

# Lattice determination of $\alpha_s$ in 3-flavour QCD

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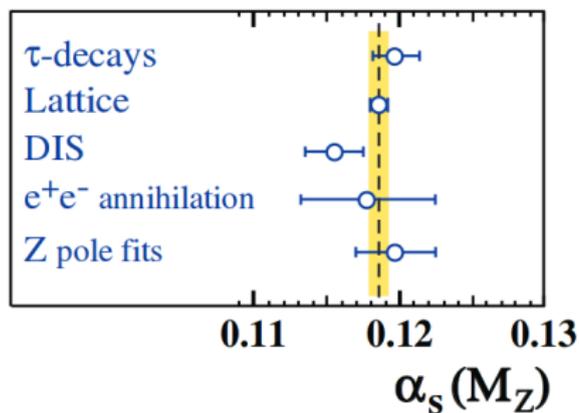
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Benasque, 13 August 2015

# Currently quoted results for $\alpha_s(m_Z)$

World average [PDG 2014]:  $\alpha_s(m_Z) = 0.1185(6)$



- PDG error estimate determined by lattice results!  
How realistic are these small errors?
- FLAG group average:  $\alpha_s(m_Z)|_{\text{lattice}} = 0.1184(12)$   
[arXiv:1310.8555v2]

# ALPHA collaboration project

Build on CLS effort [[Bruno et al, JHEP 1502 \(2015\) 043](#)]:

- $N_f = 2 + 1$  QCD
- nonperturbatively  $O(a)$  improved Wilson quarks & Lüscher-Weisz gauge action;
- open boundary conditions (avoids topology freezing)

Use 3 input parameters from experiment, e.g.

$$F_K, m_\pi, m_K \quad \Rightarrow \quad m_u = m_d, m_s, g_0$$

$\Rightarrow$  everything else becomes a prediction, for instance

$$\alpha_s^{(N_f=3)}(100 \times F_K) \quad (\text{in any renormalization scheme})$$

Final goal:  $\alpha_s^{(N_f=5)}(m_Z)$  in the  $\overline{\text{MS}}$ -scheme

- Matching to  $N_f = 5$  across the charm and bottom thresholds
- Perturbative matching probably fine for bottom, unclear for charm (not discussed here).

# The QCD $\Lambda$ -parameter and $\alpha_s(\mu) = \bar{g}^2(\mu)/4\pi$

$$\Lambda = \mu [b_0 \bar{g}^2(\mu)]^{-\frac{b_1}{2b_0^2}} e^{-\frac{1}{2b_0 \bar{g}^2(\mu)}} \exp \left\{ -\int_0^{\bar{g}(\mu)} dg \left[ \frac{1}{\beta(g)} + \frac{1}{b_0 g^3} - \frac{b_1}{b_0^2 g} \right] \right\}$$

- exact solution of Callan-Symanzik equation!
- Assume: coupling  $\bar{g}(\mu)$  non-perturbatively defined,  $N_f$  massless quarks
- $\beta(g)$  has expansion  $\beta(g) = -b_0 g^3 - b_1 g^5 + \dots$

$$b_0 = (11 - \frac{2}{3} N_f)/(4\pi)^2, \quad b_1 = (102 - \frac{38}{3} N_f)/(4\pi)^4, \quad \dots$$

- Scheme dependence of  $\Lambda$  almost trivial:

$$g_X^2(\mu) = g_Y^2(\mu) + c_{XY} g_Y^4(\mu) + \dots \quad \Rightarrow \quad \frac{\Lambda_X}{\Lambda_Y} = e^{c_{XY}/2b_0}$$

$\Rightarrow$  use  $\Lambda_{\overline{\text{MS}}} = \Lambda_{\text{QCD}}$  as reference!

