

# The spectrum of anomalous dimensions in $\mathcal{N} = 4$ Yang-Mills and BFKL

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## Outline

- Introduction
- The spectrum of long operators and semiclassical strings: the asymptotic Bethe ansatz
- Finite-size operators, wrapping corrections and the thermodynamic Bethe ansatz
- Anomalous dimensions for twist-two operators
- Conclusions

## Introduction

### The AdS/CFT correspondence

The large  $N$  limit of  $\mathcal{N} = 4$  Yang-Mills is dual to type IIB string theory on  $AdS_5 \times S^5 \Rightarrow$  **Spectra of both theories should agree**

$\rightarrow$  Difficult to test, because

$$\frac{R^2}{2\pi\alpha'} = \frac{\sqrt{\lambda}}{2\pi}$$

with  $\lambda \equiv g_{\text{YM}}^2 N$  the 't Hooft coupling,

and thus **strongly-coupled gauge theory** corresponds to **large radius of curvature** (classical string regime), and viceversa

$\rightarrow$  **The correspondence is a strong/weak-coupling duality**

A complete formulation of the AdS/CFT correspondence  $\Rightarrow$  Precise identification of **string states** with local **gauge invariant operators**

$$\Rightarrow E\sqrt{\alpha'} = \Delta$$

$E \equiv$  **String energy** in the global time coordinate of *AdS*

$\Delta \equiv$  **Scaling dimension** of gauge operators

Difficulties:

- $\Rightarrow$  **String quantization** in  $AdS_5 \times S^5$
- $\Rightarrow$  Solving the **complete gauge spectrum**

## Integrability and asymptotic anomalous dimensions

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→ The **one-loop planar dilatation operator** of  $\mathcal{N} = 4$  Yang-Mills is the hamiltonian of an **integrable spin chain**

[Minahan,Zarembo] [Beisert,Staudacher]



Given a local gauge-invariant operator

$$\mathcal{O} = \text{tr} \left( \phi_1 \phi_2 (D_1 D_2 \phi_2) D_1 \psi_2 \dots \right)$$

**scaling dimensions**  $\Delta(\lambda)$ , obtained from two-point functions

$$\langle \mathcal{O}(x) \mathcal{O}(y) \rangle = C |x - y|^{-2\Delta(\lambda)}$$

amount to **energies in an integrable system**

Single trace operators can be mapped to states in a closed spin chain  
 $\Rightarrow$  BMN impurities: magnon excitations

$$\text{tr}(XXXYYX\dots) \leftrightarrow |\uparrow\uparrow\uparrow\downarrow\uparrow\dots\rangle$$

$\Downarrow$

### The Bethe ansatz

$\rightarrow$  The rapidities  $u_j$  parameterizing the momenta of the magnons satisfy a set of one-loop **Bethe equations**

$$e^{ip_j J} \equiv \left( \frac{u_j + i/2}{u_j - i/2} \right)^J = \prod_{k \neq j}^M \frac{u_j - u_k + i}{u_j - u_k - i} \equiv \prod_{k \neq j}^M S(u_j, u_k)$$

$$\text{Energy} \longrightarrow E = - \sum_{j=1}^M \frac{d}{du_j} p(u_j) \Rightarrow \gamma = \frac{\lambda}{8\pi^2} E$$

→ There is strong evidence in favor of **higher-loop integrability**

[Beisert,Kristjansen,Staudacher] [Beisert] [Zwiebel]

→ **Assuming integrability an asymptotic long-range Bethe ansatz**  
has been proposed [Beisert,Dippel,Staudacher]

$$\left(\frac{x_j^+}{x_j^-}\right)^J = \prod_{k \neq j}^M \frac{u_j - u_k + i}{u_j - u_k - i} = \prod_{k \neq j}^M \frac{x_j^+ - x_k^-}{x_j^- - x_k^+} \frac{1 - \lambda/16\pi^2 x_j^+ x_k^-}{1 - \lambda/16\pi^2 x_j^- x_k^+}$$

where  $x_j^\pm$  are generalized rapidities

$$x_j^\pm \equiv x(u_j \pm i/2), \quad x(u) \equiv \frac{u}{2} + \frac{u}{2} \sqrt{1 - 2 \frac{\lambda}{8\pi^2} \frac{1}{u^2}}$$

→ The spectrum of length  $L \rightarrow \infty$  operators is ruled  
by the **asymptotic Bethe ansatz equations**

