

*Some smoothness results
for classical problems in optimal design
and applications*

Juan Casado-Díaz, University of Seville

Compliance problem

$\Omega \subset \mathbb{R}^N, N \geq 2$, bounded, open,
 $\beta > \alpha > 0, 0 < \kappa < |\Omega|, \tilde{f} \in H^{-1}(\Omega)$

$$\max_{|\omega| \leq \kappa} \int_{\Omega} (\alpha \chi_{\omega} + \beta(1 - \chi_{\omega})) |\nabla u_{\omega}|^2 dx$$

$$\begin{cases} -\operatorname{div}((\alpha \chi_{\omega} + \beta(1 - \chi_{\omega})) \nabla u_{\omega}) = \tilde{f} & \text{in } \Omega \\ u_{\omega} = 0 & \text{on } \partial\Omega \end{cases}$$

Using

$$\begin{aligned} & \int_{\Omega} (\alpha\chi_{\omega} + \beta(1 - \chi_{\omega})) |\nabla u_{\omega}|^2 dx \\ &= - \left(\int_{\Omega} (\alpha\chi_{\omega} + \beta(1 - \chi_{\omega})) |\nabla u_{\omega}|^2 dx - 2 \langle \tilde{f}, u_{\omega} \rangle \right) \\ &= - \min_{u \in H_0^1(\Omega)} \left(\int_{\Omega} (\alpha\chi_{\omega} + \beta(1 - \chi_{\omega})) |\nabla u|^2 dx - 2 \langle \tilde{f}, u \rangle \right). \end{aligned}$$

The problem can be stated as

$$\min_{\substack{u \in H_0^1(\Omega) \\ |\omega| \leq \kappa}} \left(\int_{\Omega} (\alpha\chi_{\omega} + \beta(1 - \chi_{\omega})) |\nabla u|^2 dx - 2 \langle \tilde{f}, u \rangle \right)$$

F. Murat (1972): The problem has not solution in general. A relaxation is needed.

F. Murat, L. Tartar (1985). A relaxation is given by replacing $\alpha\chi_\omega + \beta(1 - \chi_\omega)$ by the harmonic mean value of α and β with proportions θ and $1-\theta$, with $\theta \in L^\infty(\Omega; [0,1])$, i.e.

$$\begin{aligned} & \min_{\substack{u \in H_0^1(\Omega) \\ \theta \in L^\infty(\Omega; [0,1]), \int_\Omega \theta dx \leq \kappa}} \left(\int_\Omega \frac{\alpha\beta|\nabla u|^2}{\beta\theta + \alpha(1-\theta)} dx - 2 \langle \tilde{f}, u \rangle \right) \\ &= \beta \min_{\substack{u \in H_0^1(\Omega) \\ \theta \in L^\infty(\Omega; [0,1]), \int_\Omega \theta dx \leq \kappa}} \left(\int_\Omega \frac{|\nabla u|^2}{1 + c\theta} dx - 2 \langle f, u \rangle \right) \end{aligned}$$

$$\text{or } \begin{cases} \max_{\theta \in L^\infty(\Omega; [0,1]), \int_\Omega \theta dx \leq \kappa} \int_\Omega \frac{|\nabla u_\theta|^2}{1 + c\theta} dx \\ -\text{div} \left(\frac{\nabla u_\theta}{1 + c\theta} \right) = f \text{ in } \Omega, \quad u_\theta = 0 \text{ on } \partial\Omega \end{cases}$$

$$c = \frac{\beta - \alpha}{\alpha}, \quad f = \frac{1}{\beta} \tilde{f}$$

Another formulation (F. Murat, L. Tartar (1985)).

Recall: If u_θ is the solution of

$$-\operatorname{div} \frac{\nabla u_\theta}{1 + c\theta} = f \text{ in } \Omega, \quad u_\theta = 0 \text{ on } \partial\Omega.$$

Then, $\sigma_\theta = \frac{\nabla u_\theta}{1 + c\theta}$ is the solution of $\min_{\substack{\sigma \in L^2(\Omega)^N \\ -\operatorname{div} \sigma = f \text{ in } \Omega}} \int_\Omega (1 + c\theta) |\sigma|^2 dx$.

Thus $\min_{\theta \in L^\infty(\Omega; [0,1]), \int_\Omega \theta dx \leq \kappa} \min_{u \in H_0^1(\Omega)} \left(\int_\Omega \frac{|\nabla u|^2}{1 + c\theta} dx - 2 \langle f, u \rangle \right)$

$$= - \max_{\theta \in L^\infty(\Omega; [0,1]), \int_\Omega \theta dx \leq \kappa} \min_{\substack{\sigma \in L^2(\Omega)^N \\ -\operatorname{div} \sigma = f \text{ in } \Omega}} \int_\Omega (1 + c\theta) |\sigma|^2 dx$$

$$= - \min_{\substack{\sigma \in L^2(\Omega)^N \\ -\operatorname{div} \sigma = f \text{ in } \Omega}} \max_{\theta \in L^\infty(\Omega; [0,1]), \int_\Omega \theta dx \leq \kappa} \int_\Omega (1 + c\theta) |\sigma|^2 dx$$

Remark:

The functional $\sigma \mapsto \max_{\theta \in L^\infty(\Omega; [0,1]), \int_\Omega \theta dx \leq \kappa} \int_\Omega (1 + c\theta) |\sigma|^2 dx$

is strictly convex. So the problem

$$\min_{\substack{\sigma \in L^2(\Omega)^N \\ -\operatorname{div} \sigma = f \text{ in } \Omega}} \max_{\theta \in L^\infty(\Omega; [0,1]), \int_\Omega \theta dx \leq \kappa} \int_\Omega (1 + c\theta) |\sigma|^2 dx$$

has a unique solution $\hat{\sigma}$, i.e. although the solution $(\hat{\theta}, \hat{u})$ of

$$\min_{\substack{u \in H_0^1(\Omega) \\ \theta \in L^\infty(\Omega; [0,1]), \int_\Omega \theta dx \leq \kappa}} \left(\int_\Omega \frac{|\nabla u|^2}{1 + c\theta} dx - 2 \langle f, u \rangle \right)$$

can be not unique, $\hat{\sigma} = \frac{\nabla \hat{u}}{1 + c\hat{\theta}}$ is unique.

Taking the minimum in θ in

$$\min_{u \in H_0^1(\Omega)} \min_{\theta \in L^\infty(\Omega; [0,1]), \int_\Omega \theta dx \leq \kappa} \left(\int_\Omega \frac{|\nabla u|^2}{1 + c\theta} dx - 2 \langle f, u \rangle \right),$$

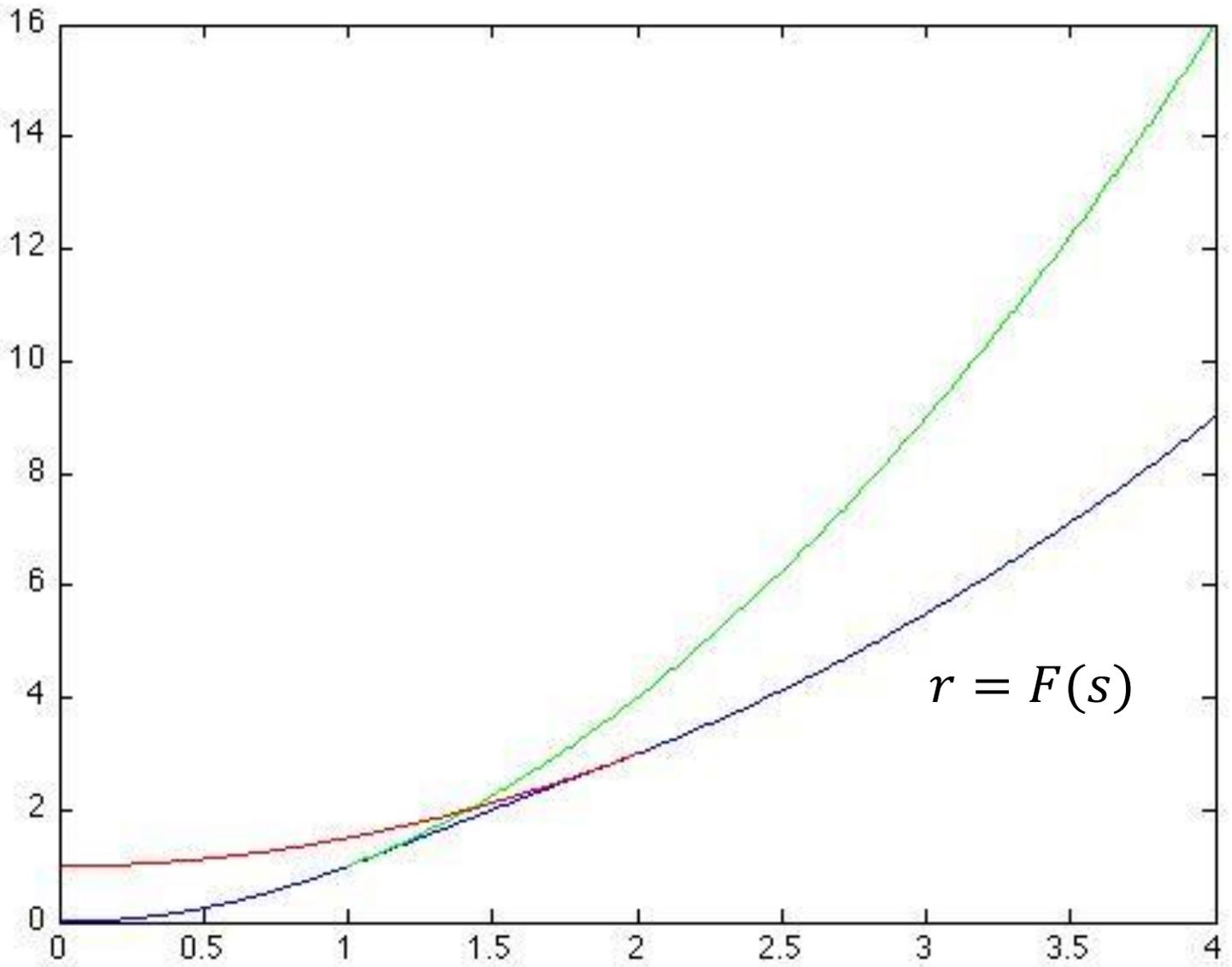
we deduce the existence of $\mu > 0$ such that u is a solution of

$$\min_{u \in H_0^1(\Omega)} \left(\int_\Omega F(|\nabla u|) dx - 2 \langle f, u \rangle \right)$$

with $F \in W^{2,\infty}(0, \infty)$ given by

$$2F(s) = \begin{cases} s^2 & \text{if } 0 \leq s \leq \mu \\ 2\mu s - \mu^2 & \text{if } \mu \leq s \leq (1+c)\mu \\ \frac{s^2}{1+c} + \mu^2 & \text{if } (1+c)\mu \leq s. \end{cases}$$

Besides $\theta = 1$ if $|\sigma| < \mu$, $\theta = 0$ if $|\sigma| > \mu$. Thus (θ, u) is unique in $\{|\sigma| \neq \mu\}$



Theorem: Assume $\Omega \in C^{2,\gamma}$, $\gamma \in (0,1]$ ($\Omega \in C^{1,1}$ must be enough)

$$f \in W^{-1,p}(\Omega), \quad 2 \leq p < \infty \implies \hat{\sigma} \in L^p(\Omega)^N \implies u \in W_0^{1,p}(\Omega)$$

$$f \in L^p(\Omega), \quad N < p \implies \hat{\sigma} \in L^\infty(\Omega)^N \implies u \in W^{1,\infty}(\Omega)$$

$$f \in W^{1,1}(\Omega) \cap L^2(\Omega) \implies \begin{cases} \hat{\sigma} \in H^1(\Omega)^N, \quad P(\hat{\sigma}) = 0 \text{ on } \partial\Omega \\ \partial_i \theta \hat{\sigma}_j - \partial_j \theta \hat{\sigma}_i \in L^2(\Omega), \quad 1 \leq i, j \leq N \end{cases}$$

P denotes the orthogonal projection on the tangent space.

