

# Introduction to Green functions

Stefan Kurth

1. Universidad del País Vasco UPV/EHU, San Sebastián, Spain
2. IKERBASQUE, Basque Foundation for Science, Bilbao, Spain
3. European Theoretical Spectroscopy Facility (ETSF), [www.etsf.eu](http://www.etsf.eu)



# Outline

- Green functions in mathematics
- Many-particle Green functions in equilibrium
  - Zero temperature formalism
    - One-particle Green function
    - Response functions and two-particle Green functions
  - Finite temperature formalism
- Non-equilibrium Green functions
  - Keldysh contour and Kadanoff-Baym equations
- Summary

# Green functions in mathematics

consider inhomogeneous differential equation (1D for simplicity)

$$\hat{D}_x y(x) = f(x)$$

where  $\hat{D}_x$  is linear differential operator in  $x$ .

Example: damped harmonic oscillator  $\hat{D}_x = \frac{d^2}{dx^2} + \gamma \frac{d}{dx} + \omega^2$

general solution of inhomogeneous equation:

$$y(x) = y_{\text{hom}}(x) + y_{\text{spec}}(x)$$

where  $y_{\text{hom}}$  is solution of the homogeneous eqn.  $\hat{D}_x y_{\text{hom}}(x) = 0$  and  $y_{\text{spec}}(x)$  is any special solution of the inhomogeneous equation.

## Green functions in mathematics (cont.)

how to obtain a special solution of the inhomogeneous equation for any inhomogeneity  $f(x)$ ?

first find the solution of the following equation

$$\hat{D}_x G(x, x') = \delta(x - x')$$

This defines the Green function  $G(x, x')$  corresponding to the operator  $\hat{D}_x$ .

Once  $G(x, x')$  is found, a special solution can be constructed by

$$y_{\text{spec}}(x) = \int dx' G(x, x') f(x')$$

check:  $\hat{D}_x \int dx' G(x, x') f(x') = \int dx' \delta(x - x') f(x') = f(x)$

# Hamiltonian of interacting electrons

consider system of interacting electrons in static external potential  $v_{ext}(\mathbf{r})$  described by Hamiltonian  $\hat{H}$

$$\hat{H} = \hat{T} + \hat{V}_{ext} + \hat{W} = \int d^3x \hat{\psi}^\dagger(\mathbf{x}) \left( -\frac{\nabla^2}{2} + v_{ext}(\mathbf{r}) \right) \hat{\psi}(\mathbf{x})$$

$$+ \frac{1}{2} \int d^3x \int d^3x' \hat{\psi}^\dagger(\mathbf{x}) \hat{\psi}^\dagger(\mathbf{x}') \frac{1}{|\mathbf{r} - \mathbf{r}'|} \hat{\psi}(\mathbf{x}') \hat{\psi}(\mathbf{x})$$

- $\mathbf{x} = (\mathbf{r}, \sigma)$ : space-spin coordinate
- $\hat{\psi}^\dagger(\mathbf{x}), \hat{\psi}(\mathbf{x})$ : electron creation and annihilation operators

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- $\mathbf{x} = (\mathbf{r}, \sigma)$ : space-spin coordinate
- $\hat{\psi}^\dagger(\mathbf{x})$ ,  $\hat{\psi}(\mathbf{x})$ : electron creation and annihilation operators

# One-particle Green functions at zero temperature

## Time-ordered 1-particle Green function at zero temperature

$$iG(\mathbf{x}, t; \mathbf{x}', t') = \frac{\langle \Psi_0^N | \hat{T}[\hat{\psi}(\mathbf{x}, t)_H \hat{\psi}^\dagger(\mathbf{x}', t')_H] | \Psi_0^N \rangle}{\langle \Psi_0^N | \Psi_0^N \rangle}$$

- $|\Psi_0^N\rangle$ :  $N$ -particle ground state of  $\hat{H}$ :  $\hat{H}|\Psi_0^N\rangle = E_0^N|\Psi_0^N\rangle$
- $\hat{\psi}(\mathbf{x}, t)_H = \exp(i\hat{H}t)\hat{\psi}(\mathbf{x})\exp(-i\hat{H}t)$  :  
electron annihilation operator in Heisenberg picture
- $\hat{T}$ : time-ordering operator  
 $\hat{T}[\hat{\psi}(\mathbf{x}, t)_H \hat{\psi}^\dagger(\mathbf{x}', t')_H] =$   
 $\theta(t - t')\hat{\psi}(\mathbf{x}, t)_H \hat{\psi}^\dagger(\mathbf{x}', t')_H - \theta(t' - t)\hat{\psi}^\dagger(\mathbf{x}', t')_H \hat{\psi}(\mathbf{x}, t)_H$

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# One-particle Green functions at zero temperature

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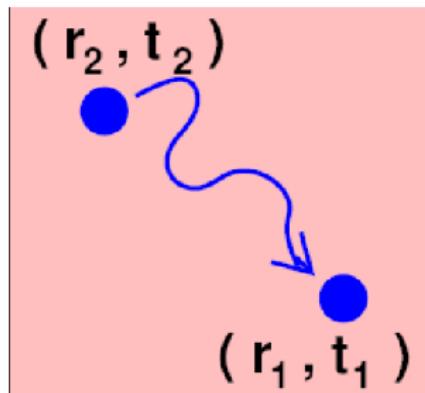
$$iG(\mathbf{x}, t; \mathbf{x}', t') = \frac{\langle \Psi_0^N | \hat{T}[\hat{\psi}(\mathbf{x}, t)_H \hat{\psi}^\dagger(\mathbf{x}', t')_H] | \Psi_0^N \rangle}{\langle \Psi_0^N | \Psi_0^N \rangle}$$

