

# Bending Moment in Membrane Theory

by

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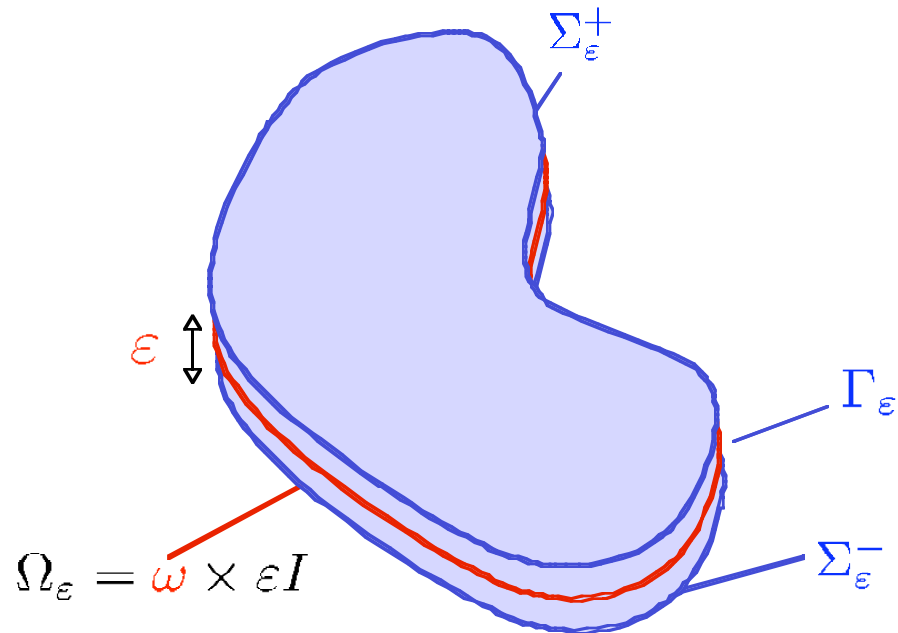
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Via  $\Gamma$ -convergence, we deduce a 2-D membrane model from a 3-D nonlinear elasticity framework where we consider a class of surface forces generating the **bending moment**.

### The problem

The rescaled total energy of a deformation  $U$  of  $\Omega_\varepsilon$  is given by

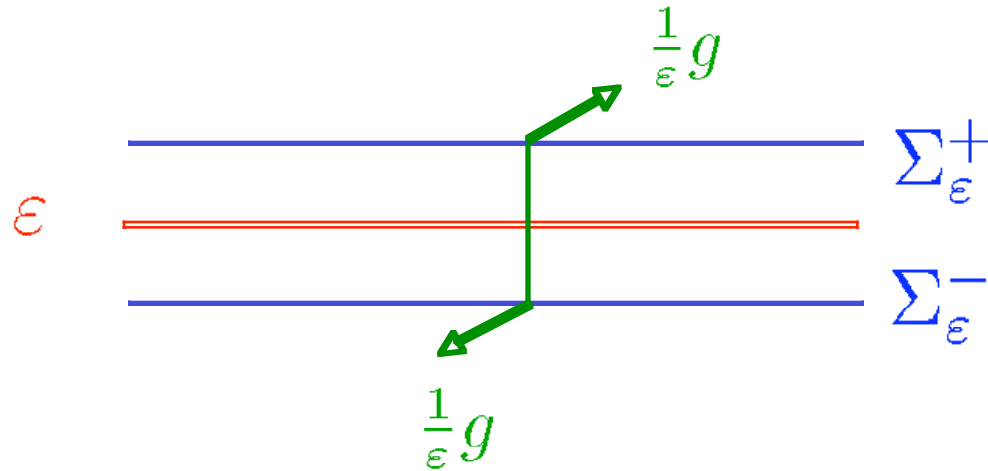
$$\frac{1}{\varepsilon} \int_{\Omega_\varepsilon} W(DU) \, d\tilde{x} - \langle F_\varepsilon, U \rangle$$