# The QCD $\Lambda$ -parameter and $\alpha_s(\mu) = \bar{g}^2(\mu)/4\pi$

$$\Lambda = \mu [b_0 \bar{g}^2(\mu)]^{-\frac{b_1}{2b_0^2}} e^{-\frac{1}{2b_0 \bar{g}^2(\mu)}} \exp \left\{ -\int_0^{\bar{g}(\mu)} dg \left[ \frac{1}{\beta(g)} + \frac{1}{b_0 g^3} - \frac{b_1}{b_0^2 g} \right] \right\}$$

- Continuum relation, exact at any scale  $\mu$ :
  - require large  $\mu$  to evaluate integral perturbatively
  - require small  $\mu$  to match hadronic scale

⇒ problem of large scale differences:

- The scale  $\mu$  must reach the perturbative regime:  $\mu \gg \Lambda_{\text{QCD}}$
- The lattice cutoff must still be larger:  $\mu \ll a^{-1}$
- The volume must be large enough to contain pions:  $L \gg 1/m_\pi$
- Taken together a naive estimate gives

$$L/a \gg \mu L \gg m_\pi L \gg 1 \quad \Rightarrow \quad L/a \simeq O(10^3)$$

⇒ widely different scales cannot be resolved simultaneously on a single lattice!

# Step scaling function

- Widely different scales cannot be resolved simultaneously on a *single* lattice
- ⇒ break calculation up in steps [Lüscher, Weisz, Wolff '91; Jansen et al. '95]:
  - 1 define  $\bar{g}^2(L)$  that runs with the space-time volume, i.e.  $\mu = 1/L$
  - 2 construct the step-scaling function

$$\sigma(u) = \bar{g}^2(2L) \Big|_{u=\bar{g}^2(L)}$$

for a range of values  $u \in [u_{\min}, u_{\max}]$

- 3 iteratively step up/down in scale by factors of 2:

$$\bar{g}^2(L_{\max}) = u_{\max} \equiv u_0, \quad u_k = \sigma(u_{k+1}) = \bar{g}^2(2^{-k} L_{\max}), \quad k = 0, 1, \dots$$

- 4 match to hadronic input at a hadronic scale  $L_{\max}$ , i.e.  $F_K L_{\max} = O(1)$
- 5 once arrived in the perturbative regime extract  $\Lambda_{\text{QCD}}$

# Lattice approximants $\Sigma(u, a/L)$ for $\sigma(u)$

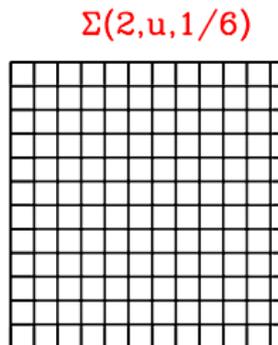
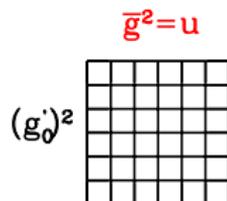
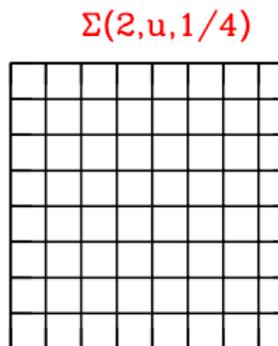
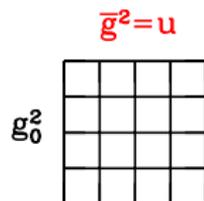
- choose  $g_0$  and  $L/a = 4$ ,  
measure  $\bar{g}^2(L) = u$  (this sets  
the value of  $u$ )
- double the lattice and measure

$$\Sigma(u, 1/4) = \bar{g}^2(2L)$$

- now choose  $L/a = 6$  and tune  
 $g'_0$  such that  $\bar{g}^2(L) = u$  is  
satisfied
- double the lattice and measure

$$\Sigma(u, 1/6) = \bar{g}^2(2L)$$

- $\sigma(u) = \lim_{a/L \rightarrow 0} \Sigma(u, a/L)$ .
- change  $u$  and repeat...



