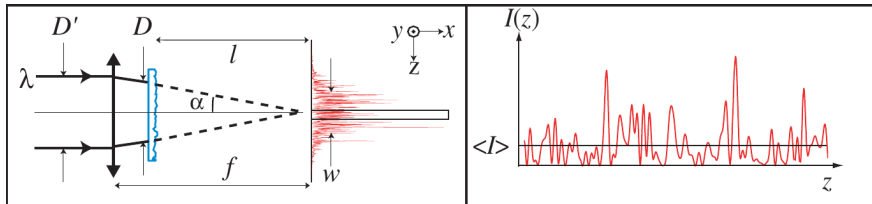
Optical potentials

Optical lattices:



[Greiner *et al.*, nature (2008)]

Speckle disorder



Well-known statistics: $\overline{V_k V_{-k'}} = \delta_{kk'} R(k)$

[Clément *et al.*, New J. Phys., **8**, 165 (2006)]

Penrose-Onsager criterion

- Starting point: bosonic many-body Hamiltonian

$$E[\hat{\Psi}, \hat{\Psi}^\dagger] = \int d^d r \hat{\Psi}^\dagger(\mathbf{r}) \left[\frac{-\hbar^2}{2m} \nabla^2 + \mathbf{V}(\mathbf{r}) + \frac{g}{2} \hat{\Psi}^\dagger(\mathbf{r}) \hat{\Psi}(\mathbf{r}) - \mu \right] \hat{\Psi}(\mathbf{r})$$

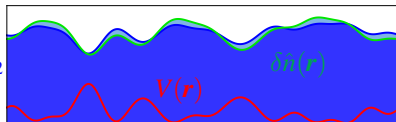
- One-body density matrix (OBDM): $\langle \hat{\Psi}^\dagger(\mathbf{r}) \hat{\Psi}(\mathbf{r}') \rangle$
- BEC: many particles occupy **condensate orbital**
- Penrose & Onsager (1956): $\int d^d r' \underbrace{\langle \hat{\Psi}^\dagger(\mathbf{r}) \hat{\Psi}(\mathbf{r}') \rangle}_{\text{OBDM}} \Phi(\mathbf{r}') = N_c \Phi(\mathbf{r})$
 - Condensate $\Phi(\mathbf{r})$
 - Number of condensed particles $N_c \gg 1$

Mean field and Bogoliubov theory

Condensate and quantum fluctuations

$$\hat{\Psi}(\mathbf{r}) = \Phi(\mathbf{r}) + \delta\hat{\psi}(\mathbf{r}, t)$$

$$|\Phi(\mathbf{r})|^2$$

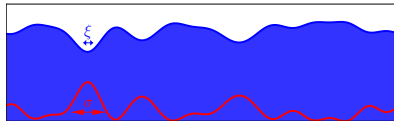


Meanfield: Minimize $E[\Phi] \rightarrow$ Gross-Pitaevskii equation

$$\left[\frac{-\hbar^2}{2m} \nabla^2 + g|\Phi(\mathbf{r})|^2 + V(\mathbf{r}) - \mu \right] \Phi(\mathbf{r}) = 0$$

$$\left. \begin{array}{l} \text{interaction: } g\overline{|\Phi(\mathbf{r})|^2} = gn_c \\ \text{kinetic energy: } \hbar^2 k^2 / 2m = \hbar^2 / 2m\xi^2 \end{array} \right\} \Rightarrow \xi^2 = \hbar^2 / (2mgn_c)$$

$$\xi \ll \sigma$$



Effective Hamiltonian for quantum fluctuations

$$E[\Phi + \delta\hat{\psi}] \approx E[\Phi] + \underbrace{\frac{1}{2} \int d^d r d^d r' (\delta\hat{\psi}^\dagger(\mathbf{r}'), \delta\hat{\psi}(\mathbf{r}')) \mathcal{H}(\mathbf{r}', \mathbf{r})}_{\hat{H}} \begin{pmatrix} \delta\hat{\psi}(\mathbf{r}) \\ \delta\hat{\psi}^\dagger(\mathbf{r}) \end{pmatrix}$$

$$\mathcal{H} = \delta(\mathbf{r}-\mathbf{r}') \left\{ \left[\frac{-\hbar^2}{2m} \nabla^2 + V(\mathbf{r}) - \mu \right] \begin{pmatrix} 1 & 0 \\ 0 & 1 \end{pmatrix} + g \begin{pmatrix} |\Phi(\mathbf{r})|^2 & \frac{1}{2}\Phi(\mathbf{r})^2 \\ \frac{1}{2}\Phi^*(\mathbf{r})^2 & |\Phi(\mathbf{r})|^2 \end{pmatrix} \right\}$$

