

New Physics Beyond Flavour Dogmas

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Work done in collaboration with :

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and earlier work with
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- Neutral Currents have played a crucial rôle in the construction of the SM and its experimental tests.

- The discovery of Neutral weak currents was the first great success of the SM
- An important feature of Flavour-Changing-Neutral Currents (FCNC)

They are forbidden at tree level, both in the SM and in most of its extensions

- EPS prize to Gargamelle collaboration in 2009
- EPS prize to GIM in 2011.

At loop level FCNC are generated and have played a crucial rôle in testing the SM and in putting bounds on New Physics beyond the SM:

$K^0 - \bar{K}^0$, $D^0 - \bar{D}^0$, $B_d - \bar{B}_d^0$, $B_s - \bar{B}_s^0$

rare kaon decays, rare b-meson decays
CP violation

SM contributes to these processes at loop level

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New Physics has a chance to give significant contributions

The need to suppress FCNC led to

two dogmas:

- No Z -mediated FCNC at tree level
- No FCNC in the scalar sector, at tree level.

Glashow and Weinberg (PRD 1977)

E. A. Paschos (PRD 1977)

derived necessary and sufficient conditions

- (i) All quarks of fixed charge and helicity must transform according to the same irreducible representation of $SU(2)$ and correspond to the same eigenvalue of T_3
- (ii) All quarks should receive their contributions to the quark mass matrix from a single neutral scalar

Can one violate these two dogmas in reasonable extensions of the SM? Yes!

"Reasonable" means that FCNC should be naturally suppressed, without fine-tuning.

In the gauge sector, the Dogma can be violated through the introduction of a $Q = 1/3$ and/or $Q = 2/3$ vector-like quark.

Naturally small violations of 3×3 unitarity of V_{CKM}

Z -mediated, Naturally suppressed FCNC at tree level

Example : Addition of one $Q = -\frac{1}{3}$ vector-like quark to the SM:

$D_L, D_R \rightarrow$ singlets under $SU(2)_L$

Charged currents :

$$(\bar{u} \bar{c} \bar{t})_L \gamma^\mu \begin{bmatrix} V_{ud} & V_{us} & V_{ub} & V_{uD} \\ V_{cd} & V_{cs} & V_{cb} & V_{cD} \\ V_{td} & V_{ts} & V_{tb} & V_{tD} \end{bmatrix} \begin{bmatrix} d \\ s \\ b \\ D \end{bmatrix} W_\mu + h.c.$$

Non orthogonality of columns of V leads to terms like :

$$g/c \epsilon_{\mu\nu} Z_{bd} \bar{b}_L \gamma_\mu d_L Z^\mu$$

$Z_{bd} \rightarrow$ suppressed

$$Z_{bd} = V_{ub} V_{ab}^* + V_{cb} V_{cb}^* + V_{td} V_{tb}^*$$

$$\text{by } (m/M)^2$$

m - mass of standard quarks
 M - mass of D-quark

Some comments :

- Nothing "strange" in having derivations of 3×3 unitarity of V_{CKM} : The PMNS matrix in the leptonic sector, in the context of type - one seesaw, is not 3×3 unitary.
- Vector-like quarks provide the simplest model with Spontaneous CP violation, with a complex V_{CKM} , in agreement with experiment
- Provide a framework to have a Common Origin of all CP violations.
- Potential Solution of Strong CP Problem without Axions

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Another Remark:

There was another **Dogma** in Particle Physics

"Neutrinos are Strictly Massless in the SM and
in $SU(5)$ " !!

We all know what happened to this Dogma.

There is some similarity between vector-like quarks
and ν_R .

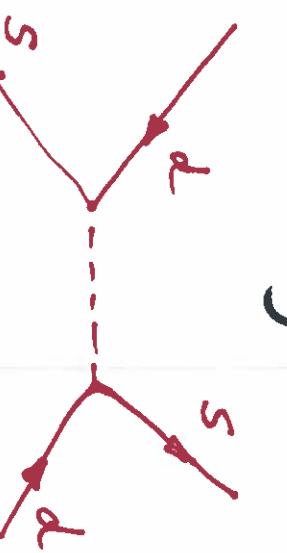
$$\left. \begin{array}{c} \nu_R^\top C M_R \nu_R \\ \bar{D}_L M D_R \end{array} \right\} \quad \begin{array}{l} \text{Both terms are} \\ SU(2) \times U(1) \text{ invariant} \end{array}$$

Scalar Sector

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Can one have scalar-mediated FCNC at the level, but somehow suppressed by "small VCKM elements"?

