

# Ab initio computation of the proton-neutron mass difference

Sz. Borsanyi, S. Dürr, Z. Fodor, **Christian Hoelbling**, S. Katz, S. Krieg, L. Lellouch, T. Lippert, A. Portelli, K. Szabo, B. Toth

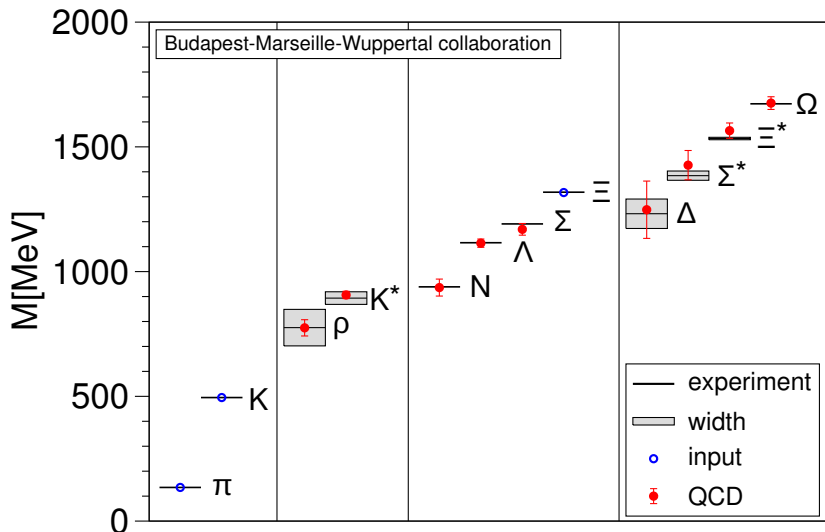
Budapest-Marseille-Wuppertal collaboration



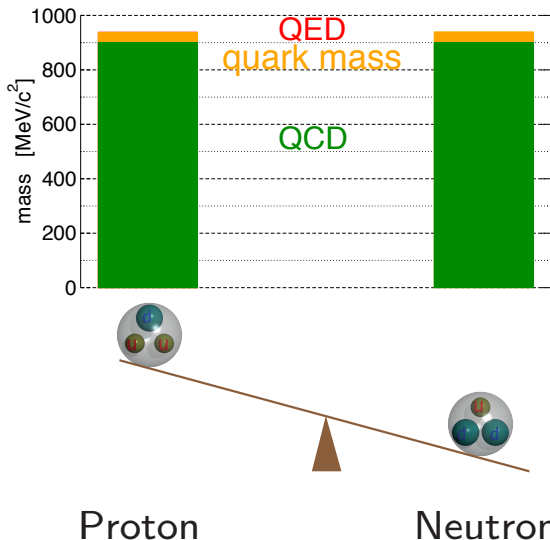
Science 347 (2015) 1452  
[arXiv:1406.4088]



# THE LIGHT HADRON SPECTRUM



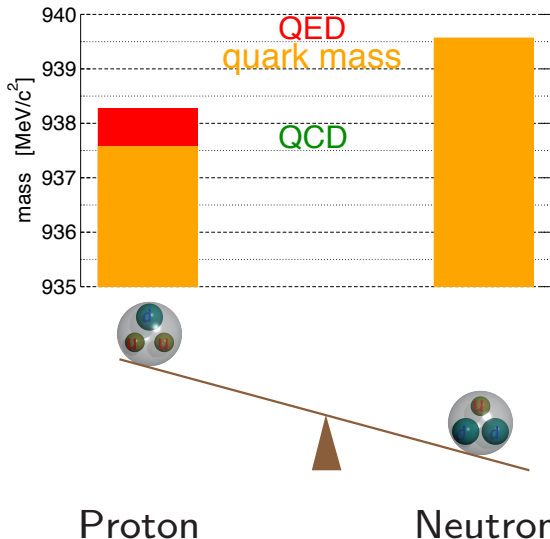
# IS THE FINE STRUCTURE RELEVANT?



- Proton, neutron:  
3 quarks
- Proton:  $uud$
- Neutron:  $udd$

- $m_u < m_d: M_p < M_n$
- $m_u = m_d: M_p > M_n$   
Proton decays
- $M_p + M_{e^-} \gtrsim M_n$   
No hydrogen

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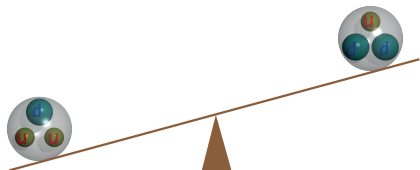
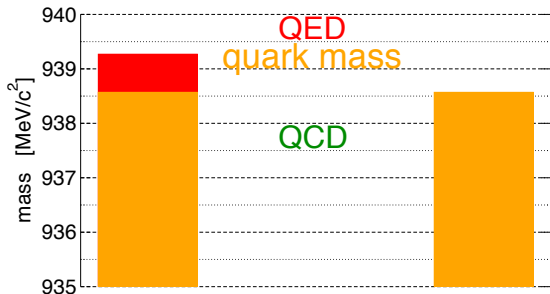


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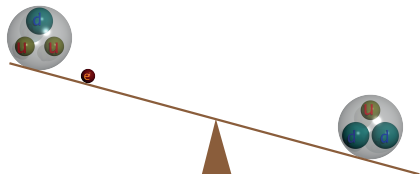
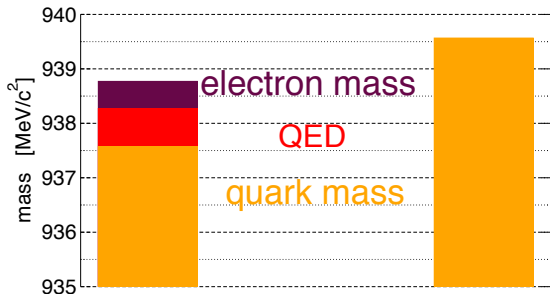
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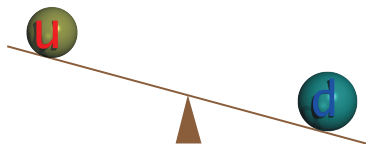
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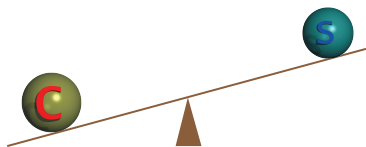
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# ANTHROPIC PUZZLE? THE LIGHT UP QUARK

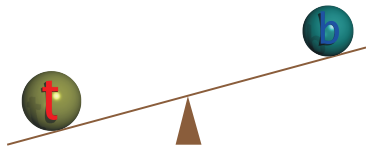


1<sup>st</sup> generation:  $m_u < m_d$

Why?

