

Complexity classification of local Hamiltonian problems

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Joint work with Toby Cubitt:



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- Solving **3-term linear equations**: given a system of linear equations over \mathbb{F}_2 with at most 3 variables per equation, is there a solution to all the equations?

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The first of these is **NP-complete**, the second is in **P**.

General constraint satisfaction problems

A very general way to study these kind of problems is via the framework of the problem \mathcal{S} -CSP.

- Let \mathcal{S} be a set of **constraints**, where a constraint is a boolean function acting on a constant number of **bits**.
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Theorem [Schaefer '78]

\mathcal{S} -CSP is either in P or NP-complete. Further, which of these is the case can be determined easily for a given \mathcal{S} .

Local Hamiltonian problems

A natural **quantum** generalisation of CSPs is the QMA-complete k -LOCAL HAMILTONIAN problem [Kitaev, Shen and Vyalıy '02].

k -LOCAL HAMILTONIAN

We are given a k -local Hamiltonian $H = \sum_{i=1}^m H^{(i)}$ on n qubits, and two numbers $a < b$ such that $b - a \geq 1/\text{poly}(n)$. Promised that the smallest eigenvalue of H is either at most a , or at least b , our task is to determine which of these is the case.

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- Essentially equivalent to calculating the **ground-state energies** of physical systems.
- This connection to physics motivates the study of k -LOCAL HAMILTONIAN with **restricted types** of interactions.
- The aim: to prove QMA-hardness (or otherwise) of problems of more **direct physical interest**.

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We then have the following general question:

Problem

Given \mathcal{S} , characterise the computational complexity of \mathcal{S} -HAMILTONIAN.

Some examples

- $\mathcal{S} = \{ZZ\}$: the (general) **Ising model** (NP-complete):

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Some **QMA-complete** cases:

- $\mathcal{S} = \{XX, ZZ, X, Z\}$, $\mathcal{S} = \{XZ, X, Z\}$ [Biamonte and Love '08].
- $\mathcal{S} = \{XX + YY + ZZ, X, Y, Z\}$ [Schuch and Verstraete '09].

Our main result

Let \mathcal{S} be an arbitrary fixed subset of Hermitian matrices on at most 2 qubits.

Theorem

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- 4 Otherwise, \mathcal{S} -HAMILTONIAN is **QMA-complete**.

Notes and corollaries

The second case is stated in terms of “local diagonalisation”:

- We say that $U \in SU(2)$ **locally diagonalises** a $2^k \times 2^k$ matrix M if $U^{\otimes k} M (U^\dagger)^{\otimes k}$ is diagonal.

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As corollaries of our main result, we have that:

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... as well as many other cases. We can think of this result as a quantum analogue of **Schaefer's dichotomy theorem**.

Remarks on this result

- We assume that, given a set of interactions \mathcal{S} , we are allowed to produce an overall Hamiltonian by applying each interaction $M \in \mathcal{S}$ scaled by an **arbitrary real weight**, which can be either positive or negative.

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- We can assume without loss of generality that the identity matrix $I \in \mathcal{S}$ (we can add an arbitrary “energy shift”).

Proof techniques

The basic idea behind the proof of the QMA-hardness part is to use **reductions**.

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- Given two Hamiltonians H and V , we form $\tilde{H} = V + \Delta H$, where Δ is a large parameter.
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Projection Lemma (informal, based on [Oliveira-Terhal '08])

If $\Delta = \delta \|V\|^2$, then

$$\|\tilde{H}_{<\Delta/2} - V_-\| = O(1/\delta).$$

Example: the Heisenberg model

The case $\mathcal{S} = \{XX + YY + ZZ\}$ illustrates a difficulty with this idea. Let

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- $XX + YY + ZZ = 2F - I$ is **invariant under conjugation** by $U^{\otimes 2}$ for all $U \in SU(2)$ (where F is the **swap** operator).