The long-range Bethe ansatz is constructed to fit the spectrum of anomalous dimensions, or the  $\mathcal{N} = 4$  magnon dispersion relation

→ The (one-loop) **Heisenberg chain** has dispersion relation

$$E = 4 \sin^2 \left( \frac{p}{2} \right)$$

→ The Bethe ansatz can be **deformed** to include the magnon dispersion relation for planar  $\mathcal{N} = 4$  Yang-Mills,

$$E^2 = 1 + \frac{\lambda}{\pi^2} \sin^2 \left( \frac{p}{2} \right)$$

**The extension/deformation is the long-range Bethe ansatz**

[Beisert, Dippel, Staudacher]

## Integrability in $AdS_5 \times S^5$

→ **Integrable structures are also present on the string side** of the correspondence: there exists a family of flat connections

[Mandal,Suryanarayana,Wadia] [Bena,Polchinski,Roiban]

→ **Classical integrability** of coset  $\sigma$ -models [Lüscher,Pohlmeyer] also holds for the  $AdS_5 \times S^5$  string ( $\frac{PSU(2,2|4)}{SO(1,4) \times SO(5)}$  coset)

→  $AdS_4 \times CP^3$  and  $\mathcal{N} = 6$  Chern-Simons ( $AdS_4/CFT_3$ )

[Minahan,Zarembo] [Arutyunov,Frolov] [Stefanski] [Grignani,Harmark,Orselli] [Ahn,Nepomechie]

→  $AdS_3 \times S^3 \times T^4$ ,  $AdS_3 \times S^3 \times S^3 \times S^1$  and  
 $\mathcal{N} = 4$  symmetric orbifold CFTs ( $AdS_3/CFT_2$ )

[Babichenko,Stefanski,Zarembo]

are also integrable

→ The **spectrum of classical strings** is governed by a set of **Bethe ansatz equations**, quite similar to the ones on the gauge theory side

[Arutyunov, Frolov, Staudacher]

Asymptotically long operators → Strings with large quantum numbers

## Symmetries and the S-matrix

The **all-loop asymptotic S-matrix is fixed** completely by the  $SU(2|2) \otimes SU(2|2)$  symmetry **up to a scalar dressing factor**

[Beisert]



$$S(p_j, p_k) = \sigma(p_j, p_k) S_{SU(2|2)}(p_j, p_k) S_{SU(2|2)'}(p_j, p_k)$$

→ The all-loop S-matrix describes successfully the **asymptotic** spectrum of states in the AdS/CFT correspondence

The **dressing phase**  $\sigma(p_j, p_k; \lambda)$   
is responsible for the interpolation

- The **leading term** in the  $1/\sqrt{\lambda}$  expansion is found discretizing the classical finite-gap equations [Arutyunov, Frolov, Staudacher]
- The **one-loop corrections** to the energies of rotating strings provide the subleading term [Beisert, Tseytlin] [RH, López] [Freyhult, Kristjansen]
- Solving the crossing symmetry conditions of [Janik] a **strong-coupling expansion** was suggested [Beisert, RH, López], and tested up to two-loops [Roiban, Tirziu, Tseytlin] [Klose, McLoughlin, Minahan, Zarembo]
- The proposal for a **weak-coupling expansion** [Beisert, Eden, Staudacher] agrees with four-loop computations [Bern, Czakon, Dixon, Kosower, Smirnov]

The **asymptotic Bethe ansatz** relies on the  $\mathcal{N} = 4$  **dispersion relation**

→ The **symmetry algebra fixes the dispersion relation** [Beisert]

$$E(p) = \sqrt{1 + \frac{\lambda}{\pi^2} \sin^2\left(\frac{p}{2}\right)}$$

Symmetry  $\Rightarrow$  **Dispersion relation**



**Asymptotic spectrum of states**

## Finite-size operators and wrapping corrections

(See the talks by Balog, Bozhilov, Ahn, Aniceto, Kim and Bajnok)

- The all-loop S-matrix describes the **asymptotic** spectrum of states in the AdS/CFT correspondence
- For **finite-size** operators/chains **wrapping interactions** arise and the long-range dressed S-matrix is no longer valid

**Wrapping effects** appear when the length of the spin chain reaches the perturbative order



**Wrapping** → Operator length  $L \leq$  Number of loops

- At **four-loops** agreement between perturbative and Bethe ansatz computations breaks down for the **length-four** Konishi operator  
 [Kotikov,Lipatov,Rej,Staudacher,Velizhanin] [Fiamberti,Santambrogio,Sieg,Zanon] [Bajnok,Janik]