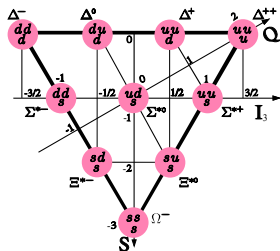
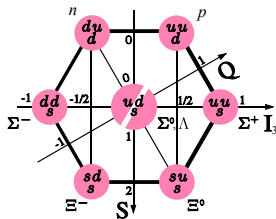
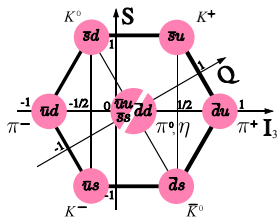


2<sup>nd</sup> generation:  $m_c > m_s$



3<sup>rd</sup> generation:  $m_t > m_b$

## SOURCES OF ISOSPIN SPLITTING

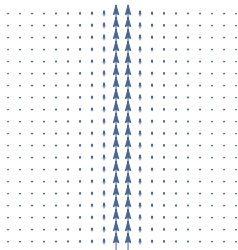


- Two sources of isospin breaking:
  - QCD:  $\sim \frac{m_d - m_u}{\Lambda_{QCD}} \sim 1\%$
  - QED:  $\sim \alpha(Q_u - Q_d)^2 \sim 1\%$
- On the lattice:
  - Include nondegenerate light quarks  $m_u \neq m_d$
  - Include QED

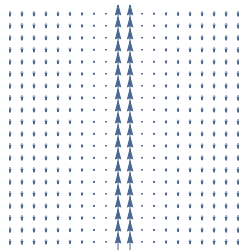
# CHALLENGES OF QED SIMULATIONS

- Effective theory only (UV completion unclear)
- $\pi^+$ ,  $p$ , etc. no more gauge invariant
- QED (additive) mass renormalization
- Power law FV effects (soft photons)

Zero mode of gauge potential  
unconstrained by action



Remove  $\vec{p} = 0$  modes in fixed  
gauge (Hayakawa, Uno, 2008)



# QED ACTION

QED is an Abelian gauge theory with no self-interaction

- Compactifying QED induces spurious self-interaction
- Keep it non-compact (no problem with topology in 4D- $U(1)$ )
- Need signals for gauge dependent objects
- insert gauge links or gauge fixing

$$S_{\text{QED}} = \frac{1}{2V_4} \sum_{\mu,k} |\hat{k}|^2 |A_{\mu}^k|^2 \quad \text{with} \quad \hat{k}_{\mu} = \frac{e^{iak_{\mu}} - 1}{ia}$$

- Momentum modes decouple → quenched theory trivial



## FINITE VOLUME GAUGE SYMMETRY

- Periodicity requirement from gauge field

$$A_\mu(x) \rightarrow A_\mu(x) + \frac{1}{e} \partial_\mu \Lambda(x) \implies \partial_\mu \Lambda(x) = \partial_\mu \Lambda(x + L)$$

- is loser than from fermion field

$$\psi(x) \rightarrow e^{-i\Lambda(x)} \psi(x), \quad \bar{\psi}(x) \rightarrow \bar{\psi}(x) e^{i\Lambda(x)} \implies \Lambda(x) = \Lambda(x + L)$$

- Fermionic action not invariant under GT

$$\Lambda(x) = c_\mu x^\mu \implies \delta \mathcal{L} = i\bar{\psi}(\gamma^\mu \partial_\mu \Lambda)\psi = i c_\mu \bar{\psi} \gamma^\mu \psi$$

- Add source term to action to restore gauge invariance

$$\mathcal{L}_{\text{src}} = J_\mu \bar{\psi} \gamma^\mu \psi \quad J_\mu \rightarrow J_\mu - i c_\mu$$

# QED IN FINITE VOLUME

- Gauge invariant definition of no external source:

$$\frac{e}{V_4} \int d^4x A_\mu(x) + iJ_\mu = 0$$

with partial gauge fixing  $J_\mu = 0 \rightarrow \text{QED}_{\text{TL}}$

- Imposing electric flux neutrality per timeslice:

$$\frac{e}{V_3} \int d^3x A_i(t, \vec{x}) = 0$$

with partial gauge fixing  $A_0(t, \vec{p} = 0) = 0 \rightarrow \text{QED}_{\text{L}}$



# MOMENTUM SUBTRACTION

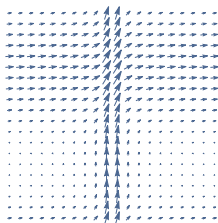
- Removing momentum modes with measure 0 as  $V \rightarrow \infty$  allowed
- Remove  $k = 0$  from momentum sum ( $QED_{TL}$ )
  - Realised by a constraint term in the action

$$\lim_{\xi \rightarrow 0} \frac{1}{\xi} \left( \int d^4x A_\mu(x) \right)^2$$

- Couples all times  $\rightarrow$  no transfer matrix!
- Remove  $\vec{k} = 0$  from momentum sum ( $QED_L$ )
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$$\lim_{\xi(t) \rightarrow 0} \int dt \frac{1}{\xi(t)} \left( \int d^3x A_\mu(x) \right)^2$$

- Transfer matrix exists
- Gauge fields unaffected in  $QED_{TL}$ , only Polyakov loops