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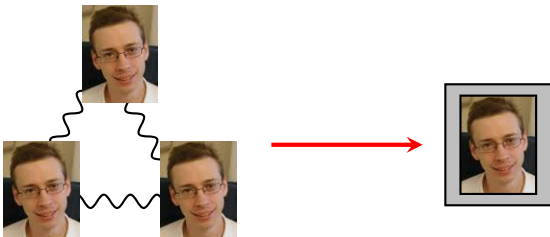
Just as with classical CSPs, the way round this is to use **encodings**.

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- We would like to find a gadget that encodes qubits, and lets us encode operations across qubits.

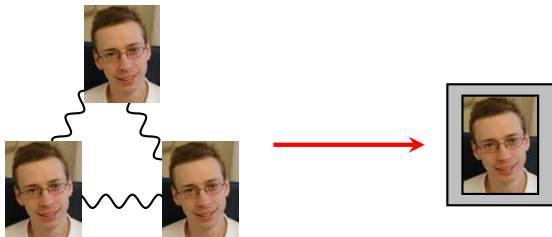
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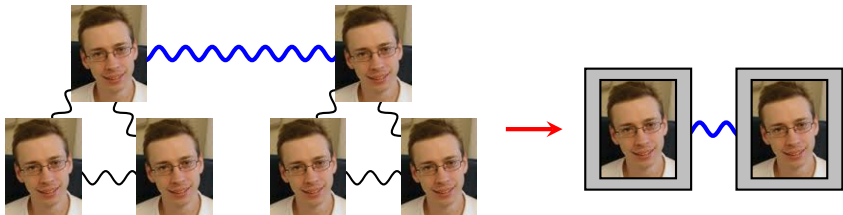
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- This is inspired by previous work on universality of the exchange interaction [Kempe et al. '00].
- We can find a 4-dimensional subspace of the 3 qubits such that, within this subspace, we can make logical $Z \otimes I$ and $X \otimes I$ operators.

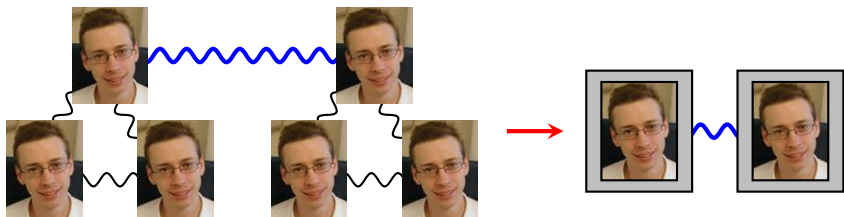
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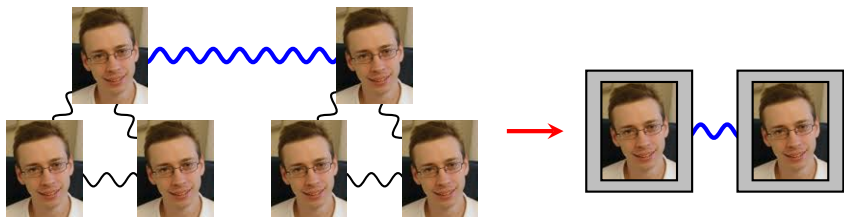
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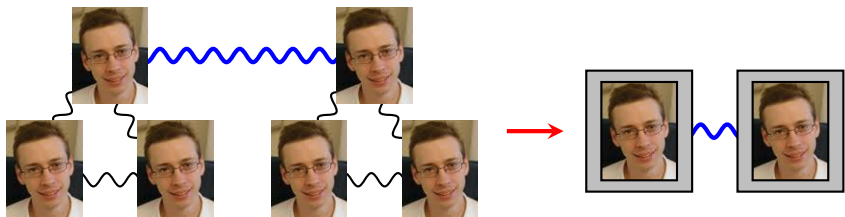
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- Let the logical qubits in the first (resp. second) triangle be labelled (1,2) (resp. (3,4)).
- By applying suitable linear combinations across qubits, we can effectively make

$$X_1 X_3 (2F - I)_{24}, \quad Z_1 Z_3 (2F - I)_{24}, \quad I_1 I_3 (2F - I)_{24}.$$