Most dangerous couplings:



$$K_L - K_S \text{ mass difference} \Rightarrow m_H \geq 1 \text{ TeV}$$

$$CP \text{ violation } (\varepsilon_K) \Rightarrow m_H \geq 30 \text{ TeV}$$

The possibility that FCNC could be suppressed by small $\sqrt{V_{CKM}}$ elements was considered by various authors

L. Hall, S. Weinberg

A. Antaramian, L. Hall, A. Rasin

Yoshipura, S. D. Rindani

} Interesting, but ad-hoc assumptions, not based on an exact (or softly broken)

Symmetry of the Lagrangian

- Question : Can one have a multi - scalar extension of the SM, where as a result of a symmetry of the Lagrangian, there are FCNC at tree level, but all couplings are controlled by \sqrt{CKM} , without any other flavor parameters ? Answer : Yes !!
- First we show that this looks like an Impossible Mission
 - Then we show that there are models which fulfil the above condition but the number of models is severely restricted !

G. C. B., W. Grimus, L. Lavonia, Phys. Lett. (1996)
 F. Botella, G. C. B., M. N. Rebelo, Phys. Lett. B (2010)
 F. Botella, G. C. B., M. N. Rebelo, M. Nebot

- What is the motivation for considering multi-scalar models with FCNC? It is likely that a theory of flavour will involve various **scalar doublets**.

- It is desirable that a correct theory of flavour

Predicts new phenomena, making some **testable predictions**.

A possible prediction could be the existence of non-vanishing but naturally suppressed scalar-mediated FCNC

- Nature may, **once more**, surprise us:

Recent "surprises" with **flavour**:

- A heavy top
- Large leptonic mixing

We need **surprises** in the **Scalar Sector!!**

The requirement of rephasing invariance

Let us consider a **FCNC** transition connecting a quark d_j to another quark d_k . The transition could be mediated by a scalar or by a vector boson:

$$\mathcal{L}_{\text{scalar}} = \bar{d}_j \Gamma_{jk}^S d_{Rk} S; \quad \mathcal{L}_{\text{vector}} = \bar{d}_j \Gamma_{jk}^V d_{Rk} V^\mu$$

Γ^S, Γ^V may arise at **tree level** or in higher orders. Assume that d_j denotes quark mass eigenstates.

Under rephasing of quark fields:

$$d_j \rightarrow d'_j = \exp(-i\phi_j) d_j$$

Γ^S, Γ^V have to transform in such a way that the above interactions remain invariant. This implies that under rephasing

$$\Gamma_{jk} \rightarrow \Gamma'_{jk} = \exp[i(\beta_k - \beta_j)] \Gamma_{jk}$$

If we require that the flavour dependence of Γ_{jk} be completely controlled by V_{CKM} , this severely restricts the functional dependence of Γ_{jk} on V_{CKM} . The simplest forms allowed by rephasing invariance are :

$$\Gamma_{jk} = \sum_{\alpha} c_{\alpha} V_{kj} V_{\alpha k}^*$$

where c_{α} are coefficients which are invariant under rephasing. Note that the form of Z_{bd} , found previously, satisfies this requirement (as it had to!) with

$$c_{\alpha} = 1 \quad \text{for all } c_{\alpha}$$

$$Z_{bd} = V_{ud} V_{ub}^* + V_{cd} V_{cb}^* + V_{td} V_{tb}^*$$

The case of Two scalar doublets models

Yukawa Interaction

$$d_L = -\bar{Q}_L^{\circ} \Gamma_1 \phi_1 d_R^{\circ} - \bar{Q}_L^{\circ} \Gamma_2 \phi_2 d_R^{\circ} - \bar{Q}_L^{\circ} \Delta_1 \tilde{\phi}_1 u_R^{\circ} - \bar{Q}_L^{\circ} \Delta_2 \tilde{\phi}_2 u_R^{\circ} + h.c.$$