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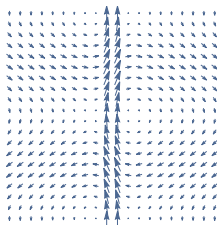
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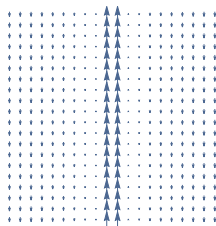
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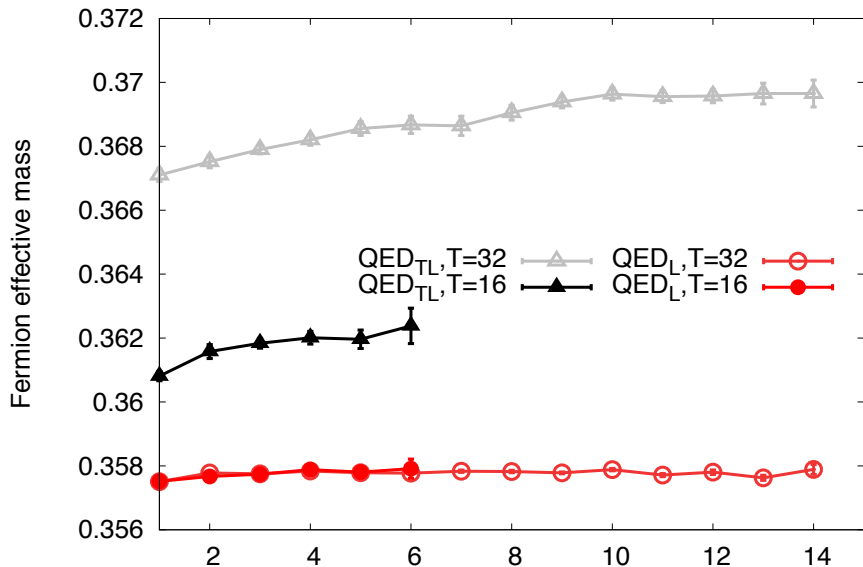
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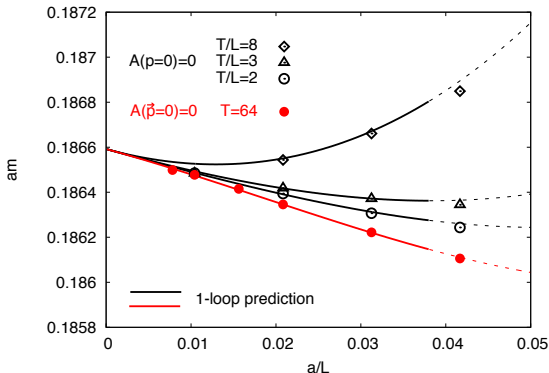


## QUENCHED QED FV EFFECTS



## FINITE VOLUME SUBTRACTION

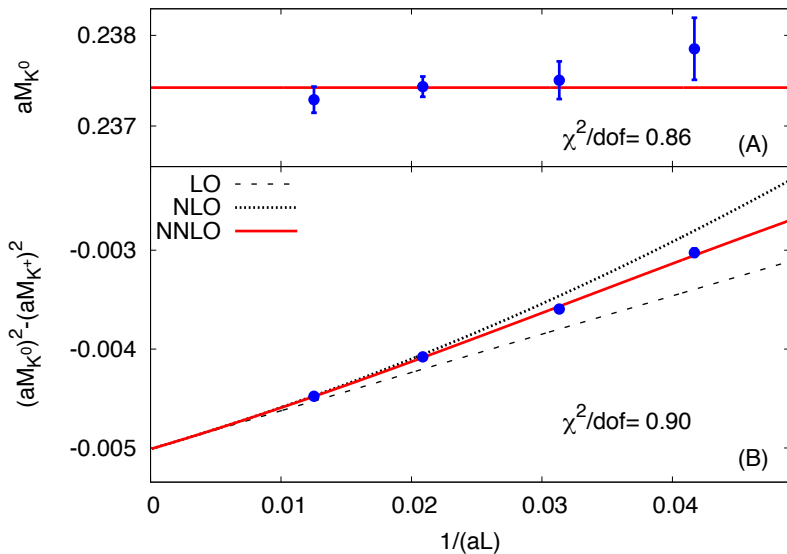
- Universal to  $O(1/L^2)$
- Compositness at  $1/L^3$
- Fit  $O(1/L^3)$
- Divergent  $T$  dependence for  $p = 0$  mode subtraction
- No  $T$  dependence for  $\vec{p} = 0$  mode subtraction



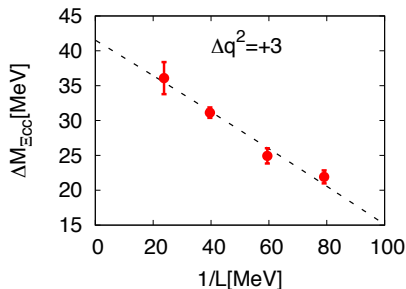
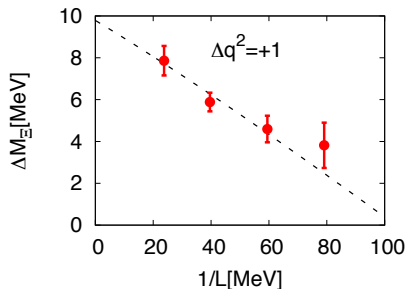
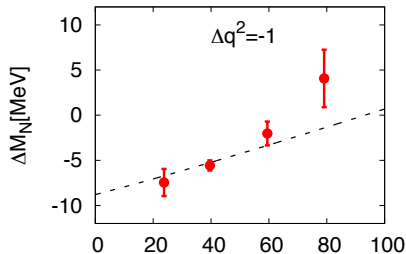
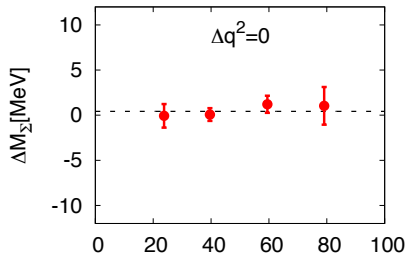
$$\delta m = q^2 \alpha \left( \frac{\kappa}{2mL} \left( 1 + \frac{2}{mL} - \frac{3\pi}{(mL)^3} \right) \right)$$

(BMWc, 2014)