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# Green functions as propagator

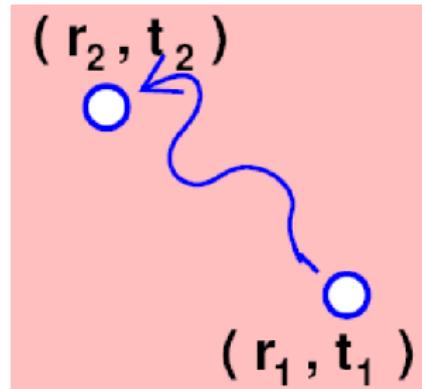
$$t_1 < t_2$$

create electron at time  $t_2$  at position  $\mathbf{r}_2$  and propagate;  
then annihilate electron at time  $t_1$  at position  $\mathbf{r}_1$



$$t_2 < t_1$$

annihilate electron (create hole) at time  $t_1$  at position  $\mathbf{r}_1$ ;  
then create electron (annihilate hole) at time  $t_2$  at position  $\mathbf{r}_2$



# Observables from Green functions

Information which can be extracted from Green functions

- ground-state expectation values of any single-particle operator  
 $\hat{O} = \int d^3x \hat{\psi}^\dagger(\mathbf{x}) o(\mathbf{x}) \hat{\psi}(\mathbf{x})$   
e.g., density operator  $\hat{n}(\mathbf{r}) = \sum_\sigma \hat{\psi}^\dagger(\mathbf{r}\sigma) \hat{\psi}(\mathbf{r}\sigma)$
- ground-state energy of the system

## Galitski-Migdal formula

$$E_0^N = -\frac{i}{2} \int d^3x \lim_{t' \rightarrow t^+} \lim_{\mathbf{r}' \rightarrow \mathbf{r}} \left( i \frac{\partial}{\partial t} - \frac{\nabla^2}{2} \right) G(\mathbf{r}\sigma, t; \mathbf{r}'\sigma, t')$$

- spectrum of system: direct photoemission, inverse photoemission

# Other kind of Green functions

## Retarded and advanced Green functions

$$iG^R(\mathbf{x}, t; \mathbf{x}', t') = \theta(t - t') \langle \Psi_0^N | \{ \hat{\psi}(\mathbf{x}, t)_H, \hat{\psi}^\dagger(\mathbf{x}', t')_H^\dagger \} | \Psi_0^N \rangle$$

$$iG^A(\mathbf{x}, t; \mathbf{x}', t') = -\theta(t' - t) \langle \Psi_0^N | \{ \hat{\psi}(\mathbf{x}, t)_H, \hat{\psi}^\dagger(\mathbf{x}', t')_H \} | \Psi_0^N \rangle$$

# Spectral (Lehmann) representation of Green function

use completeness relation  $1 = \sum_{N,k} |\Psi_k^N\rangle\langle\Psi_k^N| \longrightarrow$

$$\begin{aligned} & iG(\mathbf{x}, t; \mathbf{x}', t') \\ &= \theta(t - t') \sum_k \exp\left(i(E_0^N - E_k^{N+1})(t - t')\right) g_k(\mathbf{x}) g_k^*(\mathbf{x}') \\ &\quad - \theta(t' - t) \sum_k \exp\left(i(E_0^N - E_k^{N-1})(t' - t)\right) f_k(\mathbf{x}') f_k^*(\mathbf{x}) \end{aligned}$$

with quasiparticle amplitudes

$$f_k(\mathbf{x}) = \langle \Psi_k^{N-1} | \hat{\psi}(\mathbf{x}) | \Psi_0^N \rangle$$

$$g_k(\mathbf{x}) = \langle \Psi_0^N | \hat{\psi}(\mathbf{x}) | \Psi_k^{N+1} \rangle$$

note:  $G$  depends only on  $t - t'$   $\longrightarrow$  Fourier transform w.r.t.  $t - t'$

# Lehmann representation of Green function

## Lehmann representation

$$G(\mathbf{x}, \mathbf{x}'; \omega) = \sum_k \frac{g_k(\mathbf{x})g_k^*(\mathbf{x}')}{\omega - (E_k^{N+1} - E_0^N) + i\eta} + \sum_k \frac{f_k(\mathbf{x})f_k^*(\mathbf{x}')}{\omega + (E_k^{N-1} - E_0^N) - i\eta}$$

similarly for retarded/advanced Green functions

## Lehmann representation for retarded and advanced GF

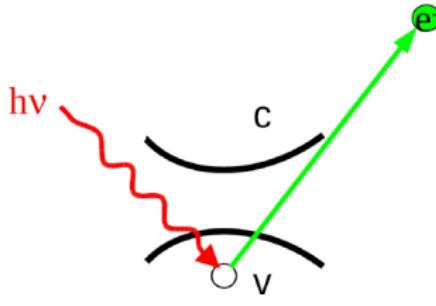
$$G^{R/A}(\mathbf{x}, \mathbf{x}'; \omega) = \sum_k \frac{g_k(\mathbf{x})g_k^*(\mathbf{x}')}{\omega - (E_k^{N+1} - E_0^N) \pm i\eta} + \sum_k \frac{f_k(\mathbf{x})f_k^*(\mathbf{x}')}{\omega + (E_k^{N-1} - E_0^N) \pm i\eta}$$

where "+" applies for  $G^R$  and "-" for  $G^A$

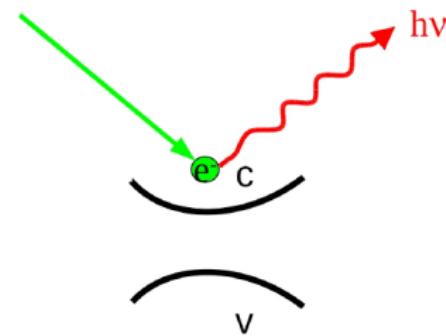
# Spectral information contained in Green function