# Wanted: renormalized finite volume coupling, which...

- is non-perturbatively defined in a finite space-time volume;
- can be expanded in perturbation theory (at least  $\leq 2$ -loop) with reasonable effort;
- is gauge invariant;
- is quark mass-independent (defined in the chiral limit).
- can be evaluated by MC simulation with good statistical precision

⇒ not easy to satisfy! Here:

- 1 impose Schrödinger functional (SF) boundary conditions: periodic in space, Dirichlet in time
- 2 use 2 definitions of the coupling
  - traditional SF coupling [Narayanan et al. '92]
  - gradient flow coupling & SF b.c.'s [Fritsch & Ramos '13]

- (inhomogeneous) Dirichlet conditions for the gauge field
- ⇒ induce family of background gauge fields  $B_\mu$  with parameter  $\eta$ .
- With some care the induced background fields are unique up to gauge equivalence.
- Effective action

$$e^{-\Gamma[B]} = \int D[A, \psi, \bar{\psi}] e^{-S[A, \psi, \bar{\psi}]}$$

- Perturbative expansion:

$$\Gamma[B] = \frac{1}{g_0^2} \Gamma_0[B] + \Gamma_1[B] + O(g_0^2)$$

- Define

$$\frac{1}{\bar{g}_{\text{SF}}^2(L)} = \frac{\partial_\eta \Gamma[B]}{\partial_\eta \Gamma_0[B]} = \frac{\langle \partial_\eta S \rangle}{\partial_\eta \Gamma_0[B]}$$

- ⇒ SF coupling is defined by the response of the system to a change of a colour electric background field. [Narayanan et al. '92]

# Gradient flow & renormalized finite volume coupling

- QCD, gauge field  $A_\mu(x)$ , Yang-Mills gradient flow equation:

$$\partial_t B_\mu(t, x) = D_\nu G_{\nu\mu}(t, x) \quad \left( = -\frac{\delta S_g[B]}{\delta B_\mu(t, x)} \right), \quad B_\mu(0, x) = A_\mu(x)$$

with field tensor  $G_{\mu\nu} = \partial_\mu B_\nu - \partial_\nu B_\mu + [B_\mu, B_\nu]$ .

- Local gauge invariant composite fields at positive flow time  $t > 0$  such as

$$E(t, x) = -\frac{1}{2} \text{tr} \{ G_{\mu\nu}(x, t) G_{\mu\nu}(x, t) \}$$

are renormalized; no mixing with other fields of same or lower dimensions! [Lüscher & Weisz '2012];

- Explicit one-loop calculation (infinite volume, dimensional regularization) [Lüscher 2010]:

$$\langle E(t, x) \rangle = \frac{3g_{\text{MS}}^2(\mu)}{16\pi^2 t^2} \left( 1 + \frac{1.0978 + 0.0075 N_f}{4\pi} g_{\text{MS}}^2(\mu) + \dots \right), \quad \mu = \frac{1}{\sqrt{8t}}$$

$\Rightarrow E(t, x)$  is, for  $t > 0$ , a renormalized field; unlike  $E(0, x)$  which has a quartic and a logarithmic divergence!

# Gradient flow couplings

- Infinite volume: Non-perturbative definition of a renormalized “gradient flow coupling” at scale  $\mu = 1/\sqrt{8t}$ :

$$g_{\text{GF},\infty}^2(\mu) \stackrel{\text{def}}{=} \frac{16\pi^2}{3} t^2 \langle E(t, x) \rangle$$

- Finite volume: consider  $\langle E(t, x) \rangle$  in a finite box of dimension  $L^4$ , fix the ratio  $c = \sqrt{8t}/L$  and define

$$\bar{g}_{\text{GF}}^2(L) = \mathcal{N}(c)^{-1} t^2 \langle E(t, x) \rangle, \quad \lim_{c \rightarrow 0} \mathcal{N}(c) = \frac{3}{16\pi^2}$$

- defines family of renormalized couplings, with parameter  $c$ . (typical range from 0.2 to 0.5);
- $\mathcal{N}(c)$  is calculable in lowest order perturbation theory; depends on b.c.'s for the gauge field; periodic in space; time direction:
  - periodic b.c.'s [Fodor et al. 2012]
  - ⇒ SF (Dirichlet) b.c.'s [Fritzsch & Ramos 2012], used here!
  - twisted periodic b.c.'s [Ramos 2013]
  - open-SF (Neumann-Dirichlet) b.c.'s [Lüscher 2013]

# Comparison $g_{GF}$ vs. $g_{SF}$

## SF-coupling:

- 3-loop  $\beta$ -function (i.e.  $b_2$ ) is known [Bode, Weisz, Wolff '99]
- 2-loop  $c_t$  known:  $O(a)$  boundary effects highly suppressed
- $\Delta(1/\bar{g}^2) \propto (\Delta L)/L$ , roughly independent of  $\bar{g}$ .
- requires very large statistics; variance increases with  $L/a$ .
- large physical volumes very difficult (N.B. coupling defined by variation of b.c.'s).

