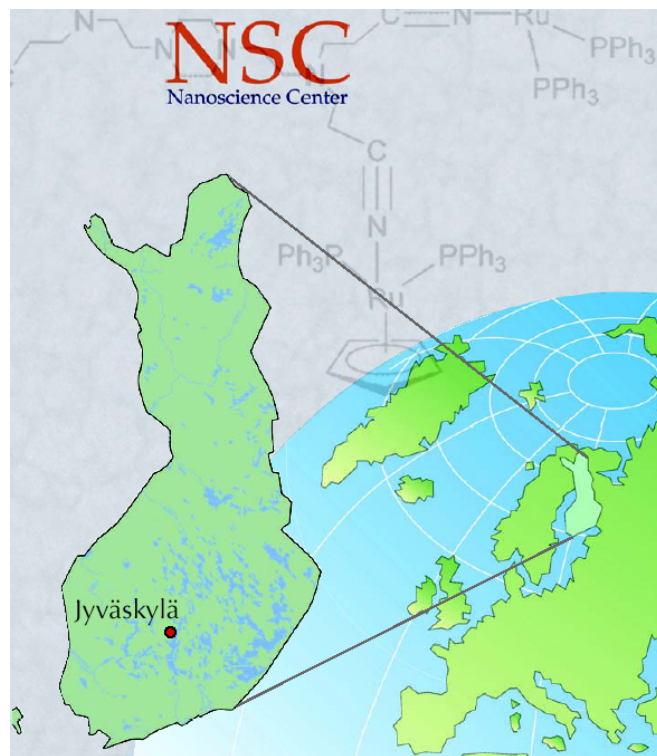


Introduction to Many-body Theory

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Overview

Part I : Basics

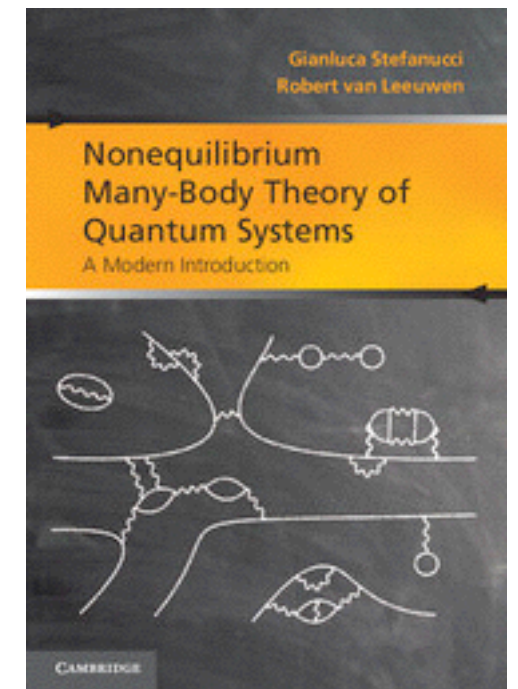
- Time-dependent Schrödinger equation
- Second quantisation
- Time-evolution
- The contour idea

Part II : Feynman diagrams and the Green's function

- Why Green's functions?
- Feynman diagrams and the self-energy
- The physical meaning of the Green's function
- Spectral function and photo-emission

Part III: Linear response and examples

- The 2-particle Green's function and optical spectra (Bethe-Salpeter)
- Hedin's equations
- Linear response
- Examples: Time-dependent screening in an electron gas



Introduction to Many-body Theory I

Part I : Basics

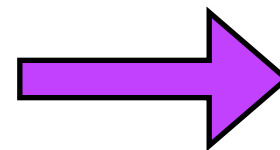
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Basic quantum mechanics

To describe time-dependent phenomena in nature we have to calculate the time evolution of the relevant quantum states. These states are usually given in a basis representation

$$|\Psi\rangle = \sum_n \Psi_n |n\rangle$$

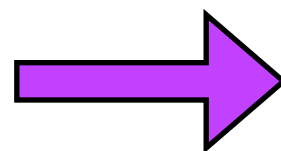
$$\langle m | n \rangle = \delta_{mn}$$



$$\langle m | \Psi \rangle = \Psi_m$$

We can therefore write

$$|\Psi\rangle = \sum_n |n\rangle \langle n | \Psi \rangle$$



$$\sum_n |n\rangle \langle n| = 1$$

Resolution of the identity

The time-evolution of a quantum state is given by the Schrödinger equation

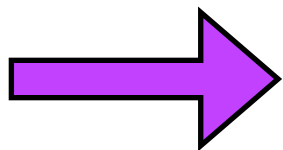
$$i\partial_t|\Psi(t)\rangle = \hat{H}(t)|\Psi(t)\rangle \qquad |\Psi(t_0)\rangle = |\Psi_0\rangle$$

To solve this equation we need to know the representation of the Hamiltonian in a given basis. If we define

$$H_{nm}(t) = \langle n|\hat{H}(t)|m\rangle \qquad c_n(t) = \langle n|\Psi(t)\rangle$$

Then we can write

$$i\partial_t\langle n|\Psi(t)\rangle = \langle n|\hat{H}(t)|\Psi(t)\rangle = \sum_m \langle n|\hat{H}(t)|m\rangle\langle m|\Psi(t)\rangle = \sum_m H_{nm}(t)\langle m|\Psi(t)\rangle$$



$$i\partial_t\mathbf{c}(t) = \mathbf{H}(t) \mathbf{c}(t)$$

Position basis

We measure a particle to be in interval Δ_n
Its corresponding state is denoted by

