



# quantum Hall effect (class A)





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#### quantum Hall continued

universal interplay disorder/topology described by two parameters

- $\sigma_{xx}$  : average longitudinal transport coefficient
- $\sigma_{xy}$  : average topological index
- ▷ two parameter criticality



Khmelnitskii, 1983

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# **80s**

1 N

quantum Hall



## **80s**

×		•
<b>90s</b>	<ul> <li>free fermion systems classified by unitary and anti-unitary symmetries</li> <li>pre-nineties: 3 Wigner-Dyson classes</li> </ul>	
•	<ul> <li>there exist 10=(3+7) symmetry classes, (Zirnbauer, AA, 96)</li> <li>labeled A, AI, AII, AIII, BDI, C, CI, CII, D, DIII (courtesy Cartan)</li> </ul>	





### 1d delocalization phenomena

Idelocalization in quasi-one dimensional geometries

1998 AIII quantum wire (Brouwer, Mudry, Simons, AA)
1999 D quantum wire (Brouwer, Mudry, Furusaki)
2004 AIII, D, BDI, DIII, CII (Read, Gruzberg, Vishveshwara)

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# **Unconventional criticality in 2d**

2001 Class C spin quantum Hall effect (Chalker et al.)
2001 Class D quantum criticality (Fisher et al., Read et al.)

universal interpretation: topological insulators at quantum critical point













invalidate k-theory of band gaps/topological indices

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- invalidate k-theory of band gaps/topological indices
- destroys band gaps and
- turns insulator into nominal metal
- renders topological indices statistically distributed



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	clean	disordered
k-theory	+	-
band gap	÷	_
index	+	(+)















describe topological invariants without reference to k-space

describe criticality in terms of two observables:

g: transport coefficient

 $\chi$  : configurational average of index

▷ state bare values  $g = g(\mu, w, ...), \ \chi = \chi(\mu, w, ...)$ 

generically:  $(g, \chi) \xrightarrow{L \to \infty} (0, n)$ 

critical:  $(g, \chi) \xrightarrow{L \to \infty} (g_{\text{crit.}}, n+1/2)$ 

describe edge state formation

▷ similar architecture in all symmetry classes, d=1,2

complex case:

$\operatorname{Cartan} d$	0	1	2	3	4	5	6	7	8	9	10	11	•••
А	$\mathbb{Z}$	0	• • •										
AIII	0	$\mathbb{Z}$											

real case:

Cartan d	<i>l</i> 0	1	2	3	4	5	6	7	8	9	10	11	•••
AI	$\mathbb{Z}$	0	0	0	$2\mathbb{Z}$	0	$\mathbb{Z}_2$	$\mathbb{Z}_2$	$\mathbb{Z}$	0	0	0	•••
BDI	$\mathbb{Z}_2$	$\mathbb{Z}$	0	0	0	$2\mathbb{Z}$	0	$\mathbb{Z}_2$	$\mathbb{Z}_2$	$\mathbb{Z}$	0	0	•••
D	$\mathbb{Z}_2$	$\mathbb{Z}_2$	$\mathbb{Z}$	0	0	0	$2\mathbb{Z}$	0	$\mathbb{Z}_2$	$\mathbb{Z}_2$	$\mathbb{Z}$	0	•••
DIII	0	$\mathbb{Z}_2$	$\mathbb{Z}_2$	$\mathbb{Z}$	0	0	0	$2\mathbb{Z}$	0	$\mathbb{Z}_2$	$\mathbb{Z}_2$	$\mathbb{Z}$	
AII	$2\mathbb{Z}$	0	$\mathbb{Z}_2$	$\mathbb{Z}_2$	$\mathbb{Z}$	0	0	0	$2\mathbb{Z}$	0	$\mathbb{Z}_2$	$\mathbb{Z}_2$	•••
CII	0	$2\mathbb{Z}$	0	$\mathbb{Z}_2$	$\mathbb{Z}_2$	$\mathbb{Z}$	0	0	0	$2\mathbb{Z}$	0	$\mathbb{Z}_2$	•••
С	0	0	$2\mathbb{Z}$	0	$\mathbb{Z}_2$	$\mathbb{Z}_2$	$\mathbb{Z}$	0	0	0	$2\mathbb{Z}$	0	•••
$\operatorname{CI}$	0	0	0	$2\mathbb{Z}$	0	$\mathbb{Z}_2$	$\mathbb{Z}_2$	$\mathbb{Z}$	0	0	0	$2\mathbb{Z}$	•••

complex case:



real case:

$\operatorname{Cartan} d$	0	1	2	3	4	5	6	7	8	9	10	11	•••
AI	$\mathbb{Z}$	'Kita	ev ch	nain'	$2\mathbb{Z}$	0	$\mathbb{Z}_2$	$\mathbb{Z}_2$	$\mathbb{Z}$	0	0	0	• • •
BDI	$\mathbb{Z}_2$	///.	()	1	Π	977.	0	$\mathbb{Z}_2$	$\mathbb{Z}_2$	$\mathbb{Z}$	0	0	
D	$\mathbb{Z}_2$			a qua	intur		e_ℤ	0	$\mathbb{Z}_2$	$\mathbb{Z}_2$	$\mathbb{Z}$	0	•••
DIII	0	$\mathbb{Z}_2$	$\mathbb{Z}_2$	$\mathbb{Z}^{\prime}$	topo	lgica	l insu	lato	r (Hg	Te)′	$\mathbb{Z}_2$	$\mathbb{Z}$	•••
AII	$2\mathbb{Z}$	0	$\mathbb{Z}_2$	$\mathbb{Z}_2$	$\mathbb{Z}$	0	0	0	$2\mathbb{Z}$	0	$\mathbb{Z}_2$	$\mathbb{Z}_2$	•••
CII	0	$2\mathbb{Z}$	spi	n QH	$\mathbb{Z}_2$	$\mathbb{Z}$	0	0	0	$2\mathbb{Z}$	0	$\mathbb{Z}_2$	•••
С	0	0	27	0	$\mathbb{Z}_2$	$\mathbb{Z}_2$	$\mathbb{Z}$	0	0	0	$2\mathbb{Z}$	0	•••
CI	0	0	0	$2\mathbb{Z}$	0	$\mathbb{Z}_2$	$\mathbb{Z}_2$	$\mathbb{Z}$	0	0	0	$2\mathbb{Z}$	•••

complex case:

$\operatorname{Cartan} d$	0	1	2	3	4	5	6	7	8	9	10	11	•••
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D	$\mathbb{Z}_2$	$\mathbb{Z}_2$	$\mathbb{Z}$	0	0	0	$2\mathbb{Z}$	0	$\mathbb{Z}_2$	$\mathbb{Z}_2$	$\mathbb{Z}$	0	• • •
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С	0	0	$2\mathbb{Z}$	0	$\mathbb{Z}_2$	$\mathbb{Z}_2$	$\mathbb{Z}$	0	0	0	$2\mathbb{Z}$	0	•••
$\operatorname{CI}$	0	0	0	$2\mathbb{Z}$	0	$\mathbb{Z}_2$	$\mathbb{Z}_2$	$\mathbb{Z}$	0	0	0	$2\mathbb{Z}$	•••

# quantum criticality of the 1d topological Anderson insulator

Benasque, 26.6.14

Alexander Altland, Dmitry Bagrets (Cologne) Alex Kamenev (Minnesota) Lars Fritz (Utrecht)

the 1d AllI insulator

topological quantum criticality

generalization to 1d BDI, CII

comparison to 2d A, C, D

▷ generalization to Z2 classes, 1d D,DIII, 2d AII, DIII
# the 1d AllI system (aka SSH)





$$\hat{H} = \begin{pmatrix} & \hat{Q} \\ \hat{Q}^{\dagger} & \end{pmatrix} \overset{\times}{\circ}$$



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$$\hat{H} = \begin{pmatrix} & \hat{Q} \\ \hat{Q}^{\dagger} & \end{pmatrix} \overset{\times}{\circ}$$

▷ winding number (clean)

$$n = -\frac{i}{2\pi} \int_0^{2\pi} dk \operatorname{tr} \left( \hat{Q}^{-1} \partial_k \hat{Q} \right)$$

single channel:  $n = \Theta(t - \mu)$ N channels:  $n \in [0, N]$ 

# generalized definition of winding number

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generalized definition

$$\begin{aligned} |\circ_l\rangle &\to e^{+i\frac{\phi l}{L}} |\circ_l\rangle \\ |\times_l\rangle &\to e^{-i\frac{\phi l}{L}} |\times_l\rangle \end{aligned}$$