Chipot, Evans, 1986, Local estimates in $W^{1,\infty}$.

Sketch of the proof. u satisfies

$$-\operatorname{div} \left(\frac{F'(|\nabla u|)}{|\nabla u|} \nabla u \right) = f \text{ in } \Omega$$

and then

$$-\frac{1}{1+c} \Delta u = f + \operatorname{div} \left(\left(\frac{F'(|\nabla u|)}{|\nabla u|} - \frac{1}{1+c} \right) \nabla u \right) \text{ in } \Omega,$$

Using that $F'(s) = s/(1+c)$ if $s > \mu$, we deduce

$$f \in W^{-1,p}(\Omega), \quad 2 \leq p < \infty \implies u \in W_0^{1,p}(\Omega).$$

Now, deriving formally in

$$-\operatorname{div} \left(\frac{F'(|\nabla u|)}{|\nabla u|} \nabla u \right) = f \text{ in } \Omega$$

with respect to x_i , we deduce

$$-\operatorname{div}(M \nabla(\partial_i u)) = \partial_i f \text{ in } \Omega$$

$$\text{with } M = \begin{cases} I & \text{if } |\nabla u| < \mu \\ \frac{\mu}{|\nabla u|} \left(I - \frac{\nabla u \otimes \nabla u}{|\nabla u|^2} \right) & \text{if } \mu < |\nabla u| < (1+c)\mu \\ \frac{1}{1+c} I & \text{if } (1+c)\mu < |\nabla u|. \end{cases}$$

Assume $f \in H^1(\Omega)$. Multiplying by $\partial_i u \varphi^2$, $\varphi \in C_c^1(\Omega)$, we get

$$\int_{\Omega} M \nabla(\partial_i u) \cdot \nabla(\partial_i u) \varphi^2 dx < \infty.$$

but $\partial_i \hat{\sigma} = M \nabla(\partial_i u)$. Thus

$$\begin{aligned} \int_{\Omega} |\partial_i \hat{\sigma}|^2 \varphi^2 dx &= \int_{\Omega} |M \nabla(\partial_i u)|^2 \varphi^2 dx \\ &\leq \int_{\Omega} M \nabla(\partial_i u) \cdot \nabla(\partial_i u) \varphi^2 dx < \infty. \end{aligned}$$

The proof of $\hat{\sigma} \in L^\infty(\Omega)^N$ is based on

$$-\operatorname{div}(M \nabla(\partial_i u)) = \partial_i f \text{ in } \Omega,$$

with $M = \frac{I}{1+c}$ if $|\nabla u| > \mu$ and Stampacchia's estimates.

Proposition:

If $f \in W^{1,1}(\Omega) \cap L^2(\Omega)$, and there exists an unrelaxed solution ($\theta = \chi_\omega$), then $\text{curl}(\hat{\sigma}) = 0$.

If Ω is simply connected, $\Omega \in C^{2,\gamma}$, then $\hat{\sigma} = \nabla w$, with w the unique solution of

$$\begin{cases} -\Delta w = f & \text{in } \Omega \\ w = 0 & \text{on } \partial\Omega \end{cases}$$

Proof. It is essentially a consequence of

$$\partial_i \theta \hat{\sigma}_j - \partial_j \theta \hat{\sigma}_i \in L^2(\Omega), \quad 1 \leq i, j \leq N$$

Remark: The above conclusions appear in [F. Murat, L. Tartar 1985](#), but assuming the existence of smooth solutions.

Remark: The discontinuity sets of a solution θ must be composed by surface levels of the corresponding function u_θ . Moreover, $\frac{\partial u_\theta}{\partial \nu} = \text{constant}$ on these surface levels.

Energy problem

$$\Omega \subset \mathbb{R}^N, N \geq 2, \text{ bounded, open,} \\ \beta > \alpha > 0, 0 < \kappa < |\Omega|, \tilde{f} \in H^{-1}(\Omega)$$

$$\min_{|\omega| \geq \kappa} \int_{\Omega} (\alpha \chi_{\omega} + \beta(1 - \chi_{\omega})) |\nabla u_{\omega}|^2 dx$$

$$\begin{cases} -\operatorname{div}((\alpha \chi_{\omega} + \beta(1 - \chi_{\omega})) \nabla u_{\omega}) = \tilde{f} \text{ in } \Omega \\ u_{\omega} = 0 \text{ on } \partial\Omega \end{cases}$$

or equivalently

$$\max_{|\omega| \geq \kappa} \min_{u \in H_0^1(\Omega)} \left(\int_{\Omega} (\alpha \chi_{\omega} + \beta(1 - \chi_{\omega})) |\nabla u|^2 dx - 2 \langle \tilde{f}, u \rangle \right)$$

Relaxed formulation

We now need to consider the arithmetic mean value of α and β with proportions θ and $1-\theta$.

Denoting $c = \frac{\beta-\alpha}{\beta}$, $f = \frac{1}{\beta} \tilde{f}$. The relaxed problem can be written as

$$\begin{aligned} & \max_{\substack{\theta \in L^\infty(\Omega; [0,1]) \\ \int_\Omega \theta dx \geq \kappa}} \min_{u \in H_0^1(\Omega)} \left(\int_\Omega (1 - c\theta) |\nabla u|^2 dx - 2 \langle f, u \rangle \right) \\ &= \min_{u \in H_0^1(\Omega)} \max_{\substack{\theta \in L^\infty(\Omega; [0,1]) \\ \int_\Omega \theta dx \geq \kappa}} \left(\int_\Omega (1 - c\theta) |\nabla u|^2 dx - 2 \langle f, u \rangle \right) \end{aligned}$$

or

$$\min_{\substack{\theta \in L^\infty(\Omega; [0,1]), \sigma \in L^2(\Omega)^N \\ \int_\Omega \theta dx \geq \kappa, -\operatorname{div} \sigma = f}} \int_\Omega \frac{|\sigma|^2}{1 - c\theta} dx$$

Now, it is the state function \hat{u} which is unique

Theorem: Assume $\Omega \in C^{2,\gamma}$, $\gamma \in (0,1]$ ($\Omega \in C^{1,1}$ must be enough)

$$f \in W^{-1,p}(\Omega), \quad 2 \leq p < \infty \implies \hat{u} \in W_0^{1,p}(\Omega)$$

$$f \in L^p(\Omega), \quad N < p \implies \hat{u} \in W^{1,\infty}(\Omega) \quad (\text{and } C^1(\Omega) \text{ if } N = 2)$$

$$f \in L^2(\Omega) \implies \begin{cases} \hat{u} \in H^2(\Omega) \\ \nabla \theta \cdot \nabla \hat{u} \in L^2(\Omega). \end{cases}$$

Remark: As for the compliance problem, the function \hat{u} is the solution of a certain non-linear problem. Namely \hat{u} is the limit of \hat{u}_ε satisfying

$$-\operatorname{div}(M_\varepsilon(\nabla \hat{u}_\varepsilon) \nabla (\partial_i \hat{u}_\varepsilon)) = \partial_i f \quad \text{in } \Omega,$$

where the matrices $M_\varepsilon(\nabla \hat{u}_\varepsilon)$ are uniformly elliptic but unbounded.

Remark:

If $f \in L^2(\Omega)$, and there exists an unrelaxed solution ($\theta = \chi_\omega$), then from the condition $\nabla \chi_\omega \cdot \nabla \hat{u} \in L^2(\Omega)$ one hopes to deduce $\nabla \chi_\omega \cdot \nabla \hat{u} = 0$. This would imply

$$-(1 - c\chi_\omega)\Delta \hat{u} = -\operatorname{div}((1 - c\chi_\omega)\nabla \hat{u}) = f \text{ in } \Omega$$

and as consequence

$$\text{If } \exists U \subset \Omega \text{ open set with } \omega \cap U \Subset U \Rightarrow \int_{\omega \cap U} f \, dx = 0$$

$$\text{If } \exists U \subset \Omega \text{ open set with } \omega^c \cap U \Subset U \Rightarrow \int_{\omega^c \cap U} f \, dx = 0.$$

However the implication

$$\nabla \chi_\omega \cdot \nabla \hat{u} \in L^2(\Omega) \Rightarrow \nabla \chi_\omega \cdot \nabla \hat{u} = 0,$$

is not clear.

Remark: On the discontinuity surface of a solution θ , we have $\frac{\partial \hat{u}}{\partial \nu} = 0$.

Eigenvalue problem

We want to mix two materials α and β in order to minimize the first eigenvalue of the operator

$$-\operatorname{div}(\alpha\chi_\omega + \beta(1 - \chi_\omega))$$

Namely, for $0 < \kappa < |\Omega|$, we have the problem

$$(\Lambda_m) \quad \min_{|\omega| \leq \kappa} \min_{u \in H_0^1(\Omega)} \frac{\int_\Omega (\alpha\chi_\omega + \beta(1 - \chi_\omega)) |\nabla u|^2 dx}{\int_\Omega |u|^2 dx}$$

Remark: For $A \in L^\infty(\Omega)^N$, elliptic,

$$\lambda_1(A) = \min_{u \in H_0^1(\Omega)} \frac{\int_{\Omega} A \nabla u \cdot \nabla u \, dx}{\int_{\Omega} |u|^2 \, dx}$$

can be characterized by

$$\begin{aligned} \frac{1}{\lambda_1(A)} &= \max_{\substack{-\operatorname{div}(A \nabla u) = f \\ u \in H_0^1(\Omega) \\ \|f\|_{L^2(\Omega)} \leq 1}} \int_{\Omega} A \nabla u \cdot \nabla u \, dx \\ &= - \min_{\substack{u \in H_0^1(\Omega) \\ \|f\|_{L^2(\Omega)} \leq 1}} \left(\int_{\Omega} A \nabla u \cdot \nabla u \, dx - 2 \int_{\Omega} f u \, dx \right). \end{aligned}$$

Thus, we have the relaxed formulation

$$(\Lambda_m) \quad \min_{\|f\|_{L^2(\Omega)} \leq 1} \min_{\substack{u \in H_0^1(\Omega) \\ \int_{\Omega} \theta dx \leq \kappa}} \left(\int_{\Omega} \frac{|\nabla u|^2}{1 + c\theta} dx - 2 \int_{\Omega} f u dx \right) \quad c = \frac{\beta - \alpha}{\alpha}$$

The regularity results for the compliance problem can then be applied.