Q_L° → left-handed doublets ; d_R° , u_R° right-handed singlet

So **this is a two-scalar doublet model of type III**

Quark mass matrices :

$$M_d = \frac{1}{\sqrt{2}} (v_1 \Gamma_1 + v_2 e^{i\alpha} \Gamma_2) ; M_u = \frac{1}{\sqrt{2}} (v_1 \Delta_1 + v_2 e^{-i\alpha} \Delta_2)$$

Diagonalized by :

$$U_{dL}^{\dagger} M_d U_{dR} = D_d \equiv \text{diag.}(m_d, m_s, m_b)$$
$$U_{uL}^{\dagger} M_u U_{uR} = D_u \equiv \text{diag.}(m_u, m_c, m_t)$$

$$\text{Expand } \Phi_j: \quad \Phi_j = e^{i\alpha_j} \begin{bmatrix} \phi_j^+ \\ \frac{1}{\sqrt{2}}(v_j + \rho_j + i\eta_j) \end{bmatrix} \quad j=1,2$$

It is convenient to define new fields G^+, G^0, H^+, H^0, R :

$$\begin{bmatrix} G^+ \\ H^+ \end{bmatrix} = O \begin{bmatrix} \phi_1^+ \\ \phi_2^+ \end{bmatrix}; \quad \begin{bmatrix} G^0 \\ H^0 \end{bmatrix} = O \begin{bmatrix} v_1 \\ v_2 \end{bmatrix}; \quad \begin{bmatrix} R \\ \eta \end{bmatrix} = O \begin{bmatrix} \rho_1 \\ \rho_2 \end{bmatrix}$$

$$\text{where: } O = \frac{1}{\sqrt{2}} \begin{bmatrix} v_1 & v_2 \\ v_2 & -v_1 \end{bmatrix}; \quad V = \sqrt{v_1^2 + v_2^2} = (\sqrt{2} G_F)^{-1/2} \approx 246 \text{ GeV}$$

G^+ , $G^0 \rightarrow \text{Goldstone bosons}$

$H^0, R \rightarrow \text{neutral scalars}$

$H^+ \rightarrow \text{charged scalar}$

It is convenient to write the Yukawa coupling in terms of quark mass eigenstates and the new fields H^\pm, H^0, R, η

$$d\mathcal{Y} = \frac{\sqrt{2}}{v} H^+ \bar{u} \left[V N_d \gamma_R + N_u^\dagger V \gamma_L \right] d_L + h.c. - \frac{H^0}{v} \left[\bar{u} D_{u\bar{u}} u + \bar{d} D_{d\bar{d}} d \right] -$$

$$- \frac{R}{v} \left[\bar{u} \left(N_u \gamma_R + N_u^\dagger \gamma_L \right) u + \bar{d} \left(N_d \gamma_R + N_d^\dagger \gamma_L \right) d \right] + \\ + i \frac{T}{v} \left[\bar{u} \left(N_u \gamma_R - N_u^\dagger \gamma_L \right) u - \bar{d} \left(N_d \gamma_R - N_d^\dagger \gamma_L \right) d \right]$$

$$u, d \rightarrow \text{quark mass eigenstates} ; \quad \gamma_L = \frac{1}{2}(1-\gamma_5) ; \quad \gamma_R = \frac{1}{2}(1+\gamma_5)$$

The matrices N_d , N_u are given by :

$$N_d = \frac{1}{\sqrt{2}} U_{dL}^\dagger \begin{bmatrix} v_2 \Gamma_1 - v_1 e^{i\alpha/2} \Gamma_2 \\ v_2 \Gamma_1 + v_1 e^{-i\alpha/2} \Gamma_2 \end{bmatrix} U_{dR} ; \quad N_u = \frac{1}{\sqrt{2}} U_{uL}^\dagger \begin{bmatrix} v_2 \Delta_1 - v_1 \bar{e}^{-i\alpha} \Delta_2 \\ v_2 \Delta_1 + v_1 \bar{e}^{i\alpha} \Delta_2 \end{bmatrix} U_{uR}$$

Flavour-Changing Neutral couplings are controlled by the matrices N_d , N_u . For generic 2 scalar doublet models N_d , N_u are arbitrary!

