

# The frustrated Heisenberg model on the honeycomb lattice

Federico Becca

CNR IOM-DEMOCRITOS and International School for Advanced Studies (SISSA)

Entanglement in Strongly Correlated Systems



Istituto Officina  
dei Materiali



F. Ferrari (SISSA)

[A. di Ciolo (now in Salerno) and J. Carrasquilla (now at d-wave)]

## 1 Introduction

## 2 Bosonic wave functions for ordered (spiral) states

## 3 Fermionic resonating-valence bond wave functions

Can quantum fluctuations prevent magnetic order down to  $T = 0$ ?

- Many theoretical suggestions since P.W. Anderson (1973)

Anderson, Mater. Res. Bull. 8, 153 (1973)

Fazekas and Anderson, Phil. Mag. 30, 423 (1974)

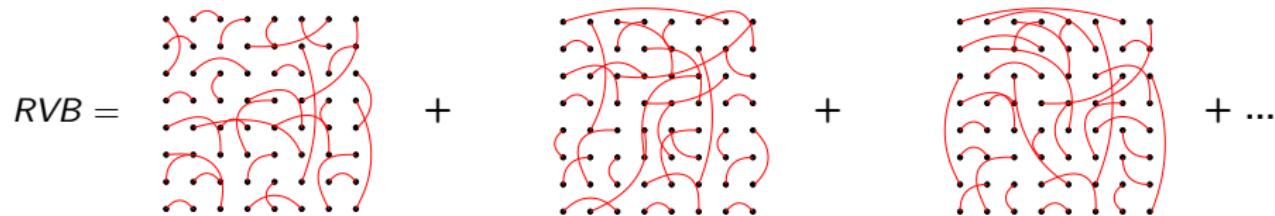
"Resonating valence-bond" (quantum spin liquid) states

Idea: the best state for two spin-1/2 spins is a valence bond (a spin singlet):

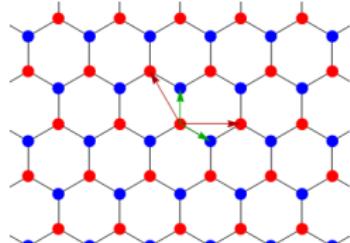
$$|VB\rangle_{\mathbf{R},\mathbf{R}'} = \frac{1}{\sqrt{2}} (|\uparrow\rangle_{\mathbf{R}} |\downarrow\rangle_{\mathbf{R}'} - |\downarrow\rangle_{\mathbf{R}} |\uparrow\rangle_{\mathbf{R}'})$$

Every spin of the lattice is coupled to a partner

Then, take a superposition of different valence bond configurations



# The frustrated $S = 1/2$ Heisenberg model on the honeycomb lattice



Bravais vectors:  $\mathbf{a} = (1, 0)$  and  $\mathbf{b} = (-1/2, \sqrt{3}/2)$

$$\mathcal{H} = J_1 \sum_{\langle i,j \rangle} \mathbf{S}_i \cdot \mathbf{S}_j + J_2 \sum_{\langle\langle i,k \rangle\rangle} \mathbf{S}_i \cdot \mathbf{S}_k$$

## Classical and semi-classical approaches

E. Rastelli, A. Tassi, and L. Reatto, Physica B **97**, 1 (1979)

J.B. Fouet, P. Sindzingre, and C. Lhuillier, Eur. Phys. J. B **20**, 241 (2001)