## GF-coupling (finite volume, SF b.c.'s)

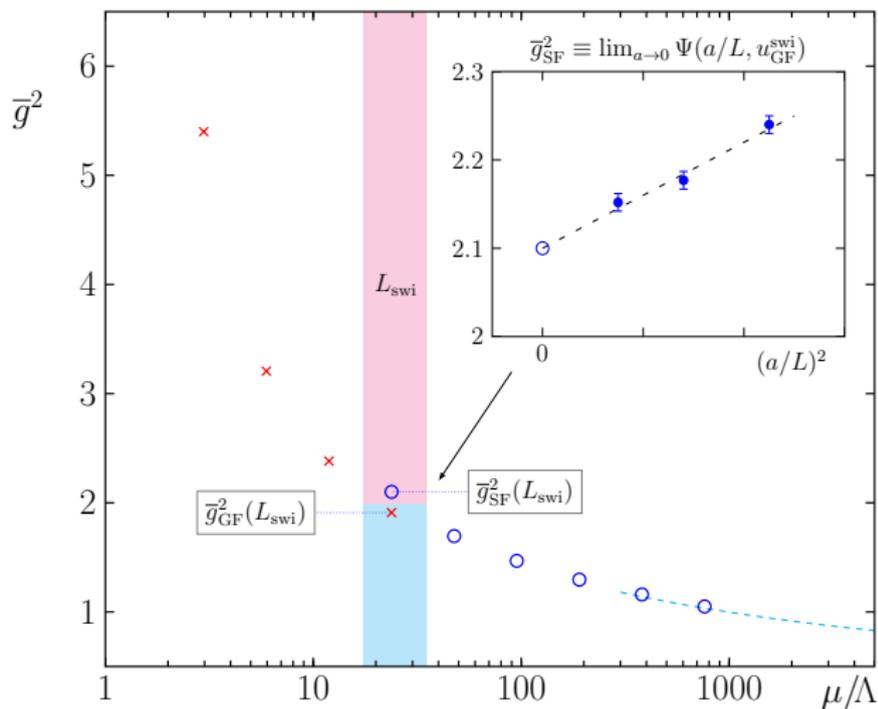
- high statistical precision
- can be measured in large physical volumes; ideal to match hadronic physics!
- $\Lambda_{GF}/\Lambda_{QCD}$  not yet known; only universal  $b_0, b_1$  can be used.
- $\Delta(1/\bar{g}^2) \propto 1/\bar{g}^2$ : more expensive as  $\bar{g}$  decreases.
- Relatively large  $O(a^2)$  effects; can we reduce these?

# Overview of the strategy

Combining the advantages of both couplings we proceed as follows:

- Compute the hadronic scale  $F_K$  on  $N_f = 2 + 1$  CLS configurations
  - Compute  $F_K \times L_{\max}$  (defined through the GF-coupling; aim for  $L_{\max} \approx 0.5\text{fm}$ );
  - Compute the step scaling functions for  $\bar{g}_{\text{GF}}^2(L)$ ;
  - Perform 2-3 steps to cover the range from  $L_{\max}$  to  $L_{\text{swi}} \approx 0.05\text{ fm}$
  - At the intermediate scale  $L_{\text{swi}}$  switch from GF to SF scheme; also change from Lüscher-Weisz to Wilson gauge action;
- ⇒ obtain relation  $L_{\text{swi}}/L_{\max}$  and thus  $L_{\text{swi}} \times F_K$ .
- Compute the step-scaling function for  $\bar{g}_{\text{SF}}^2(L)$
  - extract  $L_{\text{swi}}\Lambda_{\text{QCD}}$
  - Combine results to obtain  $\Lambda_{\text{QCD}}/F_K$

# Overview of the strategy



(courtesy Patrick Fritsch)