Theorem: Assume $\Omega \in C^{2,\gamma}$, $\gamma \in (0,1]$

$$\sigma = \frac{\nabla u}{1 + c\theta} \in H^1(\Omega)^N \cap L^\infty(\Omega)^N, \quad \partial_i \theta \sigma_j - \partial_j \theta \sigma_i \in L^2(\Omega), \quad 1 \leq i, j \leq N.$$

Theorem: Assume there exists an unrelaxed solution χ_ω for (Λ_m) . Then,

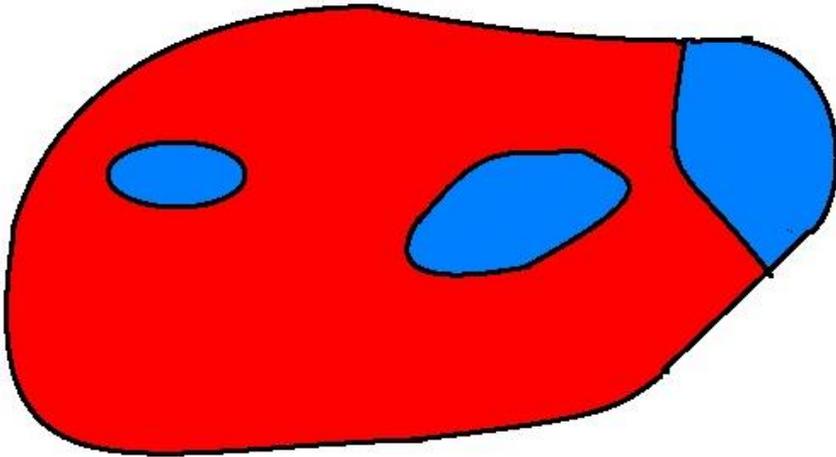
$$\sigma = (\alpha\chi_\omega + \beta(1 - \chi_\omega))\nabla u \in W^{2,p}(\Omega), \quad \forall p \in [1, \infty), \quad \text{curl}\sigma = 0$$

Moreover, if there exist two open sets $O \Subset U \subset \Omega$, $O \in C^2$, such that $\chi_\omega = r$ in O , $\chi_\omega = 1 - r$ in $U \setminus O$. Then, O is a sphere.

Proof.

It is a consequence of
$$\begin{cases} -\Delta u = \lambda_1 u & \text{in } O \\ u = \text{constant on } \partial O, \quad \frac{\partial u}{\partial \nu} = \text{constant on } \partial O. \end{cases}$$

and Serrin's theorem.



It would be only possible if the interior blue zones were circles

Counterexample: $\Omega = \left(-\frac{\pi}{4}, \frac{\pi}{4}\right) \times \left(-\frac{\pi}{2}, \frac{\pi}{2}\right)^{N-1}$, $\alpha = 1, \beta = 2$. For $\varepsilon > 0$ small enough the solutions θ of

$$\min \left\{ \frac{\int_{\Omega} \frac{|\nabla u|^2}{1+\theta} dx}{\int_{\Omega} |u|^2 dx} : u \in H_0^1(\Omega), \theta \in L^\infty(\Omega, [0,1]), \int_{\Omega} \theta dx \leq |\Omega| - \varepsilon \right\}$$

is not a characteristic

Proof. If $(\chi_{\omega_\varepsilon}, u_\varepsilon)$ were a solution then $u_\varepsilon \approx \cos(2x_1) \prod_{j=2}^N \cos(x_j)$.

\exists a smooth connected component O_ε of $\Omega \setminus \omega_\varepsilon$,

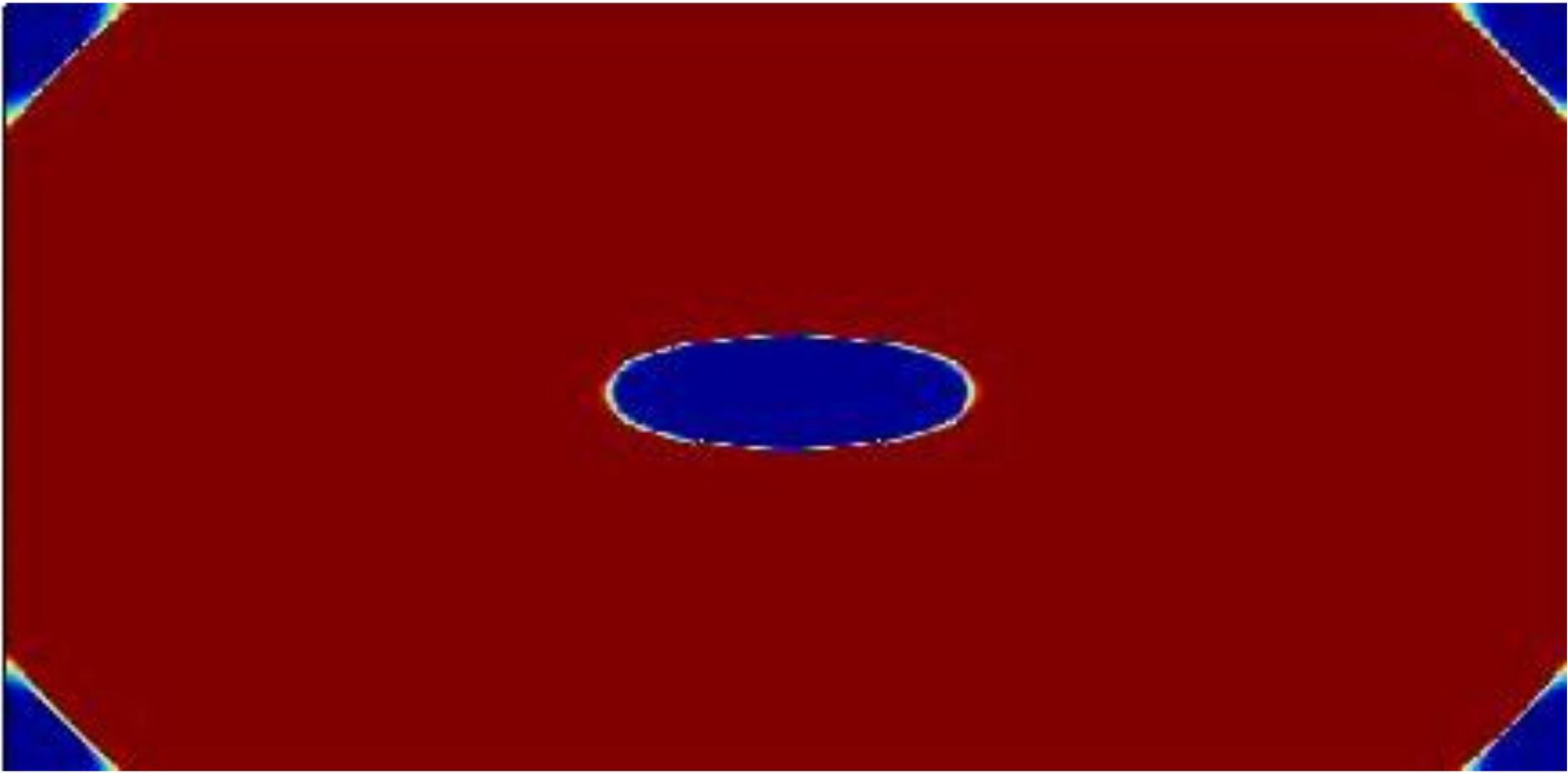
$$O_\varepsilon \approx \left\{ \frac{x_1^2}{8} + \sum_{i=2}^N \frac{x_i^2}{2} = 1 - c_\varepsilon \right\}, \quad c_\varepsilon \searrow 0$$

Numerical experiments.

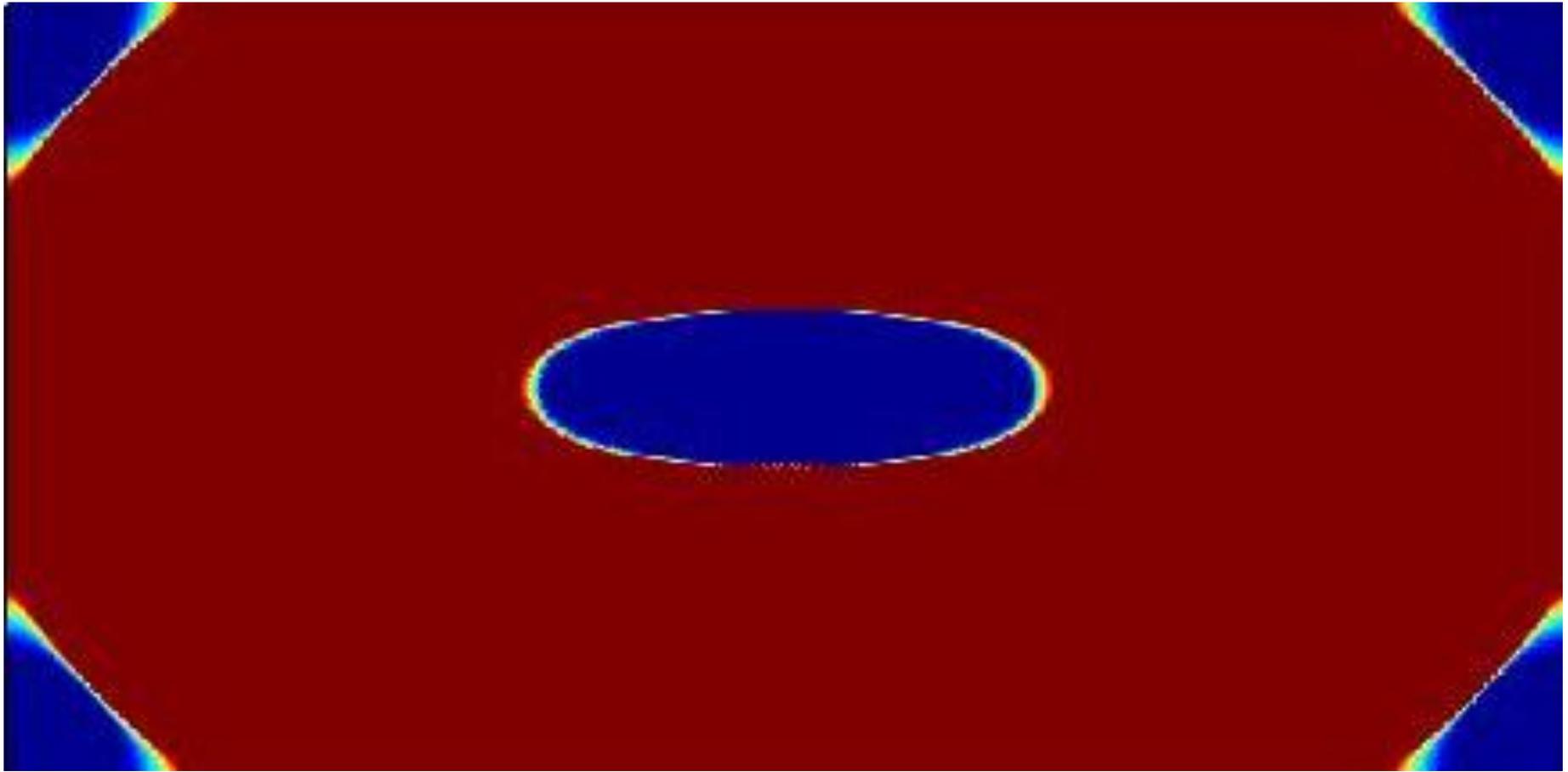
Problem $\Omega = \left(-\frac{\pi}{2}, \frac{\pi}{2}\right) \times \left(-\frac{\pi}{4}, \frac{\pi}{4}\right)$, $|\Omega| \approx 4,935$, $\alpha = 1, \beta = 2$

$$\min \frac{\int_{\Omega} \frac{|\nabla u|^2}{1 + \theta} dx}{\int_{\Omega} |u|^2 dx}$$