Example: the Heisenberg model

So, using Heisenberg interactions alone, we can implement an **arbitrary** (logical) Hamiltonian of the form

$$H = \sum_{k=1}^n (\alpha_k X_k + \beta_k Z_k) I_{k'} + \sum_{i < j} (\gamma_{ij} X_i X_j + \delta_{ij} Z_i Z_j) (2F - I)_{i'j'},$$

where we identify the i' th logical qubit pair with indices (i, i') .

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- To do this, we force the primed qubits to be in some state by very strong $F_{i'j'}$ interactions: we add the (logical) term

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- We can do this by making $I_i I_j (2F - I)_{i'j'}$ as on last slide.

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If the ground state $|\psi\rangle$ of G is **non-degenerate**, the primed qubits will all be effectively projected onto the ground state, and H will become (up to a small additive error)

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- Not so easy! This corresponds to an **exactly solvable** special case of the Heisenberg model, and not many of these are known.
- Luckily for us, the **Lieb-Mattis** model [Lieb and Mattis '62] has precisely the properties we need.

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We've dealt with the Heisenberg model... what about **everything else**? We can simplify things using a very similar normal form to one identified by [Dür et al. '01, Bennett et al. '02]:

Lemma

Let H be a 2-qubit interaction which is **symmetric** under swapping qubits. Then there exists $U \in SU(2)$ such that the 2-local part of $U^{\otimes 2}H(U^\dagger)^{\otimes 2}$ is of the form

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Why is this useful? If we conjugate each term by $U^{\otimes 2}$ in a 2-local Hamiltonian with only H interactions, it **doesn't change** the eigenvalues:

$$\sum_{i \neq j} \alpha_{ij} (U^{\otimes 2} H (U^\dagger)^{\otimes 2})_{ij} = U^{\otimes n} \left(\sum_{i \neq j} \alpha_{ij} H_{ij} \right) (U^\dagger)^{\otimes n}.$$

The other QMA-complete cases

This normal form drastically reduces the number of interactions we have to consider to a few special cases:

- The XY model $\mathcal{S} = \{XX + YY\}$ uses similar techniques to the Heisenberg model, but the gadgets are a bit simpler.
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Finding and verifying each of the gadgets required was somewhat painful and required the use of a [computer algebra](#) package.

Conclusions and open problems

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- What about k -qubit interactions for $k > 2$? We also have a complete characterisation here in the special case where we assume that we are allowed access to **arbitrary local terms** (i.e. $\{X, Y, Z\} \subseteq \mathcal{S}$).
- What about local dimension $d > 2$? Classically, the complexity of d -ary CSPs is still unresolved.

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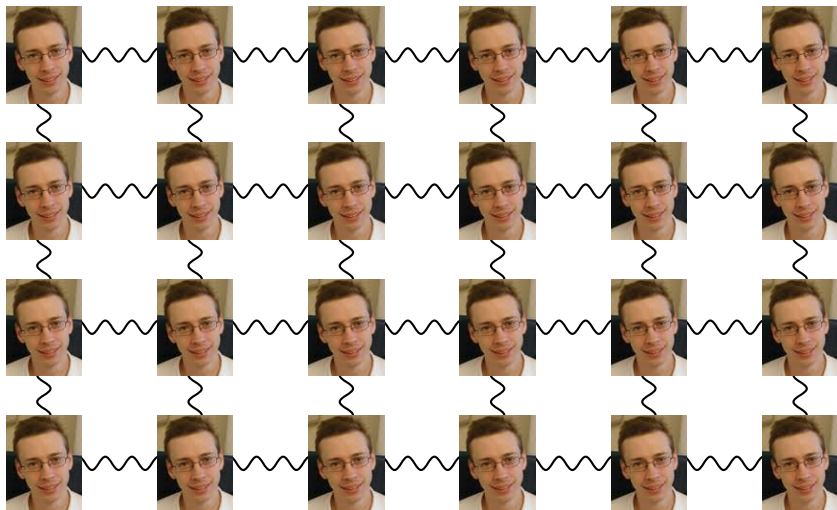
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- What about **quantum k -SAT**?
- Finally, what is the complexity of the transverse Ising model? Our intuition: at least **MA-hard**... for now, we encapsulate it as a new complexity class **TIM**.