# Computation of $L_{\text{swi}}\Lambda_{\text{QCD}}$

- Define  $L_{\text{swi}}$  implicitly by  $\bar{g}_{\text{SF}}^2(L_{\text{swi}}) = 2.012$
- Obtain continuum step scaling function (SSF) by fit ansatz for continuum & cutoff effects

$$\sigma(u) = \bar{g}_{\text{SF}}^2(2L)|_{u=\bar{g}_{\text{SF}}^2(L)}$$

for a range of  $u$ -values,  $u \in [1.10891, 2.0120]$

- Given  $\sigma(u)$  start with  $u_0 = 2.012$  and find  $u_1, u_2, \dots, u_5$ .

$$u_{n-1} = \sigma(u_n), \quad n = 1, \dots, 5, \quad \Rightarrow \quad u_n = \bar{g}_{\text{SF}}^2(2^{-n}L_{\text{swi}})$$

- At scale  $2^{-n}L_{\text{swi}}$  obtain  $L_{\text{swi}}\Lambda_{\text{SF}}$

$$L_{\text{swi}}\Lambda_{\text{SF}} = 2^n [b_0 u_n]^{-\frac{b_1}{2b_0^2}} e^{-\frac{1}{2b_0 u_n}} \exp \left\{ \frac{b_1^2 - b_2 b_0}{2b_0^3} u_n + O(u_n^2) \right\}$$

- Connect to  $\overline{\text{MS}}$  scheme  $\Lambda_{\text{SF}}^{N_f=3} / \Lambda_{\text{QCD}}^{N_f=3} = 0.382863(1)$

# Simulations

- On lattices with sizes  $L/a = 4, 6, 8, 12$  measure  $u = \bar{g}^2(L)$ .
- requires precise knowledge of massless limit, i.e.  $\kappa_{\text{cr}}(g_0, L/a)$
- Double lattice size and measure  $\Sigma(u, a/L) = \bar{g}^2(2L)$
- analyze  $\Sigma(u, a/L)$  directly
- Alternatively, reduce cutoff effects perturbatively up to 2-loop order:

$$\delta(u, a/L) = \frac{\Sigma(u, a/L) - \sigma(u)}{\sigma(u)} = \delta_1(L/a)u + \delta_2(L/a)u^2 + O(u^3)$$

$\delta_{1,2}(L/a)$  are known [Bode, Weisz & Wolff '99]

⇒ cutoff effects in

$$\Sigma'(u, a/L) = \frac{\Sigma(u, a/L)}{1 + \delta_1(L/a)u + \delta_2(L/a)u^2}$$

start at order  $u^4$ !

# Obtaining the SSF in the continuum

Example for global fit ansatz:

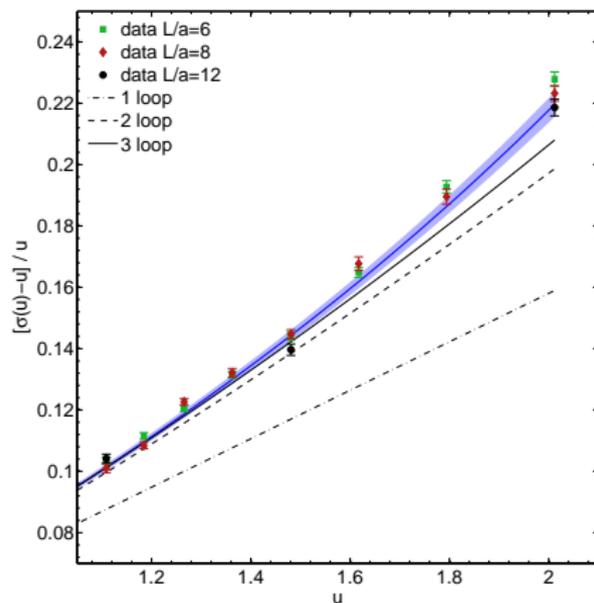
$$\begin{aligned}\Sigma'(u, a/L) &= u + s_0 u^2 + s_1 u^3 \\ &+ c_1 u^4 + c_2 u^5 \\ &+ \rho_1 u^4 \frac{a^2}{L^2}\end{aligned}$$

- $s_0, s_1$  fixed to perturbative values:

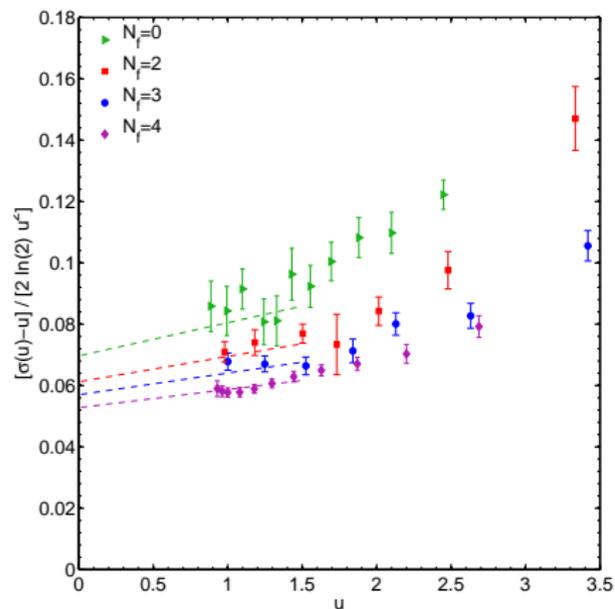
$$s_0 = 2b_0 \ln 2, \quad s_1 = s_0^2 + 2b_1 \ln 2$$

- 3 parameters:  $c_1, c_2, \rho_1$ ;  
19 data points,

$$\chi^2/\text{d.o.f.} = 1.099$$

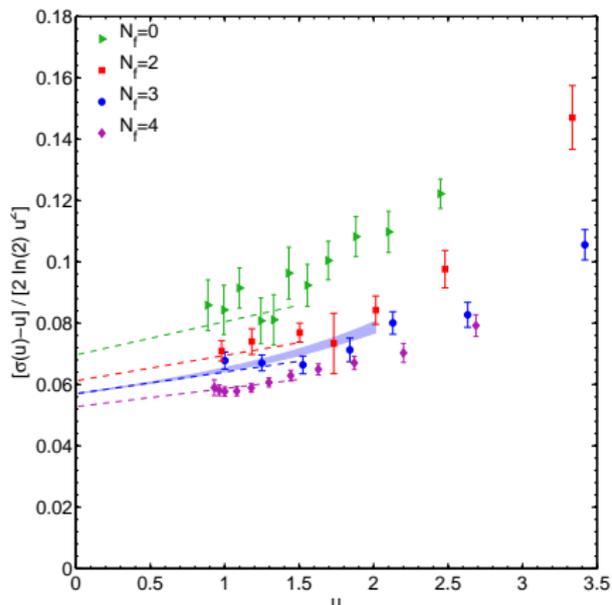


# Precision compared to earlier results for $N_f = 0, 2, 3, 4$



- $N_f = 0, 2, 4$  [ALPHA, '92-'12]
- $N_f = 3$   
[PACS-CS '09]

# Precision compared to earlier results for $N_f = 0, 2, 3, 4$



- $N_f = 0, 2, 3, 4$  [ALPHA, '92-'15]
- $N_f = 3$  [PACS-CS '09]
- Various fits (3-5 parameters, perturbatively improved & unimproved data), find stability after  $n = 2, 3$  step-scaling steps

$$\Rightarrow L_{\text{swi}} \Lambda_{\overline{\text{MS}}}^{N_f=3} = 0.0802(16)$$

(preliminary)

## More details on the definition of $g_{GF}^2$

- Choose same bare action as CLS in large volume;
- SF boundaries: use variant  $B$  by [Aoki, Frezzotti, Weisz, '98]
- Boundary  $O(a)$  improvement:  $c_t, \tilde{c}_t$  to 1-loop order [Aoki, Ide, Takeda '03; Vilaseca '15]
- Study of  $O(a)$  boundary effects (PT and quenched):
  - 1  $T = L, c = \sqrt{8t}/L = 0.3$  seems OK;
  - 2 advantageous to restrict to magnetic components at  $x_0 = T/2$ :