It is convenient to write N_d in the following way :

$$N_d = \underbrace{\frac{v_2}{v_1} D_d - \frac{v_1}{v_2} \left(\frac{v_2}{v_1} + \frac{v_1}{v_2} \right) U_{dL}^\dagger e^{\frac{i\alpha}{f_2} \Gamma_2} U_{dR}}_{\text{conserv flavour}} \underbrace{\qquad}_{\text{leads to FCNC}}$$

From the above expression for N_d , one concludes that there are **Two Major Obstacles** which one has to **surmount** in order for N_d to be entirely controlled by V^{CKM} , with no free parameters:

- (i) It is U_{dL} rather than the combination $U_{dL}^\dagger U_{dR} \equiv V^{CKM}$ which appears in N_d
- (ii) How to get rid of the dependence on U_{dR} ?

The first difficulty can be solved by means of a flavour symmetry constraining U_{dL} to have mixing only among two generations, for example :

$$U_{dL} = \begin{bmatrix} * & * & 0 \\ * & * & 0 \\ 0 & 0 & 1 \end{bmatrix}; \quad \text{In this case :}$$

So one has :

$$V_{CKM} = \begin{bmatrix} * & * & 0 \\ * & * & 0 \\ 0 & 0 & 1 \end{bmatrix} \begin{bmatrix} * & * & * \\ * & * & * \\ * & * & * \end{bmatrix} = \begin{bmatrix} * & * & * \\ * & * & * \\ U_{d3}, U_{d32}, U_{d33} \end{bmatrix}$$

$$\boxed{(V_{CKM})_{3j} = (U_{dL})_{3j}}$$

In order to surmount difficulty (i) one has to further require that the flavour dependence of N_d on U_{dL} is only on the 3rd row of U_{dL}

How to surmount obstacle (ii), i.e. how to avoid the dependence on U_{dR} ? Let us assume that Γ_2^* is such that:

$$\Gamma_2^* \propto P M_d \quad \text{where } P \text{ is a fixed matrix.}$$

In this case:

$$U_{dL}^+ \Gamma_2^* U_{dR} \propto U_{dL}^+ P M_d U_{dR} = \underbrace{U_{dL}^+ P}_{\text{A}} \underbrace{U_{dL} U_{dR}}_{M_d} U_{dR} = U_{dL}^+ P U_{dL} D_d$$

The flavour structure of Γ_1^*, Γ_2^* should be such that a fixed matrix P exists satisfying:

$$\Gamma_2^* \propto P M_d$$

One way of achieving this is by having:

$$P \Gamma_2^* = k \Gamma_2^* \quad ; \quad P \Gamma_1^* = 0$$

Recall that

$$M_d = \frac{1}{\sqrt{2}} (v_1 \Gamma_1 + v_2 e^{i\alpha} \Gamma_2)$$

It has been shown (B., Grimes and Lavona \equiv BGL) that

it is possible to find a flavour symmetry of the

Lagrangian such that it leads to a structure for Γ_i , Δ_i

which imply FCNC at tree level, with strength completely controlled by V_{CKM} . BGL have imposed the following symmetry

on the Lagrangian :

$$a) \quad Q_L^{\circ} \rightarrow \exp(i\alpha) Q_L^{\circ}; \quad U_R^{\circ} \rightarrow \exp(i\varepsilon\alpha) U_R^{\circ}; \quad \phi_2 \rightarrow \exp(i\varepsilon\beta) \phi_2$$

where $\alpha \neq 0, \pi$, with all other quark fields transforming trivially under the symmetry.

- The index j can be $j=1,2,3$

Alternatively, one can choose the symmetry

$$b) \quad Q_L^{\circ} \rightarrow \exp(i\alpha) Q_L^{\circ}; \quad d_R^{\circ} \rightarrow \exp(i\varepsilon\alpha) d_R^{\circ}; \quad \phi_2 \rightarrow (-i\varepsilon) \phi_2$$

Altogether 6 BGL models in the quark sector

Let us choose $j=3$:

$$Q_L^o \rightarrow \exp(i\alpha) Q_L^o; u_R^o \rightarrow \exp(i2\alpha) u_R^o; \phi_2 \rightarrow \exp(i\alpha) \phi_2$$

