Quantum randomness ...from locality

Quantum Coherence and Decoherence Centro de ciencias de Benasque Pedro Pascual September 2010

Lorenzo Maccone MIT & Univ. di Pavia maccone@unipv.it

Wojciech Zurek

research supported by the Quantum initiative @LANL





Quantum randomness

Work in progress!!!

oherence dro Pascual

Lorenz MIT & Univ. di maccone@'

Wojciech Zur LANL

research supported by the Quantum initiative @LANL



Please, provide feedback, and give me a hard time!

$$|+\rangle = \frac{1}{\sqrt{2}} (|0\rangle + |1\rangle)$$



Unitary (deterministic) evolution

$$\frac{1}{\sqrt{2}} \left(\left| \underbrace{\bigotimes}_{\sqrt{2}} \right\rangle \pm \left| \underbrace{\bigotimes}_{\sqrt{2}} \right\rangle \right)$$



Unitary (deterministic) evolution

$$\frac{1}{\sqrt{2}} \left(\left| \underbrace{\mathfrak{W}}_{\sqrt{2}} \right\rangle \pm \left| \underbrace{\mathfrak{W}}_{\sqrt{2}} \right\rangle \right)$$

Measurement: random outcome





p = 1/2



p = 1/2

Unitary (deterministic) evolution

$$\frac{1}{\sqrt{2}} \left(\left| \underbrace{\mathfrak{W}}_{\sqrt{2}} \right\rangle^{+} \right|$$

Measurement: random outcome

Isn't the measurement a quantum process?





Unitary (deterministic) evolution

 $\frac{1}{\sqrt{2}} \left(\left| \underbrace{\bigotimes}_{\sqrt{2}} \right\rangle \pm \left| \underbrace{\bigotimes}_{\sqrt{2}} \right\rangle \right)$

Measurement: random outcome





p = 1/2

Isn't the measurement a quantum process?

Then it should be describeable with a deterministic unitary process.



Unitary (deterministic) evolution

[
※ > ± | △ >)

Measurement: random outcome

चाह ⊳∭-

 $\frac{1}{\sqrt{2}}$



p = 1/2

Isn't the measurement a quantum process?

Then it should be describeable with a deterministic unitary process.

Where does the **probability come from?!?**



Unitary (deterministic) evolution

Measurement: random outcome



 $\frac{1}{\sqrt{2}}$





Isn't the measurement a quantum process?

Then it should be describeable with a deterministic unitary process.

Where does the **probability come from?!?**

Meas. problem



Aim of our work

Aim of our work

Minimal (non-probabilistic part) quantum mechanics

(basically linearity of states and transformations and little more)

Aim of our work

Minimal (non-probabilistic part) quantum mechanics

(basically linearity of states and transformations and little more)



(no-signaling and hence causality)

<u>Aim of our work</u>

Minimal (non-probabilistic part) quantum mechanics

(basically linearity of states and transformations and little more)



(no deterministic measurement outcomes are possible)

...it depends!

...it depends!

Einstein non-locality:

acting on a system cannot change the local properties of a different system (super-luminal communication would void the causal structure of spacetime)

° "causal" non-locality

acting on a system can change the **statistical correlations** with a different system (obvious), even in such a way that **global properties are changed**.



acting on a system can change the **statistical correlations** with a different system (obvious), even in such a way that **global properties are changed**.



acting on a system can change the **statistical correlations** with a different system (obvious), even in such a way that **global properties are changed**.





QM: the axioms of QM are compatible both with locality and with non-locality.



<u>Outline</u>

- Assumptions we use
- The tools we use
- Intuitive idea of the argument
- Details
- What does it all mean?



Abstract: Conventional textbook quantum mechanics introduces randomness of measurement outcomes, along with the Born rule, as a postulate of the theory. Nonetheless, after various attempts the Born rule was recently derived from the other postulates. A common assumption of these derivations is that different ``branches" of the wavefunction represent alternative situations. Without this assumption there is no compelling reason for a probabilistic interpretation: alternatives are possible. Here, by using envariance and a modified Bell inequality that employs no Born rule, we show that randomness of outcomes is inevitable if one wants the theory to be local, and hence causal. In other words, we prove inevitability of randomness using locality to justify Everett's identification of random ``events" with ``branches", and thus show that one can obtain the Born rule replacing the wavefunction-branches assumption with a physically-motivated locality one.

Assumptions

1. States postulate

The state space is a Hilbert space: physical properties are represented by vectors $|\psi\rangle$

1. States postulate

The state space is a Hilbert space: physical properties are represented by vectors $|\psi\rangle$

2. Schroedinger equation

The time evolution is linear $|\psi(\tau)\rangle = U |\psi(0)\rangle$

1. States postulate

The state space is a Hilbert space: physical properties are represented by vectors $|\psi\rangle$

2. Schroedinger equation

The time evolution is linear $|\psi(\tau)\rangle = U |\psi(0)\rangle$

3. Tensor product structure

The space of a composite system is the tensor product of the components: $|\psi\rangle|\phi\rangle$

W.H.Zurek, PRL 90,120404



W.H.Zurek, PRL 90,120404

NPQM

- 1. States postulate
- 2. Schroedinger equation
- 3. Tensor product structure



W.H.Zurek, PRL 90,120404

NPQM

- 1. States postulate
- 2. Schroedinger equation
- 3. Tensor product structure

+

4. "Wavefunction branches" assumption

The states in different branches of the wavefunction represent alternatives



W.H.Zurek, PRL 90,120404

NPQM

- 1. States postulate
- 2. Schroedinger equation
- 3. Tensor product structure

+

4. "Wavefunction branches" assumption

The states in different branches of the wavefunction represent alternatives





W.H.Zurek, PRL 90,120404

NPQM

- 1. States postulate
- 2. Schroedinger equation
- 3. Tensor product structure

+

4. "Wavefunction branches" assumption

The states in different branches of the wavefunction represent alternatives

$$\frac{1}{\sqrt{2}} \left(\left| \underbrace{2} \right\rangle \pm \left| \underbrace{3} \right\rangle \right)$$
either alive or dead

Ϋ́

Born rule (the probability law of qm)



W.H.Zurek, PRL 90,120404

NPQM

- 1. States postulate
- 2. Schroedinger equation
- 3. Tensor product structure

+

4. "Wavefunction branches" assumption

The states in different branches of the wavefunction represent alternatives

$$\frac{1}{\sqrt{2}} \left(\left| \frac{3}{2} \right\rangle \pm \left| \frac{3}{2} \right\rangle \right)$$
either alive or dead