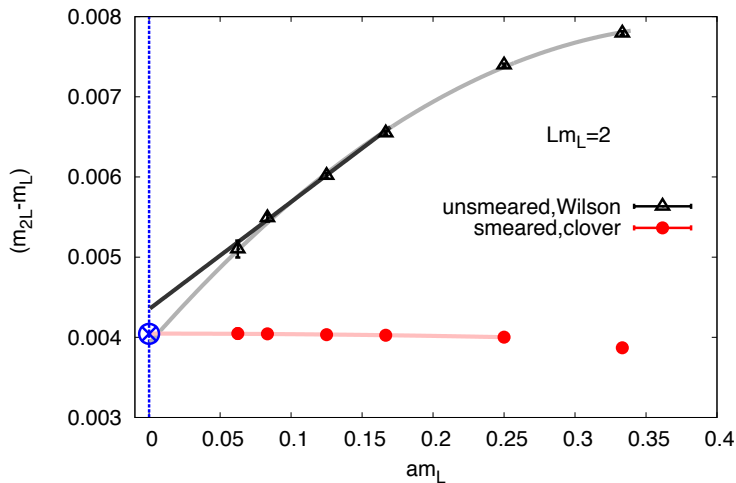
## KAON FV IN QCD+QED



## BARYON FV IN QCD+QED



## UV FILTERING



Moderate smearing (1 stout) improves scaling dramatically



# UPDATING PHOTON FIELD

Long range QED interaction  $\rightarrow$  huge autocorrelation in standard HMC

- Solution: HMC in momentum space

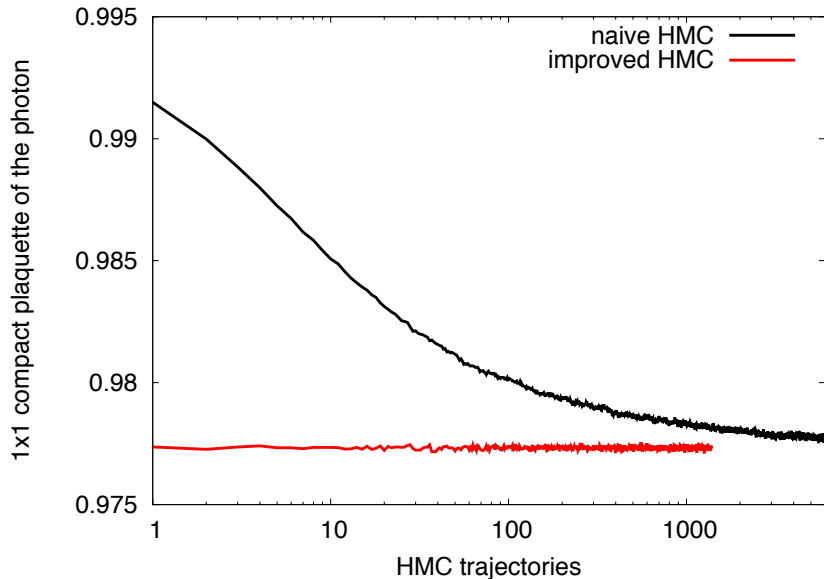
$$\mathcal{H} = \frac{1}{2V_4} \sum_{\mu, k} \left( |\hat{k}|^2 |A_{\mu}^k|^2 + \frac{|\Pi_{\mu}^k|^2}{m_k} \right)$$

- Use different masses per momentum

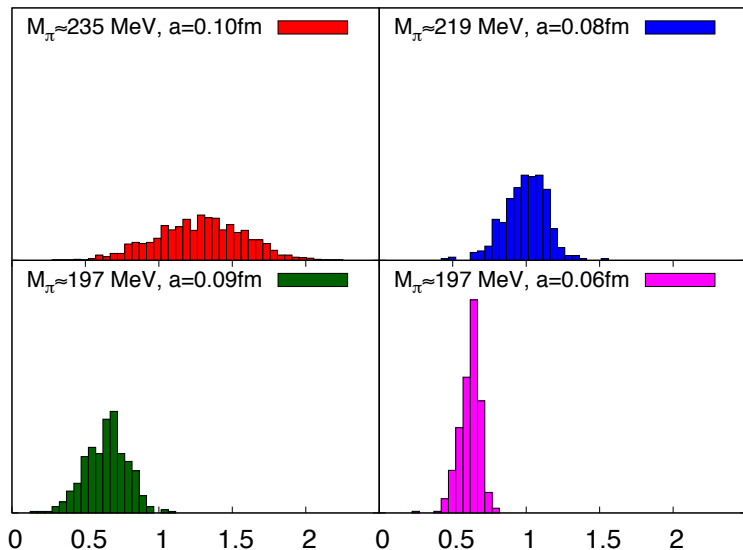
$$m_k = \frac{4|\hat{k}|^2}{\pi^2}$$

- Zero mode subtraction trivial
- Coupling to quarks in coordinate space  $\rightarrow$  FFT in every step

## HMC FOR PHOTON FIELDS



## NO EXCEPTIONAL CONFIGURATIONS



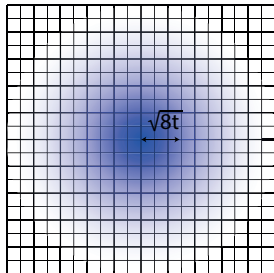
# IDENTIFYING THE PHYSICAL POINT

We need to fix 6 parameters:  $m_U$ ,  $m_D$ ,  $m_S$ ,  $m_C$ ,  $\alpha_S$  and  $\alpha$

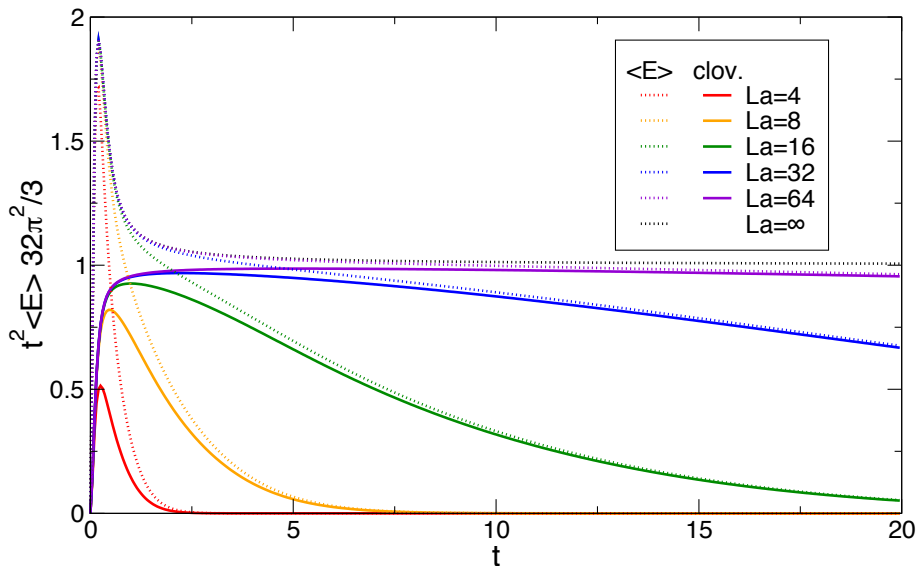
- Requires fixing 5 dimensionless ratios from 6 lattice observables
- 4 “canonical” lattice observables:  $M_{\pi^\pm}$ ,  $M_{K^+}$ ,  $M_\Omega$ ,  $M_D$
- Strong isospin splitting from  $M_{K^\pm} - M_{K^0}$
- what about  $\alpha$ ?
  - ✗ From  $M_{\pi^\pm} - M_{\pi^0}$  → disconnected diagrams, very noisy
  - ✗ From  $e^- e^-$  scattering → far too low energy
  - ✗ From  $M_{\Sigma^+} - M_{\Sigma^-}$  → baryon has inferior precision
  - ✓ Take renormalized  $\alpha$  as input directly
  - Use the QED gradient flow  
Analytic tree level correction