- In terms of density and phase: $\Phi(\mathbf{r}) + \hat{\psi}(\mathbf{r}) = e^{i\delta\hat{\varphi}(\mathbf{r})} \sqrt{n_c + \delta\hat{n}(\mathbf{r})}$
- Fourier- & Bogoliubov trafo: “bogolons”

$$\hat{\gamma}_{\mathbf{k}} = \delta\hat{n}_{\mathbf{k}} / (2a_{\mathbf{k}}\sqrt{n_c}) + ia_{\mathbf{k}}\sqrt{n_c}\delta\hat{\varphi}_{\mathbf{k}} \quad a_{\mathbf{k}} = \sqrt{\varepsilon_{\mathbf{k}}^0 / \varepsilon_{\mathbf{k}}}$$

$$\hat{H} = \sum_{\mathbf{k}} \varepsilon_{\mathbf{k}} \hat{\Gamma}_{\mathbf{k}}^\dagger \hat{\Gamma}_{\mathbf{k}} + \sum_{\mathbf{k}, \mathbf{k}'} \hat{\Gamma}_{\mathbf{k}}^\dagger \mathcal{V}_{\mathbf{k}\mathbf{k}'} \hat{\Gamma}_{\mathbf{k}'}, \quad \hat{\Gamma}_{\mathbf{k}} = \begin{pmatrix} \hat{\gamma}_{\mathbf{k}} \\ \hat{\gamma}_{-\mathbf{k}}^\dagger \end{pmatrix}$$

Homogeneous Bogoliubov problem

$$\hat{H}^{(0)} = \sum_{\mathbf{k}} \varepsilon_{\mathbf{k}} \hat{\gamma}_{\mathbf{k}}^{\dagger} \hat{\gamma}_{\mathbf{k}}$$

- Bogoliubov dispersion relation

$$\varepsilon_{\mathbf{k}} = \sqrt{\varepsilon_{\mathbf{k}}^0 (2gn_c + \varepsilon_{\mathbf{k}}^0)}, \quad \varepsilon_{\mathbf{k}}^0 = \frac{\hbar^2 k^2}{2m}$$

- Condensate depletion

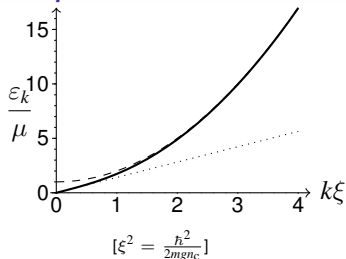
$$\delta n^{(0)} = \frac{1}{L^d} \sum_{\mathbf{k}} \langle \delta \hat{\psi}_{\mathbf{k}}^{\dagger} \delta \hat{\psi}_{\mathbf{k}} \rangle = \frac{1}{L^d} \sum_{\mathbf{k}} v_{\mathbf{k}}^2 \stackrel{(3D)}{=} \frac{1}{6\sqrt{2}\pi^2} \xi^{-3} \propto \xi^{-d}$$

$$\delta \hat{\psi}_{\mathbf{k}} = u_{\mathbf{k}} \hat{\gamma}_{\mathbf{k}} + v_{\mathbf{k}} \hat{\gamma}_{-\mathbf{k}}^{\dagger}$$

- Relative depletion $\frac{\delta n^{(0)}}{n_c} \stackrel{(3D)}{=} \frac{8}{3\sqrt{\pi}} \sqrt{na_s^3}$

↑ dilute-gas parameter

[Lee, Huang & Yang (1957)]



Bogolons in a disordered medium

- Hamiltonian

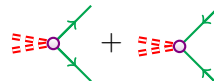
“Bogoliubov-Nambu spinor”


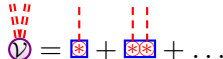
$$\hat{H} = \sum_k \varepsilon_k \hat{\Gamma}_k^\dagger \hat{\Gamma}_k + \sum_{k,k'} \hat{\Gamma}_k^\dagger \mathcal{V}_{kk'} \hat{\Gamma}_{k'}, \quad \hat{\Gamma}_k = \begin{pmatrix} \hat{\gamma}_k \\ \hat{\gamma}_{-k}^\dagger \end{pmatrix}$$

- Vertex $\mathcal{V} = \begin{pmatrix} W & Y \\ Y & W \end{pmatrix}$

- $W_{kp} \hat{\gamma}_k^\dagger \hat{\gamma}_p \rightarrow$  $W_{kp}^{(1)} = w_{kp}^{(1)} V_{k-p}, W_{kp}^{(2)} = \dots$

- Anomalous scattering

$$Y_{k',-k} \hat{\gamma}_{k'}^\dagger \hat{\gamma}_k^\dagger + Y_{-k',k} \hat{\gamma}_{k'} \hat{\gamma}_k \rightarrow$$


- Bogoliubov-Nambu vertex $\mathcal{V} =$ , $\mathcal{V} =$  $+ \dots$

Disorder-averaged effective medium

How do Bogoliubov quasi-particles travel on average through the disordered medium?