Born rule (the probability law of qm)

$$p(\text{state } |\psi\rangle \text{ has property } |a\rangle) = \left|\langle\psi|a\rangle\right|^2$$



Our current result



Our current result



Is the "wavefunction branches" assumption avoidable?








$$\frac{1}{\sqrt{2}}\left(|\mathfrak{W}\rangle \pm |\mathfrak{Q}\rangle\right) \blacktriangleleft$$
 Half of the cat is alive, half is dead





Bell called this "extended glow" scenario

"Inspection of the [state vector] itself gives no hint that the experienced reality is a <u>scintillation</u>... rather than, for example, an <u>extended glow</u> of unpredicted colour. That is to say, the [state] does not simply

fail to specify one of the possibilities as actual...

it fails to list the possibilites."

[J. Bell, Speakable and Unspeakable in quantum mechanics, pg.193]







This is **not** what we want in this work!!!



This is **not** what we want in this work!!!

We only want to employ postulates 1-4 and **nothing more** – (no phenomenological input!)



This is **not** what we want in this work!!!

We only want to employ postulates 1-4 and **nothing more** – (no phenomenological input!)

We want to prove that quantum randomness is a LOGICAL NECESSITY



This is **not** what we want in this work!!!

We only want to employ postulates 1-4 and **nothing more** – (no phenomenological input!)

We want to prove that quantum randomness is a LOGICAL NECESSITY

(and not obtain it from an experimental datum)

it is well known that if we add some kind of phenological input, we can obtain quantum randomness:



it is well known that if we add some kind of phenological input, we can obtain quantum randomness:

• The Born Rule postulate (like all physical postulates) comes from phenomenology.



it is well known that if we add some kind of phenological input, we can obtain quantum randomness:

• The Born Rule postulate (like all physical postulates) comes from phenomenology.

• Gleason's theorem: using the "wavefunction branches" (relation between observations and projections) assumption (and little more) derives B.R.



it is well known that if we add some kind of phenological input, we can obtain quantum randomness:

• The Born Rule postulate (like all physical postulates) comes from phenomenology.

• Gleason's theorem: using the "wavefunction branches" (relation between observations and projections) assumption (and little more) derives B.R.

Recent extensions of Bell's inequality: only random outcomes

can explain quantum correlations (coming from

experiments), assuming locality

Branciard,Brunner,Gisin, Kurtsiefer,Linares, Ling, Scarani, Nat. Phys. **4**, 681 (2008).

Colbeck,Renner, PRL **101**, 050403 (2008) and arXiv:1005.5173.

it is well known that if we add some kind of phenological input, we can obtain quantum randomness:



comes from phenomenology.

• Gleason's theorem: using the "wavefunction branches" bservations and projections) as as B.R.

All these results require a
 All these results require a
 Henomenological input!

ng from

experiments), assuming locality

can expl

Branciard,Brunner,Gisin, Kurtsiefer,Linares, Ling, Scarani, Nat. Phys. **4**, 681 (2008).

Colbeck,Renner, PRE **101**, 050403 (2008) and arXiv:1005.5173.

The tools

Envariance (without "branches" assumption)

[W.H.Zurek, PRL 90,120404]

The local actions on a part of an entangled system can be counterbalanced by acting only on the rest



Envariance (without "branches" assumption)

[W.H.Zurek, PRL **90**,120404]

The local actions on a part of an entangled system can be counterbalanced by acting only on the rest



Bell inequalities (modified not to use the Born rule)

Imply that **quantum mechanical correlations** cannot be described by **local hidden variables**.

1. We exclude the extended glow using envariance (symmetry in entangled states):

1. We exclude the extended glow using envariance (symmetry in entangled states):

just repeat a measurement two times, swapping the system in between: *can you tell the difference?* $|\uparrow\rangle + |\downarrow\rangle$



1. We exclude the extended glow using envariance (symmetry in entangled states):

just repeat a measurement two times, swapping the system in between: *can you tell the difference?* $|\uparrow\rangle + |\downarrow\rangle$



we show you can (using only postulates 1-4!), so the "glow" can't be the case!

1. We exclude the extended glow using envariance (symmetry in entangled states):

just repeat a measurement two times, swapping the system in between: *can you tell the difference?* $|\uparrow\rangle + |\downarrow\rangle$



we show you can (using only postulates 1-4!), so the "glow" can't be the case!

... but killing the "glow" is not enough...

2. We show that observers can track correlations on successive measurements, using only the state postulate!

2. We show that observers can track correlations on successive measurements, using only the state postulate!

This means there exist some **parameter** λ that allows them to **compare their** measurement **results**

2. We show that observers can track correlations on successive measurements, using only the state postulate!

This means there exist some **parameter** λ that allows them to **compare their** measurement **results**

 λ plays the same role as the hidden variables in Bell's inequality

2. We show that observers can track correlations on successive measurements, using only the state postulate!

This means there exist some **parameter** λ that allows them to **compare their** measurement **results**

 λ plays the same role as the hidden variables in Bell's inequality

3. We use a Bell inequality: a **deterministic** dependence of the measurement outcomes from λ is **incompatible with locality**

2. We show that observers can track correlations on successive measurements, using only the state postulate!

This means there exist some **parameter** λ that allows them to **compare their** measurement **results**

 λ plays the same role as the hidden variables in Bell's inequality

3. We use a Bell inequality: a **deterministic** dependence of the measurement outcomes from λ is **incompatible with locality**



4. The symmetries from envariance constrain the randomness to **conform to the Born rule**



4. The symmetries from envariance constrain the randomness to **conform to the Born rule**

5. ...what about the "collapse of the wavefunction"? (the postmeasurement state)

$$|\psi
angle \stackrel{ ext{meas. }a}{\longrightarrow} |a
angle$$



4. The symmetries from envariance constrain the randomness to **conform to the Born rule**

5. ...what about the "collapse of the wavefunction"? (the postmeasurement state)

$$|\psi
angle \stackrel{ ext{meas. }a}{\longrightarrow} |a
angle$$





Born rule \implies collapse

(using only Bayesian inference)

[Ozawa, quant-ph/9705030]

What have we done?