Thanks!



arXiv:1311.3161

Allowing local terms

One variant of this framework is to allow **arbitrary local terms** (“magnetic fields”).

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It is known that \mathcal{S} -HAMILTONIAN WITH LOCAL TERMS is **QMA-complete** when:

- $\mathcal{S} = \{XX + YY + ZZ\}$ [Schuch and Verstraete '09]
- $\mathcal{S} = \{XX, ZZ\}$ or $\mathcal{S} = \{XZ\}$ [Biamonte and Love '08]

The case with local terms

Let \mathcal{S} be a fixed subset of Hermitian matrices on at most k qubits, for some constant k .

Theorem

Let \mathcal{S}' be the subset formed by removing all 1-local terms from each element of \mathcal{S} , and then deleting all 0-local matrices. Then:

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- 3 Otherwise, \mathcal{S} -HAMILTONIAN WITH LOCAL TERMS is **QMA-complete**.

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The basic idea:

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- The first-order perturbative gadgets we use are based on ideas going back to [\[Oliveira and Terhal '08\]](#) and [\[Schuch and Verstraete '08\]](#).
- The basic idea: to implement an effective interaction across two qubits a and c , add a new **mediator** qubit b interacting with each of a and c , and put a strong 1-local interaction on b .

Example

Claim (similar to results of [Schuch and Verstraete '08])

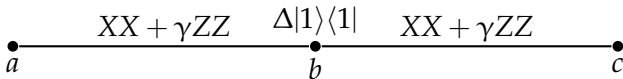
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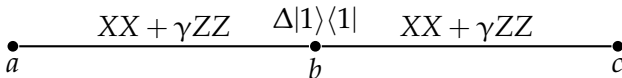


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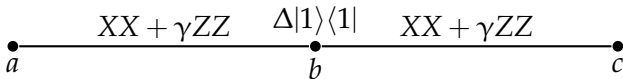
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- This forces qubit b to (approximately) be in the state $|0\rangle$.
- It turns out that, up to local and lower-order terms, the effective interaction across the remaining qubits is

$$H_{\text{eff}} \propto X_a X_c.$$

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This turns out to be all the cases we need to complete the characterisation of \mathcal{S} -HAMILTONIAN WITH LOCAL TERMS!

The different cases in the characterisation

To finish off the 2-local special case of \mathcal{S} -HAMILTONIAN WITH LOCAL TERMS:

- If the 2-local part of any interaction in \mathcal{S} is locally equivalent to $XX + \beta YY + \gamma ZZ$ or $XZ - ZX$, we have QMA-completeness;

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- So we can make $XX + AA$, which suffices for **QMA-completeness**.

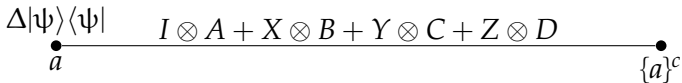
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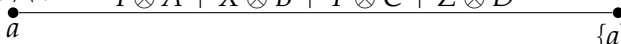
The diagram shows a horizontal line representing an interaction. On the left end of the line is a black dot with the label a below it. On the right end is another black dot with the label $\{a\}^c$ below it. Above the line, centered between the two dots, is the mathematical expression $I \otimes A + X \otimes B + Y \otimes C + Z \otimes D$. To the left of the line, above the a label, is the expression $\Delta|\psi\rangle\langle\psi|$.

- By letting $|\psi\rangle$ be the eigenvector of X , Y or Z with eigenvalue ± 1 , we can produce the effective interactions $A \pm B$, $A \pm C$ and $A \pm D$ (up to a small additive error).