- Matrix-valued (retarded) Green function

$$\mathcal{G}_{kk'}(t) = \frac{\Theta(t)}{i\hbar} \langle [\hat{\Gamma}_{\mathbf{k}}(t), \hat{\Gamma}_{\mathbf{k}'}^\dagger(0)] \rangle,$$

Contains dispersion relation: $[\mathcal{G}_0(\mathbf{k}, \omega)]_{11} = [\hbar\omega - \varepsilon_{\mathbf{k}} + i0^+]^{-1}$

- Expansion in terms scattering vertex $\mathcal{V} = \text{Ⓧ}$ and $\mathcal{G}_0 = \text{══}$:

$$\mathcal{G} = \mathcal{G}_0 + \mathcal{G}_0 \mathcal{V} \mathcal{G} \quad \Leftrightarrow \quad \text{⋈} = \text{══} + \text{══} \text{Ⓧ} \text{══} + \text{══} \text{Ⓧ} \text{══} \text{Ⓧ} \text{══} + \dots$$

Computing the disorder-averaged Green function

$$\begin{aligned}
 \text{Green function} &= \text{Green function} + \text{Green function} + \text{Green function} + \dots \\
 &= \text{Green function} + \text{Green function} + \text{Green function} + \text{Green function} + \dots
 \end{aligned}$$

Disorder average: $\text{Green function} = 0$, $\text{Green function}^q = R(q)$

$$\begin{aligned}
 \text{Green function} &= \text{Green function} + \text{Green function} + \text{Green function} + \dots \\
 &\quad \text{(reducible)}
 \end{aligned}$$

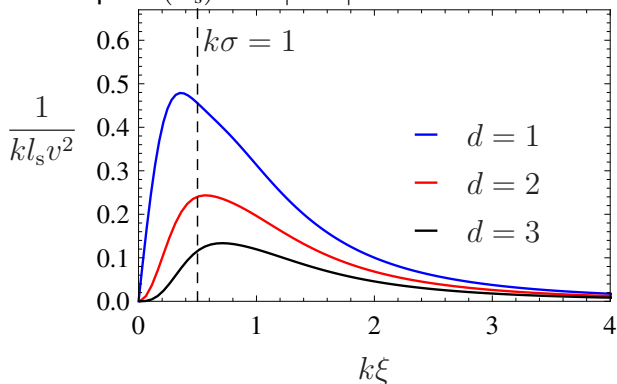
Dyson equation: self-energy Σ

$$\begin{aligned}
 \text{Green function} &= \text{Green function} + \text{Green function} \Sigma \text{Green function} \\
 \Sigma &= \text{Green function} + \text{Green function} + \dots \text{ (irreducible)}
 \end{aligned}$$

- Renormalized dispersion relation $\hbar\omega = \varepsilon_k + \Sigma_{11}^{(2)}(k, \omega)$
- $\text{Im}\Sigma \rightarrow$ finite mean free path

Mean free path

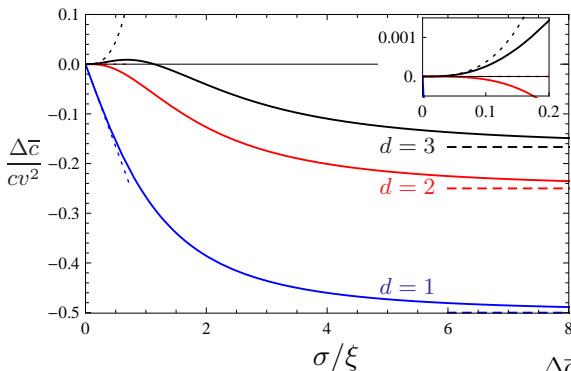
- E.g. Gaussian disorder $\overline{V_q V_{-q'}} = L^{-d} \delta_{qq'} \underbrace{(\sqrt{2\pi}\sigma)^d (vgn_c)^2 e^{-\frac{q^2\sigma^2}{2}}}_{R(q)}$
- Finite mean free path $(kl_s)^{-1} \propto |\text{Im}\Sigma|$