$$|x_n\rangle$$

These states have the property

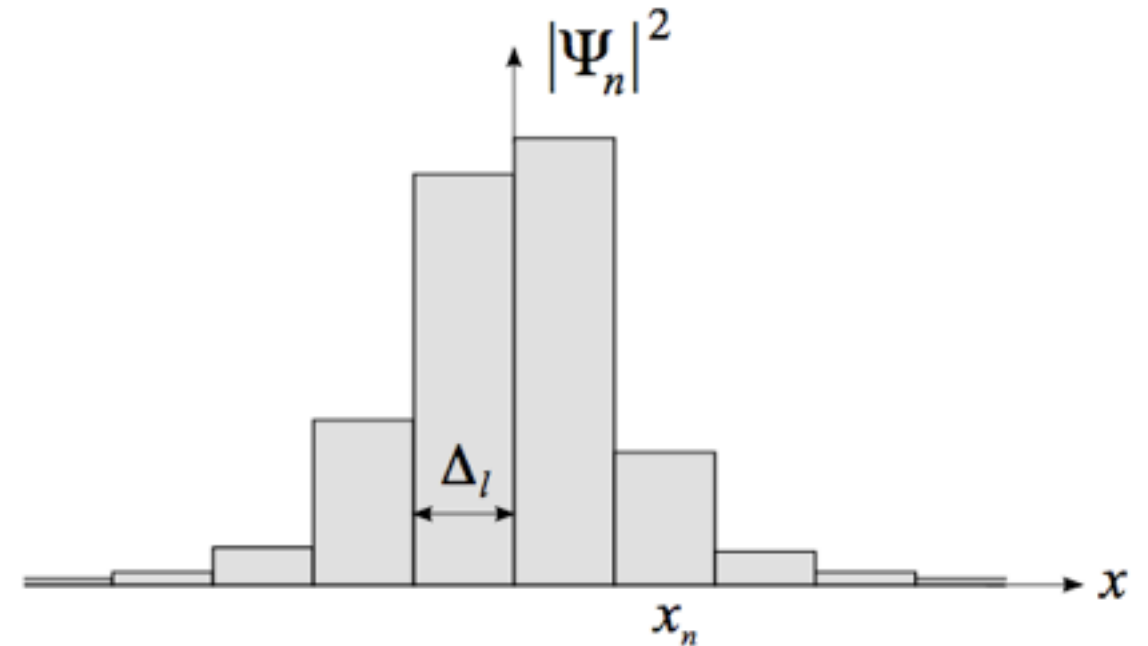
$$\langle x_n | x_m \rangle = \delta_{nm}$$

and form a complete set

$$|\Psi\rangle = \sum_n |x_n\rangle \langle x_n | \Psi \rangle$$

If the system is in state $|\Psi\rangle$ then the probability to measure state $|x_n\rangle$ is

$$P_n = |\langle x_n | \Psi \rangle|^2 = |\Psi(x_n)|^2$$



For one particle in position basis we can, for example, define the Hamiltonian

$$\langle \mathbf{x} | \hat{h} | \mathbf{x}' \rangle = \left(-\frac{1}{2} \nabla^2 + v(\mathbf{x}, t) \right) \langle \mathbf{x} | \mathbf{x}' \rangle$$

The Schrödinger equation

$$\hat{h} |\psi(t)\rangle = i \partial_t |\psi(t)\rangle \qquad \psi(\mathbf{x}, t) = \langle \mathbf{x} | \psi(t) \rangle$$

in the position representation then has the form

$$\begin{aligned} i \partial_t \psi(\mathbf{x}, t) &= \langle \mathbf{x} | \hat{h} | \psi(t) \rangle = \int d\mathbf{x}' \langle \mathbf{x} | \hat{h} | \mathbf{x}' \rangle \langle \mathbf{x}' | \psi(t) \rangle \\ &= \int d\mathbf{x}' \left(-\frac{1}{2} \nabla^2 + v(\mathbf{x}, t) \right) \langle \mathbf{x} | \mathbf{x}' \rangle \langle \mathbf{x}' | \psi(t) \rangle \\ &= \left(-\frac{1}{2} \nabla^2 + v(\mathbf{x}, t) \right) \psi(\mathbf{x}, t) \end{aligned}$$

Two particles

If we simultaneously measure a particle in intervals Δ_n and Δ_m the state is

$$|x_n x_m\rangle$$

The particles are indistinguishable

$$|x_n x_m\rangle = \lambda |x_m x_n\rangle = \lambda^2 |x_n x_m\rangle \rightarrow \lambda = \pm 1$$

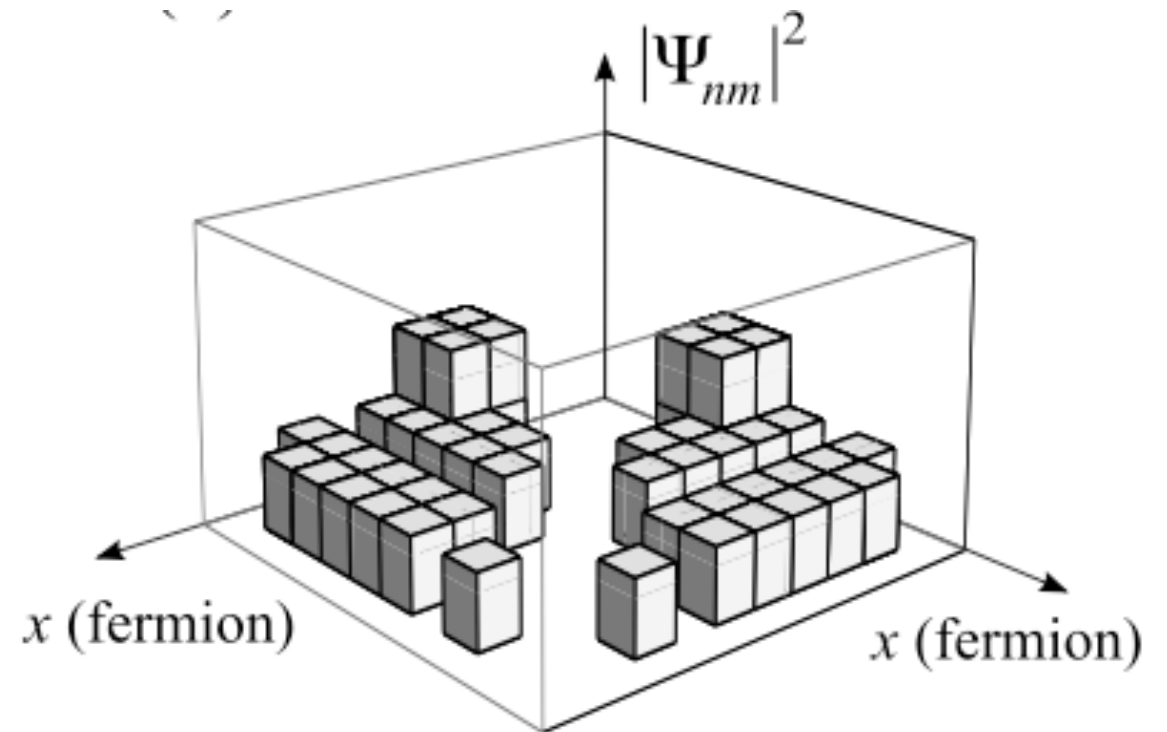
The states are normalised

$$\langle x_n x_m | x_{n'} x_{m'} \rangle = \delta_{nn'} \delta_{mm'} \pm \delta_{nm'} \delta_{mn'} = \begin{vmatrix} \delta_{nn'} & \delta_{nm'} \\ \delta_{mn'} & \delta_{mm'} \end{vmatrix}_{\pm}$$