Green function contains spectral information on single-particle excitations changing the number of particles by one! The poles of the GF give the corresponding excitation energies.

direct photoemission



inverse photoemission



# Analytic structure of Green function

rewrite denominator of first term for Green function:

$$\begin{aligned}\omega - (E_k^{N+1} - E_0^N) + i\eta &= \omega - (E_k^{N+1} - E_0^{N+1}) - (E_0^{N+1} - E_0^N) + i\eta \\ &\approx \omega - (E_k^{N+1} - E_0^{N+1}) - \mu + i\eta\end{aligned}$$

similarly for second denominator:

$$\omega + (E_k^{N-1} - E_0^N) - i\eta = \omega + (E_k^{N-1} - E_0^{N-1}) - \mu - i\eta$$

where we used (valid for large  $N$  and for *metallic* systems)

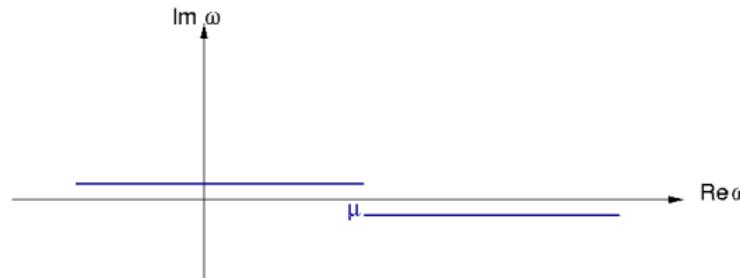
$$\frac{E_0^{N+1} - E_0^N}{1} \approx \left. \frac{dE_0}{dN} \right|_N = \mu(N) \approx \mu(N-1) := \mu$$

# Analytic structure of Green function

## pole structure of Green function



for extended systems: single poles merge to branch cuts



# Spectral function

## Spectral function

$$A(\mathbf{x}, \mathbf{x}'; \omega) = -\frac{1}{\pi} \text{Im} G^R(\mathbf{x}, \mathbf{x}'; \omega) =$$
$$\sum_k g_k(\mathbf{x}) g_k^*(\mathbf{x}') \delta(\omega + E_0^N - E_k^{N+1}) + f_k(\mathbf{x}) f_k^*(\mathbf{x}') \delta(\omega + E_k^{N-1} - E_0^N)$$

$A(\mathbf{x}, \mathbf{x}'; \omega)$ : local density of states

# Perturbation Theory for Green function

Green function  $G(\mathbf{x}, t; \mathbf{x}', t') = -i\langle \Psi_0^N | \hat{T}[\hat{\psi}(\mathbf{x}, t)_H \hat{\psi}(\mathbf{x}', t')^\dagger_H] | \Psi_0^N \rangle$   
is a complicated object, it involves many-body ground state  $|\Psi_0^N\rangle$   
→ perturbation theory to calculate Green function: split  
Hamiltonian in two parts

$$\hat{H} = \hat{H}_0 + \hat{W} = \hat{T} + \hat{V}_{ext} + \hat{W}$$

treat interaction  $\hat{W}$  as perturbation → machinery of many-body  
perturbation theory: Wick's theorem, Gell-Mann-Low theorem,  
and, most importantly, Feynman diagrams

# Feynman diagrams

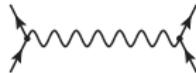
Feynman diagrams: graphical representation of perturbation series  
elements of diagrams:



Green function  $G_0$  of noninteracting system ( $\hat{H}_0$ )



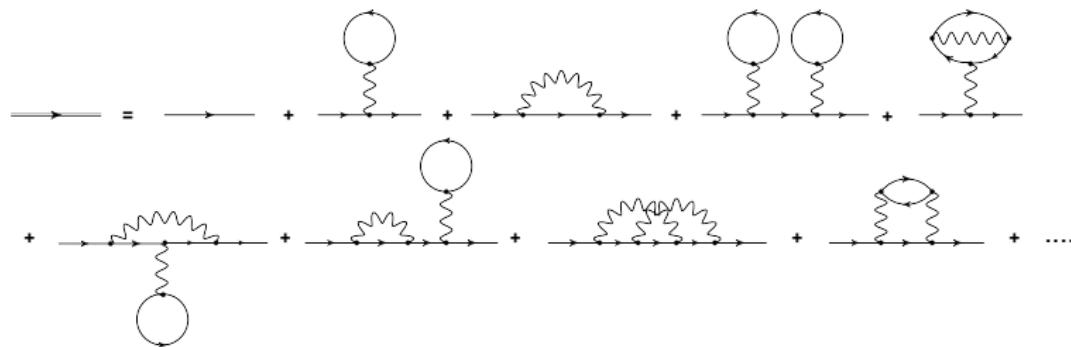
Green function  $G$  of interacting system



Coulomb interaction  $v_{\text{Crb}}(\mathbf{x}, t; \mathbf{x}', t') = \frac{\delta(t-t')}{|\mathbf{r}-\mathbf{r}'|}$