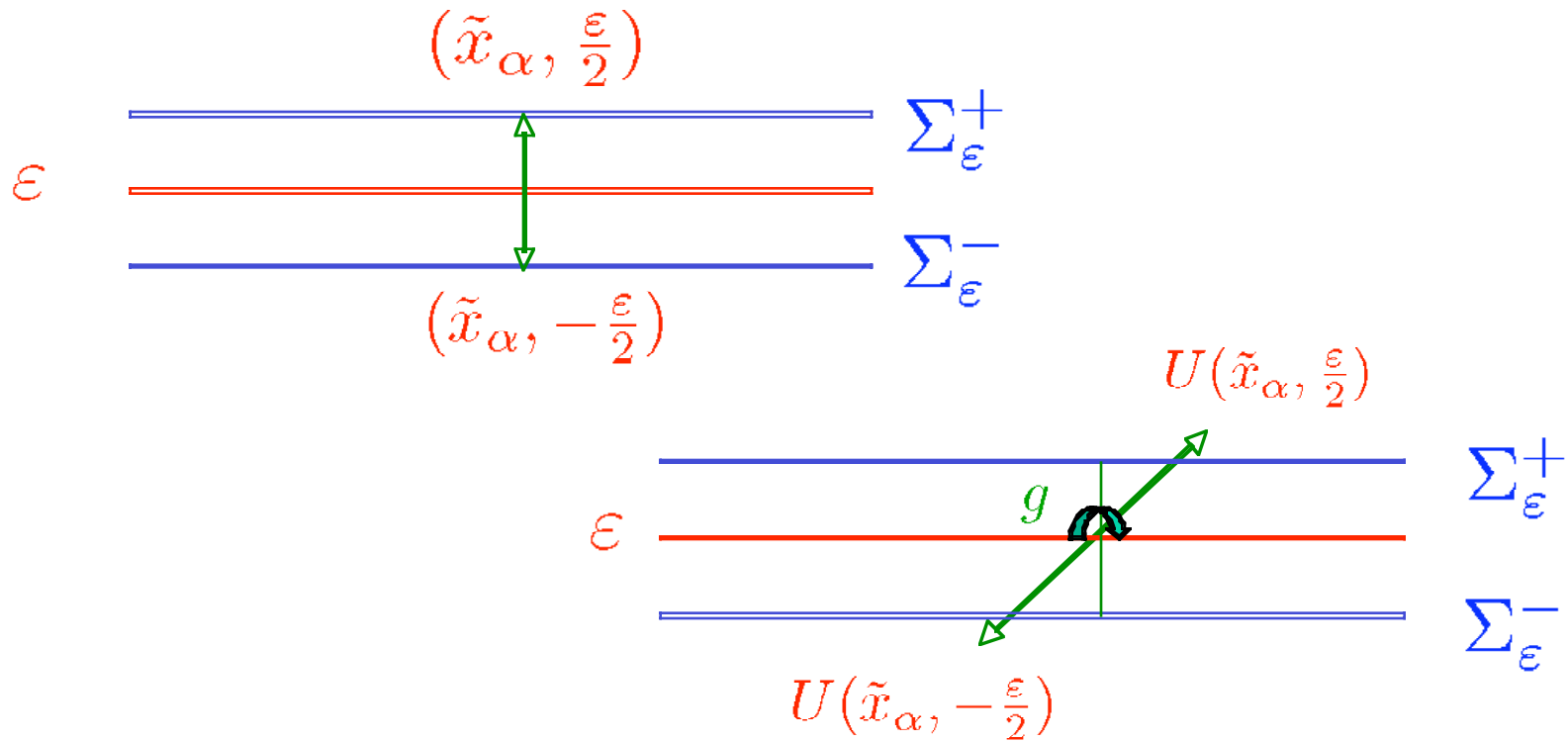
Where, for  $p' = p/(p-1)$ ,  $1 < p < +\infty$ ,

$$\begin{aligned} \langle F_\varepsilon, U \rangle := & \int_{\Omega_\varepsilon} f_\varepsilon U \, d\tilde{x} + \int_\omega \left( g_0^+ U(\tilde{x}_\alpha, \varepsilon/2) - g_0^- U(\tilde{x}_\alpha, -\varepsilon/2) \right) d\tilde{x}_\alpha \\ & + \int_\omega \frac{1}{\varepsilon} g \left( U(\tilde{x}_\alpha, \varepsilon/2) - U(\tilde{x}_\alpha, -\varepsilon/2) \right) d\tilde{x}_\alpha, \end{aligned}$$

with  $g_0^+, g_0^-, g \in L^{p'}(\omega; \mathbb{R}^3)$ .