Let us consider fermions. Only the states with $n > m$ are linearly independent and we have

$$|\Psi\rangle = \sum_{n>m} |x_n x_m\rangle \langle x_n x_m | \Psi \rangle$$

$$P_{nm} = |\langle x_n x_m | \Psi \rangle|^2 = |\Psi(x_n, x_m)|^2$$



Second quantization

For N fermions we have (with P a permutation)

$$|\mathbf{x}_1 \dots \mathbf{x}_N\rangle = (-1)^P |\mathbf{x}_{P(1)} \dots \mathbf{x}_{P(N)}\rangle \quad \mathbf{x} = \mathbf{r}, \sigma$$

$$\langle \mathbf{x}_1 \dots \mathbf{x}_N | \mathbf{y}_1 \dots \mathbf{y}_N \rangle = \sum_P (-1)^P \prod_{j=1}^N \delta(\mathbf{x}_j - \mathbf{y}_{P(j)}) \quad \leftarrow \text{Determinant}$$

There is a unique operator $\hat{\psi}^\dagger(\mathbf{x})$ that generates the position basis. It is defined by

$$\begin{aligned} |\mathbf{x}_1\rangle &= \hat{\psi}^\dagger(\mathbf{x}_1)|0\rangle \\ |\mathbf{x}_1 \mathbf{x}_2\rangle &= \hat{\psi}^\dagger(\mathbf{x}_2)|\mathbf{x}_1\rangle = \hat{\psi}^\dagger(\mathbf{x}_2)\hat{\psi}^\dagger(\mathbf{x}_1)|0\rangle \\ |\mathbf{x}_1 \dots \mathbf{x}_N\rangle &= \hat{\psi}^\dagger(\mathbf{x}_N)|\mathbf{x}_1 \dots \mathbf{x}_{N-1}\rangle = \hat{\psi}^\dagger(\mathbf{x}_N) \dots \hat{\psi}^\dagger(\mathbf{x}_1)|0\rangle \end{aligned}$$

$\hat{\psi}^\dagger(\mathbf{x})$ is called creation operator

It follows : $\hat{\psi}^\dagger(\mathbf{x})\hat{\psi}^\dagger(\mathbf{y}) = -\hat{\psi}^\dagger(\mathbf{y})\hat{\psi}^\dagger(\mathbf{x})$

Remember that the adjoint of an operator \hat{O} is defined by

$$\langle \Phi | \hat{O}^\dagger | \chi \rangle = \langle \chi | \hat{O} | \Phi \rangle^*$$

The adjoint $\hat{\psi}(\mathbf{x})$ of the creation operator therefore satisfies

$$\begin{aligned} \langle \mathbf{x}_1 \dots \mathbf{x}_{N-1} | \hat{\psi}(\mathbf{x}_N) | \mathbf{y}_1 \dots \mathbf{y}_N \rangle^* &= \langle \mathbf{y}_1 \dots \mathbf{y}_N | \hat{\psi}^\dagger(\mathbf{x}_N) | \mathbf{x}_1 \dots \mathbf{x}_{N-1} \rangle \\ &= \langle \mathbf{y}_1 \dots \mathbf{y}_N | \mathbf{x}_1 \dots \mathbf{x}_N \rangle = \sum_P (-1)^P \prod_{j=1}^N \delta(\mathbf{y}_j - \mathbf{x}_{P(j)}) \end{aligned}$$

and hence (by expanding the determinant along column N) we have

$$\hat{\psi}(\mathbf{x}) | \mathbf{y}_1 \dots \mathbf{y}_N \rangle = \sum_{k=1}^N (-1)^{N-k} \delta(\mathbf{x} - \mathbf{y}_k) | \mathbf{y}_1 \dots \mathbf{y}_{k-1} \mathbf{y}_{k+1} \dots \mathbf{y}_N \rangle$$

For example:

$$\begin{aligned}\hat{\psi}(\mathbf{x})|0\rangle &= 0 \\ \hat{\psi}(\mathbf{x})|\mathbf{y}_1\rangle &= \delta(\mathbf{x} - \mathbf{y}_1)|0\rangle \\ \hat{\psi}(\mathbf{x})|\mathbf{y}_1 \mathbf{y}_2\rangle &= \delta(\mathbf{x} - \mathbf{y}_2)|\mathbf{y}_1\rangle - \delta(\mathbf{x} - \mathbf{y}_1)|\mathbf{y}_2\rangle \\ \hat{\psi}(\mathbf{x})|\mathbf{y}_1 \mathbf{y}_2 \mathbf{y}_3\rangle &= \delta(\mathbf{x} - \mathbf{y}_3)|\mathbf{y}_1 \mathbf{y}_2\rangle - \delta(\mathbf{x} - \mathbf{y}_2)|\mathbf{y}_1 \mathbf{y}_3\rangle + \delta(\mathbf{x} - \mathbf{y}_1)|\mathbf{y}_2 \mathbf{y}_3\rangle\end{aligned}$$

The operator $\hat{\psi}(\mathbf{x})$ is called **annihilation operator**

It follows (with anti-commutator $[A, B]_+ = AB + BA$):

$$\begin{aligned}\left[\hat{\psi}(\mathbf{x}), \hat{\psi}(\mathbf{y})\right]_+ &= \left[\hat{\psi}^\dagger(\mathbf{x}), \hat{\psi}^\dagger(\mathbf{y})\right]_+ = 0 \\ \left[\hat{\psi}(\mathbf{x}), \hat{\psi}^\dagger(\mathbf{y})\right]_+ &= \delta(\mathbf{x} - \mathbf{y})\end{aligned}$$

The density operator is defined by

$$\hat{n}(\mathbf{x}) = \hat{\psi}^\dagger(\mathbf{x})\hat{\psi}(\mathbf{x})$$

and has the property

$$\hat{n}(\mathbf{x})|\mathbf{x}_1 \dots \mathbf{x}_N\rangle = \sum_{j=1}^N \delta(\mathbf{x} - \mathbf{x}_j) |\mathbf{x}_1 \dots \mathbf{x}_N\rangle$$

For example:

$$\begin{aligned}\hat{\psi}^\dagger(\mathbf{x}) \hat{\psi}(\mathbf{x})|\mathbf{y}_1\mathbf{y}_2\rangle &= \hat{\psi}^\dagger(\mathbf{x}) (\delta(\mathbf{x} - \mathbf{y}_2)|\mathbf{y}_1\rangle - \delta(\mathbf{x} - \mathbf{y}_1)|\mathbf{y}_2\rangle) \\ &= \delta(\mathbf{x} - \mathbf{y}_2)|\mathbf{y}_1\mathbf{x}\rangle - \delta(\mathbf{x} - \mathbf{y}_1)|\mathbf{y}_2\mathbf{x}\rangle \\ &= (\delta(\mathbf{x} - \mathbf{y}_1) + \delta(\mathbf{x} - \mathbf{y}_2))|\mathbf{y}_1\mathbf{y}_2\rangle\end{aligned}$$