## generalized definition of winding number

$$n = -\frac{i}{2\pi} \int_0^{2\pi} dk \operatorname{tr} \left( \hat{Q}^{-1} \partial_k \hat{Q} \right)$$

generalized definition

$$\begin{vmatrix} \circ_l \rangle \to e^{+i\frac{\phi l}{L}} & |\circ_l \rangle \\ |\times_l \rangle \to e^{-i\frac{\phi l}{L}} & |\times_l \rangle$$

<u></u>



$$Z(\phi) \equiv \frac{\det G_0(\phi_0)}{\det \hat{G}_0(i\phi_1)}, \qquad G_0(\theta) \equiv (i0 - \hat{H}(\theta))^{-1}, \qquad \phi = (\phi_0, \phi_1)^T,$$

cf. Nazarov, 94

$$n \equiv \chi = -\frac{1}{2\pi} \int_0^{2\pi} d\phi_0 Z(\phi) \big|_{\phi_1 = 0},$$

$$\boldsymbol{g} = \left(\partial_{\phi_0}^2 + \partial_{\phi_1}^2\right)\Big|_{\phi=0} Z(\phi)$$

# topological quantum criticality

#### field integral

$$Z(\phi) = \int \mathcal{D}T \, \exp(-S[T])$$
$$S[T] = \int_{0}^{L} dx \left[ \frac{\tilde{\xi}}{4} \operatorname{str}(\partial_{x}T\partial_{x}T^{-1}) + \tilde{\chi} \operatorname{str}(T^{-1}\partial_{x}T) \right]$$

AA & Merkt, 2001

▷ matrix fields 
$$T = U \begin{pmatrix} e^{y_1} \\ e^{iy_0} \end{pmatrix} U^{-1}$$
  
▷ boundary conditions  $T(L) = T(0) \begin{pmatrix} e^{\phi_1} \\ e^{i\phi_0} \end{pmatrix}$ 

 $\triangleright$   $(\tilde{\xi}, \tilde{g})$  bare (SCBA) values of loc. length and topological parameter, resp.

$$\tilde{\xi} = Nl, \qquad \tilde{\chi} = -\frac{\imath}{2} \operatorname{tr}(\hat{G}^+ \hat{v})$$

good picture: path integral of quantum point particle in time L.

## diffusive systems

$$Z(\phi) = \int \mathcal{D}T \, \exp(-S[T])$$
$$S[T] = \int_{0}^{L} dx \left[ \frac{\tilde{\xi}}{4} \operatorname{str}(\partial_{x}T\partial_{x}T^{-1}) + \tilde{\chi}\operatorname{str}(T^{-1}\partial_{x}T) \right]$$

▷ for 
$$L < \tilde{\xi}$$
:  $T(x) = \text{diag}(e^{\phi_1 x/L}, e^{i\phi_0 x/L}),$   
$$S[T] = \frac{\tilde{\xi}}{L}(\phi_1^2 + \phi_2^2) + \tilde{\xi}(\phi_1 + i\phi_0)$$
$$\tilde{\xi}$$

▷ diffusive conductance  $g = \frac{S}{L}$ 

▷ non-integer average invariant  $\chi = \tilde{\chi}$ 

$$Z(\phi) = \int \mathcal{D}T \, \exp(-S[T])$$
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▷ focus on compact sector:  $y_0 = \theta$ 

 $\blacktriangleright$  theory reduces to QM on a ring with twisted boundary conditions and subject to magnetic flux  $\tilde{\chi}$ 

$$Z(\phi) = \int \mathcal{D}\theta \, \exp(-S[\theta])$$

$$S[\theta] = \int_{0}^{L} dx \left[ \frac{\tilde{\xi}}{4} \partial_x \theta \partial_x \theta + i\tilde{\chi} \partial_x \theta \right]$$

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# transfer matrix solution of full problem

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## transfer matrix solution of full problem

$$\tilde{\xi} \partial_x \Psi(y, x) = \frac{1}{J(y)} (\partial_\alpha - iA_\alpha) J(y) (\partial_\alpha - iA_\alpha) \Psi(y, x),$$
$$J(y) = \sinh^{-2} \left( \frac{1}{2} (y_1 - iy_0) \right) \qquad A = \tilde{\chi}(1, i)^T$$