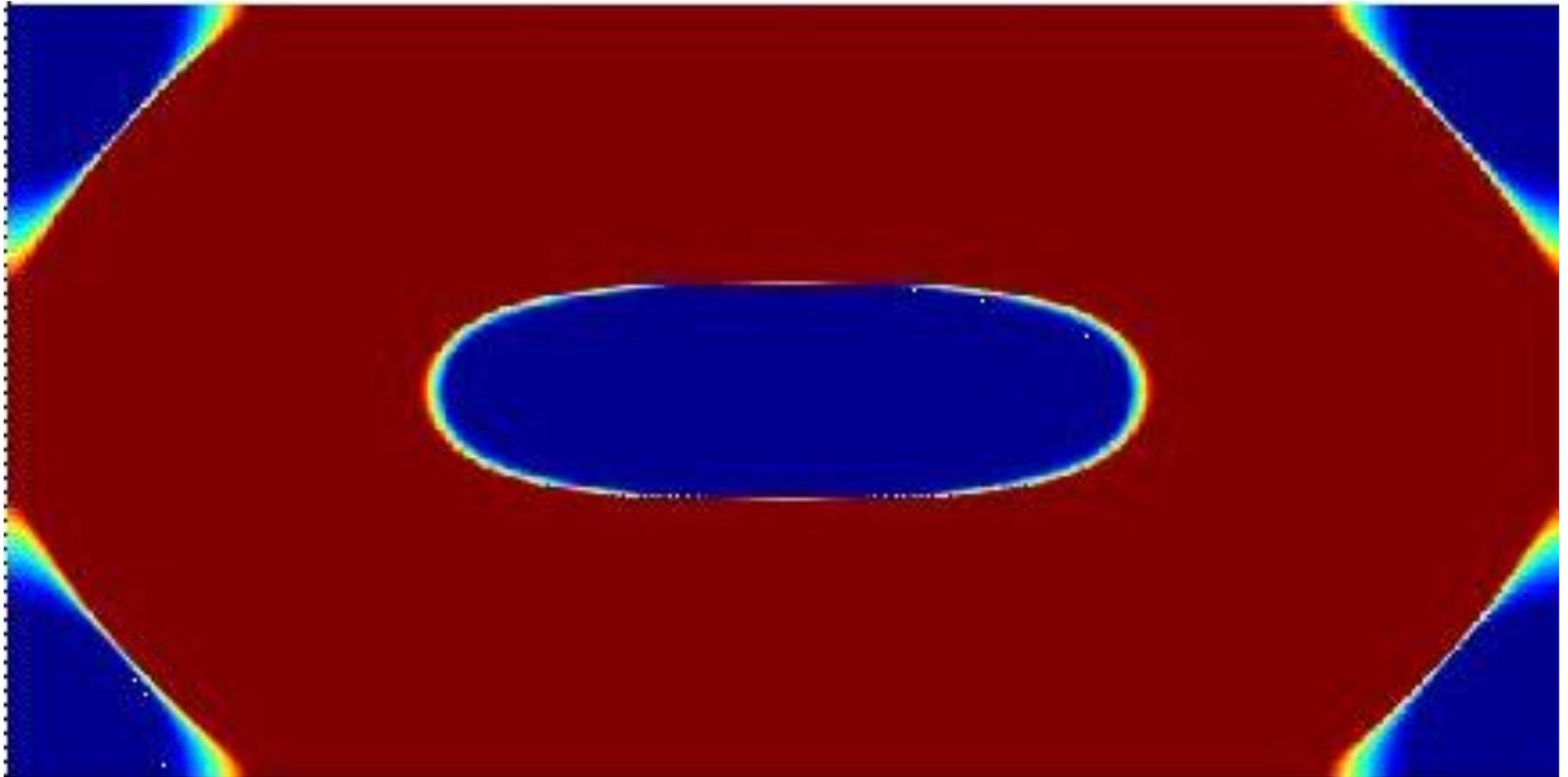
$$u \in H_0^1(\Omega), \int_{\Omega} \theta dx \leq \kappa$$



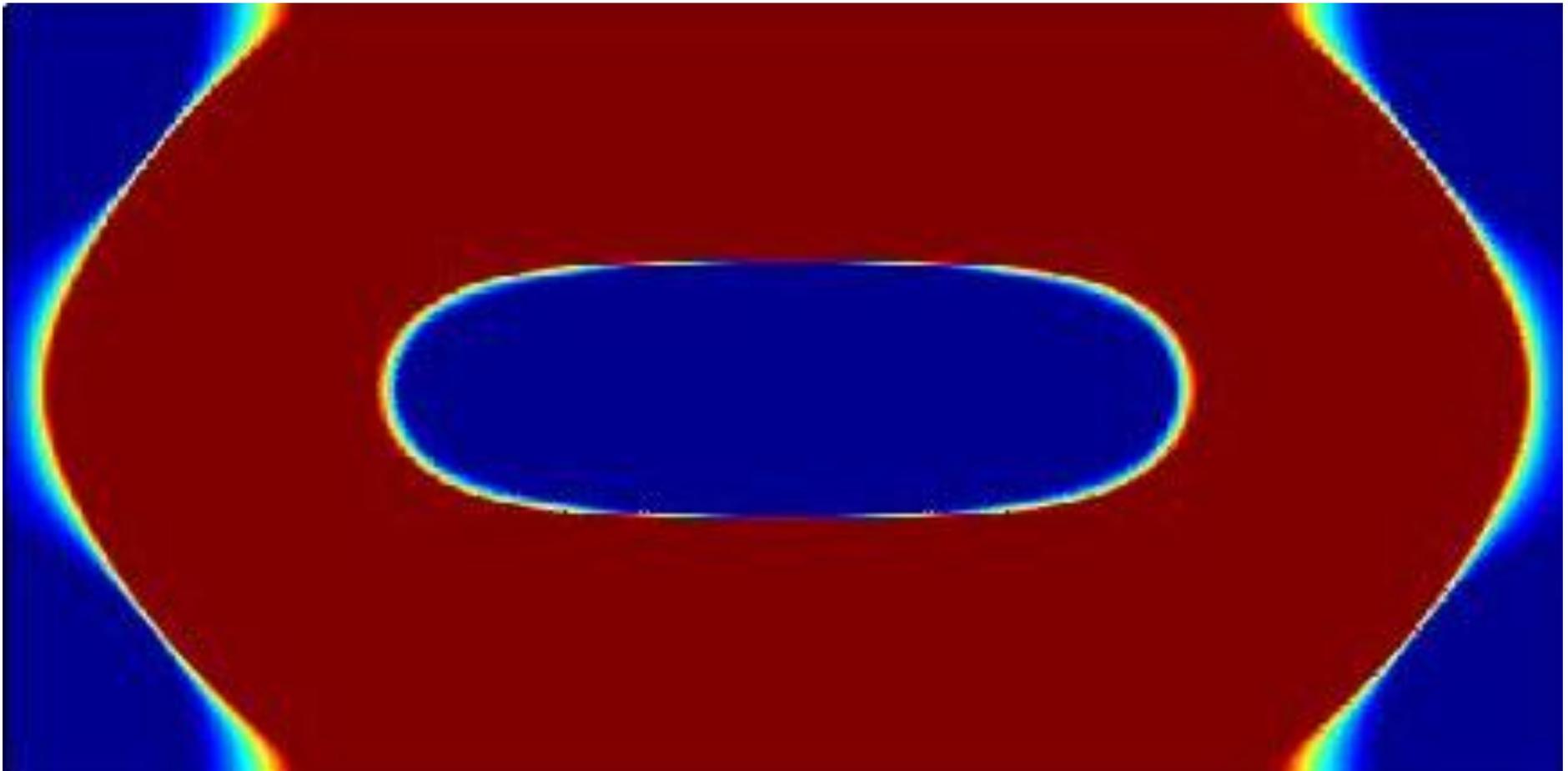
$$\alpha = 1, \beta = 2, \kappa = 4.685$$



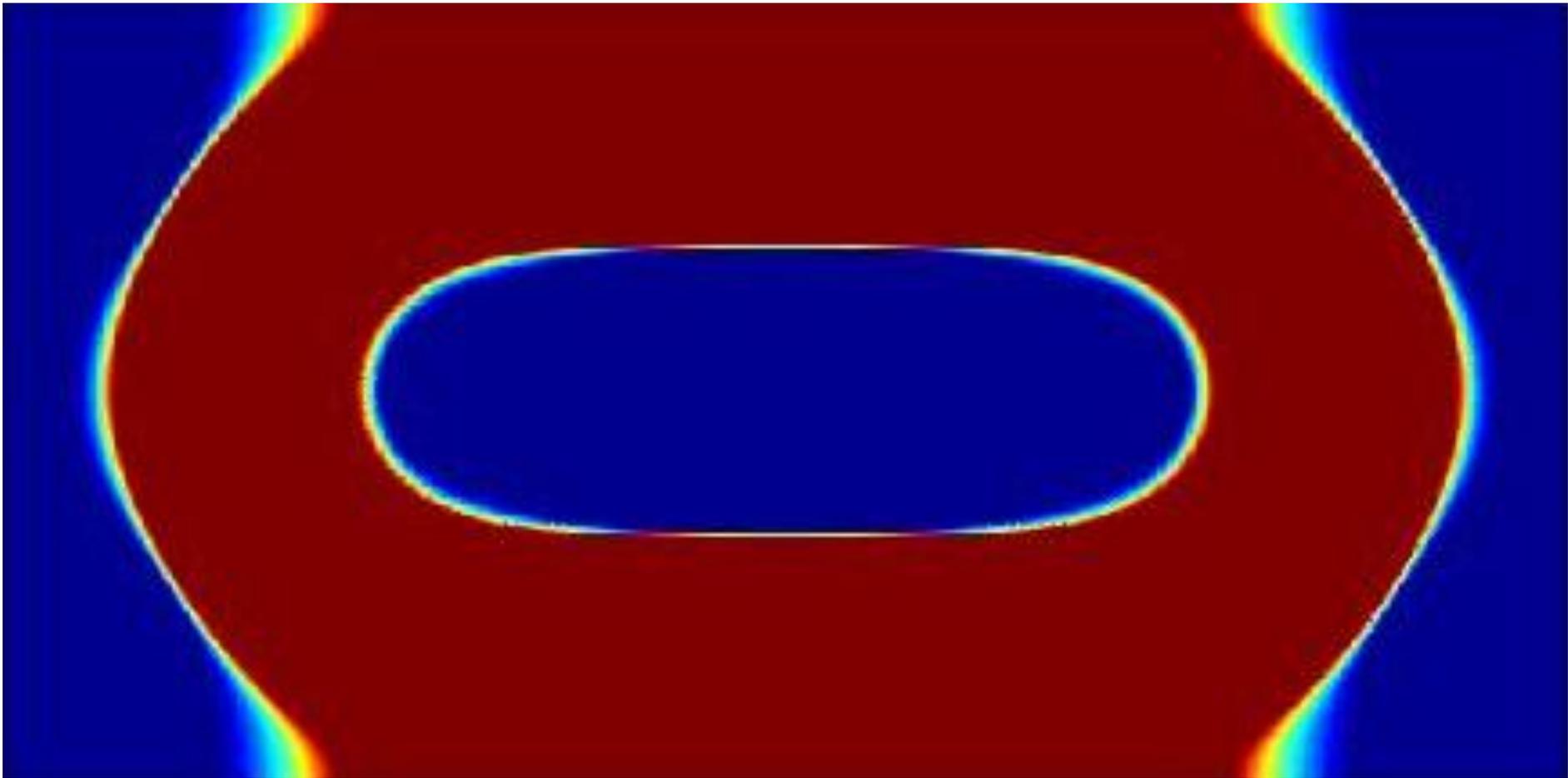
$$\alpha = 1, \beta = 2, \kappa = 4.435$$