# Diagrammatic series for Green function

Perturbation series for  $G$ : sum of all *connected* diagrams

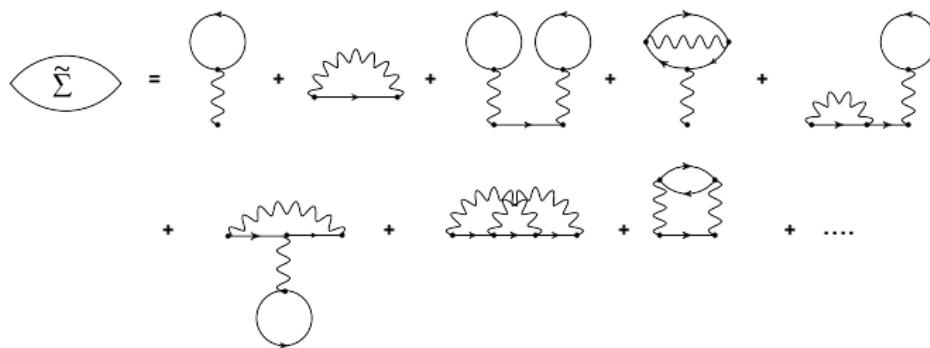


Lots of diagrams!

# Self energy: reducible and irreducible

## Self energy insertion and reducible self energy

- Self energy insertion: any part of a diagram which is connected to the rest of the diagram by two  $G_0$ -lines, one incoming and one outgoing
- Reducible self energy  $\tilde{\Sigma}$ : sum of all self-energy insertions



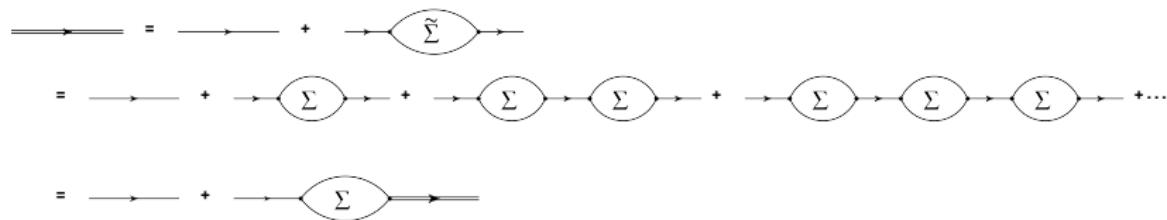
# Self energy: reducible and irreducible

Proper self energy insertion and irreducible (proper) self energy

- Proper self energy insertion: any self energy insertion which cannot be separated in two pieces by cutting a single  $G_0$ -line
- Irreducible self energy  $\Sigma$ : sum of all *proper* self-energy insertions

$$\tilde{\Sigma} = \Sigma + \Sigma \rightarrow \Sigma + \Sigma \rightarrow \Sigma + \dots$$

## Dyson equation



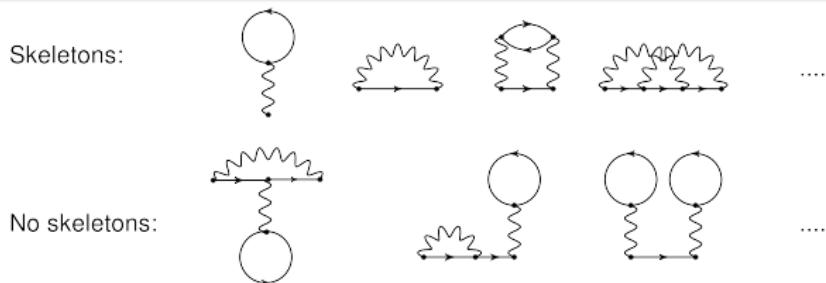
## Dyson equation

$$G(\mathbf{x}, \mathbf{x}'; \omega) = G_0(\mathbf{x}, \mathbf{x}'; \omega)$$

$$+ \int d^3y \int d^3y' G_0(\mathbf{x}, \mathbf{y}; \omega) \Sigma(\mathbf{y}, \mathbf{y}'; \omega) G(\mathbf{y}', \mathbf{x}'; \omega)$$

# Skeletons and dressed skeletons

**Skeleton diagram:** self-energy diagram which does contain no other self-energy insertions except itself



**Dressed skeleton:** replace all  $G_0$ -lines in a skeleton by  $G$ -lines —  
**irreducible self energy:** sum of all dressed skeleton diagrams

$$\Sigma = \text{loop} + \text{wavy line} + \text{loop with wavy line} + \text{loop with two wavy lines} + \dots$$

→  $\Sigma$  becomes functional of  $G$ :  $\Sigma = \Sigma[G]$

# Equation of motion for Green function

## Lehmann representation for $G_0$

$$G_0(\mathbf{x}, \mathbf{x}'; \omega) = \sum_k \frac{\theta(\varepsilon_k - \varepsilon_F) \varphi_k(\mathbf{x}) \varphi_k^*(\mathbf{x}')}{\omega - \varepsilon_k + i\eta} + \sum_k \frac{\theta(\varepsilon_F - \varepsilon_k) \varphi_k(\mathbf{x}) \varphi_k^*(\mathbf{x}')}{\omega - \varepsilon_k - i\eta}$$

act with operator  $\omega - \hat{h}_0(\mathbf{x}) = \omega - (-\frac{\nabla_{\mathbf{x}}^2}{2} + v_{ext}(\mathbf{x}))$  on  $G_0$

## Equation of motion for non-interacting Green function $G_0$

$$(\omega - \hat{h}_0(\mathbf{x})) G_0(\mathbf{x}, \mathbf{x}'; \omega) = \sum_k \varphi_k(\mathbf{x}) \varphi_k^*(\mathbf{x}') = \delta(\mathbf{x} - \mathbf{x}')$$

→  $G_0$  is a mathematical Green function !