The expectation value $n(\mathbf{x}) = \langle\Psi|\hat{n}(\mathbf{x})|\Psi\rangle$

is the particle density of the system in state $|\Psi\rangle$

For N particles we define the Hamiltonian by

$$\begin{aligned} & \langle \mathbf{x}_1 \dots \mathbf{x}_N | \hat{H} | \mathbf{x}'_1 \dots \mathbf{x}'_N \rangle \\ &= \left(\sum_j^N -\frac{1}{2} \nabla_j^2 + v(\mathbf{x}_j, t) + \frac{1}{2} \sum_{i \neq j}^N w(\mathbf{x}_i, \mathbf{x}_j) \right) \langle \mathbf{x}_1 \dots \mathbf{x}_N | \mathbf{x}'_1 \dots \mathbf{x}'_N \rangle \end{aligned}$$

or equivalently, for any state $|\Psi\rangle$

$$\begin{aligned} & \langle \mathbf{x}_1 \dots \mathbf{x}_N | \hat{H} | \Psi \rangle \\ &= \left(\sum_j^N -\frac{1}{2} \nabla_j^2 + v(\mathbf{x}_j, t) + \frac{1}{2} \sum_{i \neq j}^N w(\mathbf{x}_i, \mathbf{x}_j) \right) \langle \mathbf{x}_1 \dots \mathbf{x}_N | \Psi \rangle \end{aligned}$$

Many-body wave function



Since the one- and two-body potentials are diagonal in the position representation it is easy to express them in second quantisation

For the 2-particle interaction we have

$$\hat{W}|\mathbf{x}_1 \dots \mathbf{x}_N\rangle = \frac{1}{2} \sum_{i \neq j}^N w(\mathbf{x}_i, \mathbf{x}_j) |\mathbf{x}_1 \dots \mathbf{x}_N\rangle$$

Since the density operator has the property

$$\hat{n}(\mathbf{x})|\mathbf{x}_1 \dots \mathbf{x}_N\rangle = \sum_{j=1}^N \delta(\mathbf{x} - \mathbf{x}_j) |\mathbf{x}_1 \dots \mathbf{x}_N\rangle$$

it follows that

$$\begin{aligned}\hat{W} &= \frac{1}{2} \int d\mathbf{x} d\mathbf{y} w(\mathbf{x}, \mathbf{y}) \hat{n}(\mathbf{x}) \hat{n}(\mathbf{y}) - \frac{1}{2} \int d\mathbf{x} w(\mathbf{x}, \mathbf{x}) \hat{n}(\mathbf{x}) \\ &= \frac{1}{2} \int d\mathbf{x} d\mathbf{y} w(\mathbf{x}, \mathbf{y}) \left(\hat{\psi}^\dagger(\mathbf{x}) \hat{\psi}(\mathbf{x}) \hat{\psi}^\dagger(\mathbf{y}) \hat{\psi}(\mathbf{y}) - \delta(\mathbf{x} - \mathbf{y}) \hat{\psi}^\dagger(\mathbf{x}) \hat{\psi}(\mathbf{x}) \right) \\ &= \frac{1}{2} \int d\mathbf{x} d\mathbf{y} w(\mathbf{x}, \mathbf{y}) \hat{\psi}^\dagger(\mathbf{x}) \hat{\psi}^\dagger(\mathbf{y}) \hat{\psi}(\mathbf{y}) \hat{\psi}(\mathbf{x})\end{aligned}$$

$$\hat{W} = \frac{1}{2} \int d\mathbf{x} d\mathbf{y} w(\mathbf{x}, \mathbf{y}) \hat{\psi}^\dagger(\mathbf{x}) \hat{\psi}^\dagger(\mathbf{y}) \hat{\psi}(\mathbf{y}) \hat{\psi}(\mathbf{x})$$

Similarly for the one-body potential

$$\hat{V}(t)|\mathbf{x}_1 \dots \mathbf{x}_N\rangle = \sum_j^N v(\mathbf{x}_j, t)|\mathbf{x}_1 \dots \mathbf{x}_N\rangle = \int d\mathbf{x} \hat{n}(\mathbf{x})v(\mathbf{x}, t)|\mathbf{x}_1 \dots \mathbf{x}_N\rangle$$

$$\hat{V}(t) = \int d\mathbf{x} \hat{\psi}^\dagger(\mathbf{x})\hat{\psi}(\mathbf{x}) v(\mathbf{x}, t)$$

The kinetic energy operator is only slightly more difficult. Let's illustrate it for 3 particles. Remember that

$$\hat{\psi}(\mathbf{x})|\mathbf{y}_1 \mathbf{y}_2 \mathbf{y}_3\rangle = \delta(\mathbf{x} - \mathbf{y}_3)|\mathbf{y}_1 \mathbf{y}_2\rangle - \delta(\mathbf{x} - \mathbf{y}_2)|\mathbf{y}_1 \mathbf{y}_3\rangle + \delta(\mathbf{x} - \mathbf{y}_1)|\mathbf{y}_2 \mathbf{y}_3\rangle$$

$$\hat{\psi}^\dagger(\mathbf{x})\nabla^2\hat{\psi}(\mathbf{x})|\mathbf{y}_1\mathbf{y}_2\mathbf{y}_3\rangle$$

$$= \nabla^2\delta(\mathbf{x} - \mathbf{y}_3)|\mathbf{y}_1 \mathbf{y}_2 \mathbf{x}\rangle + \nabla^2\delta(\mathbf{x} - \mathbf{y}_2)|\mathbf{y}_1\mathbf{x} \mathbf{y}_3\rangle + \nabla^2\delta(\mathbf{x} - \mathbf{y}_1)|\mathbf{x} \mathbf{y}_2 \mathbf{y}_3\rangle$$