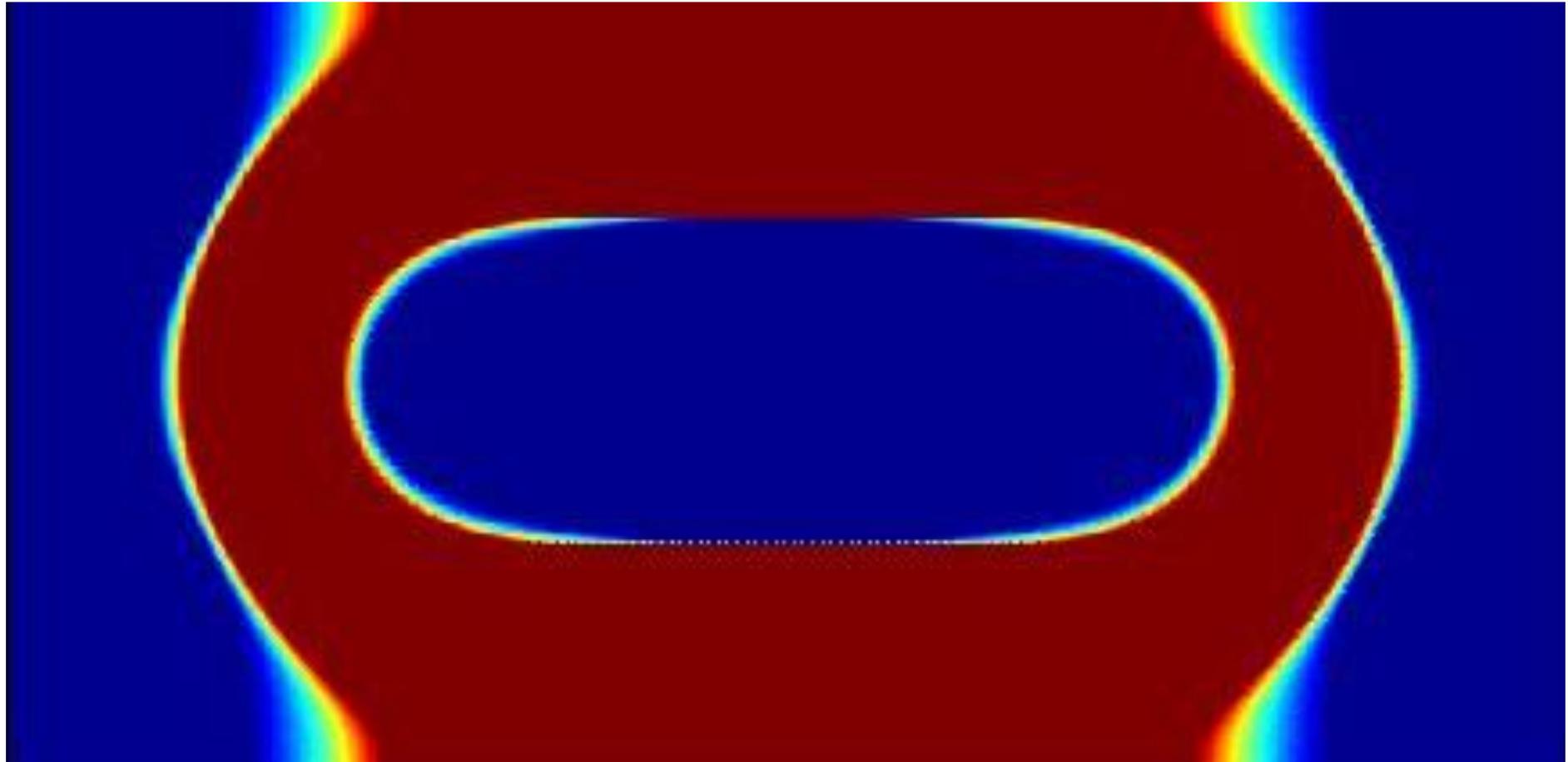
$$\alpha = 1, \beta = 2, \kappa = 3.935$$



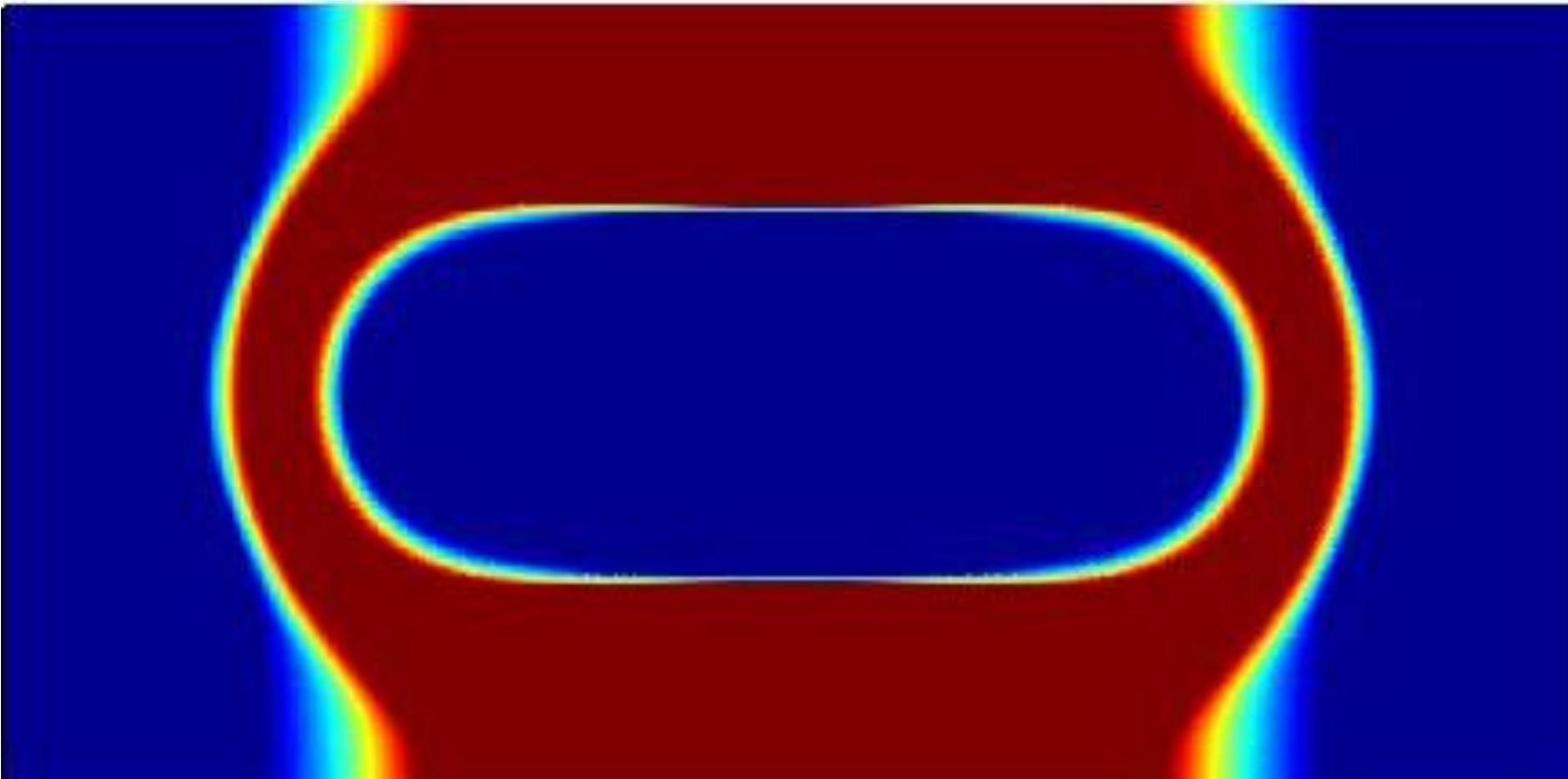
$$\alpha = 1, \beta = 2, \kappa = 3.435$$



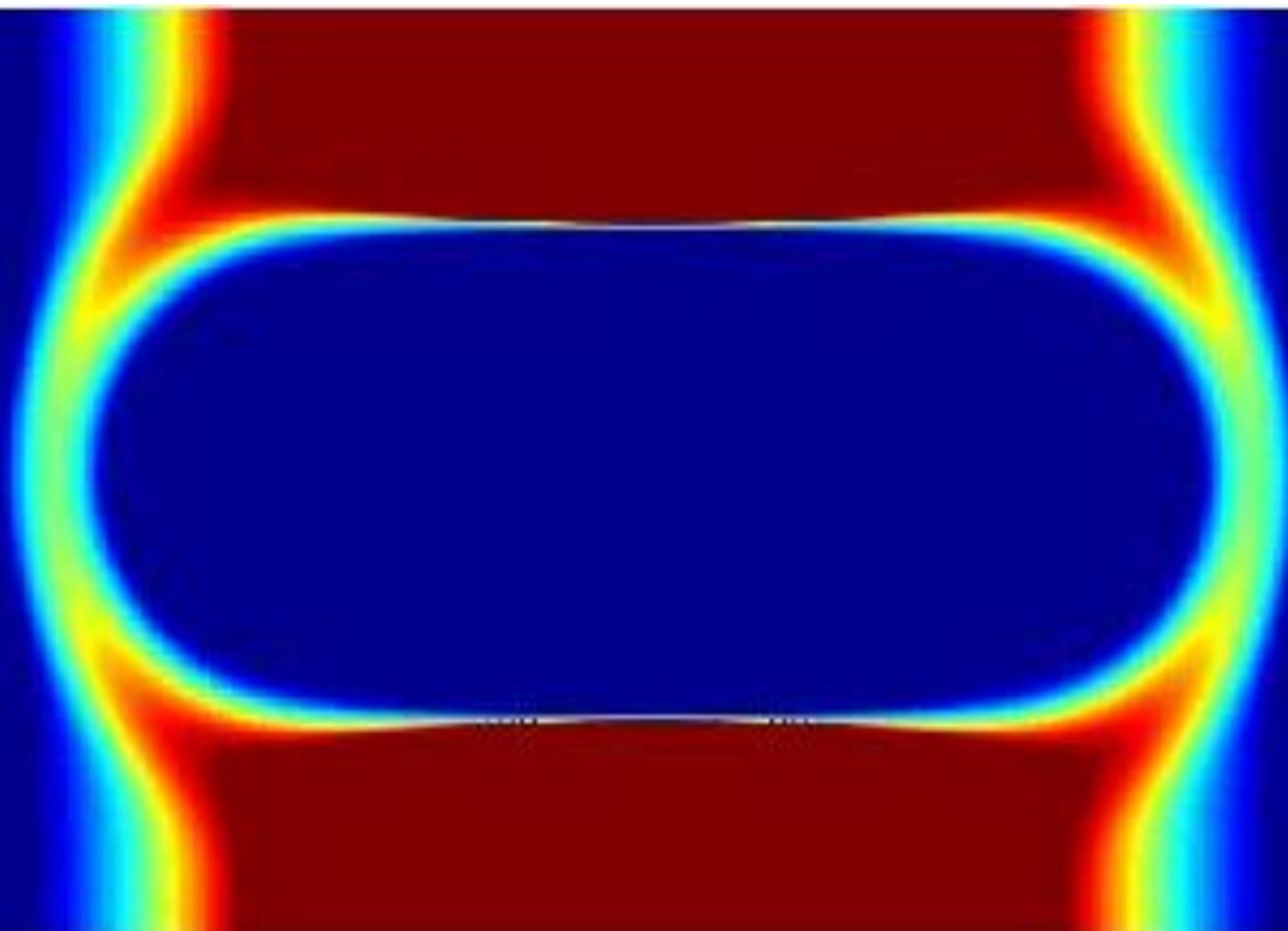
$$\alpha = 1, \beta = 2, \kappa = 2.935$$