→ The **Konishi operator** ( $\text{Tr}([Z, Y]^2)$  in the  $SU(2)$  sector, or  $\text{Tr}(ZD^2Z)$  in the  $SL(2)$  sector), with length  $L=4$ , is the simplest example where **wrapping** effects appear, already at four-loops

[Fiamberti,Santambrogio,Sieg,Zanon] [Bajnok,Janik] [Velizhanin]

$$\Delta(\lambda) = \frac{3}{4\pi^2}\lambda - \frac{3}{16\pi^4}\lambda^2 + \frac{21}{256\pi^6}\lambda^3 - \frac{2584 - 384\zeta(3) + 1440\zeta(5)}{65536\pi^8}\lambda^4 + \dots$$

(Five-loop contribution by [Bajnok,Hegedüs,Janik,Lukowski])

## Dimensions of short operators $\rightarrow$ Energies of quantum string states

- $\rightarrow$  The spectrum of states with **large quantum numbers** is obtained from solutions to the **Asymptotic Bethe Ansatz equations**  $\rightarrow$  Solving string theory on a plane  $\mathbb{R}^{1,1}$  leads to the Asymptotic Bethe Ansatz for the spectrum
- $\rightarrow$  The generalization to **short states, with any quantum number**, amounts to **solving string theory** on  $\mathbb{R} \times S^1$  and provides a set of **Thermodynamic Bethe Ansatz equations** [Arutyunov,Frolov]
- $\rightarrow$  A set of discrete **Y-system equations** arising from the Thermodynamic Bethe Ansatz is expected to encode the spectrum of finite-size operators, to any order in the coupling  
[Gromov,Kazakov,Vieira] [Bombardelli,Fiorvanti,Tateo] (See the talk by D. Bombardelli)

## Anomalous dimensions for twist-two operators

Twist-two operators in the  $SL(2)$  sector of  $\mathcal{N} = 4$  Yang-Mills

$$\text{Tr}(\mathcal{D}^{s_1} Z \mathcal{D}^{s_2} Z)$$

$$s_1 + s_2 = N \rightarrow \text{Total spin}$$

$$\text{Number of } Z = \phi_5 + i\phi_6 \text{ fields} \rightarrow \text{Twist}$$

The anomalous dimension can be obtained from the Bethe ansatz for the long-range (at **one-loop** agrees with the  $XXX_{s=-1/2}$  Heisenberg) chain,

$$\left( \frac{u_k + i/2}{u_k - i/2} \right)^L = \prod_{j \neq k} \frac{x_k^- - x_j^+}{x_k^+ - x_j^-} \frac{1 - \lambda/16\pi^2 x_k^+ x_j^-}{1 - \lambda/16\pi^2 x_k^- x_j^+}$$

In the **asymptotic case**, for infinitely long operators,  
the **anomalous dimension** is obtained from  
the  $\mathcal{N} = 4$  dispersion relation

$$\gamma_2(N) = \sum_{i=1}^N E(p_i) = \sum_{i=1}^N \sqrt{1 + \frac{\lambda}{\pi^2} \sin^2 \left( \frac{p_i}{2} \right)}$$

$N \longrightarrow$  **Number of magnons**

The result from the Bethe ansatz **agrees** with the perturbative evaluation of the twist-two anomalous dimension, up to three-loops

[Moch,Vermaseren,Vogt] [Kotikov,Lipatov,Onischenko,Velizhanin]

$$\begin{aligned}\gamma_{2,1}(N) &= 4S_1, \\ \gamma_{2,2}(N) &= -4(S_3 + S_{-3} - 2S_{-2,1} + 2S_1(S_2 + S_{-2})), \\ \gamma_{2,3}(N) &= -8(2S_{-3}S_2 - S_5 - 2S_{2,3} - \dots + 16S_{-2,1,1}),\end{aligned}$$

where  $S_{\bar{a}} \equiv S_{\bar{a}}(N)$  are harmonic sums,

$$\begin{aligned}S_a(N) &= \sum_{j=1}^N \frac{(\text{sgn}(a))^j}{j^a}, \\ S_{a_1, \dots, a_n}(N) &= \sum_{j=1}^N \frac{(\text{sgn}(a_1))^j}{j^{a_1}} S_{a_2, \dots, a_n}(j)\end{aligned}$$