$$\langle F_{\mu\nu} F_{\mu\nu} \rangle = \frac{6}{V_4} \sum_k e^{-2|\hat{k}|^2 t}$$

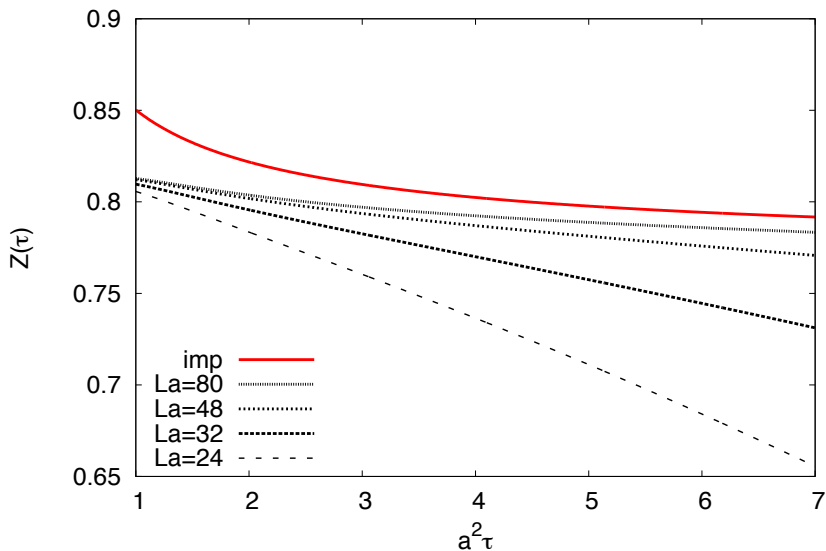
Slightly more complicated for clover plaquette



# TREE LEVEL CORRECTION



# EFFECT OF TREE LEVEL CORRECTION



## SCALING IN RENORMALISED COUPLING

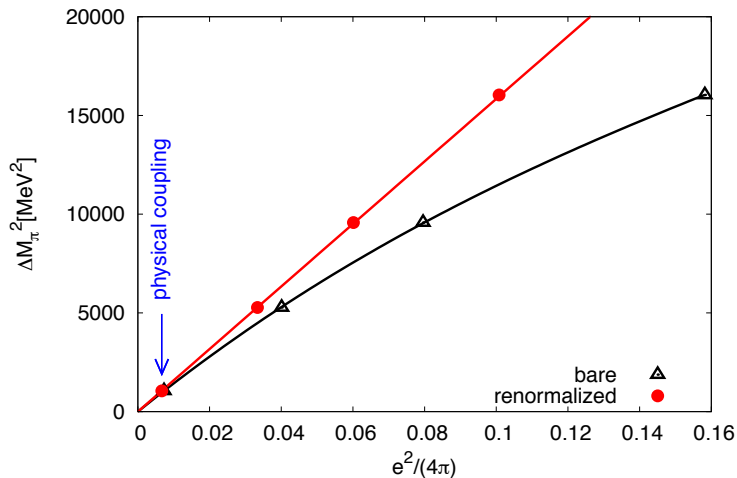
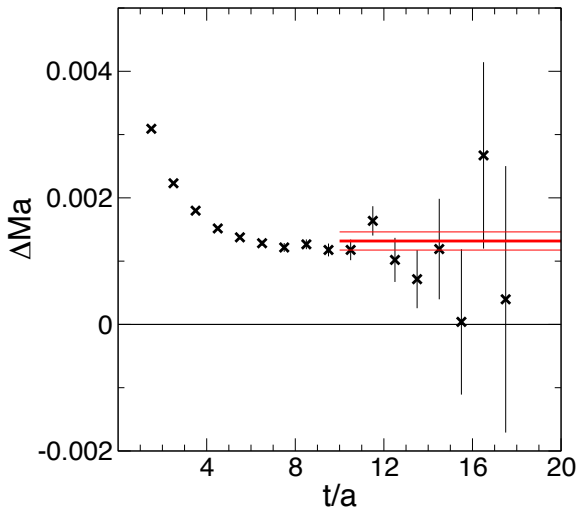


Illustration with precise  $\Delta M_\pi^2 = M_{ud}^2 - (M_{uu}^2 + M_{dd}^2)/2$

## PLATEAUX

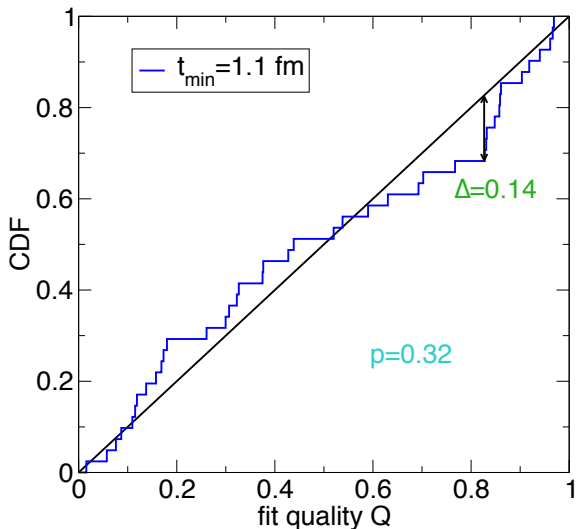


- Fit range is critical
- Exclude excited states
- Determine from data

Conservative method:  
Check that fit quality is a flat  
random distribution in (0, 1)