# Equation of motion for Green function (cont.)

act with  $\omega - \hat{h}_0(\mathbf{x})$  on Dyson equation for  $G$

## Equation of motion for interacting Green function $G$

$$(\omega - \hat{h}_0(\mathbf{x}))G(\mathbf{x}, \mathbf{x}'; \omega) = \delta(\mathbf{x} - \mathbf{x}') + \int d^3y' \Sigma(\mathbf{x}, \mathbf{y}'; \omega)G(\mathbf{y}', \mathbf{x}'; \omega)$$

or with time arguments

$$\left( i \frac{\partial}{\partial t} - \hat{h}_0(\mathbf{x}) \right) G(\mathbf{x}, t; \mathbf{x}', t') = \delta(\mathbf{x} - \mathbf{x}')\delta(t - t')$$

$$+ \int d^3y' \int dt'' \Sigma(\mathbf{x}, t; \mathbf{y}', t'')G(\mathbf{y}', t'', \mathbf{x}'; t')$$

# Linear density response function

Suppose we expose our interacting many-electron system to an external, time-dependent perturbation  $\hat{V}(t) = \int d^3x \delta v(\mathbf{x}, t) \hat{n}(\mathbf{x})$   
we are interested in the change of the density

$$\delta n(\mathbf{x}, t) = \langle \Psi^N(t) | \hat{n}(\mathbf{x}) | \Psi^N(t) \rangle - \langle \Psi_0^N | \hat{n}(\mathbf{x}) | \Psi_0^N \rangle$$

to linear order in  $\delta v(\mathbf{x}, t)$

time-dependent Schrödinger equation

$$i \frac{\partial}{\partial t} |\Psi^N(t)\rangle = \left( \hat{H} + \hat{V}(t) \right) |\Psi^N(t)\rangle$$

in Heisenberg picture  $|\Psi^N(t)\rangle_H = \exp(i\hat{H}t) |\Psi^N(t)\rangle \longrightarrow$

$$i \frac{\partial}{\partial t} |\Psi^N(t)\rangle_H = \hat{V}(t)_H |\Psi^N(t)\rangle_H$$

# Linear density response function (cont.)

→ to linear order in  $\delta v(\mathbf{x}, t)$  we have

$$|\Psi^N(t)\rangle = \exp(-i\hat{H}t) \left( 1 - i \int_0^t dt' \hat{V}(t')_H \right) |\Psi_0^N\rangle$$

and for  $\delta n(\mathbf{x}, t) = \int d^3x' \int_0^\infty dt' \chi(\mathbf{x}, t; \mathbf{x}', t') \delta v(\mathbf{x}', t')$  with

linear density response function

$$i\chi(\mathbf{x}, t; \mathbf{x}', t') = i\Pi^R(\mathbf{x}, t; \mathbf{x}', t')$$

$$= \theta(t - t') \frac{\langle \Psi_0^N | [\hat{n}(\mathbf{x}, t)_H, \hat{n}(\mathbf{x}', t')_H] | \Psi_0^N \rangle}{\langle \Psi_0^N | \Psi_0^N \rangle}$$

with  $\hat{\tilde{n}}(\mathbf{x}, t)_H = \hat{n}(\mathbf{x}, t)_H - \langle \Psi_0^N | \hat{n}(\mathbf{x}) | \Psi_0^N \rangle$

# Linear density response function (cont.)

## Lehmann representation of linear density response function

$$\begin{aligned}\chi(\mathbf{x}, \mathbf{x}'; \omega) = \Pi^R(\mathbf{x}, \mathbf{x}'; \omega) &= \sum_k \frac{\langle \Psi_0^N | \hat{n}(\mathbf{x}) | \Psi_k^N \rangle \langle \Psi_k^N | \hat{n}(\mathbf{x}') | \Psi_0^N \rangle}{\omega - (E_k^N - E_0^N) + i\eta} \\ &\quad - \sum_k \frac{\langle \Psi_0^N | \hat{n}(\mathbf{x}') | \Psi_k^N \rangle \langle \Psi_k^N | \hat{n}(\mathbf{x}) | \Psi_0^N \rangle}{\omega + (E_k^N - E_0^N) + i\eta}\end{aligned}$$

note: the poles of  $\chi$  are at the *optical* excitation energies of the system, i.e., excitations for which the number of particles does not change!

# Two-particle Green function and polarization propagator

## Two-particle Green function

$$i^2 G^{(2)}(\mathbf{x}_1, t_1; \mathbf{x}_2, t_2; \mathbf{x}_3, t_3; \mathbf{x}_4, t_4) = \frac{1}{\langle \Psi_0^N | \Psi_0^N \rangle}$$

$$\langle \Psi_0^N | \hat{T}[\hat{\psi}(\mathbf{x}_1, t_1)_H \hat{\psi}(\mathbf{x}_2, t_2)_H \hat{\psi}^\dagger(\mathbf{x}_3, t_3)_H \hat{\psi}^\dagger(\mathbf{x}_4, t_4)_H] | \Psi_0^N \rangle$$