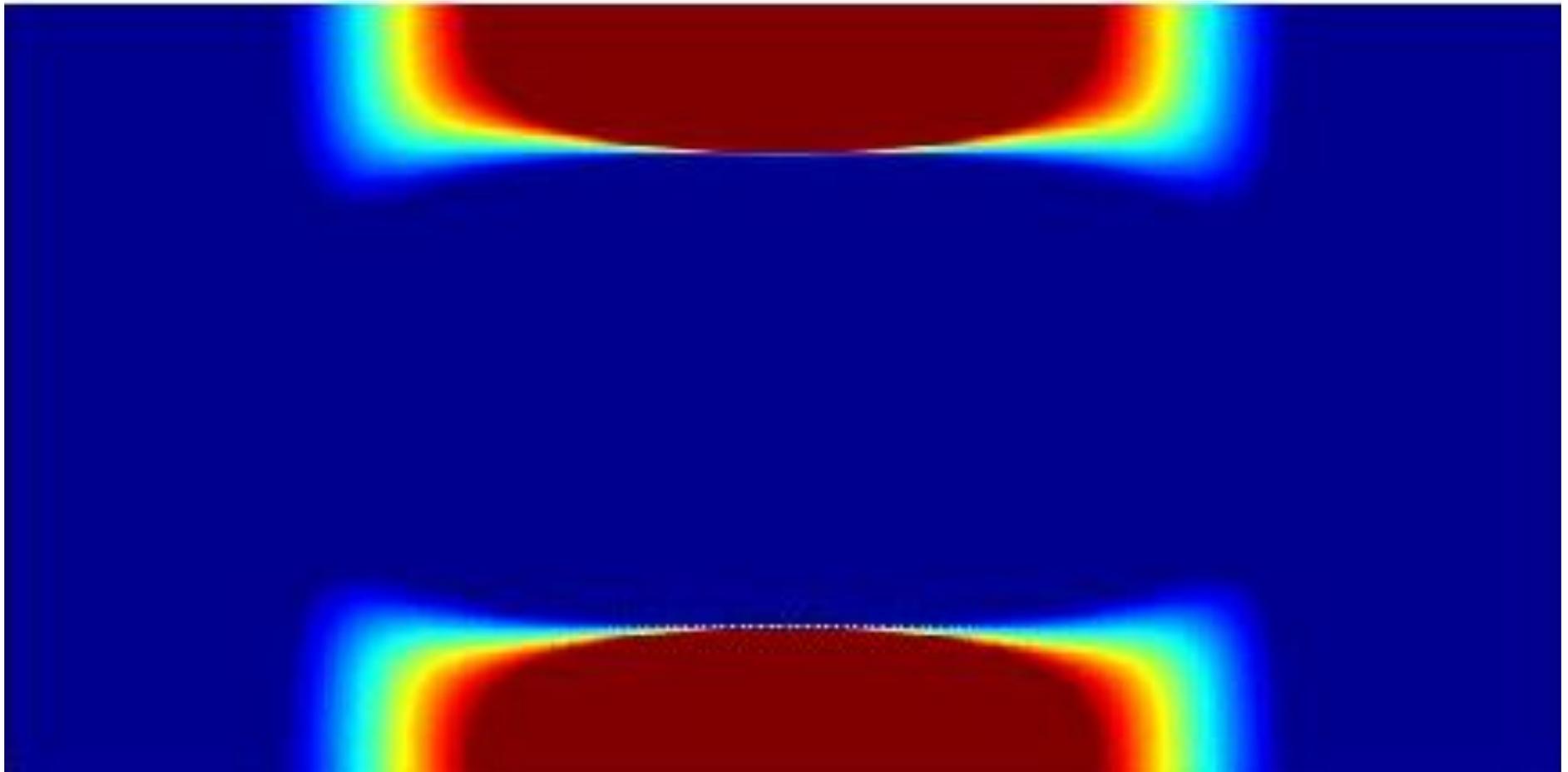
$$\alpha = 1, \beta = 2, \kappa = 2.435$$



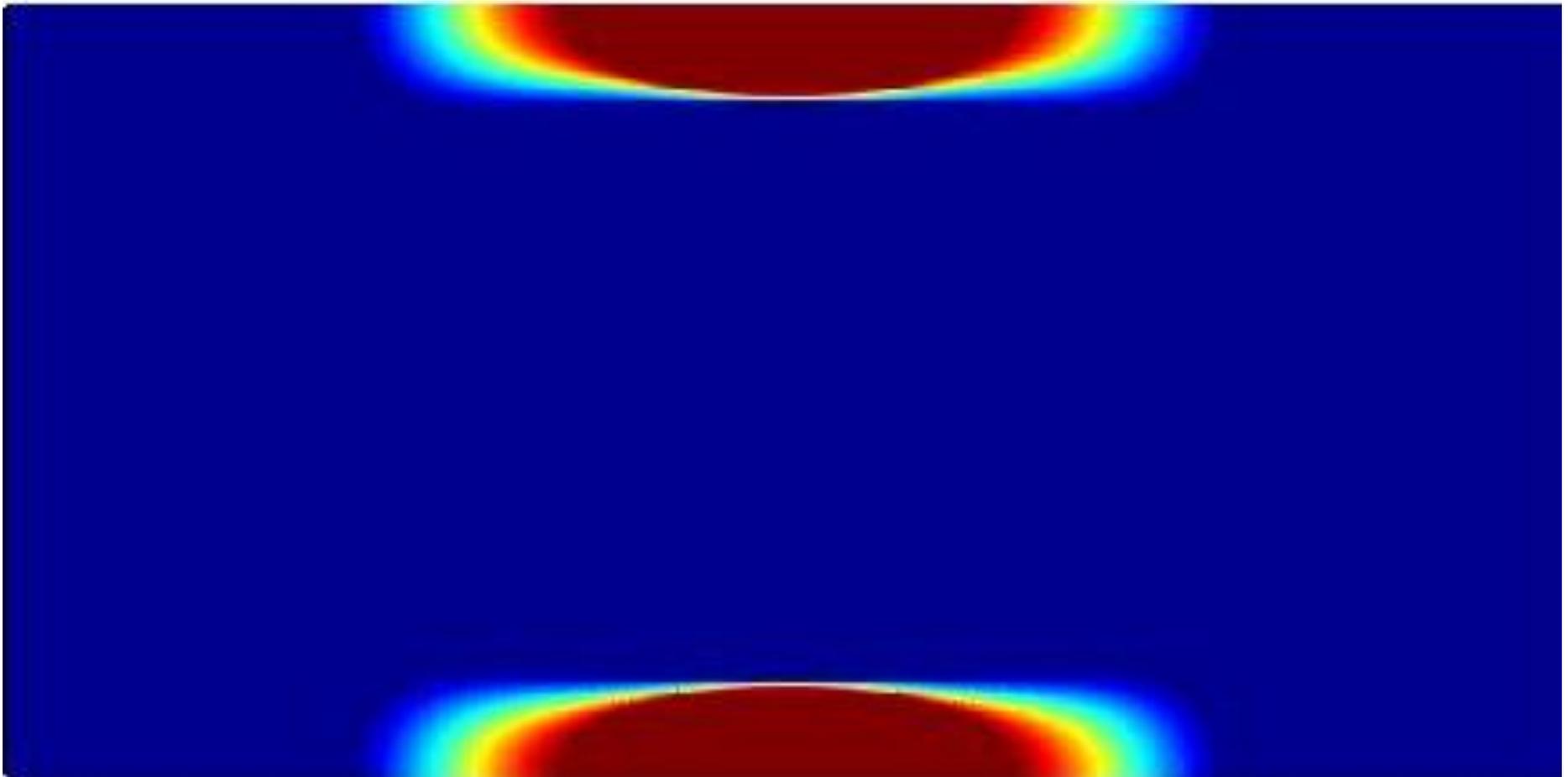
$$\alpha = 1, \beta = 2, \kappa = 1.935$$



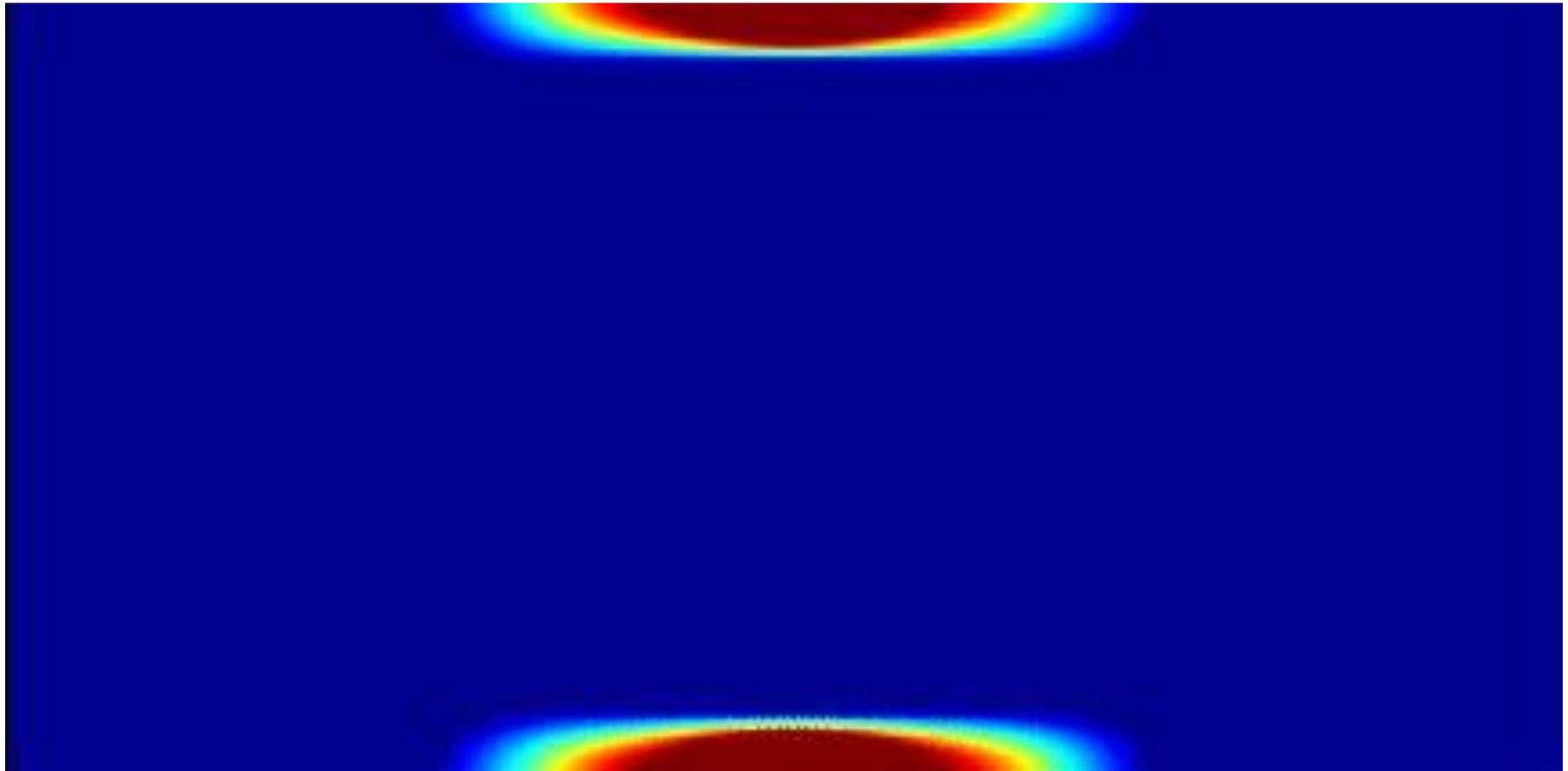
$$\alpha = 1, \beta = 2, \kappa = 1.435$$