A. Mulder *et al.*, Phys. Rev. B **81**, 214419 (2010)

## Quantum spin liquid (gapped) or dimer/plaquette order?

**ED:** A.F. Albuquerque *et al.*, Phys. Rev. B **84**, 024406 (2011)

**VMC:** B.K. Clark, D.A. Abanin, and S.L. Sondhi, Phys. Rev. Lett. **107**, 087204 (2011)

**CC and ED:** D.J.J. Farnell *et al.* Phys. Rev. B **84**, 012403 (2011)

**fRG:** J. Reuther, D.A. Abanin, and R. Thomale, Phys. Rev. B **84**, 014417 (2011)

**Series exp:** J. Oitmaa and R.R.P. Singh, Phys. Rev. B **84**, 094424 (2011)

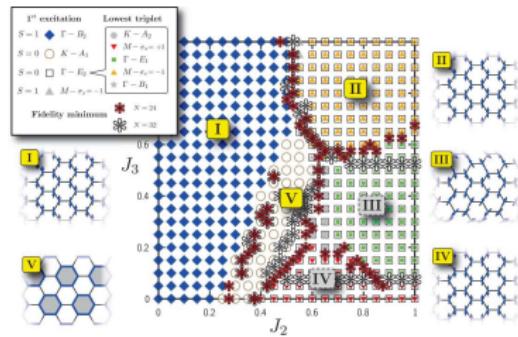
## More recent DMRG calculations suggested a plaquette order

Z. Zhu, D.A. Huse, and S.R. White, Phys. Rev. Lett. **110**, 127205 (2013)

R. Ganesh, J. van den Brink, and S. Nishimoto, Phys. Rev. Lett. **110**, 127203 (2013)

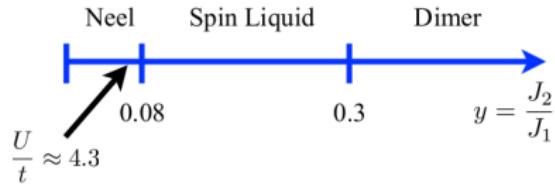
S.-S. Gong *et al.*, Phys. Rev. B **88**, 165138 (2013)

# Previous ED and VMC results

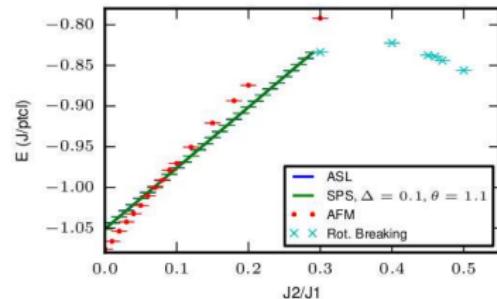


A.F. Albuquerque et al., Phys. Rev. B 84, 024406 (2011)

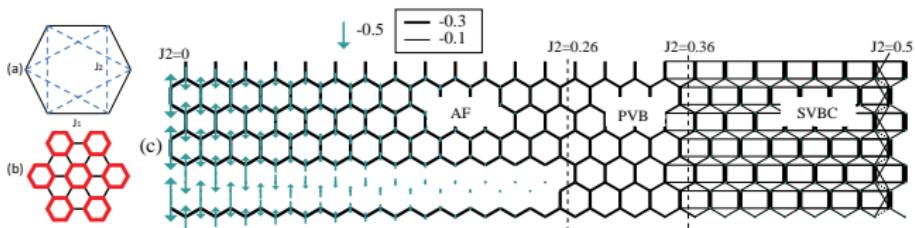
Variational phase diagram of  $J_1 - J_2$  Model



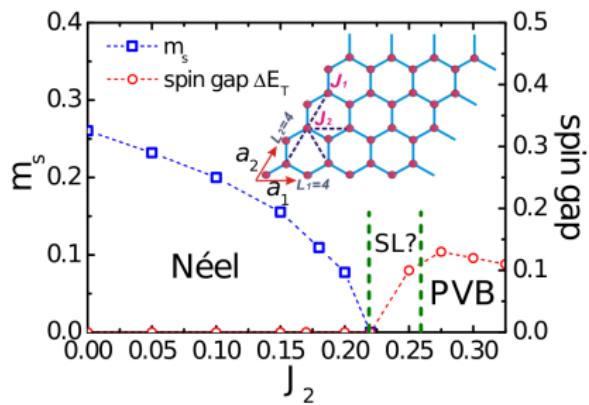
B.K. Clark, D.A. Abanin, and S.L. Sondhi, Phys. Rev. Lett. 107, 087204 (2011)