(truncations of Riemann's zeta function  $\longrightarrow S_a(\infty) = \zeta(a)$ )

Several limits of the twist-two anomalous dimension can be considered

- The **pomeron** singularity, upon continuation to  $N = -1 + \omega$
- The **cusp** anomalous dimension,  $N \gg 1$
- The **spin-one** regime,  $N = 1$

## BFKL pomeron

A **four-loop** term can be obtained  
trusting the dressed asymptotic Bethe ansatz

[Kotikov,Lipatov,Rej,Staudacher,Velizhanin]

$$\gamma_{2,4}(N) = 16(4S_{-7} + 6S_7 + \dots - \zeta(3)S_1(S_3 - S_{-3} + 2S_{-2,1}))$$

→ It is **asymptotic**: **Wrapping** contributions are **excluded**, and it fails to reproduce the four-loop prediction from the BFKL pomeron ( $N = -1 + \omega$ ,  $\omega \rightarrow 0$  configuration)



**Breakdown** of the (asymptotic) Bethe ansatz for **finite-size operators**

## Cusp anomalous dimension

In the **high spin- $N$  limit**,  $N \gg 1$ ,

$$\Delta(\lambda) \sim \Delta_{\text{cusp}}(\lambda) \log N$$

with  $\Delta_{\text{cusp}}(\lambda)$  the cusp anomalous dimension

For **twist-two** operators

$$\Delta_{\text{cusp},2}(\lambda) = \frac{1}{2} \frac{\lambda}{\pi^2} - \frac{1}{96} \frac{\lambda^2}{\pi^2} + \frac{11}{23040} \frac{\lambda^3}{\pi^2} - \left( \frac{73}{2580480} + \frac{\zeta(3)^2}{1024\pi^6} \right) \frac{\lambda^4}{\pi^2} + \dots$$

**In agreement with the prediction from integrability:** the cusp dimension can be computed from the BES [Beisert,Eden,Staudacher] equation

→ The **strong-coupling** limit of the BES integral equation provides a strong-coupling expansion for the cusp anomalous dimension

[Kostov, Serban, Dvoin] [Basso, Korchemsky, Kotanski]

$$\Delta_{\text{cusp},2}(\lambda) = \frac{\sqrt{\lambda}}{\pi} - \frac{3 \log 2}{\pi} - \frac{K}{\pi} \frac{1}{\sqrt{\lambda}} + \dots$$

⇓

**Agrees** with the string result: **Twist-two operators** correspond to a **folded string** with spin  $N$  along  $AdS_5$ , and thus the  $N \rightarrow \infty$  limit is reachable from semiclassical methods

[Gubser, Klebanov, Polyakov] [Roiban, Tirziu, Tseytlin] [Casteill, Kristjansen]

**Spin-one regime**

→ Integrability had shown before in a four-dimensional gauge theory:  
the **reggeized limit of scattering amplitudes in QCD** [Lipatov]  
(also [Belitsky] [Belitsky,Braun,Gorsky,Korchemsky])

→ It is thus natural to search for a common origin, or at least some relic, of the integrable structure in  $\mathcal{N} = 4$  Yang-Mills still present in the Regge limit of scattering amplitudes in QCD

Consider now a **spin-one twist-two** operator  $\longrightarrow$   $N = 1$

[Gómez, Gunnesson, RH]

$\rightarrow$  A non-vanishing result is obtained  
when evaluating the harmonic sums at  $N = 1$ ,

$$\gamma_2(1) = 4g^2 - 8g^4 + 32g^6 - 160g^8 + \mathcal{O}(g^{10})$$

$\Downarrow$

**Agrees with the  $\mathcal{N} = 4$  dispersion relation**

$$\gamma_2(N = 1) = \sqrt{1 + \lambda/\pi^2 \sin^2\left(\frac{p}{2}\right)} - 1$$

for a single magnon with “non-physical” momentum  $p = \pi$

$\Downarrow$

Probably holds to all orders, and thus

$$\gamma_2(N = 1) = E(p = \pi)$$

Furthermore, the expansion of  $\gamma_2(N = 1) = E(p = \pi)$  is related to the **analytic extension of the DGLAP anomalous dimension**  $\gamma_2(N)$  to  $N = -r + \omega$ , with  $\omega \rightarrow 0$

$$\gamma_2(-r + \omega) = \sum_{i=1} \frac{a_{i,r}}{\omega^{2i-1}} g^{2i} + \dots$$