$$\alpha = 1, \beta = 2, \kappa = 0.935$$



$$\alpha = 1, \beta = 2, \kappa = 0.435$$

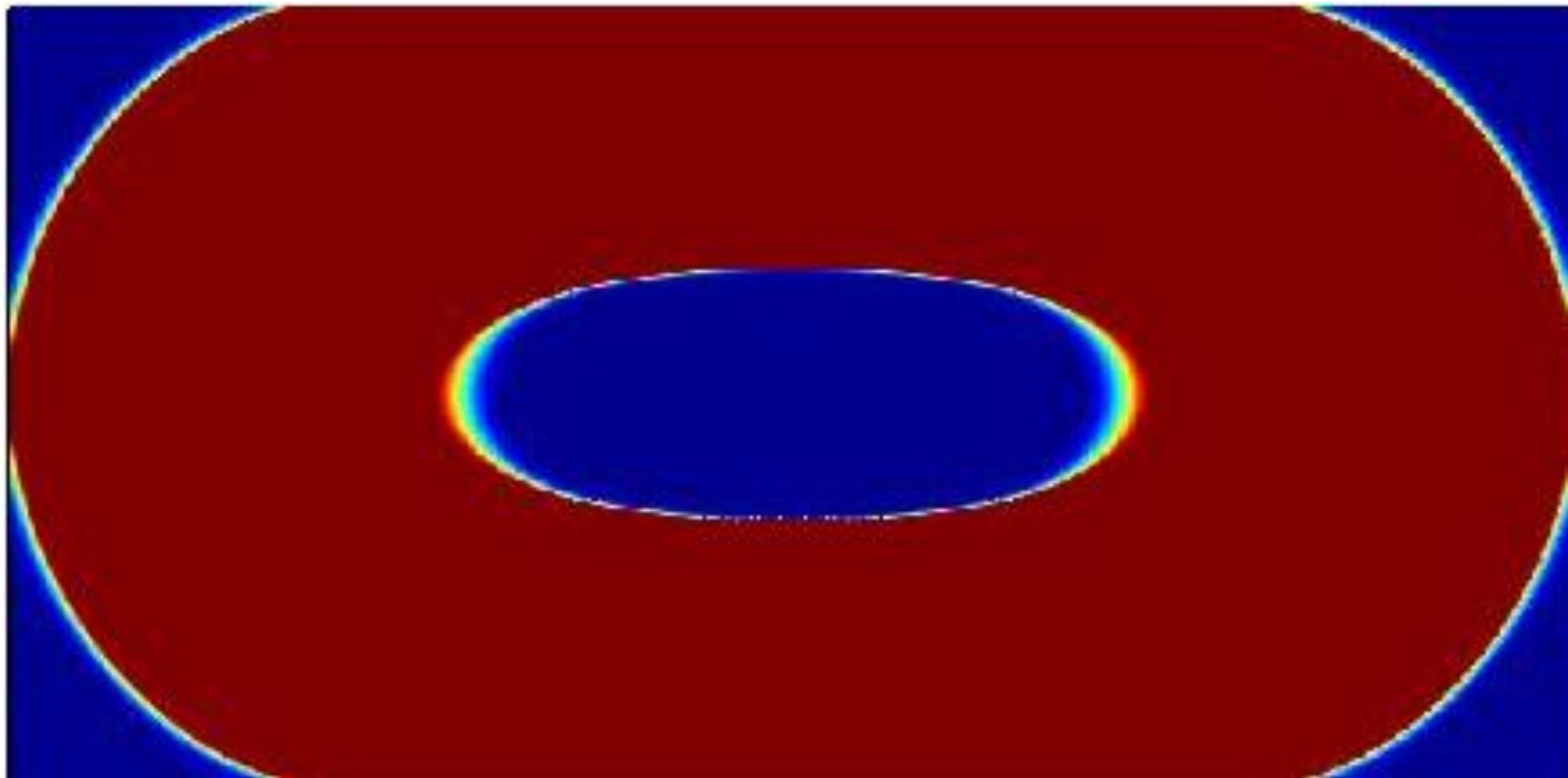


$$\alpha = 1, \beta = 2, \kappa = 0.46$$

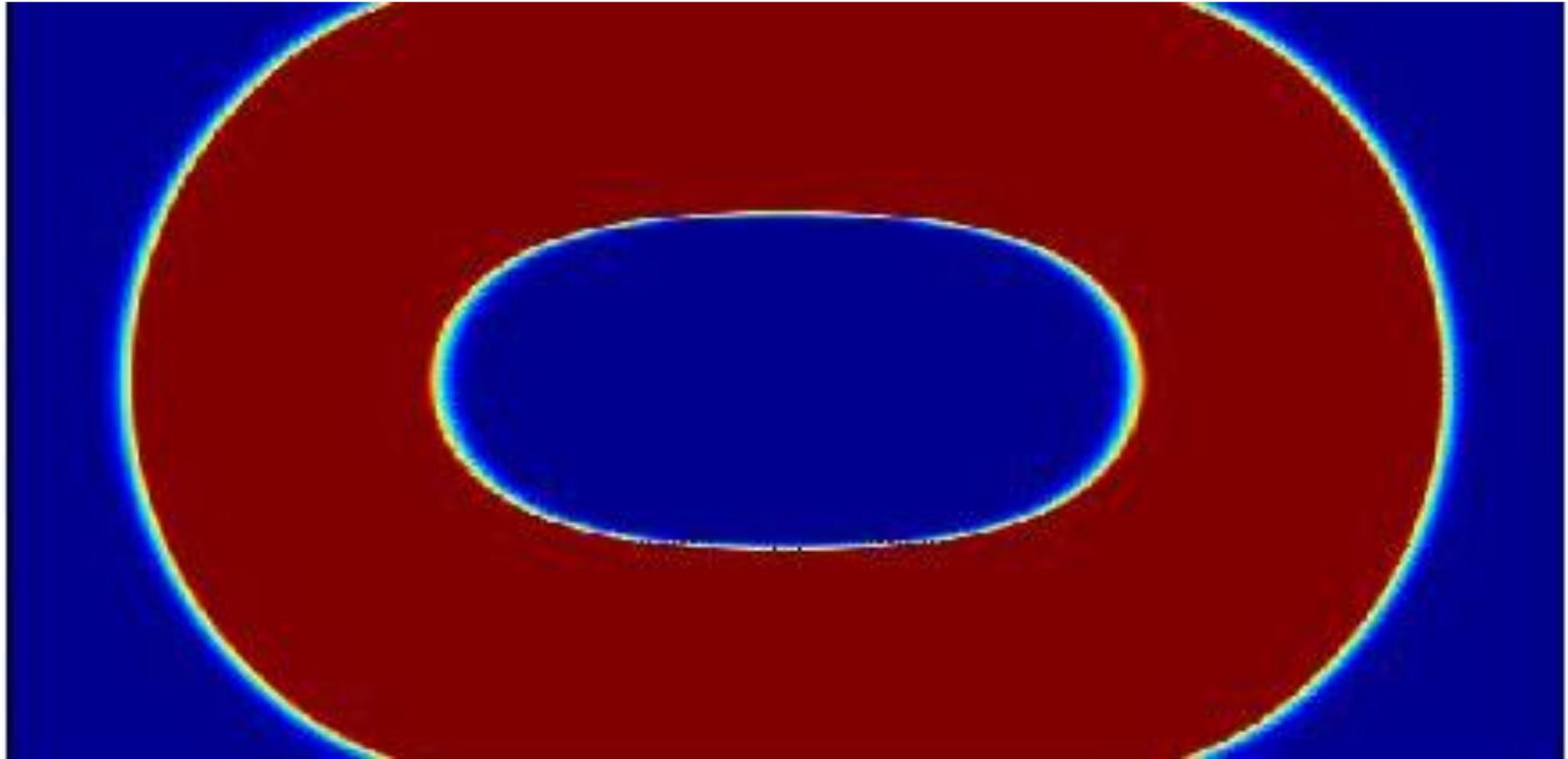
Problem $\Omega = \left(-\frac{\pi}{2}, \frac{\pi}{2}\right) \times \left(-\frac{\pi}{4}, \frac{\pi}{4}\right)$, $|\Omega| \approx 4,935$, $\alpha = 1, \beta = 20$

$$\min \frac{\int_{\Omega} \frac{|\nabla u|^2}{1 + 19\theta} dx}{\int_{\Omega} |u|^2 dx}$$

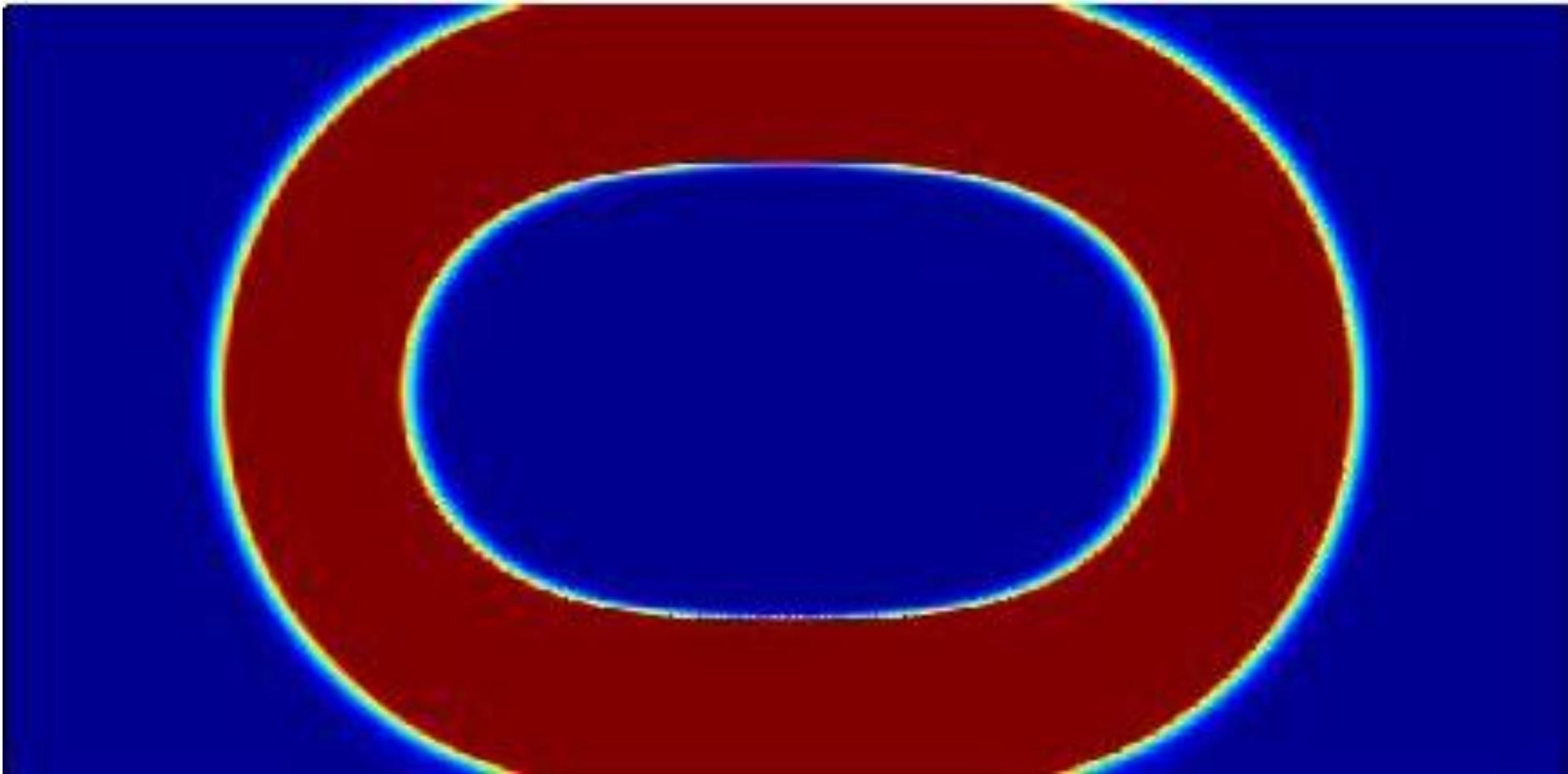
$$u \in H_0^1(\Omega), \int_{\Omega} \theta dx \leq \kappa$$