- 1. States postulate
- 2. Schroedinger equation
- 3. Tensor product structure
- 4. Locality





What have we done?

- 1. States postulate
- 2. Schroedinger equation
- 3. Tensor product structure
- 4. Locality





And now... the details! ——
States postulate NPQM
 Schroedinger equation
 Tensor product structure

4. Locality

States postulate NPQM
 Schroedinger equation
 Tensor product structure

4. Locality



States postulate NPQM
 Schroedinger equation
 Tensor product structure
 Locality



States postulate NPQM
 Schroedinger equation
 Tensor product structure
 Locality



States postulate NPQM
 Schroedinger equation
 Tensor product structure
 Locality



States postulate NPQM
 Schroedinger equation
 Tensor product structure
 Locality



- 1. States postulate NPQM
- 2. Schroedinger equation
- 3. Tensor product structure

The measurement problem:

$$|\uparrow\rangle + |\downarrow\rangle \rightarrow |\uparrow\rangle| \uparrow \rangle + |\downarrow\rangle| \downarrow\rangle$$

What is the perception of an apparatus/observer whose memory \mathcal{M} is in the joint (entangled) state?

- 1. States postulate NPQM
- 2. Schroedinger equation
- 3. Tensor product structure

The measurement problem:

$$|\uparrow\rangle + |\downarrow\rangle \rightarrow |\uparrow\rangle| \uparrow \rangle + |\downarrow\rangle| \downarrow \rangle$$

What is the perception of an apparatus/observer whose memory \mathcal{M} is in the joint (entangled) state?

... whatever it is, it will be described through "something".

- 1. States postulate NPQM
- 2. Schroedinger equation
- 3. Tensor product structure

The measurement problem:

$$|\uparrow\rangle + |\downarrow\rangle \rightarrow |\uparrow\rangle| \uparrow \rangle + |\downarrow\rangle| \downarrow \rangle$$

What is the perception of an apparatus/observer whose memory \mathcal{M} is in the joint (entangled) state?

... whatever it is, it will be described through "something". The most generic "something" will be a function $f_{\mathcal{M}}$ that can take values as q. states, numbers, letters, anything.

- 1. States postulate NPQM
- 2. Schroedinger equation
- 3. Tensor product structure

The measurement problem:

$$|\uparrow\rangle + |\downarrow\rangle \rightarrow |\uparrow\rangle| \uparrow \rangle + |\downarrow\rangle| \downarrow \rangle$$

What is the perception of an apparatus/observer whose memory \mathcal{M} is in the joint (entangled) state?

... whatever it is, it will be described through "something". The most generic "something" will be a function $f_{\mathcal{M}}$ that can take values as q. states, numbers, letters, anything.

$f_{\mathcal{M}}$ measurement outcome function

- States postulate NPQM
 Schroedinger equation
- 3. Tensor product structure

Do we need perceptions?

- States postulate NPQM
 Schroedinger equation
- 3. Tensor product structure
- 4. Locality

apparently yes!

States postulate NPQM
 Schroedinger equation
 Tensor product structure
 Locality

apparently yes!

Schroedinger eq. and the wavefunction "collapse" are **incompatible**

(One says that evolution is unitary, the other that it's non-unitary!)

States postulate NPQM
 Schroedinger equation
 Tensor product structure
 Locality

apparently yes!

Schroedinger eq. and the wavefunction "collapse" are **incompatible**

(One says that evolution is unitary, the other that it's non-unitary!)

The only solution (Everett) is to say that a unitary quantum observer **perceives** the world as non unitary

States postulate NPQM
 Schroedinger equation
 Tensor product structure
 Locality

apparently yes!

Schroedinger eq. and the wavefunction "collapse" are **incompatible**

(One says that evolution is unitary, the other that it's non-unitary!)

The only solution (Everett) is to say that a unitary quantum observer **perceives** the world as non unitary



We have to introduce perceptions —— $f_{\mathcal{M}}$

describes the perception of an entangled observer



Measurement outcome

- States postulate NPQM
 Schroedinger equation
- 3. Tensor product structure
- 4. Locality

what hypothesis on $f_{\mathcal{M}}$?

Measurement outcome

1. States postulate NPQM

- 2. Schroedinger equation
- 3. Tensor product structure

4. Locality

what hypothesis on $f_{\mathcal{M}}$?



Measurement outcome

1. States postulate NPQM

- 2. Schroedinger equation
- 3. Tensor product structure

4. Locality

what hypothesis on $f_{\mathcal{M}}$?



we'll look only at the **symmetry properties** of $f_{\mathcal{M}}$ through envariance.

The symmetry stemming from entangled states.





1. uniform state $|\uparrow\rangle + |\downarrow\rangle$



 \frown

- 1. uniform state $|\uparrow\rangle + |\downarrow\rangle$
- 2. measure: $|\uparrow\rangle|\uparrow\uparrow\rangle+|\downarrow\rangle|\downarrow\rangle$



- 1. uniform state $|\uparrow\rangle + |\downarrow\rangle$
- 2. measure: $|\uparrow\rangle|\uparrow\uparrow\rangle+|\downarrow\rangle|\downarrow\rangle$



3. swap the system: $|\uparrow\rangle \rightarrow \pm |\downarrow\rangle$ $(or|\uparrow\rangle \rightarrow \pm |\uparrow\rangle)$

using Pauli op. $|\uparrow\rangle\langle\downarrow|\pm|\downarrow\rangle\langle\uparrow|$ (or $|\uparrow\rangle\langle\uparrow|\pm|\downarrow\rangle\langle\downarrow|$)



- 1. uniform state $|\uparrow\rangle + |\downarrow\rangle$
- 2. measure: $|\uparrow\rangle|\uparrow\uparrow\rangle+|\downarrow\rangle|\downarrow\rangle\rangle$
- 3. swap the system: $|\uparrow\rangle \rightarrow \pm |\downarrow\rangle$ (or $|\uparrow\rangle \rightarrow \pm |\uparrow\rangle$)

using Pauli op. $|\uparrow\rangle\langle\downarrow|\pm|\downarrow\rangle\langle\uparrow|$ (or $|\uparrow\rangle\langle\uparrow|\pm|\downarrow\rangle\langle\downarrow|$)

4. measure again, on a memory \mathcal{M}'