# Previous DMRG results

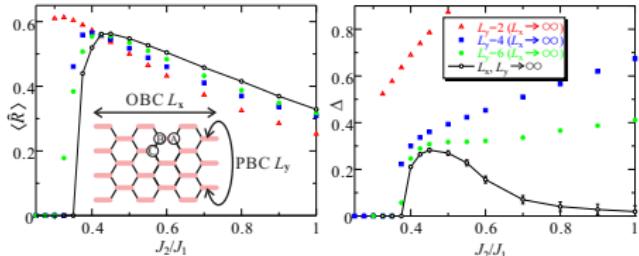
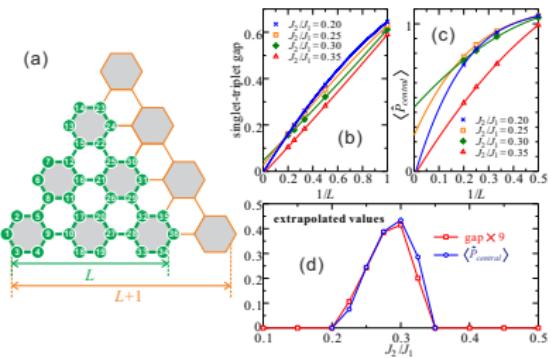
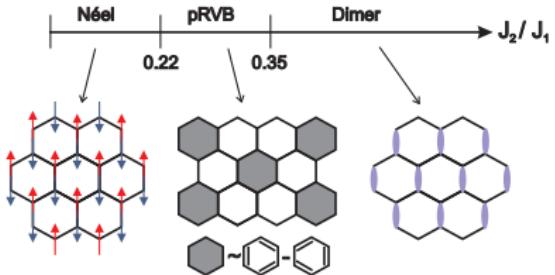


Z. Zhu, D.A. Huse, and S.R. White, Phys. Rev. Lett. **110**, 127205 (2013)



S.-S. Gong *et al.*, Phys. Rev. B **88**, 165138 (2013)

# Previous DMRG results



R. Ganesh, J. van den Brink, and S. Nishimoto, Phys. Rev. Lett. **110**, 127203 (2013)

## The classical phase diagram (I)

On sublattice  $\mathcal{A}$ :  $\mathbf{S}_i = S \left[ \cos(\mathbf{Q} \cdot \mathbf{R}_i), \sin(\mathbf{Q} \cdot \mathbf{R}_i), 0 \right]$

On sublattice  $\mathcal{B}$ :  $\mathbf{S}_i = -S \left[ \cos(\mathbf{Q} \cdot \mathbf{R}_i + \eta), \sin(\mathbf{Q} \cdot \mathbf{R}_i + \eta), 0 \right]$

- The **Classical** energy (per spin) for a generic **coplanar** spin wave is given by:

$$E_{\text{cl}} = -\frac{J_1 S^2}{2} \left[ \cos \eta + \cos(\eta - Q_b) + \cos(\eta - Q_a - Q_b) \right] + J_2 S^2 \left[ \cos Q_a + \cos Q_b + \cos(Q_a + Q_b) \right]$$

where  $Q_a = \mathbf{Q} \cdot \mathbf{a} = Q_x$  and  $Q_b = \mathbf{Q} \cdot \mathbf{b} = -Q_x/2 + \sqrt{3}Q_y/2$

- The **minimization** of  $E_{\text{cl}}$  gives:

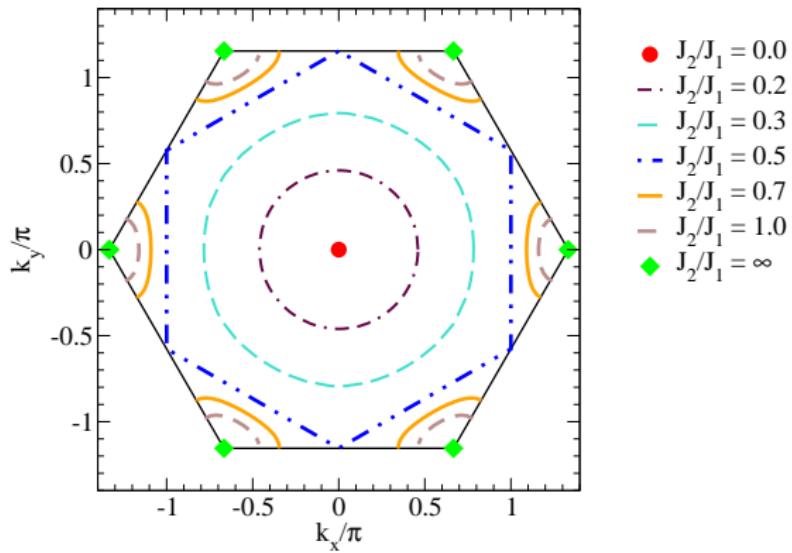
$$\cos Q_a^* + \cos Q_b^* + \cos(Q_a^* + Q_b^*) = \frac{1}{2} \left[ \left( \frac{J_1}{2J_2} \right)^2 - 3 \right]$$