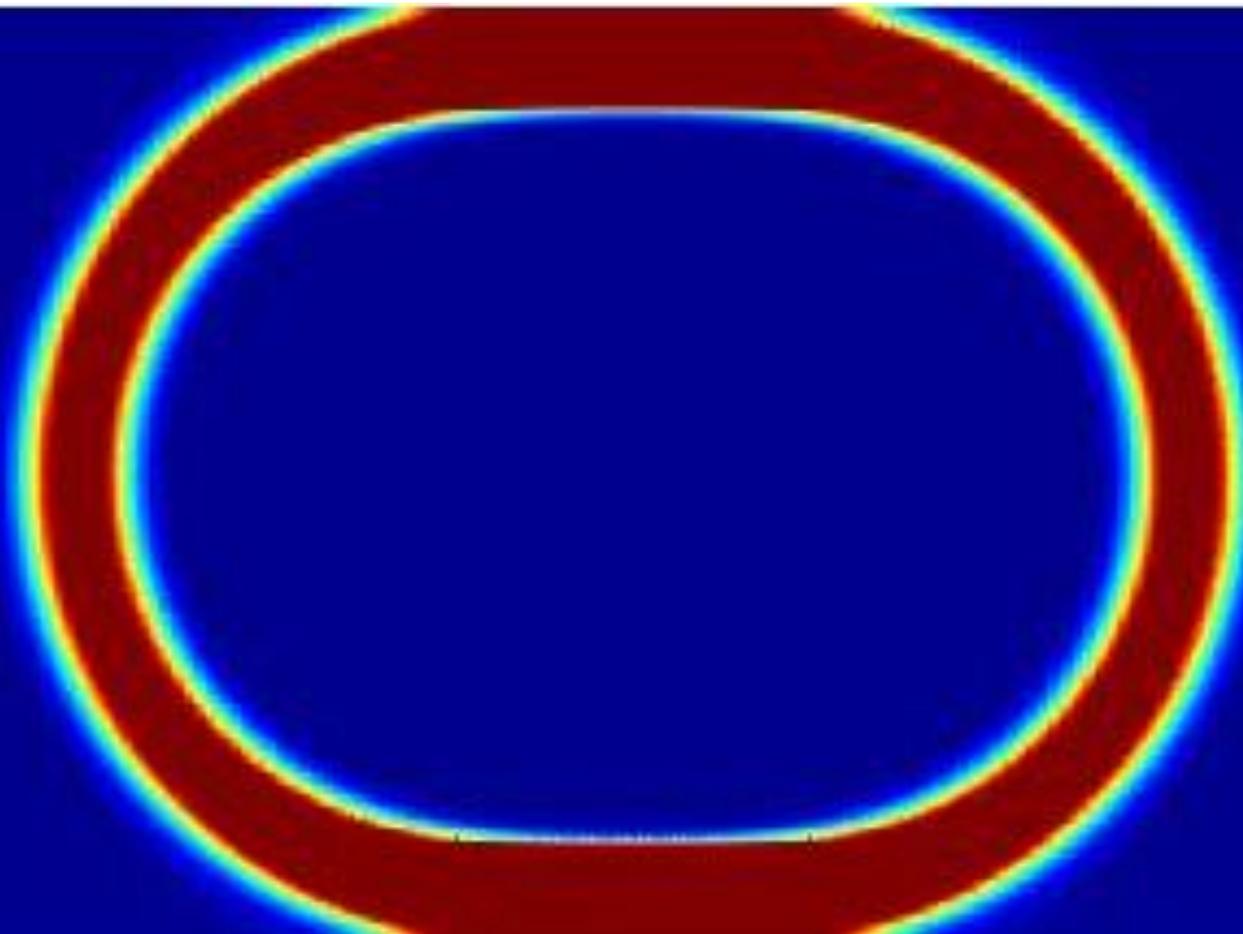
$$\alpha = 1, \beta = 20, \kappa = 3.935$$



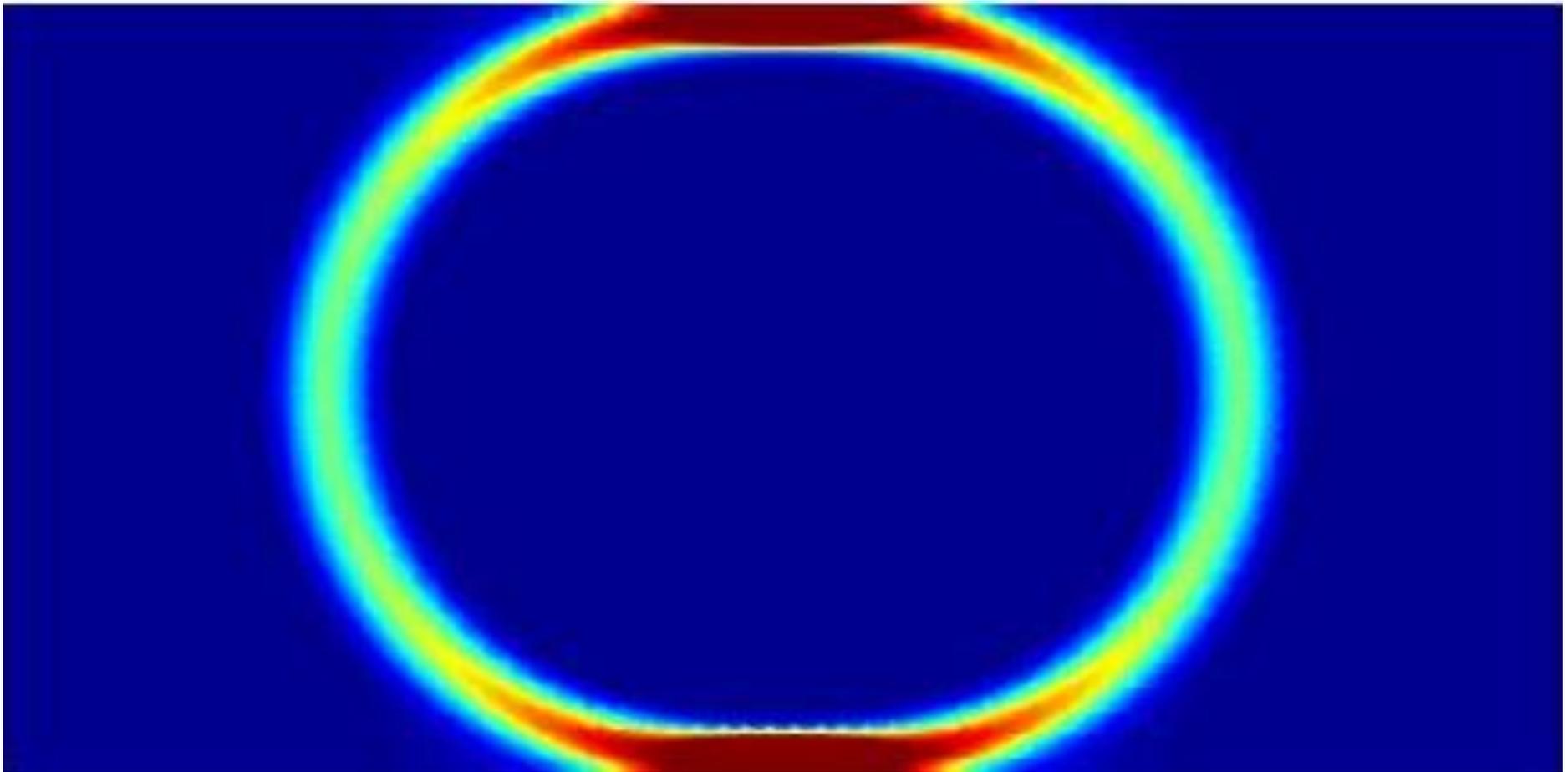
$$\alpha = 1, \beta = 20, \kappa = 2.935$$



$$\alpha = 1, \beta = 20, \kappa = 1.935$$



$$\alpha = 1, \beta = 20, \kappa = 0.935$$



$$\alpha = 1, \beta = 20, \kappa = 0.435$$

Remark. Similar results can be obtained for the problems ($p > 1$)

$$\max_{|\omega| \leq \kappa} \int_{\Omega} (\alpha \chi_{\omega} + \beta(1 - \chi_{\omega})) |\nabla u_{\omega}|^p dx$$

$$\begin{cases} -\operatorname{div}((\alpha \chi_{\omega} + \beta(1 - \chi_{\omega})) |\nabla u_{\omega}|^{p-2} \nabla u_{\omega}) = \tilde{f} & \text{in } \Omega \\ u_{\omega} = 0 & \text{on } \partial\Omega \end{cases}$$

and

$$\min_{|\omega| \geq \kappa} \int_{\Omega} (\alpha \chi_{\omega} + \beta(1 - \chi_{\omega})) |\nabla u_{\omega}|^p dx$$

$$\begin{cases} -\operatorname{div}((\alpha \chi_{\omega} + \beta(1 - \chi_{\omega})) |\nabla u_{\omega}|^{p-2} \nabla u_{\omega}) = \tilde{f} & \text{in } \Omega \\ u_{\omega} = 0 & \text{on } \partial\Omega, \end{cases}$$

which admit the relaxed formulations

$$\min_{\theta \in L^\infty(\Omega; [0,1]), \int_\Omega \theta dx \leq \kappa} \min_{u \in H_0^1(\Omega)} \left(\int_\Omega \frac{|\nabla u|^p}{(1 + c\theta)^{p-1}} dx - p \langle f, u \rangle \right)$$

with $c = \left(\frac{\beta}{\alpha}\right)^{\frac{1}{p-1}} - 1, \quad f = \frac{1}{\beta} \tilde{f}$

and

$$\max_{\substack{\theta \in L^\infty(\Omega; [0,1]) \\ \int_\Omega \theta dx \geq \kappa}} \min_{u \in H_0^1(\Omega)} \left(\int_\Omega (1 - c\theta) |\nabla u|^p dx - p \langle f, u \rangle \right)$$

with $c = \frac{\beta - \alpha}{\beta}, \quad f = \frac{1}{\beta} \tilde{f}$