 $|\downarrow\rangle|\uparrow\uparrow\rangle_{\mathcal{M}}|\downarrow\rangle_{\mathcal{M}'}\pm|\uparrow\rangle|\downarrow\rangle_{\mathcal{M}}|\uparrow\rangle_{\mathcal{M}'}$





- 2. measure: $|\uparrow\rangle|\uparrow\uparrow\rangle+|\downarrow\rangle|\downarrow\rangle$
- 3. swap the system: $|\uparrow\rangle \rightarrow \pm |\downarrow\rangle$ $(or|\uparrow\rangle \rightarrow \pm |\uparrow\rangle)$

using Pauli op. $|\uparrow\rangle\langle\downarrow|\pm|\downarrow\rangle\langle\uparrow|$ (or $|\uparrow\rangle\langle\uparrow|\pm|\downarrow\rangle\langle\downarrow|$)

4. measure again, on a memory \mathcal{M}'

 $|\downarrow\rangle|\uparrow\uparrow\rangle_{\mathcal{M}}|\downarrow\rangle_{\mathcal{M}'}\pm|\uparrow\rangle|\downarrow\rangle_{\mathcal{M}}|\uparrow\uparrow\rangle_{\mathcal{M}'}$

first observer

 $\begin{array}{|| \uparrow \rangle \langle \downarrow | \pm \\ | \downarrow \rangle \langle \uparrow | \end{array}$

|↓>**| ↓ } | ↓ }**′

|↓)| **↑ }**| ↓ **)**′ ± |↑)| ↓ **)**| ↑ **)**′

 \mathcal{M}'

second observer

Envariance

1. States postulate NPQM

- 2. Schroedinger equation
- 3. Tensor product structure

4. Locality

$|\downarrow\rangle| \uparrow \rangle_{\mathcal{M}} |\downarrow\rangle_{\mathcal{M}'} \pm |\uparrow\rangle| \downarrow\rangle_{\mathcal{M}} \uparrow \rangle_{\mathcal{M}'}$ The local state of each observer (\mathcal{M} or \mathcal{M}')

is the same: swaps have no influence!

(if we could use partial traces, that's obvious!)

$$\rho_{\mathcal{M}} \stackrel{\bullet}{=} \rho_{\mathcal{M}'}$$

Envariance

1. States postulate NPQM

- 2. Schroedinger equation
- 3. Tensor product structure

4. Locality

The local state of each observer (\mathcal{M} or \mathcal{M}') is the same: swaps have no influence!

(if we could use partial traces, that's obvious!)

$$\rho_{\mathcal{M}} \stackrel{\bullet}{=} \rho_{\mathcal{M}'}$$

 $|\downarrow\rangle|\Uparrow\rangle_{\mathcal{M}}|\Downarrow\rangle_{\mathcal{M}'}\pm|\uparrow\rangle|\Downarrow\rangle_{\mathcal{M}}|\Uparrow\rangle_{\mathcal{M}'}$

 $|\downarrow\rangle|\uparrow\uparrow\rangle_{\mathcal{M}}|\downarrow\rangle_{\mathcal{M}'}\pm|\uparrow\rangle|\downarrow\rangle_{\mathcal{M}}|\uparrow\rangle_{\mathcal{M}'}$













Correlations

1. States postulate NPQM 2. Schroedinger equation

Nonetheless, if they join forces \mathcal{M} and \mathcal{M}' can recover whether a swap has occurred (the correlations) using only 1, st. postulate.



Correlations

States postulate NPQM
 Schroedinger equation
 Tensor product structure
 Locality

to recover whether a swap has occurred (the correlations) using only 1, st. postulate they can use the unitary:

 $(| \uparrow \rangle \langle \uparrow | \otimes | \downarrow \rangle' \langle \downarrow | + | \downarrow \rangle \langle \downarrow | \otimes | \uparrow \rangle' \langle \uparrow |) \otimes | y \rangle_t \langle n | + D$

gives "y" if the two arrows are **opposite**
States postulate NPQM
 Schroedinger equation
 Tensor product structure
 Locality

to recover whether a swap has occurred (the correlations) using only 1, st. postulate they can use the unitary:

 $(| \uparrow \rangle \langle \uparrow | \otimes | \downarrow \rangle' \langle \downarrow | + | \downarrow \rangle \langle \downarrow | \otimes | \uparrow \rangle' \langle \uparrow |) \otimes |y\rangle_t \langle n| + D$ gives "y" if the two arrows are **opposite**

applied to $|\downarrow\rangle|\uparrow\uparrow\rangle_{\mathcal{M}}|\downarrow\rangle_{\mathcal{M}'}\pm|\uparrow\rangle|\downarrow\rangle_{\mathcal{M}}|\uparrow\rangle_{\mathcal{M}'}$

returns $|y\rangle_t$ factorized from the rest

States postulate NPQM
 Schroedinger equation
 Tensor product structure
 Locality

to recover whether a swap has occurred (the correlations) using only 1, st. postulate they can use the unitary:

 $(|\uparrow\uparrow\rangle\langle\uparrow\uparrow|\otimes|\downarrow\rangle'\langle\downarrow\downarrow|+|\downarrow\rangle\langle\downarrow\downarrow|\otimes|\uparrow\rangle'\langle\uparrow\uparrow|)\otimes|y\rangle_t\langle n|+D$

gives "*y*" if the two arrows are **opposite**

applied to $|\downarrow\rangle|\uparrow\uparrow\rangle_{\mathcal{M}}|\downarrow\rangle_{\mathcal{M}'}\pm|\uparrow\rangle|\downarrow\rangle_{\mathcal{M}}|\uparrow\rangle_{\mathcal{M}'}$

returns $|y\rangle_t$ factorized from the rest 1. st postulate

1. States postulate NPQM

- 2. Schroedinger equation
- 3. Tensor product structure

4. Locality

This means that, by comparing their measurement outcomes, they can **conclusively** track swaps, but they cannot track eventual phases that are introduced.

1. States postulate NPQM

- 2. Schroedinger equation
- 3. Tensor product structure

4. Locality

This means that, by comparing their measurement outcomes, they can **conclusively** track swaps, but they cannot track eventual phases that are introduced.

The only way this can be done is by rotating a state by 90°



(in NPQM, or QM, two states can be **conclusively** distinguished **only** if they're **orthogonal**!)