In this case the Yukawa matrices Γ_1^o , Γ_2^o have the structure

$$\Gamma_1^o = \begin{bmatrix} x & x & x \\ x & x & x \\ 0 & 0 & 0 \end{bmatrix}; \quad ; \quad \Gamma_2^o = \begin{bmatrix} 0 & 0 & 0 \\ 0 & 0 & 0 \\ x & x & x \end{bmatrix}; \quad ; \quad \Delta_1^o = \begin{bmatrix} x & x & 0 \\ x & x & 0 \\ 0 & 0 & 0 \end{bmatrix}; \quad ; \quad \Delta_2^o = \begin{bmatrix} 0 & 0 & 0 \\ 0 & 0 & 0 \\ 0 & 0 & x \end{bmatrix}$$

And $PM_d = \frac{V_2}{V_2} e^{i\alpha} \Gamma_2^o$; with $P = \begin{bmatrix} 0 & 0 & 0 \\ 0 & 0 & 0 \\ 0 & 0 & 1 \end{bmatrix}$

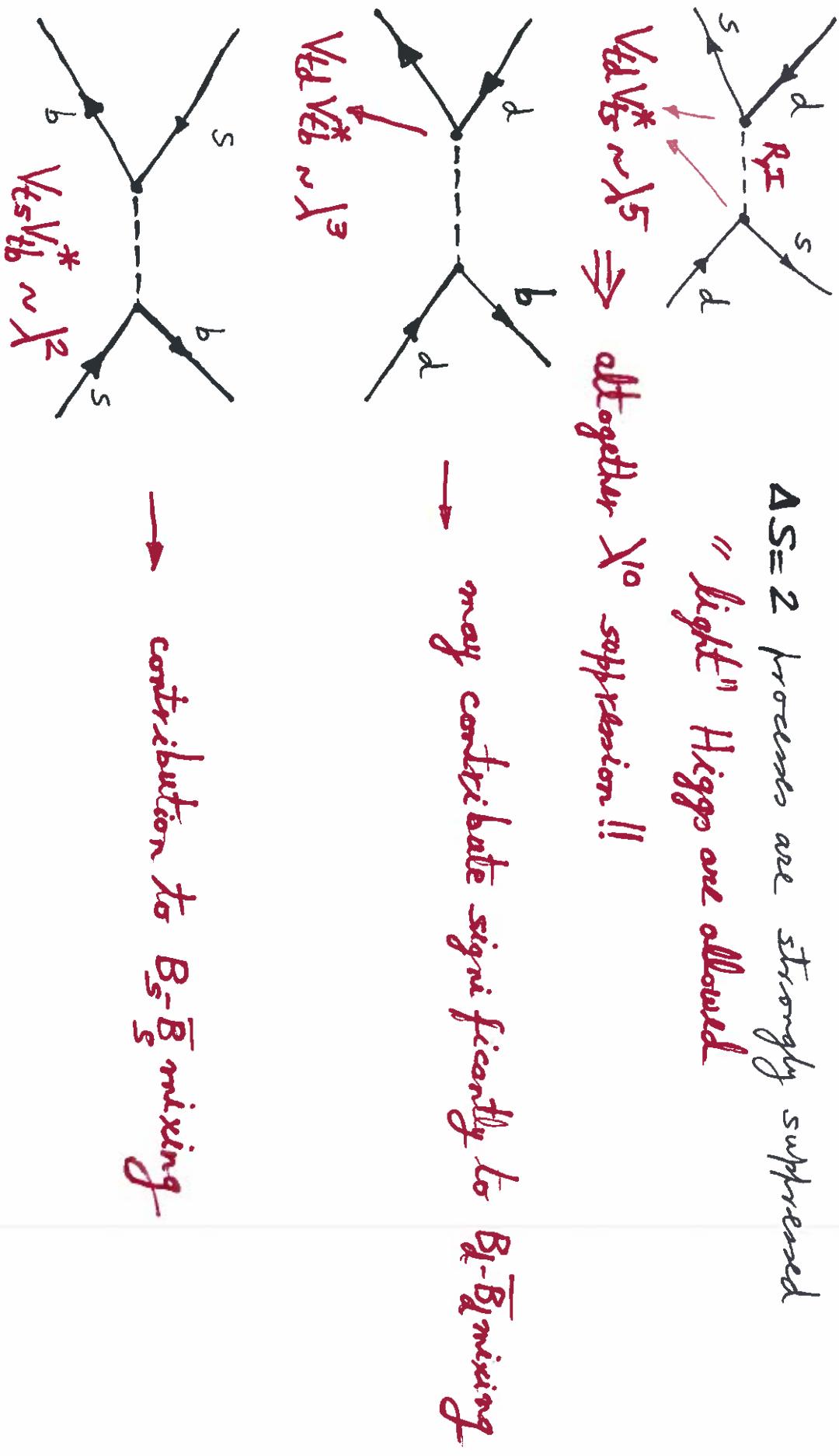
$$(N_d)_{ij} = \frac{V_2}{V_1} (D_d)_{ij} - \left(\frac{V_2}{V_1} + \frac{V_1}{V_2} \right) (V_{CKM}^\dagger)_{i3} (V_{CKM})_{3j} (D_d)_{jj}$$