$$\int_{\omega} \frac{1}{\varepsilon} g \left( U(\tilde{x}_{\alpha}, \varepsilon/2) - U(\tilde{x}_{\alpha}, -\varepsilon/2) \right) d\tilde{x}_{\alpha} = \int_{\omega} g \frac{U(\tilde{x}_{\alpha}, \frac{\varepsilon}{2}) - U(\tilde{x}_{\alpha}, -\frac{\varepsilon}{2})}{\varepsilon} d\tilde{x}_{\alpha}$$



If the deformations  $\mathbf{U}$  satisfy a boundary condition of place on  $\Gamma_\varepsilon$ , the **equilibrium problem** under the load  $F_\varepsilon$  is :

$$(1) \quad \inf_{U-\tilde{x} \in W_{\Gamma_\varepsilon}^{1,p}(\Omega_\varepsilon; \mathbb{R}^3)} \left\{ \frac{1}{\varepsilon} \int_{\Omega_\varepsilon} W(DU) \, d\tilde{x} - \langle F_\varepsilon, U \rangle \right\}$$

We assume that the potential  $W$  is a Borel function satisfying :

$$(H1) \quad \frac{1}{C} |\xi|^p - C \leq W(\xi) \leq C(1 + |\xi|^p)$$

Existence of a solution for problem (1) can be obtained via **direct method**, hypothesis (H1) and the additional hypothesis that  $W$  is **quasi-convex**.

In order to pass to the limit in problem (1) as  $\varepsilon \rightarrow 0$  we perform the usual change of variables :

$$\Omega_\varepsilon \longrightarrow \Omega = \omega \times I \left( := (-1/2, 1/2) \right)$$

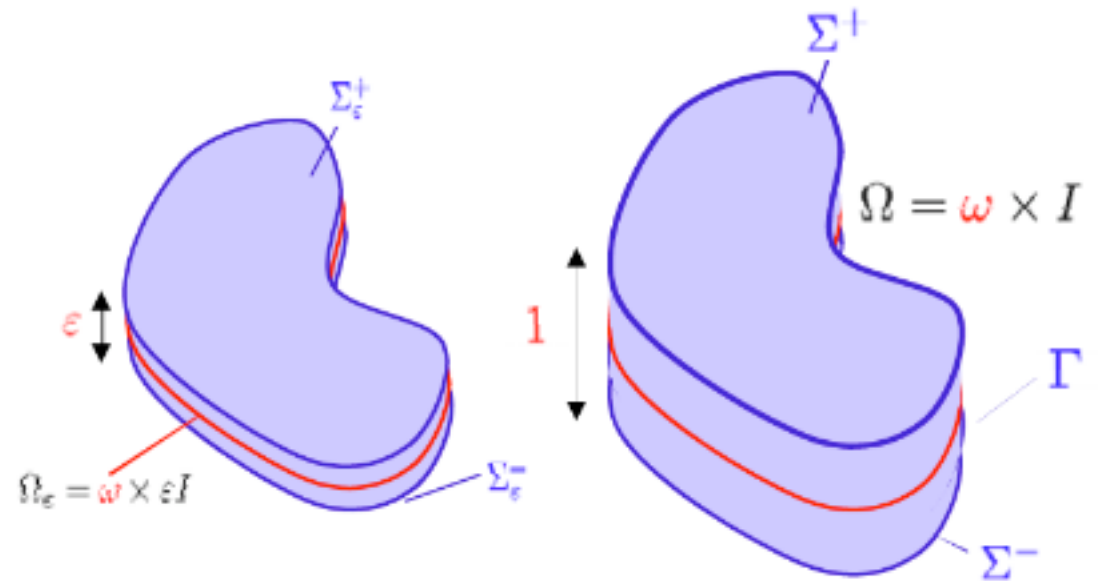
$$\tilde{x} = (\tilde{x}_\alpha, \tilde{x}_3) \in \Omega_\varepsilon \longrightarrow x = (x_\alpha, x_3) = \left( \tilde{x}_\alpha, \frac{1}{\varepsilon} \tilde{x}_3 \right) \in \Omega$$