# PLATEAUX RANGE



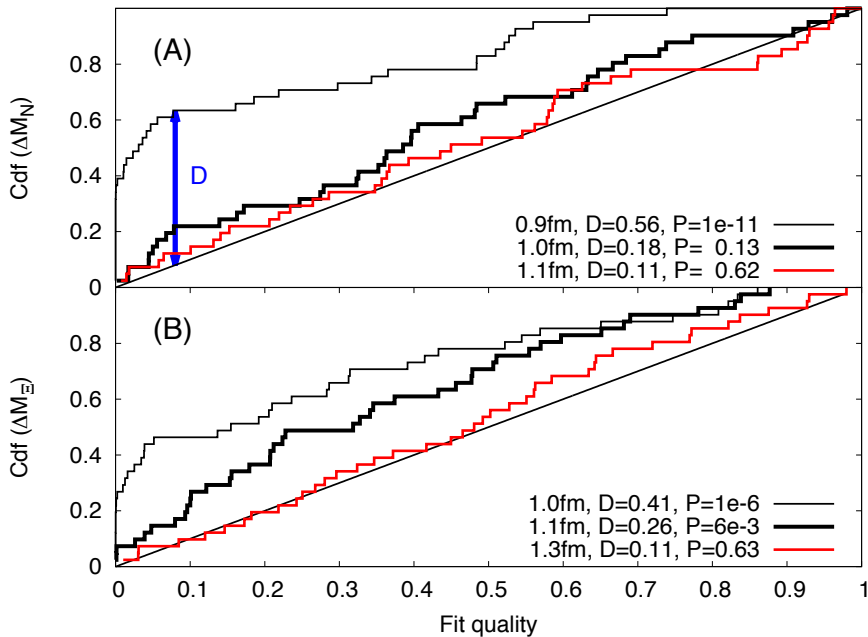
- Need many ensembles
- Plot CDF
- KS test flat distribution

$P(\Delta > \text{observed})$ :

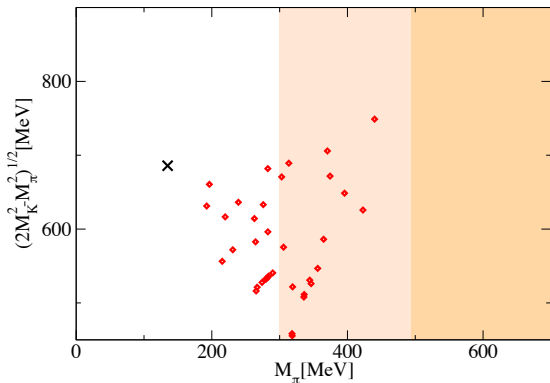
$$p(\Delta(\sqrt{N} + 0.12 + \frac{0.11}{\sqrt{N}}))$$

with

$$p(x) = \sum_j \frac{(-)^{j-1} 2}{e^{-2j^2 x^3}}$$



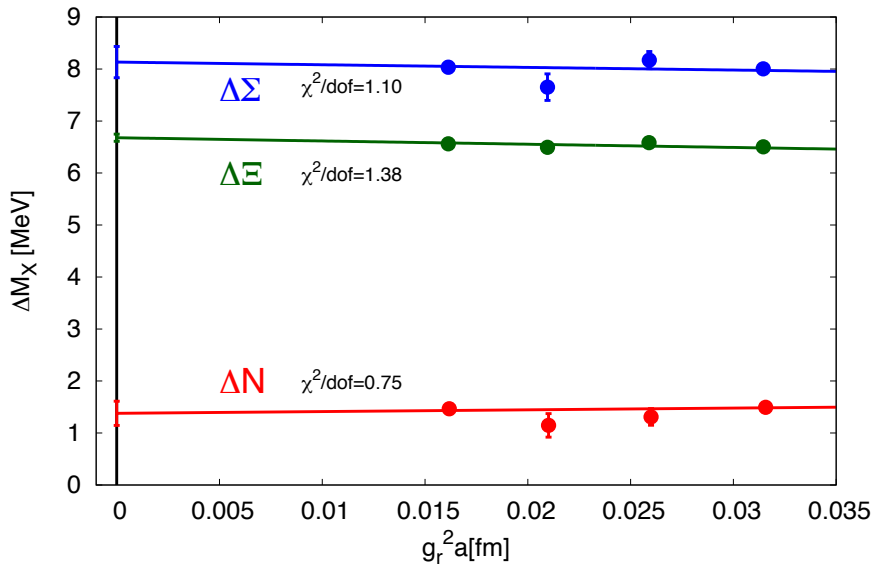
# LANDSCAPE



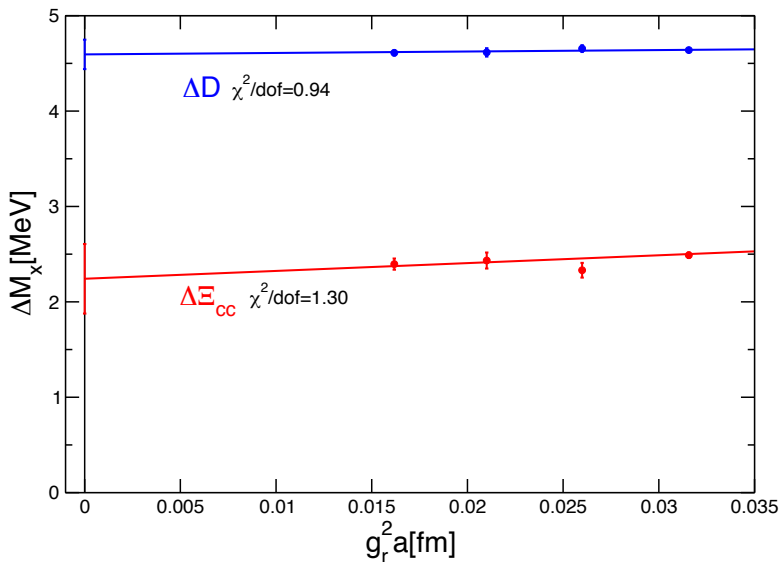
- Small extrapolation to physical point
- Charm mass is physical
- $u - d$  splitting is physical
- Why use  $\alpha \gg \alpha^{\text{phys}}$ ?