## Polarization propagator

$$i\Pi(\mathbf{x}, t; \mathbf{x}', t') = \frac{\langle \Psi_0^N | \hat{T}[\hat{\tilde{n}}(\mathbf{x}, t)_H \hat{\tilde{n}}(\mathbf{x}', t')_H] | \Psi_0^N \rangle}{\langle \Psi_0^N | \Psi_0^N \rangle}$$

relation between the two:

$$i^2 G^{(2)}(\mathbf{x}_1, t_1; \mathbf{x}_2, t_2; \mathbf{x}_1, t_1^+; \mathbf{x}_2, t_2^+) = i\Pi(\mathbf{x}_1, t_1; \mathbf{x}_2, t_2) + n(\mathbf{x}_1)n(\mathbf{x}_2)$$

## Lehmann representation of polarization propagator

$$\Pi(\mathbf{x}, \mathbf{x}'; \omega) = \sum_k \frac{\langle \Psi_0^N | \hat{n}(\mathbf{x}) | \Psi_k^N \rangle \langle \Psi_k^N | \hat{n}(\mathbf{x}') | \Psi_0^N \rangle}{\omega - (E_k^N - E_0^N) + i\eta}$$

$$- \sum_k \frac{\langle \Psi_0^N | \hat{n}(\mathbf{x}') | \Psi_k^N \rangle \langle \Psi_k^N | \hat{n}(\mathbf{x}) | \Psi_0^N \rangle}{\omega + (E_k^N - E_0^N) - i\eta}$$

compare with Lehmann representation of linear density response

$$\chi(\mathbf{x}, \mathbf{x}'; \omega) = \Pi^R(\mathbf{x}, \mathbf{x}'; \omega) = \sum_k \frac{\langle \Psi_0^N | \hat{n}(\mathbf{x}) | \Psi_k^N \rangle \langle \Psi_k^N | \hat{n}(\mathbf{x}') | \Psi_0^N \rangle}{\omega - (E_k^N - E_0^N) + i\eta}$$

$$- \sum_k \frac{\langle \Psi_0^N | \hat{n}(\mathbf{x}') | \Psi_k^N \rangle \langle \Psi_k^N | \hat{n}(\mathbf{x}) | \Psi_0^N \rangle}{\omega + (E_k^N - E_0^N) + i\eta}$$

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$$- \sum_k \frac{\langle \Psi_0^N | \hat{n}(\mathbf{x}') | \Psi_k^N \rangle \langle \Psi_k^N | \hat{n}(\mathbf{x}) | \Psi_0^N \rangle}{\omega + (E_k^N - E_0^N) - i\eta}$$

compare with Lehmann representation of linear density response

$$\chi(\mathbf{x}, \mathbf{x}'; \omega) = \Pi^R(\mathbf{x}, \mathbf{x}'; \omega) = \sum_k \frac{\langle \Psi_0^N | \hat{n}(\mathbf{x}) | \Psi_k^N \rangle \langle \Psi_k^N | \hat{n}(\mathbf{x}') | \Psi_0^N \rangle}{\omega - (E_k^N - E_0^N) + i\eta}$$

$$- \sum_k \frac{\langle \Psi_0^N | \hat{n}(\mathbf{x}') | \Psi_k^N \rangle \langle \Psi_k^N | \hat{n}(\mathbf{x}) | \Psi_0^N \rangle}{\omega + (E_k^N - E_0^N) + i\eta}$$



# Particle-hole propagator: diagrammatic representation

## Definition of particle-hole propagator

The particle-hole propagator is the two-particle Green function with a time-ordering such that both the two latest and the two earliest times correspond to one creation and one annihilation operator

## Diagrammatic representation:

$$G^{(2)}(x_1, t_1; x_2, t_2; x_3, t_3; x_4, t_4) = \begin{array}{c} x_4, t_4 \rightarrow \\ \text{---} \end{array} + \begin{array}{c} x_1, t_1 \rightarrow \\ \text{---} \end{array}$$

## Diagrammatic representation of polarization propagator:

$$i\Pi(x_1, t_1; x_2, t_2) = \begin{array}{c} x_2, t_2 \leftarrow \\ \text{---} \end{array} + \begin{array}{c} x_1, t_1 \rightarrow \\ \text{---} \end{array} =: x_2, t_2 \text{---} x_1, t_1$$

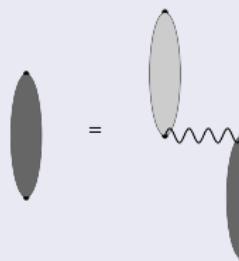
# Polarization propagator and irreducible polarization insertions

## Irreducible polarization insertion

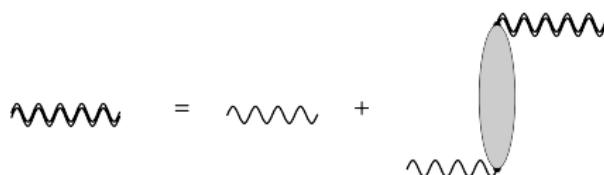
A diagram for the polarization propagator which cannot be reduced to lower-order diagrams for  $\Pi$  by cutting a single interaction line

Def:  $i P(x_1, t_1; x_2, t_2) = x_2, t_2 \text{ (oval)} x_1, t_1$  = sum of all irreducible polarization insertions

→ Dyson-like eqn. for full polarization propagator



# Effective interaction and dielectric function



## Effective interaction

$$v_{\text{eff}} =: \epsilon^{-1} v_{\text{Clb}} = v_{\text{Clb}} + v_{\text{Clb}} P v_{\text{eff}}$$