$$(N_u) = -\frac{V_1}{V_2} \text{ diag. } (0, 0, m_t) + \frac{V_2}{V_1} \text{ diag. } (m_a, m_e, 0)$$

In this example, the Higgs mediated FCNC are suppressed by the 3rd row of V_{CKM} . Furthermore, there are FCNC only in the down sector!

An important feature of the Model which we have described :

Strong and Natural Suppression of the "most dangerous processes"



An important Question :

Are there any other models, based on different abelian symmetries, leading also to FCNC at tree-level but **completely controlled by CKM**, without any further parameters? "Intuitive" answer: Yes. Correct answer: No!!

Pedro Ferreira and João Sitra (Phys. Rev. D 83 (2011)) have classified all possible implementations of an Abelian symmetry in two-scalar doublet models, imposing the request of having non-vanishing quark masses and not block-diagonal $\sqrt{\text{CKM}}$. "Answer": BGL are unique??

This is a truly amazing result. We (BGL) did not use any systematic study, just used "intuition" and we were also **Lucky!**

So far, we have considered models which, due to the presence of a family symmetry, lead to FCNC, completely controlled by \sqrt{CKM}

Question :

Can one make a "minimal-flavour-type" expansion of N_d, N_u ?

It is clear that a necessary condition for N_d, N_u to be of the "MFV type" is that they should be functions of M_d, M_u and no other flavour dependent couplings

The terms entering in the expansion of N_d, N_u should have the right transformation properties under weak-basis (WB) transformations

Under a WB transformation, defined by :

$$Q_L^o \rightarrow W_L Q_L^o ; d_R^o \rightarrow W_R^d d_R^o ; u_R^o \rightarrow W_R^u u_R^o$$

The quark mass matrices M_u, M_d transform as :

$$M_d \rightarrow W_L^+ M_d W_R^d ; M_u \rightarrow W_L^+ M_u W_R^u$$

The matrices $U_{dL}, U_{dR}, U_{uL}, U_{uR}$ transform as

$$U_{dL} \rightarrow W_L^+ U_{dL} ; U_{uL} \rightarrow W_L^+ U_{uL}$$

$$U_{dR} \rightarrow W_R^{d+} U_{dR} ; U_{uR} \rightarrow W_R^{u+} U_{uR}$$

while the Hermitian matrices $H_{d,u} \equiv M_{d,u} M_{d,u}^+$ transform as :

$$H_d \rightarrow W_L^+ H_d W_L ; H_u \rightarrow W_L^+ H_u W_L$$

It is convenient to write H_d , H_u in terms of projection operators

(F. Botella, M. Klobot, O. Vives)

$$H_d = \sum_i m_{di}^2 P_{dL}^i, \text{ where } P_{dL}^i = U_{dL} P_i U_{dL}^\dagger, \text{ with } (P_i)_{jk} = \delta_{ij} \delta_{ik}$$

Obviously, under a WB transformation, N_d^o , N_u^o should transform as M_d , M_u . A MFV expansion for N_d^o , N_u^o with proper transformation properties is:

$$N_d^o = \lambda_1 M_d + \lambda_{2c} U_{dL} P_c U_{dL}^\dagger M_d + \lambda_{3c} U_{uL} P_c U_{uL}^\dagger M_d + \dots$$

$$N_u^o = \tau_1 M_u + \tau_{2c} U_{uL} P_c U_{uL}^\dagger M_u + \tau_{3c} U_{dL} P_c U_{dL}^\dagger M_u + \dots$$

In the mass eigenstate basis:

$$N_d^o = \lambda_1 D_d + \lambda_{2c} P_c D_d + \lambda_{3c} V_{ckm}^\dagger P_c V_{ckm} D_d + \dots$$

With analogous expression for N_u^o .