If we therefore define

$$\hat{T} = -\frac{1}{2} \int d\mathbf{x} \hat{\psi}^\dagger(\mathbf{x}) \nabla^2 \hat{\psi}(\mathbf{x})$$

then since \hat{T} is Hermitian

$$\begin{aligned} \langle \mathbf{y}_1 \mathbf{y}_2 \mathbf{y}_3 | \hat{T} | \mathbf{x}_1 \mathbf{x}_2 \mathbf{x}_3 \rangle &= \langle \mathbf{x}_1 \mathbf{x}_2 \mathbf{x}_3 | \hat{T} | \mathbf{y}_1 \mathbf{y}_2 \mathbf{y}_3 \rangle^* \\ &= -\frac{1}{2} (\nabla_{\mathbf{y}_1}^2 + \nabla_{\mathbf{y}_2}^2 + \nabla_{\mathbf{y}_3}^2) \langle \mathbf{x}_1 \mathbf{x}_2 \mathbf{x}_3 | \mathbf{y}_1 \mathbf{y}_2 \mathbf{y}_3 \rangle^* \\ &= -\frac{1}{2} (\nabla_{\mathbf{y}_1}^2 + \nabla_{\mathbf{y}_2}^2 + \nabla_{\mathbf{y}_3}^2) \langle \mathbf{y}_1 \mathbf{y}_2 \mathbf{y}_3 | \mathbf{x}_1 \mathbf{x}_2 \mathbf{x}_3 \rangle \end{aligned}$$

yielding exactly the matrix element of the kinetic energy operator. Hence

$$\begin{aligned} \hat{H}(t) &= \int d\mathbf{x} \hat{\psi}^\dagger(\mathbf{x}) \left(-\frac{1}{2} \nabla^2 + v(\mathbf{x}, t) \right) \hat{\psi}(\mathbf{x}) \\ &\quad + \frac{1}{2} \int d\mathbf{x} d\mathbf{y} w(\mathbf{x}, \mathbf{y}) \hat{\psi}^\dagger(\mathbf{x}) \hat{\psi}^\dagger(\mathbf{y}) \hat{\psi}(\mathbf{y}) \hat{\psi}(\mathbf{x}) \end{aligned}$$

We can also rewrite everything in a general basis. If we define

$$\langle \mathbf{x} | n \rangle = \varphi_n(\mathbf{x})$$

then φ_n is an orthonormal set of orbitals

$$\delta_{nm} = \langle n | m \rangle = \int d\mathbf{x} \langle n | \mathbf{x} \rangle \langle \mathbf{x} | m \rangle = \int d\mathbf{x} \varphi_n^*(\mathbf{x}) \varphi_m(\mathbf{x})$$

If we define

$$\hat{a}_n = \int d\mathbf{x} \varphi_n^*(\mathbf{x}) \hat{\psi}(\mathbf{x}) \qquad \hat{a}_n^\dagger = \int d\mathbf{x} \varphi_n(\mathbf{x}) \hat{\psi}^\dagger(\mathbf{x})$$

then

$$[\hat{a}_n, \hat{a}_m^\dagger]_+ = \delta_{nm} \qquad [\hat{a}_n, \hat{a}_m]_+ = [\hat{a}_n^\dagger, \hat{a}_m^\dagger]_+ = 0$$

$$\hat{a}_n^\dagger |0\rangle = \int d\mathbf{x} \varphi_n(\mathbf{x}) \underbrace{\hat{\psi}^\dagger(\mathbf{x})}_{|\mathbf{x}\rangle} |0\rangle = \int d\mathbf{x} |\mathbf{x}\rangle \langle \mathbf{x} | n \rangle = |n\rangle$$

In general we can generate N-particle states

$$|n_1 \dots n_N\rangle = \hat{a}_{n_N}^\dagger \dots \hat{a}_{n_1}^\dagger |0\rangle$$

We can relate them to position basis states as follows

$$\begin{aligned} |n_1 \dots n_N\rangle &= \int d\mathbf{x}_1 \dots d\mathbf{x}_N \varphi_{n_1}(\mathbf{x}_1) \dots \varphi_{n_N}(\mathbf{x}_N) \hat{\psi}^\dagger(\mathbf{x}_N) \dots \hat{\psi}^\dagger(\mathbf{x}_1) |0\rangle \\ &= \int d\mathbf{x}_1 \dots d\mathbf{x}_N \varphi_{n_1}(\mathbf{x}_1) \dots \varphi_{n_N}(\mathbf{x}_N) |\mathbf{x}_1 \dots \mathbf{x}_N\rangle \end{aligned}$$

and find that their overlaps are given by Slater determinants

$$\langle \mathbf{x}_1 \dots \mathbf{x}_N | n_1 \dots n_N \rangle = \sum_P (-1)^P \varphi_{n_1}(\mathbf{x}_{P(1)}) \dots \varphi_{n_N}(\mathbf{x}_{P(N)}) = \begin{vmatrix} \varphi_{n_1}(\mathbf{x}_1) & \dots & \varphi_{n_N}(\mathbf{x}_1) \\ \vdots & & \vdots \\ \varphi_{n_1}(\mathbf{x}_N) & \dots & \varphi_{n_N}(\mathbf{x}_N) \end{vmatrix}$$

The creation and annihilation operators therefore add and remove orbitals from Slater determinants

The Hamiltonian in a general one-particle basis then attains the form

$$\hat{H}(t) = \sum_{ij} h_{ij}(t) \hat{a}_i^\dagger \hat{a}_j + \frac{1}{2} \sum_{ijkl} v_{ijkl} \hat{a}_i^\dagger \hat{a}_j^\dagger \hat{a}_k \hat{a}_l$$

where

$$h_{ij}(t) = \int d\mathbf{x} \varphi_i^*(\mathbf{x}) h(\mathbf{x}, t) \varphi_j(\mathbf{x})$$

$$v_{ijkl} = \int d\mathbf{x} d\mathbf{y} w(\mathbf{x}, \mathbf{y}) \varphi_i^*(\mathbf{x}) \varphi_j^*(\mathbf{y}) \varphi_k(\mathbf{y}) \varphi_l(\mathbf{x})$$

The convenient basis states in practice depend on the problem.
Commonly used ones are, for example, Kohn-Sham or Hartree-Fock orbitals

Second quantization: Take home message

- Second quantisation is nothing but a convenient way to generate a many-particle basis that automatically has the correct (anti)symmetry.

Basis states are created by (anti)-commuting operators with simple (anti)-commutation relations

- As we will see, second quantisation is very convenient in many-body theory as it allows for simple manipulation of perturbative terms without the need to deal with (anti)-symmetrised orbital products
- The derivation of the Hamiltonian in second quantisation is easy in position basis as the Hamiltonian is almost diagonal in this basis

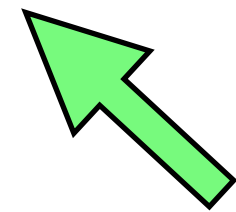
Expectation values

A general expectation value is of the form

$$\langle \hat{O}(t) \rangle = \langle \Psi(t) | \hat{O}(t) | \Psi(t) \rangle = \langle \Psi_0 | \hat{U}(t_0, t) \hat{O}(t) \hat{U}(t, t_0) | \Psi_0 \rangle = \langle \Psi_0 | \hat{O}_H(t) | \Psi_0 \rangle$$

where we defined the evolution operator as

$$|\Psi(t)\rangle = \hat{U}(t, t') |\Psi(t')\rangle$$



initial state

and the operator $\hat{O}(t)$ in the Heisenberg picture as

$$\hat{O}_H(t) = \hat{U}(t_0, t) \hat{O}(t) \hat{U}(t, t_0)$$

The Heisenberg operator satisfies an equation of motion

It follows from the Schrödinger equation that

$$i\partial_t \hat{U}(t, t') = \hat{H}(t)\hat{U}(t, t')$$

and therefore that the Heisenberg operator satisfies the equation of motion

$$\partial_t \hat{O}_H(t) = -i \left[\hat{O}_H(t), \hat{H}_H(t) \right] + \left(\partial_t \hat{O}(t) \right)_H$$