$$\sin \eta^* = \frac{2J_2}{J_1} [\sin Q_b^* + \sin(Q_a^* + Q_b^*)]$$

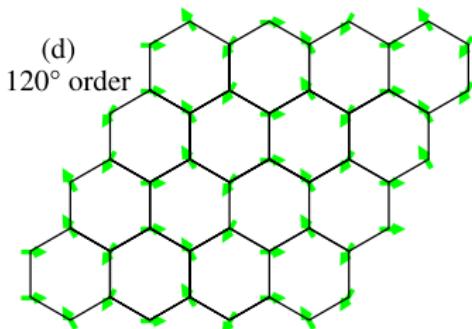
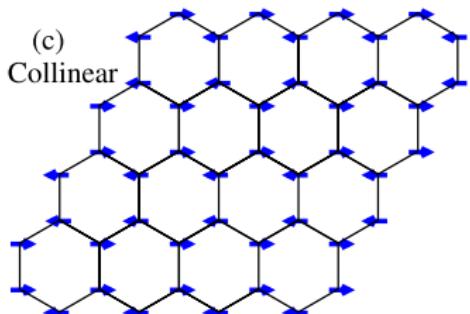
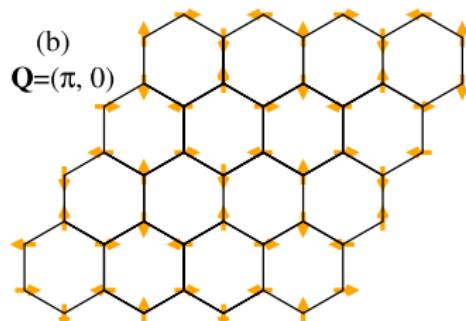
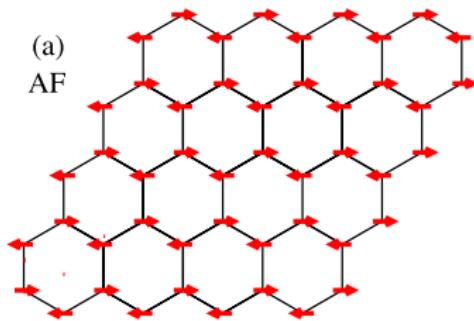
$$\cos \eta^* = \frac{2J_2}{J_1} [1 + \cos Q_b^* + \cos(Q_a^* + Q_b^*)]$$

## The classical phase diagram (II)

- The AF  $\mathbf{Q} = (0, 0)$  Néel state is stable for  $J_2/J_1 < 1/6$
- Degenerate spiral (type I) states for  $1/6 < J_2/J_1 < 1/2$
- (For  $J_2/J_1 = 1/2$ , collinear states are present)
- Degenerate spiral (type II) states for  $J_2/J_1 > 1/2$



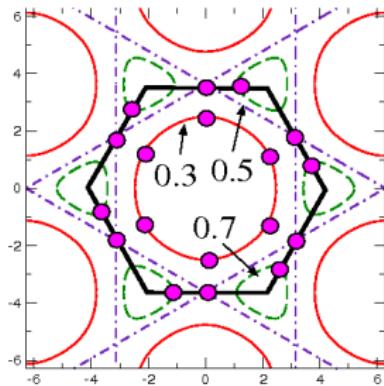
## Examples of important magnetic orderings