States postulate NPQM
 Schroedinger equation
 Tensor product structure
 Locality

... now, which are the only states that are either left

untouched or made **orthogonal** by the **Pauli operators?** $|\uparrow\rangle\langle\downarrow|\pm|\downarrow\rangle\langle\uparrow|$ $|\uparrow\rangle\langle\uparrow|\pm|\downarrow\rangle\langle\downarrow|$

States postulate NPQM
 Schroedinger equation
 Tensor product structure
 Locality

... now, which are the only states that are either left

untouched or made orthogonal by the Pauli operators?



States postulate NPQM
 Schroedinger equation
 Tensor product structure
 Locality

... now, which are the only states that are either left

untouched or made orthogonal by the Pauli operators?



to track the correlations **conclusively** we must have $f_{\mathcal{M}}$ depends on at least **one** of these $\{|\uparrow\rangle, |\downarrow\rangle\}$

(so it's rotated to an orthogonal state by a Pauli op)

States postulate NPQM
 Schroedinger equation
 Tensor product structure

4. Locality

... but $f_{\mathcal{M}}$ also satisfies the envariance symmetry!

 $f(|\uparrow\rangle,|\downarrow\rangle) = f(|\downarrow\rangle,|\uparrow\rangle)$

States postulate NPQM
 Schroedinger equation
 Tensor product structure
 Locality

... but $f_{\mathcal{M}}$ also satisfies the envariance symmetry!

$$f(|\uparrow\rangle,|\downarrow\rangle) = f(|\downarrow\rangle,|\uparrow\rangle)$$

 \Rightarrow if $f_{\mathcal{M}}$ depends on **one** of the $\{|\uparrow\rangle, |\downarrow\rangle\}$ it must also

depend (symmetrically) on **all** of them!

$$\exists 2 \text{ values } \lambda_{\ell} \text{ such that } f_{\mathcal{M}}(\lambda_0) = |\uparrow\rangle, \ f_{\mathcal{M}}(\lambda_1) = |\downarrow\rangle$$

where λ is some free variable that keeps track of the variations of $f_{\mathcal{M}}$

- 1. States postulate NPQM
- 2. Schroedinger equation
- 3. Tensor product structure
- 4. Locality

 $\exists \lambda$ that allows them to compare outcomes



- 1. States postulate NPQM
- 2. Schroedinger equation
- 3. Tensor product structure
- 4. Locality

 $\exists \lambda$ that allows them to compare outcomes

use Bell inequality on $f_{\mathcal{M}}(\lambda)$



- States postulate NPQM
 Schroedinger equation
 Tensor product structure
 Locality
- $\exists \lambda$ that allows them to compare outcomes use Bell inequality on $f_{\mathcal{M}}(\lambda)$

QM is incompatible with **all** three:

- 1) Free will or measurement independence
- 2) Locality
- 3) Counterfactual definiteness (assignement of $f_{\mathcal{M}}(\lambda)$
 - independently of whether the measurement is performed)



States postulate NPQM
 Schroedinger equation
 Tensor product structure
 Locality



QM is incompatible with **all** three:

NOT IN CONTRAST WITH DETERMINISM! (compatibilism)

1) Free will or measurement independence

2) Locality

3) Counterfactual definiteness (assignement of $f_{\mathcal{M}}(\lambda)$

independently of whether the measurement is performed)



- States postulate NPQM
 Schroedinger equation
 Tensor product structure
 Locality
- $\exists \lambda$ that allows them to compare outcomes use Bell inequality on $f_{\mathcal{M}}(\lambda)$

QM is incompatible with **all** three:

- 1) Free will or measurement independence
- 2) Locality
- 3) Counterfactual definiteness (assignement of $f_{\mathcal{M}}(\lambda)$

independently of whether the measurement is performed)





- States postulate NPQM
 Schroedinger equation
 Tensor product structure
 Locality
- $\exists \lambda$ that allows them to compare outcomes use Bell inequality on $f_{\mathcal{M}}(\lambda)$

QM is incompatible with **all** three:

- 1) Free will or measurement independence
- 2) Locality
- 3) Counterfactual definiteness (assignement of $f_{\mathcal{M}}(\lambda)$

independently of whether the measurement is performed)



 $\Rightarrow f_{\mathcal{M}}(\lambda)$ cannot be locally predetermined



- States postulate NPQM
 Schroedinger equation
 Tensor product structure
 Locality
- $\exists \lambda$ that allows them to compare outcomes use Bell inequality on $f_{\mathcal{M}}(\lambda)$

QM is incompatible with **all** three:

- 1) Free will or measurement independence
- 2) Locality
- 3) Counterfactual definiteness (assignement of $f_{\mathcal{M}}(\lambda)$

independently of whether the measurement is performed)









Born rule

- States postulate NPQM
 Schroedinger equation
- 3. Tensor product structure
- 4. Locality







equiprobability of each outcome



Fine graining

... what about the non-uniform case?

- NPQM
- States postulate NPQM
 Schroedinger equation
- 3. Tensor product structure
- 4. Locality



Fine graining

... what about the non-uniform case?

use fine graining!

- 1. States postulate NPQM
- 2. Schroedinger equation
- 3. Tensor product structure
- 4. Locality





Fine graining

States postulate NPQM
 Schroedinger equation
 Tensor product structure
 Locality

... what about the non-uniform case?

use fine graining!



Fine graining

States postulate NPQM
 Schroedinger equation
 Tensor product structure
 Locality

... what about the non-uniform case?

use fine graining!

$$|0\rangle + \sqrt{2}|1\rangle \quad \text{non uniform state}$$

add a "fine-graining" secondary system *g*
$$|0\rangle |a\rangle_g + |1\rangle |b\rangle_g + |1\rangle |c\rangle_g \quad \text{now it's uniform}$$

$$\int |0\rangle |a\rangle_g \ p = 1/3 \quad |0\rangle \ p = 1/3,$$

$$\begin{cases} |0\rangle|a\rangle_g \ p = 1/3 \\ |1\rangle|b\rangle_g \ p = 1/3 \\ |1\rangle|c\rangle_g \ p = 1/3 \end{cases} \rightarrow |0\rangle \ p = 1/3,$$

... at this point the argument should be clear!!!

(If not, please ask questions!)

The rest of the talk is just **book**-**keeping** to finalize the details...



... and to conclude the argument...

Bell inequality without the Born rule



... and to conclude the argument...