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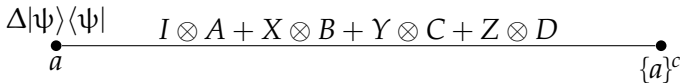
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- By adding/subtracting these matrices we can make each of $\{A, B, C, D\}$.
- So either \mathcal{S} is **QMA-complete**, or all 2-local “parts” of each interaction in \mathcal{S} are simultaneously diagonalisable by local unitaries. This case turns out to be in **TIM**.

S-HAMILTONIAN: The list of lemmas

It suffices to prove QMA-completeness of the following cases:

- 1 $\{XX + YY + ZZ\}$ -HAMILTONIAN;
- 2 $\{XX + YY\}$ -HAMILTONIAN;
- 3 $\{XZ - ZX\}$ -HAMILTONIAN;
- 4 $\{XX + \beta YY + \gamma ZZ\}$ -HAMILTONIAN;
- 5 $\{XX + \beta YY + \gamma ZZ + AI + IA\}$ -HAMILTONIAN;
- 6 $\{XZ - ZX + AI - IA\}$ -HAMILTONIAN.

In the above, β, γ are real numbers such that at least one of β and γ is non-zero, and A is an arbitrary single-qubit Hermitian matrix.

S-HAMILTONIAN: The list of lemmas

We also need some reductions from cases which are not necessarily QMA-complete:

- $\{ZZ, X, Z\}$ -HAMILTONIAN reduces to $\{ZZ + AI + IA\}$ -HAMILTONIAN;
- $\{ZZ, X, Z\}$ -HAMILTONIAN reduces to $\{ZZ, AI - IA\}$ -HAMILTONIAN.

In the above, A is any single-qubit Hermitian matrix which does not commute with Z .

And the very final case to consider:

- Let \mathcal{S} be a set of diagonal Hermitian matrices on at most 2 qubits. Then, if every matrix in \mathcal{S} is 1-local, \mathcal{S} -HAMILTONIAN is in P. Otherwise, \mathcal{S} -HAMILTONIAN is NP-complete.

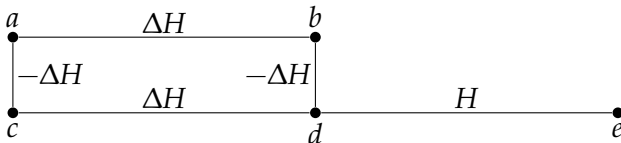
Example gadget for cases with 1-local terms

Let $H := XX + \beta YY + \gamma ZZ + AI + IA$, where β or γ is non-zero.

Lemma

$\{H\}$ -HAMILTONIAN is QMA-complete.

The gadget used looks like:



- The ground state of $G := H_{ab} + H_{cd} - H_{ac} - H_{bd}$ is maximally entangled across the split $(a-c : d)$.
- So if we project H_{de} onto this state, the effective interaction produced is A on qubit e .
- This allows us to effectively delete the 1-local part of H .

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The Lieb-Mattis model describes Hamiltonians of the form

$$H_{LM} = \sum_{i \in A, j \in B} X_i X_j + Y_i Y_j + Z_i Z_j,$$

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Claim [Lieb and Mattis '62, ...]

If $|A| = |B| = n$, the ground state $|\phi\rangle$ of H_{LM} is **unique**. For i and j such that $i, j \in A$ or $i, j \in B$, $\langle \phi | F_{ij} | \phi \rangle = 1$. Otherwise, $\langle \phi | F_{ij} | \phi \rangle = -2/n$.

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Using this claim, we can effectively implement any Hamiltonian of the form

$$\tilde{H} = \sum_{k=1}^n \alpha_k X_k + \beta_k Z_k + \sum_{i < j} \gamma_{ij} X_i X_j + \delta_{ij} Z_i Z_j,$$

which suffices for QMA-completeness [Biamonte and Love '08].