## Adding quantum fluctuations: spin waves

Holstein-Primakoff spin wave theory at the leading order in  $1/S$

- Order by disorder selection: six spiral wave-vectors lying along the classical manifold (wave-vectors along particular high-symmetry directions)



- Quantum fluctuations can melt the spiral order in a wide range of  $J_2/J_1$

A. Mulder *et al.*, Phys. Rev. B **81**, 214419 (2010)

## Going beyond spin waves: the Jastrow wave functions

- The **variational** states containing quantum fluctuations are defined by:

$$|\Psi\rangle = \mathcal{J}_z \mathcal{P}_{S_{\text{tot}}^z=0} |\text{Cl}\rangle$$

- $|\text{Cl}\rangle$  is a **classical** state where each spin points in a given direction in the  $XY$  plane  
It is described by a wave vector  $\mathbf{Q}$  and a phase shift  $\eta$ :

$$|\text{Cl}\rangle = \prod_i \left( |\downarrow\rangle_i + e^{i(\mathbf{Q} \cdot \mathbf{R}_i + \eta_i)} |\uparrow\rangle_i \right) = \prod_i e^{i(\mathbf{Q} \cdot \mathbf{R}_i + \eta_i)(S_i^z + 1/2)} (|\downarrow\rangle_i + |\uparrow\rangle_i)$$

- Quantum fluctuations are generated by the **Jastrow** factor  
 $\mathcal{J}_z$  describes out-of-plane fluctuations

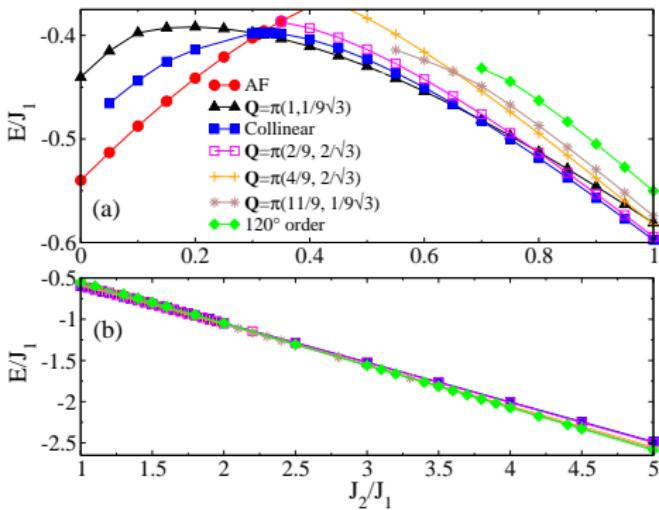
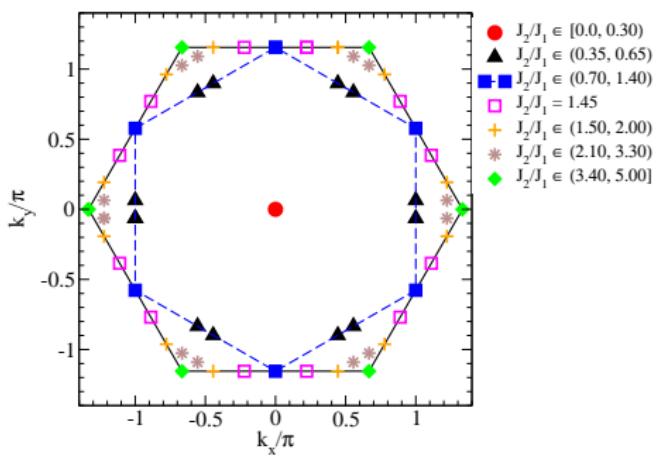
$$\mathcal{J}_z = \exp \left( \frac{1}{2} \sum_{ij} v_{ij} S_i^z S_j^z \right)$$

E. Manousakis, Rev. Mod. Phys. **63**, 1 (1991)

# Results for the bosonic Jastrow states

- Results for the  $18 \times 18$  cluster

(Only a finite number of  $\mathbf{Q}$  vectors are available)