and define  $u, u^\pm, u_{0,\varepsilon}$

$$u(x_\alpha, x_3) := U(\tilde{x}_\alpha, \tilde{x}_3)$$

$$u^\pm(x_\alpha) := u \left( x_\alpha, \pm \frac{1}{2} \right)$$

$$\begin{aligned} u_{0,\varepsilon}(x_\alpha, x_3) &:= (x_\alpha, \varepsilon x_3) \\ &= (\tilde{x}_\alpha, \tilde{x}_3) \end{aligned}$$



$$f_\varepsilon := \frac{1}{\varepsilon} f \left( \tilde{x}_\alpha, \frac{\tilde{x}_3}{\varepsilon} \right), \quad f \in L^{p'}(\Omega; \mathbb{R}^3)$$

Problem (1) becomes

$$(\mathcal{P}_\varepsilon) \quad \inf_{u-u_0, \varepsilon \in W_\Gamma^{1,p}(\Omega; \mathbb{R}^3)} \left\{ \int_\Omega W \left( D_\alpha u \mid \frac{1}{\varepsilon} D_3 u \right) dx - L_\varepsilon(u) \right\},$$

with

$$L_\varepsilon(u) := \int_\Omega f u dx + \int_\omega (g_0^+ u^+ - g_0^- u^-) dx_\alpha + \int_\omega g \left( \frac{u^+ - u^-}{\varepsilon} \right) dx_\alpha$$

where

$$\frac{U(\tilde{x}_\alpha, \frac{\varepsilon}{2}) - U(\tilde{x}_\alpha, -\frac{\varepsilon}{2})}{\varepsilon} = \frac{u^+(x_\alpha) - u^-(x_\alpha)}{\varepsilon} = \int_I \frac{1}{\varepsilon} D_3 u(x_\alpha, x_3) dx_3.$$

Coercivity (H1) plus b. c.  $u_\varepsilon = u_{0,\varepsilon}$  on  $\Gamma$ , imply that any diagonal infimizing sequence  $\{u_\varepsilon\}$  satisfies :

$$\sup_\varepsilon \left\{ \int_\Omega |D_\alpha u_\varepsilon|^p dx + \int_\Omega \frac{1}{\varepsilon^p} |D_3 u_\varepsilon|^p dx \right\} < +\infty.$$

Then  $u_\varepsilon \rightharpoonup u = u(x_\alpha)$  and  $b_\varepsilon := \frac{1}{\varepsilon} D_3 u_\varepsilon \rightharpoonup b$  in  $W^{1,p}(\Omega; \mathbb{R}^3) \times L^p(\Omega; \mathbb{R}^3)$ .

One obtains

$u = u(x_\alpha)$  and  $b$  is no longer related with  $u$ .



The limit problem will involve explicitly the average :

$$\bar{b}(x_\alpha) := \int_I b(x_\alpha, x_3) dx_3.$$

More precisely, recalling the corresponding term in  $L_\varepsilon(u_\varepsilon)$  :

$$\begin{aligned} \int_\omega g \left( \frac{u_\varepsilon^+ - u_\varepsilon^-}{\varepsilon} \right) dx_\alpha &= \int_\omega g \left( \int_I \frac{1}{\varepsilon} D_3 u_\varepsilon dx_3 \right) dx_\alpha \\ &= \int_\omega g \left( \int_I b_\varepsilon dx_3 \right) dx_\alpha \longrightarrow \int_\omega g \left( \int_I b dx_3 \right) dx_\alpha = \int_\omega g \bar{b} dx_\alpha. \end{aligned}$$

Then  $\lim_{\varepsilon \rightarrow 0} L_\varepsilon(u_\varepsilon) = L(u, \bar{b})$  with

$$L(u, \bar{b}) := \int_\omega \bar{f} u dx_\alpha + \int_\omega (g_0^+ - g_0^-) u dx_\alpha + \int_\omega g \bar{b} dx_\alpha \quad \left( \bar{f} := \int_I f dx_3 \right)$$