↓

$$\boxed{a_{i,r} = (-1)^i e_i}, \quad \text{with } \gamma_2(1) = \sum_{i=1} e_i g^{2i}$$

→ This analytic extension agrees with the **resummation of non-collinear double logarithms in QCD**

## BFKL and double logarithms in QCD

The BFKL integral equation, arising in the Regge limit of QCD, allows to compute the leading log contributions to two-particle  $\rightarrow$  two-particle amplitudes, and predicts the leading poles at  $N = -r + \omega$ ,  $r = 1, 2, \dots$

$\rightarrow$  The harmonic sums can be analytically continued to the whole complex plane [Basso, Korchemsky, Kotanski]

$$S_1(N) = \sum_{i=1}^N \frac{1}{i} \longrightarrow \psi(N+1) - \psi(1)$$

$\rightarrow$  The eigenfunctions of the BFKL kernel are obtained through a Mellin transformation ...

Parton distribution functions are governed by the Bethe-Salpeter equation. In the **Regge limit** of QCD and in **deep inelastic scattering**

$$f(x, Q^2) = f_0(x, Q^2) + 2g^2 \int_x^1 \frac{dz}{z} \int_{Q'^2}^{Q^2} \frac{dk^2}{Q^2} f\left(\frac{x}{z}, k^2\right)$$

with  $Q^2 \rightarrow$  Momentum of the photon

$Q'^2 \rightarrow$  Transversal scale of the hadron

$s \rightarrow$  Center of mass energy

$$x \equiv Q^2/s$$

The Bethe-Salpeter equation performs a perturbative resummation of the **double logarithms in ladder diagrams**

$$\left( g^2 \log\left(\frac{1}{x}\right) \log\left(\frac{Q^2}{Q'^2}\right) \right)^n$$

After Mellin transformation ( $s \rightarrow \omega$ )  
the solution to the Bethe-Salpeter equation is

$$f(\omega, \gamma) = \frac{\omega f_0(\omega, \gamma)}{\omega + 4g^2 \frac{1}{\gamma}}$$

In the **leading logarithm approximation in BFKL**  
the pole in the solution for the parton distribution function is corrected by

$$\frac{1}{\omega - 2g^2 \chi_{\text{LLA}}}$$

where  $\chi_{\text{LLA}}$  is the **BFKL kernel**,

$$\chi_{\text{LLA}}(\omega, \gamma) = 2\psi(1) - \psi\left(-\frac{\gamma}{2}\right) - \psi\left(1 + \frac{\gamma}{2} + \omega\right)$$

However, it is not only collinear double logarithms that should be considered, but also **non-collinear double logarithms**

$$\log s \log s \rightarrow \log s \log Q^2$$



This resummation can be performed with a **change in the kinematic region of integration** in the Bethe-Salpeter equation

→ The pole in the solution of the Bethe-Salpeter equation should be

$$\omega = 2g^2 \left( -\frac{2}{\gamma} + \frac{1}{\omega + \gamma/2} \right)$$



$$\gamma = \omega \sqrt{1 - \frac{8g^2}{\omega^2}} - \omega \rightarrow \text{Modifies the BFKL kernel}$$

Now, a closer look at the anomalous dimension  
after resummation of non-collinear double logarithms,

$$\gamma = \omega \sqrt{1 - \frac{8g^2}{\omega^2}} - \omega$$

shows that it is precisely

$$\gamma_2(N=1) = E_{\mathcal{N}=4}(p=\pi)$$

**Single-magnon dispersion relation for  $\mathcal{N} = 4$  Yang-Mills!!**



**Resummation of non-collinear double logarithms  
in the Regge limit of QCD!!**

## Conclusions

- Integrable structures have provided extremely precise tests of the AdS/CFT correspondence
  - The Asymptotic Bethe ansatz probes semiclassical strings
  - Finite-size operators start being systematically analyzed
- The **dispersion relation in planar  $\mathcal{N} = 4$  Yang-Mills** can be related to **non-collinear double logarithms in QCD**
  - The dispersion relation follows from the anomalous dimension for spin-one twist-two operators

## Open questions

- Relate integrable structures in the AdS/CFT correspondence and in QCD: symmetries and structure of the central extension in  $\mathcal{N} = 4$  allow to determine the dispersion relation  $\rightarrow$  Hidden symmetries in the Regge limit of QCD
- **Symmetry pattern organizing the correspondence**
  - (Quantum) Deformation of a gauge theory into a string theory