Bell inequality without the Born rule

...but first we need to generalize the argument from spins to *d*dimensional systems



Measurement in NPQM

1. States postulate NPQM

- 2. Schroedinger equation
- 3. Tensor product structure
- 4. Locality



$$U_{O} \equiv |\uparrow\rangle \langle \uparrow| \otimes |\uparrow \rangle \langle ?| + |\downarrow\rangle \langle \downarrow| \otimes |\downarrow \rangle \langle ?| + C$$

$$|?\rangle \qquad |\uparrow \rangle = |\uparrow\rangle^{N}$$

initial memory st. redundancy (necessary for decoherence)



"shift-and-multiply" instead of Pauli op. $U(m k,j)=\sum_\ell e^{2\pi i \; j\ell/d}|a_{\ell\oplusm k}
angle_s\langle a_\ell|$

4. measure again, on a memory \mathcal{M}'

$$\sum_{\ell} |a_{\ell \oplus k}\rangle_{\mathcal{S}} a_{\ell}\rangle_{\mathcal{M}}^{N} |a_{\ell \oplus k}\rangle_{\mathcal{M}'}^{N}$$

first observer second observer

Summarizing

- 1. States postulate NPQM
- 2. Schroedinger equation
- 3. Tensor product structure
- 4. Locality

 $\sum_{\ell} \omega^{j\ell} |a_{\ell \oplus k}\rangle_s |a_{\ell}\rangle_m^N |a_{\ell \oplus k}\rangle_{m'}^{\ell'}$












Les chaussettes de M. Bertimann et la nature de la réalité

Fondation Hugot juin 17 1950



- 1. States postulate NPQM
- 2. Schroedinger equation
- 3. Tensor product structure

4. Locality







DINK

1. States postulate 2. Schroedinger equation

3. Tensor product structure

NPQM

4. Locality

no Born rule, only use the properties of the measurement outcome $f_{\mathcal{M}}(\lambda)$ derived from symmetry considerations, namely $\exists d \text{ values } \lambda_{\ell} \text{ such that } f_{\mathcal{M}}(\lambda_{\ell}) = |a_{\ell}\rangle$

1. States postulate **NPQM** 2. Schroedinger equation

3. Tensor product structure

4. Locality

usual B.i. setup: two qubits + three observables

$$A = \{|0\rangle, |1\rangle\}$$

$$B = \{|b_0\rangle \equiv |0\rangle + \sqrt{3}|1\rangle, |b_1\rangle \equiv \sqrt{3}|0\rangle - |1\rangle\}$$

$$C = \{|c_0\rangle \equiv |0\rangle - \sqrt{3}|1\rangle, |c_1\rangle \equiv \sqrt{3}|0\rangle + |1\rangle\}$$

1. States postulate NPQM 2. Schroedinger equation 3. Tensor product structure

4. Locality

usual B.i. setup: two qubits + three observables

$$A = \{|0\rangle, |1\rangle\}$$

$$B = \{|b_0\rangle \equiv |0\rangle + \sqrt{3}|1\rangle, |b_1\rangle \equiv \sqrt{3}|0\rangle - |1\rangle\}$$

$$C = \{|c_0\rangle \equiv |0\rangle - \sqrt{3}|1\rangle, |c_1\rangle \equiv \sqrt{3}|0\rangle + |1\rangle\}$$

state of the qubits:

$$|b_0\rangle|b_0\rangle + |b_1\rangle|b_1\rangle$$

1. States postulate NPQM

- 2. Schroedinger equation
- 3. Tensor product structure

4. Locality

measure A on the first and B on the second...

 $|b_0b_0\rangle + |b_1b_1\rangle = |0\rangle(|b_0\rangle + \sqrt{3}|b_1\rangle) + |1\rangle(\sqrt{3}|b_0\rangle - |b_1\rangle)$

1. States postulate NPQM

- 2. Schroedinger equation
- 3. Tensor product structure

4. Locality

measure A on the first and B on the second...

 $|b_0b_0\rangle + |b_1b_1\rangle = |0\rangle(|b_0\rangle + \sqrt{3}|b_1\rangle) + |1\rangle(\sqrt{3}|b_0\rangle - |b_1\rangle)$ = $|0\rangle(|b_0\rangle|a\rangle_g + |b_1\rangle(|b\rangle_g + |c\rangle_g + |d\rangle_g)) + \dots$

1. States postulate NPQM

- 2. Schroedinger equation
- 3. Tensor product structure

4. Locality

measure A on the first and B on the second...

$$|b_0b_0\rangle + |b_1b_1\rangle = |0\rangle(|b_0\rangle + \sqrt{3}|b_1\rangle) + |1\rangle(\sqrt{3}|b_0\rangle - |b_1\rangle)$$

= $|0\rangle(|b_0\rangle|a\rangle_g + |b_1\rangle(|b\rangle_g + |c\rangle_g + |d\rangle_g)) + \dots$
$$\bigcup$$

$$f^{(\boldsymbol{A},\boldsymbol{B})}(\lambda) = \begin{cases} |0\rangle|b_0\rangle|a\rangle_g \text{ for } \lambda_0 & |1\rangle|b_0\rangle|e\rangle_g \text{ for } \lambda_4 \\ |0\rangle|b_1\rangle|b\rangle_g & "\lambda_1 & |1\rangle|b_0\rangle|f\rangle_g & "\lambda_5 \\ |0\rangle|b_1\rangle|c\rangle_g & "\lambda_2 & |1\rangle|b_0\rangle|g\rangle_g & "\lambda_6 \\ |0\rangle|b_1\rangle|d\rangle_g & "\lambda_3 & |1\rangle|b_1\rangle|h\rangle_g & "\lambda_7. \end{cases}$$

1. States postulate NPQM

- 2. Schroedinger equation
- 3. Tensor product structure

4. Locality

measure A on the first and B on the second...

$$|b_0b_0\rangle + |b_1b_1\rangle = |0\rangle(|b_0\rangle + \sqrt{3}|b_1\rangle) + |1\rangle(\sqrt{3}|b_0\rangle - |b_1\rangle)$$