In order to individualize  $\bar{b}$  in the principal part of the total energy, we introduce

$$E_\varepsilon : W^{1,p}(\Omega; \mathbb{R}^3) \times L^p(\omega; \mathbb{R}^3) \rightarrow \overline{\mathbb{R}},$$

defined by

$$E_\varepsilon(u, \bar{b}) := \begin{cases} \int_{\Omega} W\left(D_\alpha u \mid \frac{1}{\varepsilon} D_3 u\right) dx & \text{if } \int_I \frac{1}{\varepsilon} D_3 u \, dx_3 = \bar{b}, \\ +\infty & \text{otherwise.} \end{cases}$$

The aim is to prove that

1.  $E_\varepsilon(u, \bar{b})$   **$\Gamma$ -converges to**  $E(u, \bar{b})$  in the weak top.  $W^{1,p}(\Omega; \mathbb{R}^3) \times L^p(\omega; \mathbb{R}^3)$ .
2.  $E(u, \bar{b})$  has an **integral representation** and to characterize its **density**.

**Defining**

$$\mathcal{V} := \{u \in W^{1,p}(\Omega; \mathbb{R}^3) \mid D_3 u(x) = 0 \text{ a.e. in } x \in \Omega\}$$

and, for  $(u, \bar{b}) \in \mathcal{V} \times L^p(\omega; \mathbb{R}^3)$ ,

$$E(u, \bar{b}) = \int_{\omega} \mathcal{Q}^* W(D_{\alpha} u | \bar{b}),$$

where  $\mathcal{Q}^* W$  is the **cross-quasiconvex envelop** of  $W$ , introduced by **H. LeDret & A. Raoult in ARMA 2000**, and coincides with

$$\mathcal{Q}^* W(F|b) := \inf_{(\varphi, \psi)} \left\{ \int_{Q'} W(F + D_{\alpha} \varphi | b + \psi) dx_{\alpha} : \right. \\ \left. \varphi \in W_{\#}^{1,p}(Q'; \mathbb{R}^3), \phi \in L_0^p(Q'; \mathbb{R}^3) \right\},$$

with  $I := (-1/2, 1/2)$ ,  $Q' := I^2$ .

## Theorem

Under the hypothesis (H1), the sequence  $\{E_\varepsilon\}$   $\Gamma$ -converges to  $E$ , as  $\varepsilon \rightarrow 0$ , precisely,

i) if  $u_\varepsilon \rightharpoonup u$  in  $W^{1,p}(\Omega; \mathbb{R}^3)$  and  $\bar{b}_\varepsilon = \left( \frac{u_\varepsilon^+ - u_\varepsilon^-}{\varepsilon} \right) = \int_I \frac{1}{\varepsilon} D_3 u_\varepsilon \, dx_3 \rightharpoonup \bar{b}$  in  $L^p(\omega; \mathbb{R}^3)$  then

$$\liminf_{\varepsilon \rightarrow 0} \int_{\Omega} W \left( D_\alpha u_\varepsilon \mid \frac{1}{\varepsilon} D_3 u_\varepsilon \right) dx \geq E(u, \bar{b}) ;$$

ii) for every pair  $(u, \bar{b})$  in  $\mathcal{V} \times L^p(\omega; \mathbb{R}^3)$ , there exists a sequence  $\{u_\varepsilon\}$  such that

$$(u_\varepsilon, \bar{b}_\varepsilon) \rightharpoonup (u, \bar{b}) \quad , \quad \lim_{\varepsilon \rightarrow 0} \int_{\Omega} W \left( D_\alpha u_\varepsilon \mid \frac{1}{\varepsilon} D_3 u_\varepsilon \right) dx = E(u, \bar{b}) .$$

## Corollary

Let  $W$  satisfy (H1).

Let  $f \in L^{p'}(\Omega, \mathbb{R}^3)$ ,  $g_0^\pm, g \in L^{p'}(\omega, \mathbb{R}^3)$ .