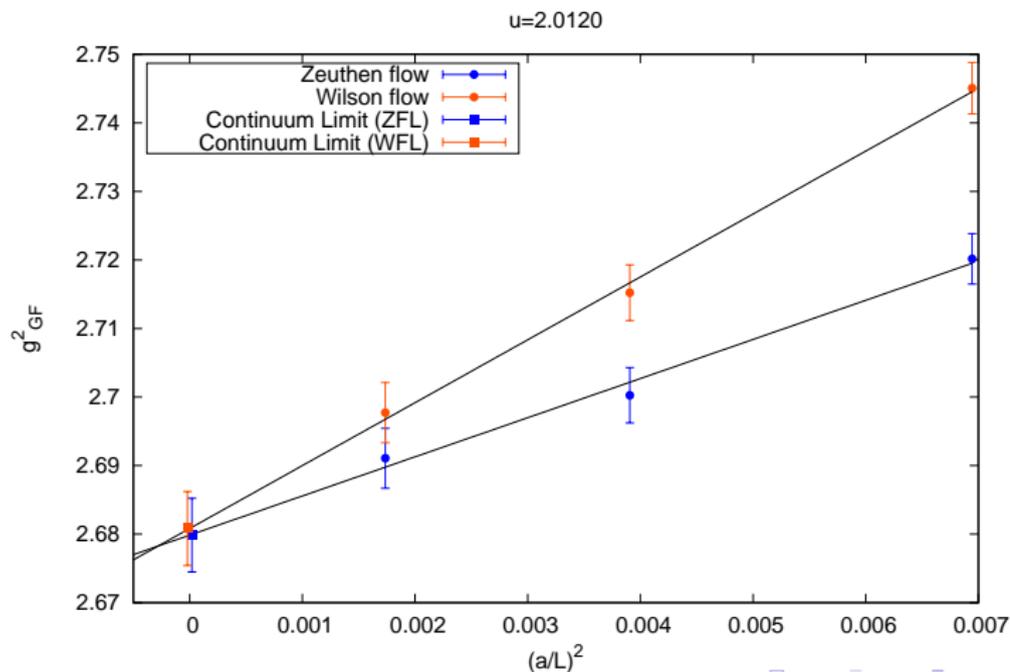
$$-\frac{1}{2} \langle \text{tr} \{ G_{kl}(t, x) G_{kl}(t, x) \} \rangle \Big|_{x_0=T/2, T=L, m_q=0} = \mathcal{N}(c, a/L) g_{GF}^2(L)$$

- Use  $\mathcal{N}(c, a/L)$  for given  $L/a \Rightarrow g_{GF}^2 = g_0^2$  exact at tree-level.
- Wilson flow &  $O(a^2)$  improved Zeuthen flow
- Clover &  $O(a^2)$  improved observable
- topology freezing: use projection on  $Q = 0$  sector [Fritzsch, Ramos, Stollenwerk '13]; becomes relevant for  $L > 0.25$  fm

# Matching at the switching scale $L_{\text{swi}}$ (Wilson action)

$$\bar{g}_{\text{SF}}^2(L_{\text{swi}}) = 2.012 \Rightarrow (\beta, L/a) \rightarrow (\beta, 2L/a) \Rightarrow \bar{g}_{\text{GF}}^2(2L_{\text{swi}}) = 2.6808(54)$$

(preliminary)



# Summary status: $\Lambda_{\text{QCD}}^{N_f=3}$ with target error < 4-5%

- SF coupling for  $L < L_{\text{swi}} \approx 0.05$  fm;  
unprecedented precision (high statistics & precise tuning of  $\kappa$ ):

$$\bar{g}_{\text{SF}}^2(L_{\text{swi}}) = 2.012 \quad \Rightarrow \quad L_{\text{swi}} \Lambda_{\text{MS}}^{N_f=3} = 0.0802(16) \quad (\text{preliminary})$$

- Definition of gradient flow coupling  $\bar{g}_{\text{GF}}^2(L)$  settled:
  - reduced boundary  $O(a)$  effects by restricting  $E(t, x)$  to magnetic components;
  - Symanzik  $O(a^2)$  improvement: Zeuthen flow and observable.
- Matching at switching scale  $L_{\text{swi}}$

$$\bar{g}_{\text{GF}}^2(2L_{\text{swi}}) = 2.6808(54) \quad (\text{preliminary})$$

- Running of  $\bar{g}_{\text{GF}}^2(L)$  from 0.05 – 0.1 fm to 0.5 fm:
  - precision tuning of  $\kappa$  finished;
  - simulations for step scaling function underway.
- Connect to  $F_K$  on CLS config's: details to be defined.