The BGL example considered before corresponds to the following truncation of our MFV expansion:

$$N_d^o = \frac{v_2}{v_1} M_d - \left(\frac{v_2}{v_1} + \frac{v_1}{v_2} \right) U_{uL} P_3 U_{dR}^\dagger M_d$$

$$N_u^o = \frac{v_2}{v_1} M_u - \left(\frac{v_2}{v_1} + \frac{v_1}{v_2} \right) U_{uL} P_3 U_{dR}^\dagger M_u$$

Note that the "truncation" corresponds to an exact symmetry of the Lagrangian.

I important point: of the six BGL-type models only one is compatible with the MFV principle.

See: A. Buras, M. Cacciari, S. Gori, G. Isidori
(1005.531@VI)

Extension to the Leptonic Sector

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BGL models can be extended to the Leptonic Sector.

Consider implementation in the seesaw framework :

$$\begin{aligned} \mathcal{L}_{\text{Y+mass}} = & - \bar{L}_L^0 \pi_1 \tilde{\phi}_1 l_R^0 - \bar{L}_L^0 \pi_2 \tilde{\phi}_2 l_R^0 - \bar{L}_L^0 \sum_i \tilde{\phi}_i \nu_R^0 - \\ & - \bar{L}_L^0 \sum_i \tilde{\phi}_i \nu_R^0 + \frac{1}{2} \nu_R^{0\top} C^{-1} M_R \nu_R^0 + h.c. \end{aligned}$$

M_R → right-handed Majorana mass matrix.

Impose the following Z_4 symmetry on the Lagrangian

$$L_L^0 \rightarrow \exp(i\alpha) L_L^0; \quad \nu_R^0 \rightarrow \exp(i\alpha) \nu_R^0; \quad \tilde{\phi}_2 \rightarrow e^{i\alpha} \tilde{\phi}_2$$

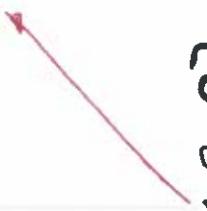
$\alpha = \pi/2 \rightarrow$ choice dictated by the request of having a non-vanishing $\det M_R$

Structure of Leptonic mass matrices :

$$\Pi_1 = \begin{bmatrix} x & x & x \\ x & x & x \\ 0 & 0 & 0 \end{bmatrix} ; \quad \Pi_2 = \begin{bmatrix} 0 & 0 & 0 \\ 0 & 0 & 0 \\ x & x & x \end{bmatrix}$$

$$\Sigma_1 = \begin{bmatrix} x & x & 0 \\ x & x & 0 \\ 0 & 0 & 0 \end{bmatrix} ; \quad \Sigma_2 = \begin{bmatrix} 0 & 0 & 0 \\ 0 & 0 & 0 \\ 0 & 0 & x \end{bmatrix} ; \quad M_R = \begin{bmatrix} x & x & 0 \\ x & x & 0 \\ 0 & 0 & x \end{bmatrix}$$

The non-vanishing of this entry
requires Σ_4 !



Conclusions

- We live in the **LHC ERA**. At this stage
"Nobody knows" what is the detailed mechanism
of electroweak symmetry breaking, chosen by **Nature**.
- Multi-Scalar models may play an important rôle
in solving the flavour puzzle. For that, it may
be necessary to violate the Dogma of **N.F.C.**
in the Scalar Sector. A theory of flavour may
have its own mechanism for the suppression of FCNC
in the Scalar Sector
- LHC may bring some surprises in the Scalar Sector
for example "Non-standard Higgs", hopefully
giving us new hints to find a solution to the
Flavour Puzzle