Let  $\{u_\varepsilon\}$  be a diagonal infimizing sequence for  $(\mathcal{P}_\varepsilon)$ .

Then the sequence  $\{(u_\varepsilon, \bar{b}_\varepsilon)\}$  is weakly relatively compact in  $W^{1,p}(\Omega, \mathbb{R}^3) \times L^p(\omega, \mathbb{R}^3)$ .

Furthermore, any cluster point  $(u, \bar{b})$  of this sequence belongs to  $\mathcal{V} \times L^p(\omega, \mathbb{R}^3)$  and is a solution of

$$(\mathcal{P}) \quad \min_{\substack{u - x_\alpha \in W_0^{1,p}(\omega; \mathbb{R}^3) \\ \bar{b} \in L^p(\omega, \mathbb{R}^3)}} \left\{ \int_\omega \mathcal{Q}^* W(D_\alpha u \mid \bar{b}) \, dx_\alpha - L(u, \bar{b}) \right\}.$$

## Idea of the proof

We localize the functionals  $E_\varepsilon$  :

$$E_\varepsilon(u, \bar{b}, A) := \begin{cases} \int_{A \times I} W(D_\alpha u \mid \frac{1}{\varepsilon} D_3 u) dx & \text{if } \frac{1}{\varepsilon} \int_I D_3 u(x_\alpha, x_3) dx_3 = \bar{b}(x_\alpha), \\ +\infty & \text{otherwise.} \end{cases}$$

To prove that  $\{E_\varepsilon(\cdot, \cdot, A)\}$   $\Gamma$ -converges to some functional  $E_0(\cdot, \cdot, A)$  for all open  $A \subset \omega$ , it is enough to show that any given sequence  $\{\varepsilon_k\}$  converging to  $0^+$  admits a subsequence  $\{\varepsilon_{k_n}\}$  such that the  $\Gamma$ -lower limit of  $E_{\varepsilon_{k_n}}$  given by

$$E^-(u, \bar{b}, A) := \inf \left\{ \liminf_n \int_{A \times I} W(D_\alpha u_n \mid \lambda_n D_3 u_n) dx \mid u_n \rightharpoonup u \text{ in } W^{1,p}(A \times I; \mathbb{R}^3), \right. \\ \left. \lambda_n \int_I D_3 u_n dx_3 \rightharpoonup \bar{b}, \text{ in } L^p(A; \mathbb{R}^3) \right\},$$

where  $\lambda_n := (\varepsilon_{k_n})^{-1}$ , coincides with  $E_0(\cdot, \cdot, A)$  for all  $(u, \bar{b})$  in  $\mathcal{V} \times L^p(\omega; \mathbb{R}^3)$ .

## Idea of the proof

- We prove that  $E^-(u, \bar{b}, \cdot)$  is the trace of a **measure**  $\mu \ll \mathcal{L}^2|_\omega$ .
- The infimum in  $E^-(u, \bar{b}, A)$  remains unchanged if we replace  $W$  by  $QW$ .
- We prove, by blow up,

$$E^-(u, \bar{b}, A) \geq \int_A Q^*(D_\alpha u | \bar{b}) dx_\alpha.$$

- We prove, using the fact that  $E^-(u, \bar{b}, \cdot) =: \mu \ll \mathcal{L}^2|_\omega$ , that

$$E^-(u, \bar{b}, A) \leq \int_A Q^*(D_\alpha u | \bar{b}) dx_\alpha.$$

□

## New problem

In the previous pb the **mean condition on the bending term** was imposed by the **exterior forces**.

So it seems natural to study the asymptotic behavior ( **$\Gamma$ -limit**) of the sequence of functionals  $\mathcal{I}_\varepsilon$  :

$$\mathcal{I}_\varepsilon(u, b) := \begin{cases} \int_{A \times I} W(D_\alpha u \mid \frac{1}{\varepsilon} D_3 u) dx & \text{if } \frac{1}{\varepsilon} D_3 u(x_\alpha, x_3) = b(x_\alpha, x_3), \\ +\infty & \text{otherwise,} \end{cases}$$