- Related to *localization* of Bogoliubov quasiparticles
[Lugan et al. PRA (2011)]

Disorder-renormalized speed of sound

$Re\Sigma$ renormalizes sound velocity



$$v_\delta^2 = R(0)/(gn_c\xi^d)$$

$\Delta\bar{c}/c$	$\sigma \gg \xi$	$\sigma \ll \xi$
$d = 1$	$-v^2/2$	$-\frac{3}{16\sqrt{2}}v_\delta^2$
$d = 2$	$-v^2/4$	0
$d = 3$	$-v^2/6$	$+\frac{5}{48\sqrt{2}\pi}v_\delta^2^*$

*[Giorgini et al., PRB 1994]

Momentum distribution of fluctuations

- To compute: $\delta n_{\mathbf{k}} = \langle \delta \hat{\psi}_{\mathbf{k}}^\dagger \delta \hat{\psi}_{\mathbf{k}} \rangle$
- We have: Hamiltonian for $\hat{\gamma}_{\mathbf{k}} = \delta \hat{n}_{\mathbf{k}} / (2a_{\mathbf{k}} \sqrt{n_{\mathbf{c}}}) + i a_{\mathbf{k}} \sqrt{n_{\mathbf{c}}} \delta \hat{\varphi}_{\mathbf{k}}$
 $\delta \hat{\psi}(\mathbf{r}) = \delta \hat{n}(\mathbf{r}) / [2\Phi(\mathbf{r})] + i \Phi(\mathbf{r}) \delta \hat{\varphi}(\mathbf{r})$
- Transformation $\delta \hat{\psi}_{\mathbf{k}} = \sum_{\mathbf{p}} \left(u_{\mathbf{k}\mathbf{p}} \hat{\gamma}_{\mathbf{p}} - v_{\mathbf{k}\mathbf{p}} \hat{\gamma}_{-\mathbf{p}}^\dagger \right)$, with

$$u_{\mathbf{k}\mathbf{p}} = \frac{1}{2\sqrt{N_{\mathbf{c}}}} \left[a_{\mathbf{p}}^{-1} \Phi_{\mathbf{k}-\mathbf{p}} + a_{\mathbf{p}} \check{\Phi}_{\mathbf{k}-\mathbf{p}} \right], \quad \check{\Phi}_{\mathbf{k}} = [n_{\mathbf{c}} / \Phi(\mathbf{r})]_{\mathbf{k}}$$

$$v_{\mathbf{k}\mathbf{p}} = \frac{1}{2\sqrt{N_{\mathbf{c}}}} \left[a_{\mathbf{p}}^{-1} \Phi_{\mathbf{k}-\mathbf{p}} - a_{\mathbf{p}} \check{\Phi}_{\mathbf{k}-\mathbf{p}} \right]$$

$$\delta n_{\mathbf{k}} = \sum_{\mathbf{p}, \mathbf{p}'} \left\{ \delta_{\mathbf{p}\mathbf{p}'} |v_{\mathbf{k}\mathbf{p}}|^2 + (u_{\mathbf{k}\mathbf{p}}^* u_{\mathbf{k}\mathbf{p}'} + v_{\mathbf{k}\mathbf{p}}^* v_{\mathbf{k}\mathbf{p}'}) \langle \hat{\gamma}_{\mathbf{p}}^\dagger \hat{\gamma}_{\mathbf{p}'} \rangle - (u_{\mathbf{k}\mathbf{p}}^* v_{\mathbf{k}\mathbf{p}'} \langle \hat{\gamma}_{\mathbf{p}}^\dagger \hat{\gamma}_{-\mathbf{p}'}^\dagger \rangle + c.c.) \right\}$$

$T = 0$: only due to inhomogeneity $V(\mathbf{r})$

homogeneous quantum depletion

Momentum distribution of fluctuations

Pick second-order terms of

$$\delta n_k = \sum_{p,p'} \left\{ \delta_{pp'} |v_{kp}|^2 + (u_{kp}^* u_{kp'} + v_{kp}^* v_{kp'}) \langle \hat{\gamma}_p^\dagger \hat{\gamma}_{p'} \rangle - (u_{kp}^* v_{kp'} \langle \hat{\gamma}_p^\dagger \hat{\gamma}_{-p'}^\dagger \rangle + c.c.) \right\}$$