= $|0\rangle(|b_0\rangle|a\rangle_g + |b_1\rangle(|b\rangle_g + |c\rangle_g + |d\rangle_g)) + \dots$
$$\bigcup$$

$$f^{(\boldsymbol{A},\boldsymbol{B})}(\lambda) = \begin{cases} |0\rangle|b_0\rangle|a\rangle_g \text{ for } \lambda_0 & |1\rangle|b_0\rangle|e\rangle_g \text{ for } \lambda_4 \\ |0\rangle|b_1\rangle|b\rangle_g & "\lambda_1 & |1\rangle|b_0\rangle|f\rangle_g & "\lambda_5 \\ |0\rangle|b_1\rangle|c\rangle_g & "\lambda_2 & |1\rangle|b_0\rangle|g\rangle_g & "\lambda_6 \\ |0\rangle|b_1\rangle|d\rangle_g & "\lambda_3 & |1\rangle|b_1\rangle|h\rangle_g & "\lambda_7. \end{cases}$$

and analogously for B and C....

$$f^{(A,B)}(\lambda) = \begin{cases} |0\rangle|b_0\rangle|a\rangle_g \text{ for } \lambda_0 |1\rangle|b_0\rangle|e\rangle_g \text{ for } \lambda_4\\ |0\rangle|b_1\rangle|b\rangle_g & \lambda_1 |1\rangle|b_0\rangle|f\rangle_g & \lambda_5\\ |0\rangle|b_1\rangle|c\rangle_g & \lambda_2 |1\rangle|b_0\rangle|g\rangle_g & \lambda_6\\ |0\rangle|b_1\rangle|d\rangle_g & \lambda_3 |1\rangle|b_1\rangle|h\rangle_g & \lambda_7. \end{cases}$$

$$f^{(A,C)}(\gamma) = \begin{cases} |0\rangle|c_0\rangle|a\rangle_g \text{ for } \gamma_0 & |1\rangle|c_0\rangle|e\rangle_g \text{ for } \gamma_4\\ |0\rangle|c_1\rangle|b\rangle_g & \gamma_1 & |1\rangle|c_0\rangle|f\rangle_g & \gamma_5\\ |0\rangle|c_1\rangle|c\rangle_g & \gamma_2 & |1\rangle|c_0\rangle|g\rangle_g & \gamma_6\\ |0\rangle|c_1\rangle|d\rangle_g & \gamma_3 & |1\rangle|c_1\rangle|h\rangle_g & \gamma_7, \end{cases}$$

$$f^{(B,C)}(\theta) = \begin{cases} |b_0\rangle|c_0\rangle|a\rangle_g \text{ for } \theta_0 & |b_1\rangle|c_0\rangle|e\rangle_g \text{ for } \theta_4\\ |b_0\rangle|c_1\rangle|b\rangle_g & \theta_1 & |b_1\rangle|c_0\rangle|f\rangle_g & \theta_5\\ |b_0\rangle|c_1\rangle|d\rangle_g & \theta_3 & |b_1\rangle|c_0\rangle|g\rangle_g & \theta_6\\ |b_0\rangle|c_1\rangle|d\rangle_g & \theta_3 & |b_1\rangle|c_1\rangle|h\rangle_g & \theta_7. \end{cases}$$

$$f^{(B,B)}(\beta) = \begin{cases} |b_0\rangle|b_0\rangle \beta_0\\ |b_1\rangle|b_1\rangle \beta_1. \end{cases}$$

- States postulate NPQM
 Schroedinger equation
- 3. Tensor product structure

4. Locality



$$f^{(A,B)}(\lambda) = \begin{cases} |0\rangle|b_0\rangle|a\rangle_g \text{ for } \lambda_0 |1\rangle|b_0\rangle|e\rangle_g \text{ for } \lambda_4\\ |0\rangle|b_1\rangle|b\rangle_g & \lambda_1 |1\rangle|b_0\rangle|f\rangle_g & \lambda_5\\ |0\rangle|b_1\rangle|c\rangle_g & \lambda_2 |1\rangle|b_0\rangle|g\rangle_g & \lambda_6\\ |0\rangle|b_1\rangle|d\rangle_g & \lambda_3 |1\rangle|b_1\rangle|h\rangle_g & \lambda_7. \end{cases}$$

$$f^{(A,C)}(\gamma) = \begin{cases} |0\rangle|c_0\rangle|a\rangle_g \text{ for } \gamma_0 & |1\rangle|c_0\rangle|e\rangle_g \text{ for } \gamma_4\\ |0\rangle|c_1\rangle|b\rangle_g & \gamma_1 & |1\rangle|c_0\rangle|f\rangle_g & \gamma_5\\ |0\rangle|c_1\rangle|c\rangle_g & \gamma_2 & |1\rangle|c_0\rangle|g\rangle_g & \gamma_6\\ |0\rangle|c_1\rangle|d\rangle_g & \gamma_3 & |1\rangle|c_1\rangle|h\rangle_g & \gamma_7. \end{cases}$$

$$f^{(B,C)}(\theta) = \begin{cases} |b_0\rangle|c_0\rangle|a\rangle_g \text{ for } \theta_0 & |b_1\rangle|c_0\rangle|e\rangle_g \text{ for } \theta_4\\ |b_0\rangle|c_1\rangle|b\rangle_g & \theta_1 & |b_1\rangle|c_0\rangle|f\rangle_g & \theta_5\\ |b_0\rangle|c_1\rangle|b\rangle_g & \theta_1 & |b_1\rangle|c_0\rangle|f\rangle_g & \theta_6\\ |b_0\rangle|c_1\rangle|d\rangle_g & \theta_3 & |b_1\rangle|c_1\rangle|h\rangle_g & \theta_7. \end{cases}$$

$$f^{(B,B)}(\beta) = \begin{cases} |b_0\rangle|b_0\rangle \beta_0\\ |b_1\rangle|b_1\rangle \beta_1. \end{cases}$$

States postulate NPQM
 Schroedinger equation

3. Tensor product structure

4. Locality

cannot be preassigned locally!



1. States postulate NPQM

- 2. Schroedinger equation
- 3. Tensor product structure

4. Locality

.. if you don't believe it, we can prove it with an inequality (satisfied by every pre-determined $f_{\mathcal{M}}$ and violated by ours)!

States postulate NPQM
 Schroedinger equation

3. Tensor product structure

4. Locality

.. if you don't believe it, we can prove it with an inequality (satisfied by every pre-determined $f_{\mathcal{M}}$ and violated by ours)!



1. States postulate NPQM 2. Schroedinger equation

Tensor product structure
 Locality

.. if you don't believe it, we can prove it with an inequality (satisfied by every pre-determined $f_{\mathcal{M}}$ and violated by ours)!