A. Di Ciolo, J. Carrasquilla, F. Becca, M. Rigol, and V. Galitski, Phys. Rev. B **89**, 094413 (2014)

## Fermionic representation of a spin-1/2

- A faithful representation of spin-1/2 is given by:

$$S_i^z = \frac{1}{2} (c_{i,\uparrow}^\dagger c_{i,\uparrow} - c_{i,\downarrow}^\dagger c_{i,\downarrow})$$

$$S_i^+ = c_{i,\uparrow}^\dagger c_{i,\downarrow}$$

$$S_i^- = c_{i,\downarrow}^\dagger c_{i,\uparrow}$$

$$\{c_{i,\alpha}, c_{j,\beta}^\dagger\} = \delta_{ij} \delta_{\alpha\beta}$$

$$\{c_{i,\alpha}, c_{j,\beta}\} = 0$$

$c_{i,\uparrow}^\dagger$  (or  $c_{i,\downarrow}^\dagger$ ) changes  $S_i^z$  by 1/2 (or -1/2) and creates a "spinon"

- For a model with one spin per site, we must impose the constraints:

$$c_{i,\uparrow}^\dagger c_{i,\uparrow} + c_{i,\downarrow}^\dagger c_{i,\downarrow} = 1$$

$$c_{i,\uparrow} c_{i,\downarrow} = 0$$

- There is a huge redundancy,  $SU(2)$  local "gauge" transformations:

$$c_{j,\uparrow} \rightarrow a_{11} c_{j,\uparrow} + a_{21} c_{j,\downarrow}^\dagger$$

$$c_{j,\downarrow}^\dagger \rightarrow a_{12} c_{j,\uparrow} + a_{22} c_{j,\downarrow}^\dagger$$

Affleck, Zou, Hsu, and Anderson, Phys. Rev. B 38, 745 (1988)

## Mean-field approximation

Without breaking the SU(2) spin symmetry, the mean-field Hamiltonian is

$$\mathcal{H}_{\text{MF}} = \sum_{ij} \chi_{ij} (c_{j,\uparrow}^\dagger c_{i,\uparrow} + c_{j,\downarrow}^\dagger c_{i,\downarrow}) + \Delta_{ij} (c_{j,\uparrow}^\dagger c_{i,\downarrow}^\dagger + c_{i,\uparrow}^\dagger c_{j,\downarrow}^\dagger) + h.c.$$

Magnetic order can be included breaking the SU(2) symmetry

$$\mathcal{H}_{\text{MF}} \implies \mathcal{H}_{\text{AF}} = \mathcal{H}_{\text{MF}} + h \sum_j e^{i\mathbf{Q}\cdot\mathbf{R}_j} S_j^x$$

At the mean-field level, the constraint is only valid in average (global constraint)

$$\mathcal{H}_{\text{MF}} \rightarrow \mathcal{H}_{\text{MF}} - \mu \sum_i (c_{i,\uparrow}^\dagger c_{i,\uparrow} + c_{i,\downarrow}^\dagger c_{i,\downarrow} - 1) + \zeta \sum_i c_{i,\uparrow}^\dagger c_{i,\downarrow}^\dagger + h.c.$$

- Gapped energy spectrum → gapped spin liquid

- Gapless energy spectrum → gapless spin liquid

Both gapped and gapless phases of the Kitaev compass model are reproduced

Burnell and Nayak, Phys. Rev. B 84, 125125 (2011)

- Finite  $h \rightarrow$  magnetic order

## Beyond the mean-field approach

For  $h = 0$ , the ground state has the form of a BCS wave function:

$$|\Phi_{\text{MF}}\rangle = \exp \left\{ \sum_{i,j} f_{i,j} (c_{i,\uparrow}^\dagger c_{j,\downarrow}^\dagger + c_{j,\uparrow}^\dagger c_{i,\downarrow}^\dagger) \right\}$$

The exact local constraint can be enforced but a Monte Carlo sampling is necessary

$$|RVB\rangle = \mathcal{P}_G |\Phi_{\text{MF}}\rangle \quad \mathcal{P}_G = \prod_i (1 - n_{i,\uparrow} n_{i,\downarrow})$$