## Dielectric function

$$\epsilon = 1 - v_{\text{Clb}} P$$

## Inverse dielectric function

$$\epsilon^{-1} = 1 + v_{\text{Clb}} \Pi$$

# Vertex insertions

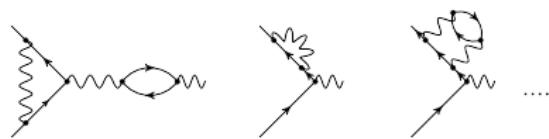
## Vertex insertion

(part of a) diagram with one external in- and one outgoing  $G_0$ -line and one external interaction line

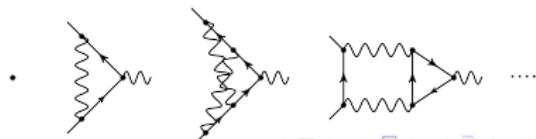
## Irreducible vertex insertion

A vertex insertion which has no self-energy insertions on the in- and outgoing  $G_0$ -lines and no polarization insertion on the external interaction line

Reducible vertex insertions:

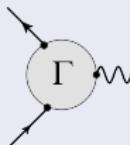


Irreducible vertex insertions:



# Irreducible vertex and Hedin's equations

## Irreducible vertex



= sum of all irreducible  
vertex insertions

## Hedin's equations (exact!)

$$\Sigma = \text{loop} + \text{irreducible vertex}$$

$$iP = \text{loop} = \text{loop with } \Gamma$$

## Hedin's equations

$$\Sigma = v_{\text{Hart}} + iGWT$$

$$iP = GGT$$

$$G = G_0 + G_0 \Sigma G$$

$$W = v_{\text{Clb}} + v_{\text{Clb}} PW$$

$$\Gamma = 1 + \frac{\delta \Sigma}{\delta G} GGT$$

L. Hedin, Phys. Rev. **139** (1965)

# GW approximation

In the GW approximation the vertex is approximated as:  $\Gamma \approx 1$

## GW approximation

$$\Sigma = \text{loop} + \text{wavy line}$$

$$iP = \text{elliptical loop} = \text{double loop}$$

## GW approximation

$$\Sigma = v_{\text{Hart}} + iGW$$

$$iP = GG$$

$$G = G_0 + G_0 \Sigma G$$

$$W = v_{\text{Crb}} + v_{\text{Crb}} PW$$

$$\Gamma = 1$$

# Finite-temperature Green functions in equilibrium

system described by Hamiltonian  $\hat{H}$  in equilibrium at inverse temperature  $\beta = 1/T$

grand partition function and statistical operator

$$Z_G = \text{Tr} \left\{ \exp(-\beta(\hat{H} - \mu\hat{N})) \right\}$$

$$\hat{\rho}_G = \frac{\exp(-\beta(\hat{H} - \mu\hat{N}))}{Z_G}$$

modified Heisenberg picture for operator  $\hat{O}(\mathbf{x})$

$$\hat{O}(\mathbf{x}, \tau)_H = \exp((\hat{H} - \mu\hat{N})\tau) \hat{O}(\mathbf{x}) \exp(-(\hat{H} - \mu\hat{N})\tau)$$

# Finite-temperature Green functions (cont.)

## Equilibrium Green function at finite temperature

$$G(\mathbf{x}, \tau; \mathbf{x}', \tau') = -\text{Tr} \left\{ \hat{\rho}_G \hat{T}_\tau [\hat{\psi}(\mathbf{x}, \tau)_H \hat{\psi}^\dagger(\mathbf{x}', \tau')_H] \right\}$$

where time-ordering operator  $\hat{T}_\tau$  orders w.r.t.  $\tau$ :

$$\begin{aligned} \hat{T}_\tau [\hat{\psi}(\mathbf{x}, \tau)_H \hat{\psi}^\dagger(\mathbf{x}', \tau')_H] &= \\ \theta(\tau - \tau') \hat{\psi}(\mathbf{x}, \tau)_H \hat{\psi}^\dagger(\mathbf{x}', \tau')_H &- \theta(\tau' - \tau) \hat{\psi}^\dagger(\mathbf{x}', \tau')_H \hat{\psi}(\mathbf{x}, \tau)_H \end{aligned}$$

periodicity of finite- $T$  Green function: assume  $0 < \tau' < \beta$

$$\begin{aligned} G(\mathbf{x}, 0; \mathbf{x}', \tau') &= -\text{Tr} \left\{ \hat{\rho}_G \hat{\psi}^\dagger(\mathbf{x}', \tau')_H \hat{\psi}(\mathbf{x}, 0)_H \right\} \\ &= -Z_G^{-1} \text{Tr} \left\{ \hat{\psi}(\mathbf{x}, 0)_H \exp(-\beta(\hat{H} - \mu \hat{N})) \hat{\psi}^\dagger(\mathbf{x}', \tau')_H \right\} \\ &= -Z_G^{-1} \text{Tr} \left\{ \exp(-\beta(\hat{H} - \mu \hat{N})) \hat{\psi}(\mathbf{x}, \beta)_H \hat{\psi}^\dagger(\mathbf{x}', \tau')_H \right\} \\ &= G(\mathbf{x}, \beta; \mathbf{x}', \tau') \end{aligned}$$