For example, you can check that the field operator satisfies

$$[i\partial_t - h(\mathbf{x}t)] \hat{\psi}_H(\mathbf{x}, t) = \int d\mathbf{y} w(\mathbf{x}, \mathbf{y}) \hat{n}_H(\mathbf{y}t) \hat{\psi}_H(\mathbf{x}t)$$

Let us now derive a more explicit expression for the evolution operator

We start again from the Schrödinger equation $i\partial_t|\Psi(t)\rangle = \hat{H}(t)|\Psi(t)\rangle$

If we divide $[t_0, T]$ into small intervals Δ then

$$\begin{aligned} |\Psi(T)\rangle &\approx e^{-i\hat{H}(t_n)\Delta} \dots e^{-i\hat{H}(t_0)\Delta} |\Psi(t_0)\rangle = \mathcal{T} \left\{ e^{-i\hat{H}(t_n)\Delta} \dots e^{-i\hat{H}(t_0)\Delta} \right\} |\Psi(t_0)\rangle \\ &= \mathcal{T} \left\{ e^{-i\sum_j^n \hat{H}(t_j)\Delta} \right\} |\Psi(t_0)\rangle \end{aligned}$$

where \mathcal{T} denotes time-ordering that orders the **latest operator most left**.

We used that operators **commute** under time-ordering

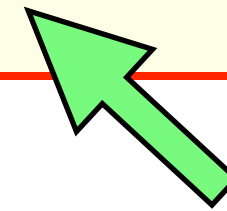
$$\mathcal{T} \left\{ \hat{A}(t_1) \hat{B}(t_2) \right\} = \mathcal{T} \left\{ \hat{B}(t_2) \hat{A}(t_1) \right\}$$

and hence, in particular

$$\mathcal{T} \left\{ e^{\hat{A}(t_1)} e^{\hat{B}(t_2)} \right\} = \mathcal{T} \left\{ e^{\hat{A}(t_1) + \hat{B}(t_2)} \right\}$$

In the limit $\Delta \Rightarrow 0$ then

$$|\Psi(T)\rangle = \mathcal{T} \left\{ e^{-i \int_{t_0}^T dt \hat{H}(t)} \right\} |\Psi(t_0)\rangle = \hat{U}(T, t_0) |\Psi(t_0)\rangle$$



Time-evolution operator

By as similar procedure we have

$$U(t_0, T) = e^{i\hat{H}(t_1)\Delta} e^{i\hat{H}(t_2)\Delta} \dots e^{i\hat{H}(t_n)\Delta} = \bar{\mathcal{T}} \left\{ e^{i \sum_j^n \hat{H}(t_j)\Delta} \right\}$$

$$U(t_0, T) = \bar{\mathcal{T}} \left\{ e^{i \int_{t_0}^T \hat{H}(t) dt} \right\}$$

where $\bar{\mathcal{T}}$ denotes anti-time-ordering that orders the latest operator most right.

The evolution operator can then be written as

$$\hat{U}(t_1, t_2) = \begin{cases} \mathcal{T} e^{-i \int_{t_1}^{t_2} dt \hat{H}(t) dt} & t_1 < t_2 \\ \bar{\mathcal{T}} e^{+i \int_{t_2}^{t_1} dt \hat{H}(t) dt} & t_2 < t_1 \end{cases}$$

and the expectation value

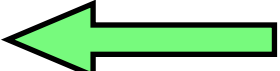
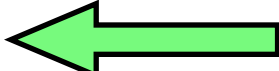
$$\langle \hat{O}(t) \rangle = \langle \Psi_0 | \hat{U}(t_0, t) \hat{O}(t) \hat{U}(t, t_0) | \Psi_0 \rangle$$

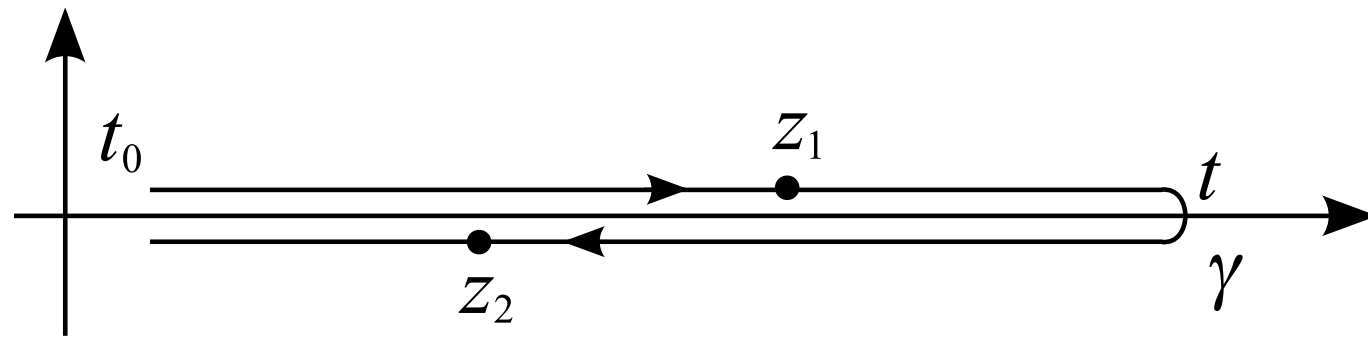
can therefore be written as

$$\langle \hat{O}(t) \rangle = \langle \Psi_0 | \bar{\mathcal{T}} e^{i \int_{t_0}^t dt \hat{H}(t) dt} \hat{O}(t) \mathcal{T} e^{-i \int_{t_0}^t dt \hat{H}(t) dt} | \Psi_0 \rangle$$

If we expand in powers of the Hamiltonian then a typical term is

$$\bar{\mathcal{T}} \left\{ \hat{H}(t_1) \dots \hat{H}(t_n) \right\} \hat{O}(t) \mathcal{T} \left\{ \hat{H}(t'_1) \dots \hat{H}(t'_n) \right\}$$

early  late late  early



$$\gamma \equiv \underbrace{(t_0, t)}_{\gamma_-} \oplus \underbrace{(t, t_0)}_{\gamma_+}$$

We define a contour γ consisting of two copies of the interval $[t_0, t]$. A generic element z of γ can lie on the forward branch γ_- or the backward branch γ_+