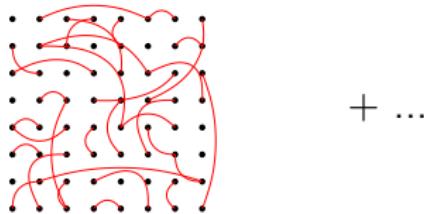
A Monte Carlo sampling implies calculations of determinants, which can be computed in a polynomial time

# The projected wave function

- The mean-field wave function has a **BCS-like** form

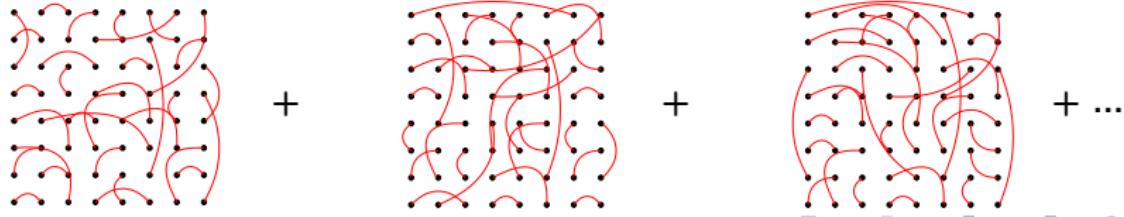
$$|\Phi_{MF}\rangle = \exp \left\{ \frac{1}{2} \sum_{i,j} f_{i,j} c_{i,\uparrow}^\dagger c_{j,\downarrow}^\dagger \right\} |0\rangle$$

It is a linear superposition of all singlet configurations (that may overlap)



- After projection, only non-overlapping singlets survive:  
the **resonating valence-bond (RVB)** wave function

Anderson, Science 235, 1196 (1987)



## Valence-bond states: liquids and solids

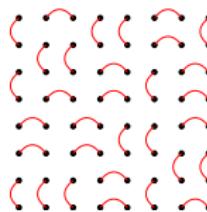
- Different pairing functions give different states...

Valence-bond solid

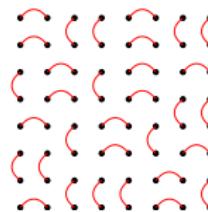


breaks translational/rotational symmetries

Short-range RVB

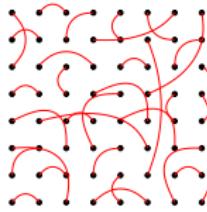


+

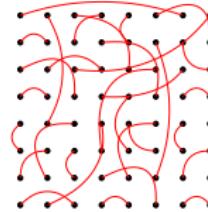


+ ...

Long-range RVB



+



+ ...

## The “mother” of all wave functions

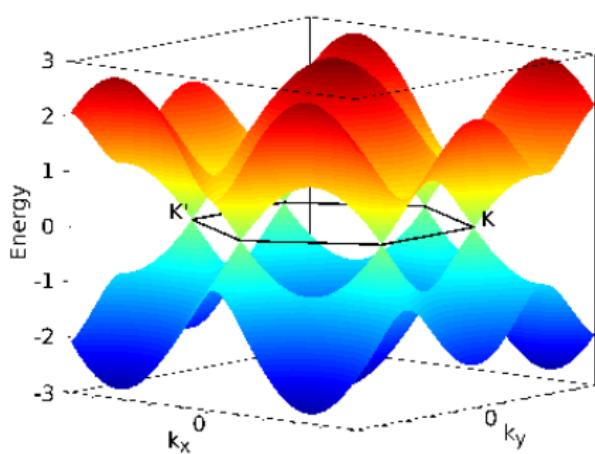
- The simplest wave function can be defined with  $\chi_{ij} = t$  and no pairing  $\Delta_{ij} = 0$

$$\mathcal{H}_{\text{MF}} = t \sum_{\langle i,j \rangle, \alpha} c_{i,\alpha}^\dagger c_{j,\alpha} + \text{h.c.}$$