## Finite-temperature Green functions (cont.)

Hamiltonian  $\hat{H}$  time-independent  $\rightarrow G$  depends only on  $\tau - \tau'$ ;  
 use periodicity to write  $G$  as Fourier series

$$G(\mathbf{x}, \tau; \mathbf{x}', \tau') = \frac{1}{\beta} \sum_n \exp(-i\omega_n(\tau - \tau')) G(\mathbf{x}, \mathbf{x}'; \omega_n)$$

$$G(\mathbf{x}, \mathbf{x}'; \omega_n) = \int_0^\beta d\tau \exp(-i\omega_n(\tau - \tau')) G(\mathbf{x}, \mathbf{x}'; \tau - \tau')$$

$$\omega_n = \frac{(2n+1)\pi}{\beta} \quad n \text{ integer}$$

Perturbation expansion for finite- $T$  Green function structurally identical to the one for  $T = 0$ :  $\rightarrow$  use same diagrammatic analysis with only small change when translating diagrams to equations

# Non-equilibrium Green functions: Keldysh contour

now consider problem with *time-dependent* Hamiltonian  $\hat{H}(t)$  → time evolution of an initial state as  $|\Psi(t)\rangle = \hat{U}(t, 0)|\Psi(0)\rangle$  with

## Time evolution operator

$$\hat{U}(t, t') = \begin{cases} \hat{T} \exp(-i \int_t^{t'} d\bar{t} \hat{H}(\bar{t})) & \text{for } t > t' \\ \hat{\bar{T}} \exp(-i \int_t^{t'} d\bar{t} \hat{H}(\bar{t})) & \text{for } t < t' \end{cases}$$

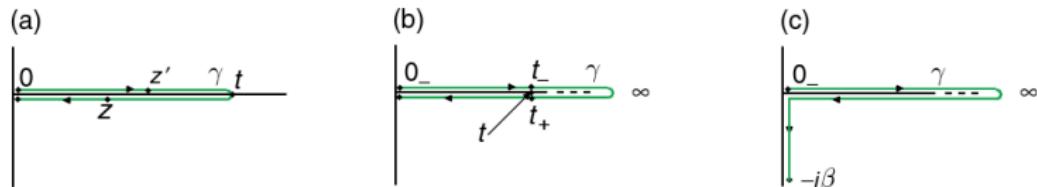
where  $\hat{T}$  is the time-ordering operator (orders operators with later times to left) and  $\hat{\bar{T}}$  is anti-chronological time ordering operator (orders operators with earlier times to left)

# Keldysh contour

Expectation value of some operator

$$\begin{aligned}
 O(t) &= \langle \Psi(0) | \hat{U}(0, t) \hat{O} \hat{U}(t, 0) | \Psi(0) \rangle \\
 &= \left\langle \Psi(0) \left| \hat{T}_\gamma \left[ \exp \left( -i \int_\gamma d\bar{z} \hat{H}(\bar{z}) \right) \hat{O}(t) \right] \right| \Psi(0) \right\rangle
 \end{aligned}$$

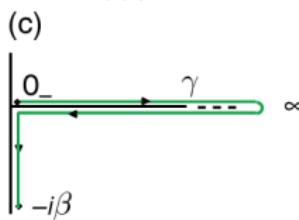
with contour a) (below) and contour ordering operator  $\hat{T}_\gamma$  which moves operators with “later” contour variables to the left



extend contour to infinity as in b). For any physical time  $t$ : two points  $z = t_-$  on the forward and  $z = t_+$  on the backward branch note:  $O(t) = O(t_-) = O(t_+) = O(z)!$

# Keldysh contour

If one is interested in the time-evolution of ensembles described by a statistical operator  $\hat{\rho}(t) = \sum_m w_m |\Psi_m(t)\rangle\langle\Psi_m(t)|$ , in particular if at  $t = 0$  the system is in thermal equilibrium with statistical operator  $\hat{\rho} = \exp(-\beta(\hat{H} - \mu\hat{N}))/Z_G \longrightarrow$  extend Keldysh contour



## Ensemble expectation value of some operator

$$O(z) = \text{Tr} \left\{ \exp(\beta\mu\hat{N}) \hat{T}_\gamma \left[ \exp \left( -i \int_\gamma d\bar{z} \hat{H}(\bar{z}) \right) \hat{O}(z) \right] \right\} / Z_G$$

# Non-equilibrium (Keldysh) Green function

## Non-equilibrium (Keldysh) Green function

$$iG(\mathbf{x}, z; \mathbf{x}', z') =$$

$$\text{Tr} \left\{ \exp(\beta\mu\hat{N}) \hat{T}_\gamma \left[ \exp \left( -i \int_\gamma d\bar{z} \hat{H}(\bar{z}) \right) \hat{\psi}(\mathbf{x}, z) \hat{\psi}^\dagger(\mathbf{x}', z') \right] \right\} / Z_G$$

again diagrammatic analysis possible. Of course, the translation rules from diagrams to equations are more complicated!

# Kadanoff-Baym equation

equation of motion for Keldysh Green function

## Kadanoff-Baym equation

$$\left( i\partial_z - \hat{h}_0(z) \right) G(z; z') = \delta(z, z') + \int_{\gamma} d\bar{z} \Sigma(z; \bar{z}) G(\bar{z}; z')$$

# Summary

- Green functions: important concept in many-particle physics
- Diagrammatic analysis of Green function (deceptively) simple, actual calculation of specific diagrams much harder
- Green functions give access to spectroscopic properties of matter

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# Literature

endless number of textbooks on Green functions

## My favorites

- E.K.U. Gross, E. Runge, O. Heinonen, *Many-Particle Theory* (Hilger, Bristol, 1991)
- A.L. Fetter, J.D. Walecka, *Quantum Theory of Many-Particle Systems* (McGraw-Hill, New York, 1971) and later edition by Dover press

# Thanks

- Matteo Gatti for some figures
- YOU for your patience!

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