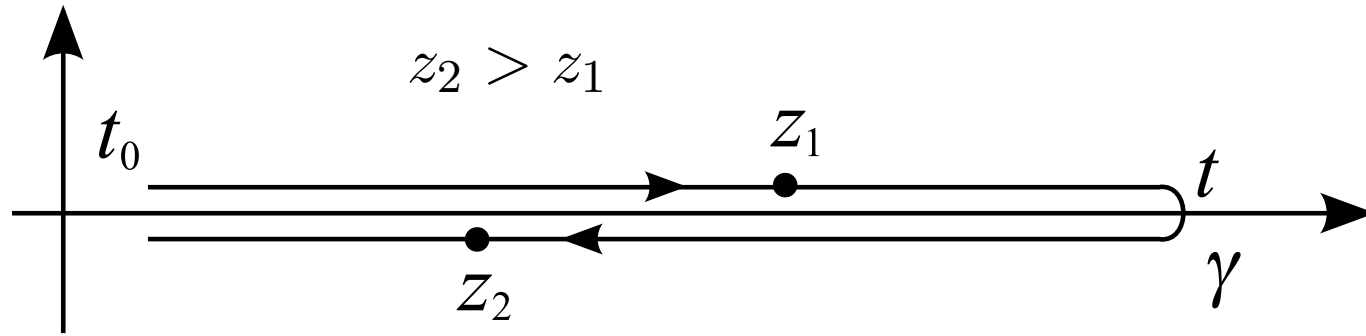
Notation

$$z = t'_- \quad \text{when } z \in \gamma_- \quad \text{and its real value is } t'$$

$$z = t'_+ \quad \text{when } z \in \gamma_+ \quad \text{and its real value is } t'$$

We can define operators on the contour

$$\hat{O}(z') = \begin{cases} \hat{O}_-(t') & z' = t'_- \\ \hat{O}_+(t') & z' = t'_+ \end{cases}$$



$$\mathcal{T}_\gamma \left\{ \hat{A}_{P(1)}(z_{P(1)}) \dots \hat{A}_{P(1)}(z_{P(1)}) \right\} = \hat{A}_1(z_1) \dots \hat{A}_n(z_n) \quad z_1 > \dots > z_n$$

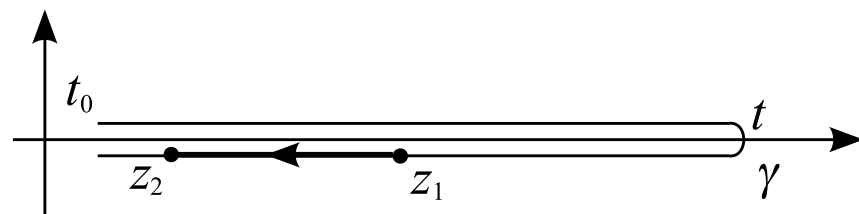
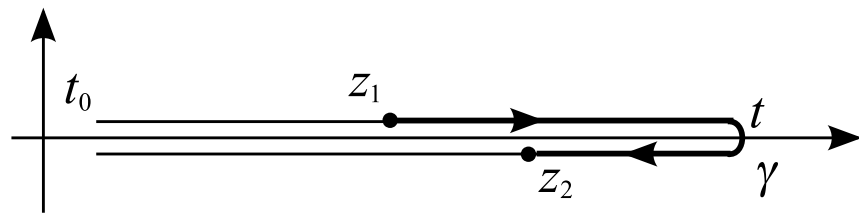
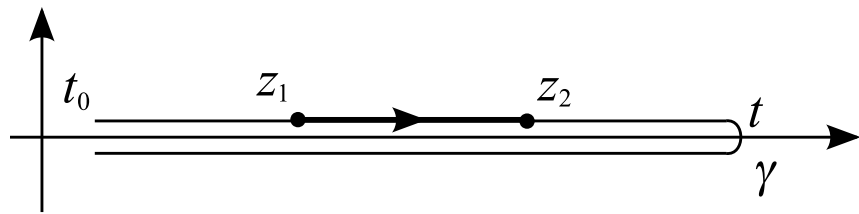
With this definition we can write

$$\begin{aligned} \bar{\mathcal{T}} \left\{ \hat{H}(t_1) \dots \hat{H}(t_n) \right\} \hat{O}(t) \mathcal{T} \left\{ \hat{H}(t'_1) \dots \hat{H}(t'_n) \right\} \\ = \mathcal{T}_\gamma \left\{ \hat{H}(t_{1+}) \dots \hat{H}(t_{n+}) \hat{O}(t) \hat{H}(t'_{1-}) \dots \hat{H}(t'_{n-}) \right\} \end{aligned}$$

where

$$\hat{H}(z = t'_\pm) = \hat{H}(t')$$

With this trick we can write the expectation value in a compact way



$$\int_{z_1}^{z_2} d\bar{z} \hat{A}(\bar{z}) = \begin{cases} \int_{t_1}^{t_2} d\bar{t} \hat{A}_-(\bar{t}) & \text{if } z_1 = t_{1-} \text{ and } z_2 = t_{2-} \\ \int_{t_1}^t d\bar{t} \hat{A}_-(\bar{t}) + \int_t^{t_2} d\bar{t} \hat{A}_+(\bar{t}) & \text{if } z_1 = t_{1-} \text{ and } z_2 = t_{2+} \\ \int_{t_1}^{t_2} d\bar{t} \hat{A}_+(\bar{t}) & \text{if } z_1 = t_{1+} \text{ and } z_2 = t_{2+} \end{cases}$$

The expectation value can then be written as

$$\langle \hat{O}(t) \rangle = \langle \Psi_0 | \mathcal{T}_\gamma \left\{ e^{-i \int_{\gamma_+} \hat{H}(\bar{z}) d\bar{z}} \hat{O}(t_\pm) e^{-i \int_{\gamma_-} \hat{H}(\bar{z}) d\bar{z}} \right\} | \Psi_0 \rangle$$

and since the operators commute under the time-ordering

$$\langle \hat{O}(t) \rangle = \langle \Psi_0 | \mathcal{T}_\gamma \left\{ e^{-i \int_\gamma \hat{H}(\bar{z}) d\bar{z}} \hat{O}(t_\pm) \right\} | \Psi_0 \rangle$$

It will be useful to extend the concept of expectation value to ensembles

$$\langle \hat{O}_H(t) \rangle = \sum_n w_n \langle \Psi_n | \hat{O}_H(t) | \Psi_n \rangle = \text{Tr} \left\{ \hat{\rho} \hat{O}_H(t) \right\}$$

$$\hat{\rho} = \sum_n w_n |\Psi_n\rangle \langle \Psi_n| \quad \sum_n w_n = 1 \quad w_m \geq 0$$

where we defined $\text{Tr} \hat{A} = \sum_m \langle \Phi_m | \hat{A} | \Phi_m \rangle$ with $|\Phi_m\rangle$ any complete orthonormal set