- $\langle \hat{\gamma}_p^\dagger \hat{\gamma}_{p'} \rangle = \langle \hat{\gamma}_p^\dagger \hat{\gamma}_{p'} \rangle^{(0)} + \langle \hat{\gamma}_p^\dagger \hat{\gamma}_{p'} \rangle^{(1)} + \langle \hat{\gamma}_p^\dagger \hat{\gamma}_{p'} \rangle^{(2)} + \dots$
- $u_{kp} = u_{kp}^{(0)} + u_{kp}^{(1)} + u_{kp}^{(2)} + \dots$

$$\Rightarrow \delta n_k^{(2)} = \sum_p M_{kp}^{(2)} |V_{k-p}|^2$$

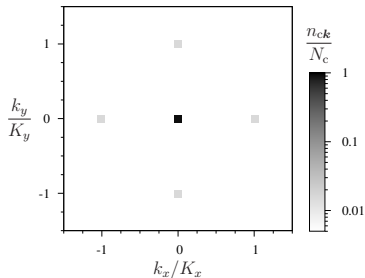
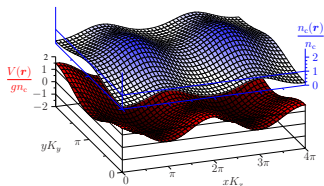
a “monstrous” envelope function

Momentum distribution in a 2D lattice

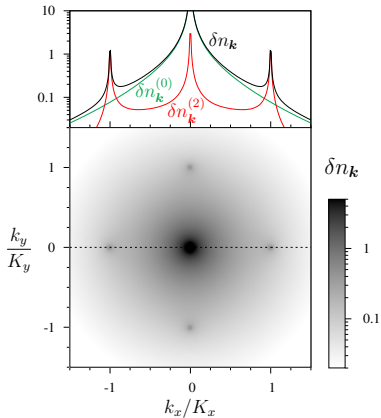
$$V(\mathbf{r}) = \sum_j V_j \cos(\mathbf{K}_j \cdot \mathbf{r})$$

Condensate deformation $|\Phi_{\mathbf{k}}|^2$

Quantum fluctuations $\delta n_{\mathbf{k}}$



- “Quantum depletion” $\delta n^{(0)}$
- “Potential depletion” $\delta n^{(2)}$



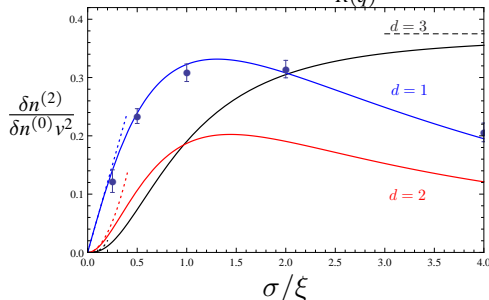
Condensate depletion due to Gaussian disorder

$$\delta n^{(2)} = L^{-d} \sum_{kp} M_{kp}^{(2)} \overline{|V_{k-p}|^2}$$

$$\overline{|V_q|^2} = L^{-d} \underbrace{(\sqrt{2\pi}\sigma)^d (vgn_c)^2 e^{-\frac{q^2\sigma^2}{2}}}_{R(q)}$$

$\frac{\delta n^{(2)}}{\delta n^{(0)}}$	$\sigma \gg \xi$	$\sigma \ll \xi$
$d = 1$	$-\frac{1}{8}v^2$	$0.245v_\delta^2$
$d = 2$	0	$0.135v_\delta^2$
$d = 3$	$\frac{3}{8}v^2$	$0.160v_\delta^2$

$$v_\delta^2 = R(0)/(gn_c\xi^d)$$



- $\sigma \ll \xi$: depletion correction scales with $v_\delta^2 \propto R(0)$
- $\sigma \gg \xi$: depletion coincides with local density approximation

$$\frac{\delta n_{\text{TF}}^{(2)}}{\delta n^{(0)}} = \frac{d(d-2)v^2}{8}$$

Take-home messages

- ✓ Hamiltonian for **quantum excitations** on top of **deformed condensate**
- ✓ Diagrammatic **disorder** perturbation theory
 - Mean free path
 - Renormalized speed of sound
- ✓ Calculation of the **potential**-induced condensate depletion
 - Depletion remains small \Rightarrow validates Bogoliubov ansatz

References

C. Gaul and C. A. Müller, [Phys. Rev. A, 83, 063629](#) (2011)

C. A. Müller and C. Gaul, [New J. Phys. 14 075025](#) (2012)

Thanks!

Cord A. Müller

Funding: Moncloa Campus of International Excellence (UCM-UPM)