- Hadron masses are even in  $e$ , so signal  $\propto e^2$
- Per configuration fluctuations are not even in  $e$ , so noise  $\propto e$
- Per configuration cancellation helps in qQED, but not dynamically

## SCALING

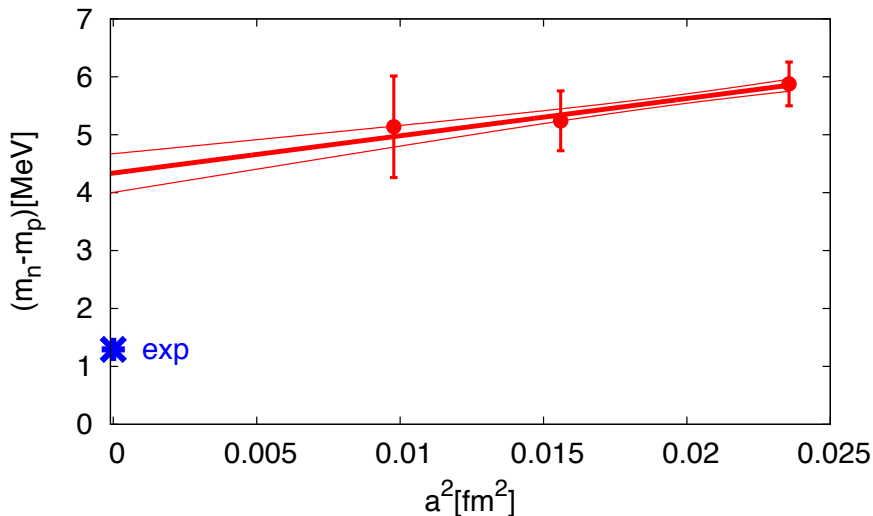


## SCALING



# DONT DO THIS STAGGERED

Continuum extrapolation of stagg  $m_n - m_p$



# SYSTEMATIC ERROR TREATMENT

One conservative strategy for systematics:

- Identify **all** higher order effects you have to neglect
- For each of them:
  - Repeat the entire analysis treating this one effect differently
  - Add the spread of results to systematics
- **Important:**
  - Do not do suboptimal analyses
  - Do not double-count analyses
- **Error sources considered:**
  - Plateaux range
  - $M_\pi$ ,  $M_K$ ,  $M_D$ ,  $\Delta M_K^2$  and  $\alpha$  interpolations
  - Higher order FV effects
  - Continuum extrapolation

# COMBINING RESULTS

## How to determine the spread of results?

- Stdev or  $1\sigma$  confidence interval of results
- Can weight it with fit quality  $Q$

## Information theoretic optimum: Akaike Information Criterion

- Information content of a fit depends on how well data are described per fit parameter
- Information lost wrt. correct fit  $\propto$  cross-entropy  $J$
- Compute information cross-entropy  $J_m$  of each fit  $m$
- Probability that fit is correct  $\propto e^{J_m}$



# AKAIKE INFORMATION CRITERION

- $N$  measurements  $\Gamma_i$  from unknown pdf  $g(\Gamma)$
- Fit model  $f(\Gamma|\Theta)$  with parameters  $\Theta$
- Cross-entropy ( $\sim$  Kullback-Leibler divergence)

$$J_m = J(g, f_m[\Theta]) = \int d\Gamma g(\Gamma) \ln(f(\Gamma|\Theta))$$

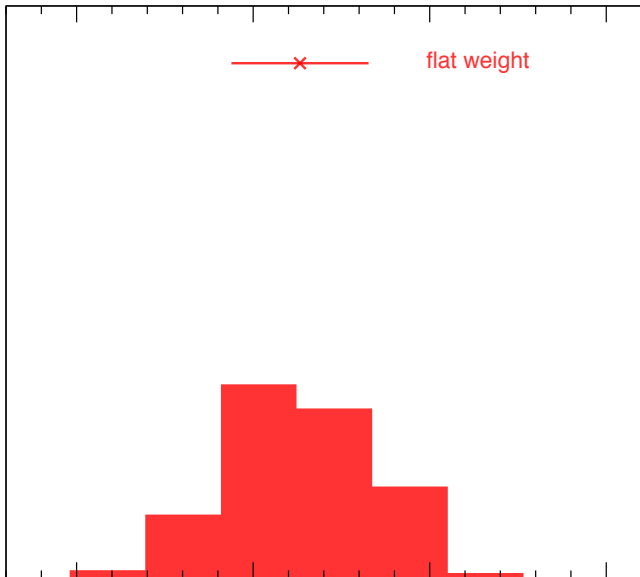
- For  $N \rightarrow \infty$  and  $f$  close to  $g$ :

$$J_m = -\frac{\chi_m^2}{2} - p_m$$

where  $p_m$  is the number of fit parameters

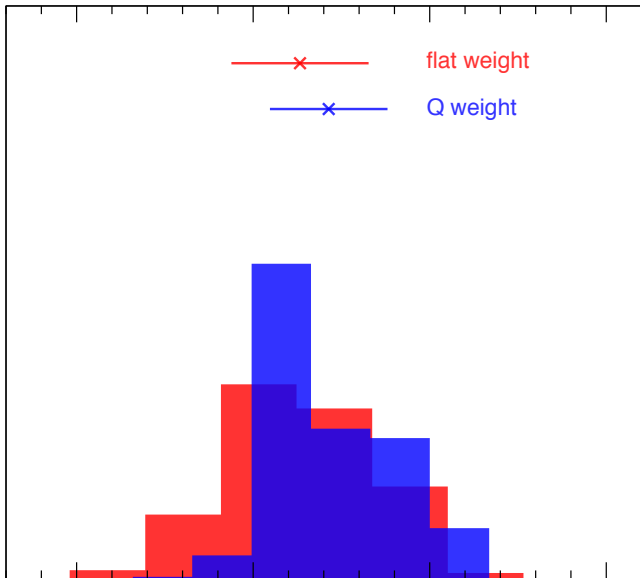
Is this the only correct method?