An important special case is

$$w_n = \frac{e^{-\beta E_n}}{\sum_m e^{-\beta E_m}} \quad \hat{H}^M |\Psi_n\rangle = E_n |\Psi_n\rangle \quad \hat{H}^M = \hat{H}(t_0) - \mu \hat{N}$$

$$\hat{\rho} = \sum_n w_n |\Psi_n\rangle \langle \Psi_n| = \frac{e^{-\beta \hat{H}^M}}{\text{Tr} \left\{ e^{-\beta \hat{H}^M} \right\}}$$

This corresponds to an initial system at inverse temperature β and chemical potential μ

$$e^{-\beta \hat{H}^M} = e^{-i[(t_0 - i\beta) - t_0] \hat{H}^M} = \hat{U}(t_0 - i\beta, t_0)$$

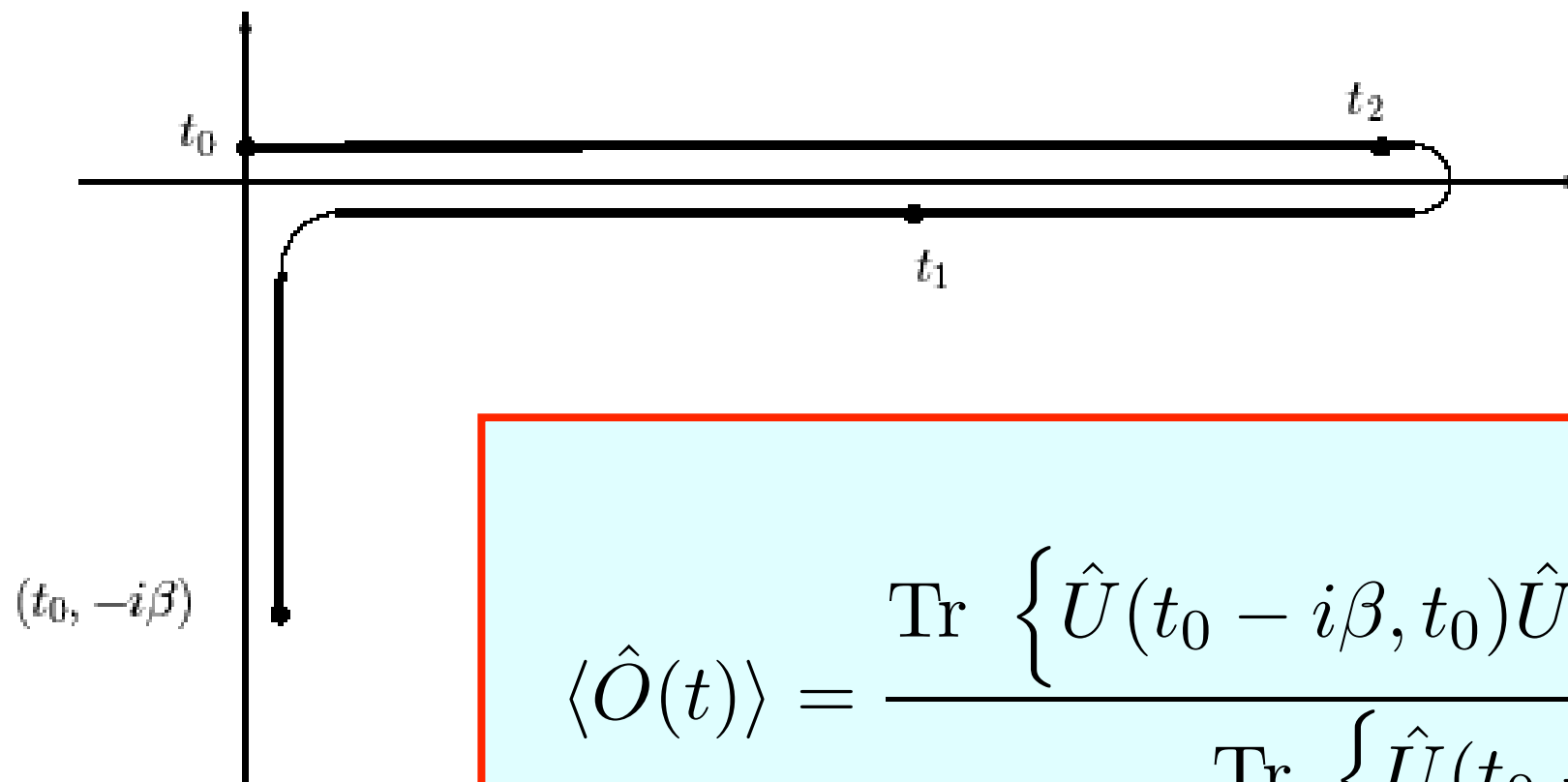
If we therefore define

$$\hat{H}(z) = \begin{cases} \hat{H}(t) & z \in [t_0, \infty[\\ \hat{H}^M & z \in [t_0, t_0 - i\beta] \end{cases}$$

then we can write

$$\langle \hat{O}(t) \rangle = \frac{\text{Tr} \left\{ \hat{U}(t_0 - i\beta, t_0) \hat{U}(t_0, t) \hat{O}(t) \hat{U}(t, t_0) \right\}}{\text{Tr} \left\{ \hat{U}(t_0 - i\beta, t_0) \right\}}$$

(L.V.Keldysh, Sov.Phys.JETP20, 1018 (1965),
Konstantinov, Perel', JETP12,142 (1961))



$$\langle \hat{O}(t) \rangle = \frac{\text{Tr} \left\{ \hat{U}(t_0 - i\beta, t_0) \hat{U}(t_0, t) \hat{O}(t) \hat{U}(t, t_0) \right\}}{\text{Tr} \left\{ \hat{U}(t_0 - i\beta, t_0) \right\}}$$

$$\langle \hat{O}(t) \rangle = \frac{\text{Tr} \mathcal{T} \left\{ e^{-i \int_{\gamma} dz \hat{H}(z)} \hat{O}(t) \right\}}{\text{Tr} \mathcal{T} \left\{ e^{-i \int_{\gamma} dz \hat{H}(z)} \right\}}$$

Time ordering is now defined along the extended contour

Time-ordering: Take home message

- Time-ordering is a direct consequence of the structure of time-dependent Schrödinger equation.
- Expectation values consist of a time-ordered evolution operator for the ket state and an anti-time-ordering for the bra state
- The expectation of any operator value can be rewritten in terms of a single time-ordered exponential by introducing contour ordering
- In case of systems prepared in an initial ensemble the expectation value can be rewritten as a time-ordering on a contour with an additional vertical track