▷ solvable problem:

▷ eigenfunctions: 
$$\Psi_l(y) = \sinh\left(\frac{1}{2}(y_1 - iy_0)\right)e^{il_\alpha y_\alpha}$$
  $(l_0, l_1) \in (\mathbb{Z} + \frac{1}{2}, \mathbb{R})$ 

▷ eigenvalues: 
$$\epsilon_l = (l_0 - \tilde{\chi})^2 + (l_1 - i\tilde{\chi})^2$$

▷ solution by spectral sum:

$$\Psi(\phi, L) = 1 + \frac{1}{\pi} \sum_{l_0 \in \mathbb{Z} + \frac{1}{2}} \int dl_1 \, \frac{\Psi_l(\phi)}{l_0 + il_1} \, e^{-\epsilon_l L/\tilde{\xi}}$$

## results

$$g = \sqrt{\frac{\tilde{\xi}}{\pi L}} \sum_{l_0 \in \mathbb{Z} + 1/2} e^{-(l_0 - \tilde{\chi})^2 L/\tilde{\xi}},$$
  
$$\chi = n - \frac{1}{4} \sum_{l_0 \in \mathbb{Z} + 1/2} \left[ \operatorname{erf}\left(\sqrt{\frac{L}{\tilde{\xi}}} \left(l_0 - \delta \tilde{\chi}\right)\right) - \left(\delta \tilde{\chi} \leftrightarrow -\delta \tilde{\chi}\right) \right],$$

where  $\chi = n + \tilde{\chi}$ 



# phase diagram



#### phase diagram



▷ phase boundaries: lines of half integer bare topological index

Ilow describes stabilization of self-averaging topological phase/boundary state generation

#### phase diagram



phase boundaries: lines of half integer bare topological index

Ilow describes stabilization of self-averaging topological phase/boundary state generation

deep localization regime

$$S[T] = \int_{0}^{L} dx \left[ \frac{\tilde{\xi}}{4} \operatorname{str}(\partial_{x} T \partial_{x} T^{-1}) + \tilde{\chi} \operatorname{str}(T^{-1} \partial_{x} T) \right].$$

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$$\downarrow L \gg \tilde{\xi}$$

$$S[T] = n \int dx \operatorname{str}(T^{-1} \partial_{x} T) = n \int dx \, \partial_{x} \operatorname{str} \ln(T) = n(\operatorname{str} \ln(T(L)) - \operatorname{str} \ln(T(0)))$$

S[T]

deep localization regime

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boundary action (generalized for finite excitation energies)

$$S[T] = \frac{s}{4} \operatorname{str}(T + T^{-1}) + n \operatorname{str} \ln(T),$$
$$s = \frac{\pi \epsilon}{\Delta}$$

$$\rho(s) = \frac{\pi |s|}{\Delta} \left[ J_n(s)^2 - J_{n-1}(s) J_{n+1}(s) \right]$$



S[T]

deep localization regime

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 $-\operatorname{str}\ln(T(0)))$ 

# universality

#### 1d universality

Classes AIII, BDI, CII described by unified approach:

physical response probed by insertion of gauge flux

structurally identical low energy action



▷ and flow of system parameters.



#### 2d universality

#### ON LOCALIZATION IN THE THEORY OF THE QUANTIZED HALL EFFECT: A TWO-DIMENSIONAL REALIZATION OF THE θ-VACUUM

A M M PRUISKEN

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Received 28 July 1983 (Corrected version received 25 November 1983)

It is shown that the localization problem in the theory of the quantized Hall effect is governed by the zero-component grassmannian U(2m) non-linear  $\sigma$ -model with a  $\theta$ -term, a two-dimensional analogue of the  $\theta$ -vacuum in Yang-Mills theory In this case,  $\theta$  is to be interpreted as the "bare" value for the Hall conductivity, determined by an underlying non-critical theory A detailed derivation is presented starting from the replica method and a delta function distribution for the impurities

#### 2d universality

▷ classes A, C, D described by unified approach:

probed by insertion of gauge flux (Pruisken's background field, cf. Laughlin gauge argument)

structurally identical low energy action



$$S[Q] = \frac{\tilde{g}}{2} \int d^2 x \operatorname{str}(\partial_{\mu} Q \partial_{\mu} Q) + \frac{\tilde{\chi}}{2} \int d^2 x \,\epsilon_{\mu\nu} \operatorname{str}(Q \partial_{\mu} Q \partial_{\nu} Q)$$

▷ and flow of system parameters.