# COMBINING RESULTS



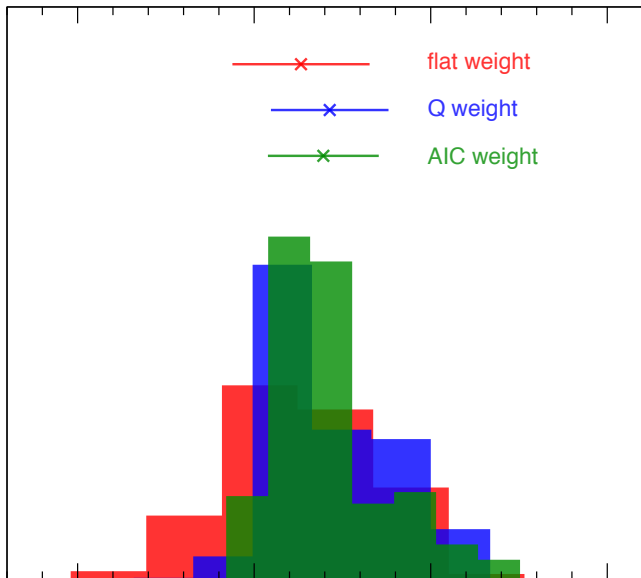
- AIC suppresses strongly
- Other weights more conservative
- Agreement is excellent crosscheck

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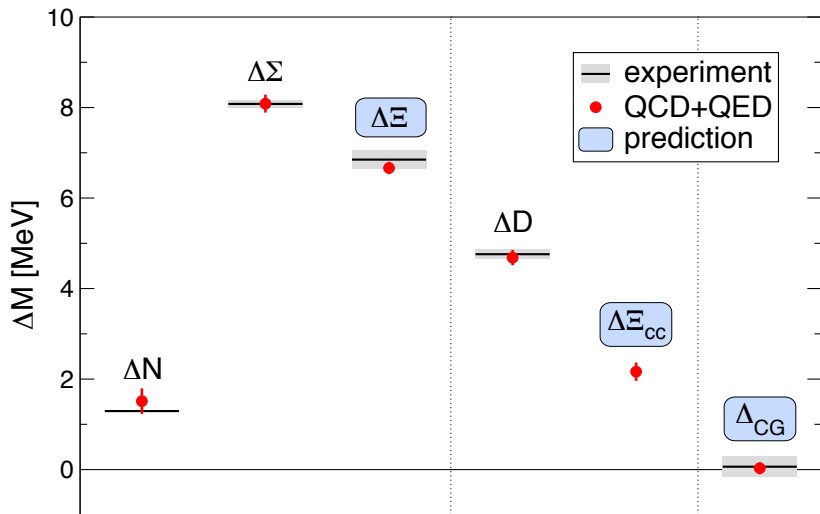
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## ISOSPIN SPLITTING

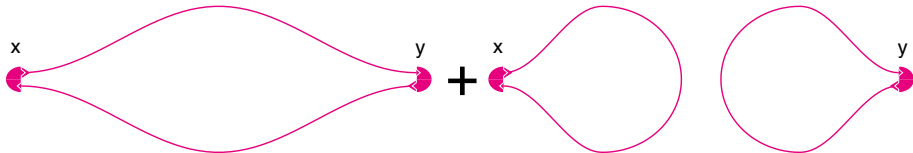


(BMWc 2014)

# DISENTANGLING CONTRIBUTIONS

## Problem:

- Disentangle QCD and QED contributions
  - Not unique,  $O(\alpha^2)$  ambiguities
- Flavor singlet (e.g.  $\pi^0$ ) difficult (disconnected diagrams)



## Method:

- Use baryonic splitting  $\Sigma^+ - \Sigma^-$  purely QCD
  - Only physical particles
  - Exactly correct for pointlike particle
  - Corrections below the statistical error

## ISOSPIN SPLITTINGS NUMERICAL VALUES

	splitting [MeV]	QCD [MeV]	QED [MeV]
$\Delta N=n-p$	1.51(16)(23)	2.52(17)(24)	-1.00(07)(14)
$\Delta\Sigma=\Sigma^- - \Sigma^+$	8.09(16)(11)	8.09(16)(11)	0
$\Delta\Xi=\Xi^- - \Xi^0$	6.66(11)(09)	5.53(17)(17)	1.14(16)(09)
$\Delta D=D^\pm - D^0$	4.68(10)(13)	2.54(08)(10)	2.14(11)(07)
$\Delta\Xi_{cc}=\Xi_{cc}^{++} - \Xi_{cc}^+$	2.16(11)(17)	-2.53(11)(06)	4.69(10)(17)
$\Delta_{CG}=\Delta N - \Delta\Sigma + \Delta\Xi$	0.00(11)(06)	-0.00(13)(05)	0.00(06)(02)

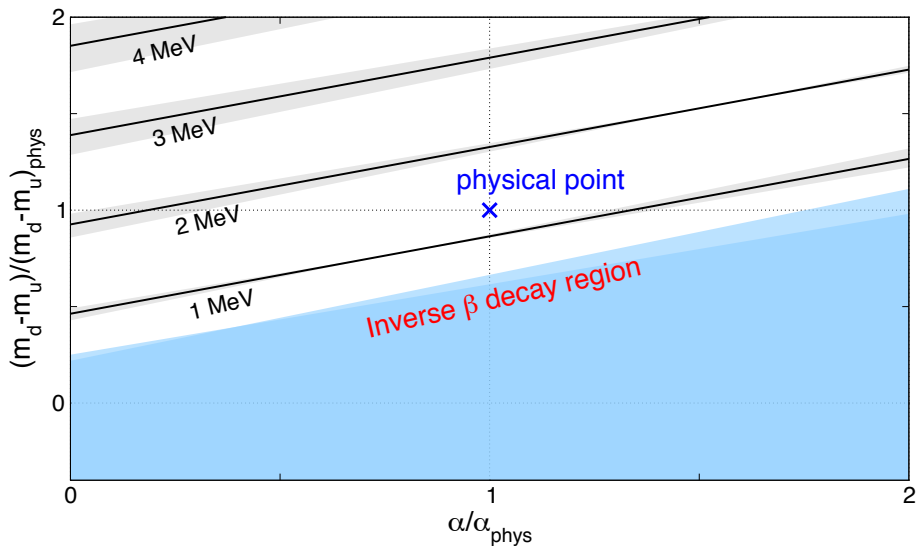
- Quark model relation predicts  $\Delta_{CG}$  to be small

(Coleman, Glashow, 1961; Zweig 1964)

$$\Delta_{CG} = M(udd) + M(uus) + M(dss) - M(uud) - M(dds) - M(uss)$$

$$\Delta_{CG} \propto ((m_d - m_u)(m_s - m_u)(m_s - m_d), \alpha(m_s - m_d))$$

# NUCLEON SPLITTING QCD AND QED PARTS





## PROGRESS