 $\mathbf{1} = Fr(A_1 = B_2) + Fr(A_1 \neq B_2) =$

1. States postulate **NPQM** 2. Schroedinger equation

Tensor product structure
 Locality

.. if you don't believe it, we can prove it with an inequality (satisfied by every pre-determined $f_{\mathcal{M}}$ and violated by ours)!



 $1 = Fr(A_1 = B_2) + Fr(A_1 \neq B_2) = Fr(A_1 = B_2) + Fr(A_1 = C_2 \neq B_2) + Fr(A_1 \neq B_2 = C_2)$

States postulate NPQM
 Schroedinger equation

Tensor product structure
 Locality

.. if you don't believe it, we can prove it with an inequality (satisfied by every pre-determined $f_{\mathcal{M}}$ and violated by ours)!



 $1 = Fr(A_1 = B_2) + Fr(A_1 \neq B_2) =$ $Fr(A_1 = B_2) + Fr(A_1 = C_2 \neq B_2) + Fr(A_1 \neq B_2 = C_2)$ $\leq Fr(A_1 = B_2) + Fr(A_1 = C_2) + Fr(B_1 = B_2 = C_2)$

States postulate NPQM
 Schroedinger equation
 Tensor product structure

4. Locality

.. if you don't believe it, we can prove it with an inequality (satisfied by every pre-determined $f_{\mathcal{M}}$ and violated by ours)!



 $1 = Fr(A_1 = B_2) + Fr(A_1 \neq B_2) =$ $Fr(A_1 = B_2) + Fr(A_1 = C_2 \neq B_2) + Fr(A_1 \neq B_2 = C_2)$ $\leq Fr(A_1 = B_2) + Fr(A_1 = C_2) + Fr(B_1 = B_2 = C_2)$

....what happens in our case?

- 1. States postulate NPQM
- 2. Schroedinger equation
- 3. Tensor product structure $f^{(A,B)}(\lambda) = \begin{cases} |0\rangle|b_0\rangle|a\rangle_g \text{ for } \lambda_0 \\ |0\rangle|b_1\rangle|b\rangle_g & "\lambda_1 \\ |0\rangle|b_1\rangle|c\rangle_g & "\lambda_2 \\ |0\rangle|b_1\rangle|d\rangle_g & "\lambda_3 \end{cases} \begin{vmatrix} 1\rangle|b_0\rangle|g\rangle_g & "\lambda_5 \\ |1\rangle|b_0\rangle|g\rangle_g & "\lambda_6 \\ |1\rangle|b_1\rangle|h\rangle_g & "\lambda_7. \end{cases}$

4. Locality

 $\frac{1}{4}$ of the cases

 $f^{(A,C)}(\gamma) = \begin{cases} |0\rangle|c_0\rangle|a\rangle_g \text{ for } \gamma_0 & |1\rangle|c_0\rangle|e\rangle_g \text{ for } \gamma_4 \\ |0\rangle|c_1\rangle|b\rangle_g & \gamma_1 & |1\rangle|c_0\rangle|f\rangle_g & \gamma_5 \\ |0\rangle|c_1\rangle|c\rangle_g & \gamma_2 & |1\rangle|c_0\rangle|g\rangle_g & \gamma_6 \\ |0\rangle|c_1\rangle|d\rangle_g & \gamma_3 & |1\rangle|c_1\rangle|h\rangle_g & \gamma_7, \end{cases}$ $\frac{1}{4}$ of the cases

 $f^{(B,C)}(\theta) = \begin{cases} |b_0\rangle|c_0\rangle|a\rangle_g \text{ for } \theta_0 \\ |b_0\rangle|c_1\rangle|b\rangle_g & "\theta_1 \\ |b_0\rangle|c_1\rangle|c\rangle_g & "\theta_2 \\ |b_0\rangle|c_1\rangle|d\rangle_g & "\theta_3 \end{cases} \begin{vmatrix} b_1\rangle|c_0\rangle|g\rangle_g & "\theta_5 \\ |b_1\rangle|c_0\rangle|g\rangle_g & "\theta_6 \\ |b_1\rangle|c_1\rangle|h\rangle_g & "\theta_7 \end{cases}$

 $Fr(A_1 = B_2) + Fr(A_1 = C_2) + Fr(B_1 = B_2 = C_2) = \frac{3}{4} \leq 1$ **Bell** inequality violation!

1. we used only the symmetry properties of $f_{\mathcal{M}}(\lambda)$ to conclude $\exists d$ values λ_{ℓ} such that $f_{\mathcal{M}}(\lambda_{\ell}) = |a_{\ell}\rangle$

(the measurement outcome must explicitly depend on the eigenstates of the observable)



1. we used only the symmetry properties of $f_{\mathcal{M}}(\lambda)$ to conclude $\exists d$ values λ_{ℓ} such that $f_{\mathcal{M}}(\lambda_{\ell}) = |a_{\ell}\rangle$ (the measurement outcome must explicitly depend on the eigenstates of the observable)

2. we created a Bell inequality: either

predetermination of $f_{\mathcal{M}}(\lambda)$



1. we used only the symmetry properties of $f_{\mathcal{M}}(\lambda)$ to conclude $\exists d$ values λ_{ℓ} such that $f_{\mathcal{M}}(\lambda_{\ell}) = |a_{\ell}\rangle$ (the measurement outcome must explicitly depend on the eigenstates of the observable)

2. we created a Bell inequality: either

locality

predetermination of $f_{\mathcal{M}}(\lambda)$

3. choose locality \implies randomness



1. we used only the symmetry properties of $f_{\mathcal{M}}(\lambda)$ to conclude $\exists d$ values λ_{ℓ} such that $f_{\mathcal{M}}(\lambda_{\ell}) = |a_{\ell}\rangle$ (the measurement outcome must explicitly depend on the eigenstates of the observable)

2. we created a Bell inequality: either <

predetermination of $f_{\mathcal{M}}(\lambda)$

3. choose locality \implies randomness

4. symmetries of $f_{\mathcal{M}}(\lambda) \Longrightarrow$ Born rule



Take home message

The non-probabilistic

part of quantum mechanics (+ locality)

is sufficient to derive all of it!

NPQM

- 1. States postulate
- 2. Schroedinger equation
- 3. Tensor product structure
- 4. Locality



Lorenzo Maccone maccone@mit.edu