**without imposing the mean condition.**

This means to give, for any subsequence  $\varepsilon_n \searrow 0$ , the same integral representation to the  **$\Gamma$ -lower limit** of  $\mathcal{I}_{\varepsilon_n}$  defined by

$$\mathcal{I}^-(u, b) := \inf \left\{ \liminf_n \int_{\omega \times I} W \left( D_\alpha u_n \mid \frac{1}{\varepsilon_n} D_3 u_n \right) dx \mid u_n \rightharpoonup u \text{ } W^{1,p}(\omega \times I; \mathbb{R}^3), \right. \\ \left. \frac{1}{\varepsilon_n} D_3 u_n \rightharpoonup b, \text{ } L^p(\omega \times I; \mathbb{R}^3) \right\},$$

for all  $(u, b)$  in  $\mathcal{V} \times L^p(\Omega; \mathbb{R}^3)$ .



**Then**

We fix a countable dense family  $\{\theta_i\}_{i \in \mathbb{N}}$  in  $L^{p'}(I; \mathbb{R}^3)$ , where  $p'$  is the conjugate exponent of  $p$ .

For every  $k \in \mathbb{N}$  and  $(F, b) \in \mathbb{M}^{3 \times 2} \times L^p(I; \mathbb{R}^3)$  define

$$\mathcal{Q}_k W(F|b) := \inf_{(\varphi, \lambda)} \left\{ \int_Q W(F + D_\alpha \varphi | \lambda D_3 \varphi) dx \mid \lambda \in \mathbb{R}, \varphi \in W^{1,p}(Q; \mathbb{R}^3), \right. \\ \left. \varphi(\cdot, x_3) \text{ is } Q' \text{ periodic a.e. } x_3 \in I \right. \\ \left. \left| \int_Q \lambda D_3 \varphi \cdot \theta_i dx - \int_I b \cdot \theta_i dx_3 \right| \leq \frac{1}{k}, \forall i = 1 \dots k \right\},$$

$$\mathcal{Q}_\infty W(F|b) := \lim_k \mathcal{Q}_k(F|b) = \sup_k \mathcal{Q}_k(F|b).$$

## Proposition

The following inequality holds

$$\int_I \mathcal{Q}^* W(F|b(t)) \, dt \leq \mathcal{Q}_\infty W(F|b) \leq \int_I W(F|b(t)) \, dt,$$

for all  $(F, b) \in \mathbb{M}^{3 \times 2} \times L^p(I; \mathbb{R}^3)$ .

Consequently, if  $W$  is cross-quasiconvex ( $\mathcal{Q}^* W = W$ )

$$\mathcal{Q}_\infty W(F|b) = \int_I W(F|b(t)) \, dt.$$

## Theorem

Let  $W$  be locally  $p$ -Lipschitz, satisfying the  $p$ -growth condition (H1). Then the sequence  $\{\mathcal{I}_\varepsilon\}$   $\Gamma$ -converges to the functional defined by

$$\mathcal{I}(u, b) = \int_{\omega} \mathcal{Q}_\infty W(D_\alpha u(x_\alpha) | b(x_\alpha, \cdot)) dx_\alpha$$

with  $(u, b) \in \mathcal{V} \times L^p(\Omega; \mathbb{R}^3)$ .

## Corollary

If  $W$  is cross-quasiconvex, then

$$\mathcal{I}(u, b) = \int_{\omega \times I} W(F | b(t)) dt dx_\alpha.$$

## Open question

To prove (or disprove) the **locality** of  $\mathcal{I}(u, b)$ , i.e., the existence of some density function  $\tilde{W}$ , s.t.

$$\mathcal{I}(u, b) = \int_{\omega} \mathcal{Q}_{\infty} W(D_{\alpha} u(x_{\alpha}) | b(x_{\alpha}, \cdot)) dx_{\alpha} = \int_{\omega \times I} \tilde{W}(D_{\alpha} u(x_{\alpha}) | b(x_{\alpha}, x_3)) dx$$

## Remark

It is simple to prove that if  $\mathcal{I}$  is local, then

$$\tilde{W}(F, b) = \mathcal{Q}^*(F, b)$$

for all  $(F, b) \in \mathbb{M}^{3 \times 2} \times \mathbb{R}^3$ .