**Z-universality** 

1d AIII, BDI, CII

2d A, C, (D)



#### $S[M] = \tilde{g} S_{\text{diff}}[M] + \tilde{\chi} S_{\text{top}}[M]$



generic flow  $(g,\chi) \stackrel{L \to \infty}{\longrightarrow} (0,n)$ 

$$nS_{\text{top}}[M] \longrightarrow n S_{\text{boundary}}[T]$$



# generalization to Z2

	Α	AIII	ΑΙ	BDI	D	DIII	All	CII	С	CI
1										
2										



#### generalization to Z2

▷ case study: All, d=2 (Kane & Fu, 2012)

system probed by topological point defects

- field theory admits point-like excitations
- ▷ theta-term —> fugactiy term
- 2 parameter criticality



 $\infty$ 

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▷ field theory

$$S[T] = \frac{\tilde{\xi}}{4} \int_0^L dx \operatorname{tr} \left(\partial_x T \partial_x T^{-1}\right) + \ln(\tilde{\chi}) \times (\# \text{ of kinks})$$



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$$S[T] = \frac{\tilde{\xi}}{4} \int_0^L dx \operatorname{tr} \left(\partial_x T \partial_x T^{-1}\right) + \ln(\tilde{\chi}) \times (\# \text{ of kinks})$$

$$\partial_x \left(\begin{array}{c} \Psi^{(+)} \\ \Psi^{(-)} \end{array}\right) = \left(\begin{array}{c} g^{-1}J^{-1}\partial_i(J\partial_i) \\ -vg^{-1/2}\,\partial_\phi\int d\theta\sqrt{J(\phi,\theta)} \end{array} \begin{array}{c} -\frac{vg^{-1/2}}{\sqrt{J(\phi,\theta)}}\,\partial_\phi\int d\theta \\ g^{-1}\partial_i\partial_i \end{array}\right) \left(\begin{array}{c} \Psi^{(+)} \\ \Psi^{(-)} \end{array}\right),$$

$$J = \frac{\sinh^2 \phi}{(\cosh \phi - \cos \theta)^2}$$



▷ field theory

$$S[T] = \frac{\tilde{\xi}}{4} \int_0^L dx \operatorname{tr} \left(\partial_x T \partial_x T^{-1}\right) + \ln(\tilde{\chi}) \times (\# \text{ of kinks})$$

 $\triangleright$  generic two parameter flow  $(g,\chi) \rightarrow (0,\pm 1)$ 

#### Summary

▷ real space approach to translationally non-invariant topological insulators

- 2-parameter field theory
- ▷ probed by continuous (Z) or point-like (Z2) topological sources
- universal scaling
- stabilization of topology by localization

Thouless topology 2d class A Khmelnitskii/Pruisken criticality 2d class A Chalker et al. 2d class C Ludwig et al. exact solution 2d class C Fisher et al., Read et al., Zirnbauer/Serban 2d class D Zirnbauer 1d super-Fourier analysis Mirlin, Mudry, Gruzberg et al. disordered topological matter Beenakker et al., Brouwer et al. scattering theory of topological matter Read, Gruzberg, Vishveshwara 1d topological quantum criticality Ludwig et al. disorder vs. bulk-boundary correspondence Kane/Fu, 2d class All

#### previous work

Thouless topology 2d class A

Khmelnitskii/Pruisken criticality 2d class A

Chalker et al. 2d class C

Ludwig et al. exact solution 2d class C

Fisher et al., Read et al., Zirnbauer/Serban 2d class D

Zirnbauer 1d super-Fourier analysis

Mirlin, Mudry, Gruzberg et al. disordered topological matter

Beenakker et al., Brouwer et al. scattering theory of disordered topological matter

Read, Gruzberg, Vishveshwara, 1d topological quantum criticality

Ludwig et al., disorder vs. bulk-boundary correspondence

Kane/Fu, 2d All