- Dirac points in the spinon spectrum
- U(1) gauge structure
- Power-law spin-spin correlations

U(1) Dirac state

Very good energy per site  
especially for  $J_2/J_1 \approx 0.2$



## The AF state for small $J_2/J_1$

$$|\Psi\rangle = \mathcal{J}_z \mathcal{P}_{S_{\text{tot}}^z=0} \mathcal{P}_G |\Phi_{\text{MF}}\rangle$$

where  $|\Psi_{\text{MF}}\rangle$  is the ground state of:

$$\mathcal{H}_{\text{MF}} = \color{red}{t} \sum_{\langle i,j \rangle, \alpha} c_{i,\alpha}^\dagger c_{j,\alpha} + \text{h.c.} + \color{red}{h} \sum_j e^{i\mathbf{Q}\cdot\mathbf{R}_j + \eta_j} S_j^x$$

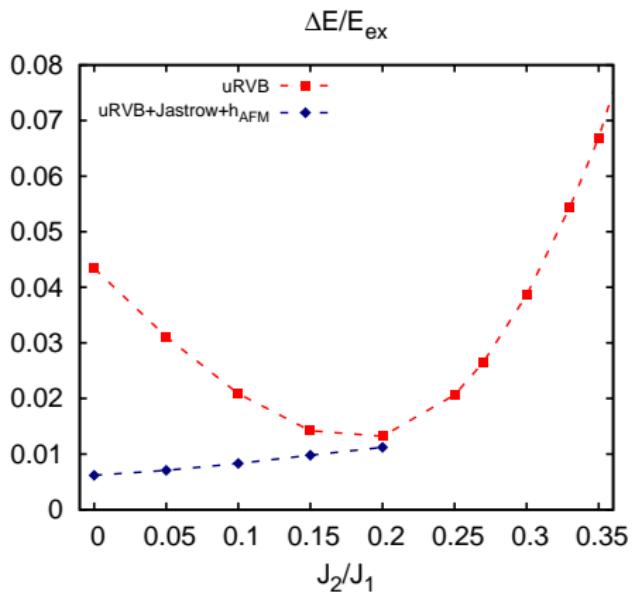
with  $\mathbf{Q} = (0, 0)$  and  $\eta_j = 0$  ( $\pi$ ) on  $\mathcal{A}$  ( $\mathcal{B}$ ) sublattices.

- For  $t = 0$ ,  $|\Psi_{\text{MF}}\rangle \rightarrow |\text{Cl}\rangle$  (localized, i.e., “bosonic, state”)
- Remarkably (and mysteriously), there is a finite energy gain with  $t \neq 0$
- Quantum fluctuations are generated by the **Jastrow** factor

$$\mathcal{J}_z = \exp \left( \frac{1}{2} \sum_{ij} v_{ij} S_i^z S_j^z \right)$$

## Accuracy of the AF state

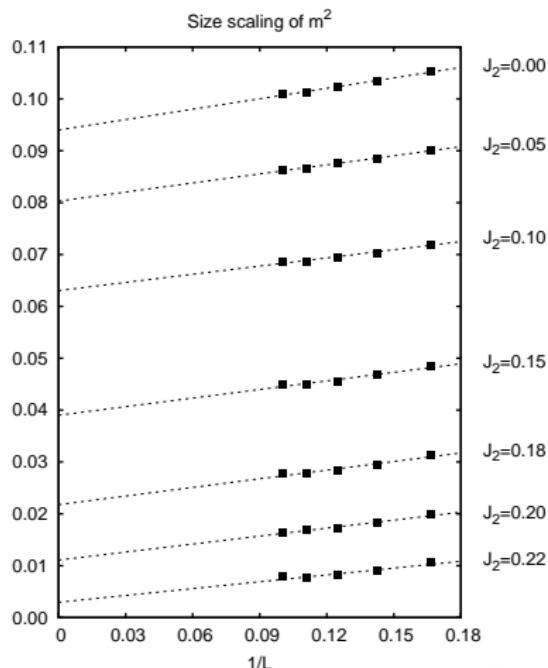
- Results for the accuracy on the 24-site cluster



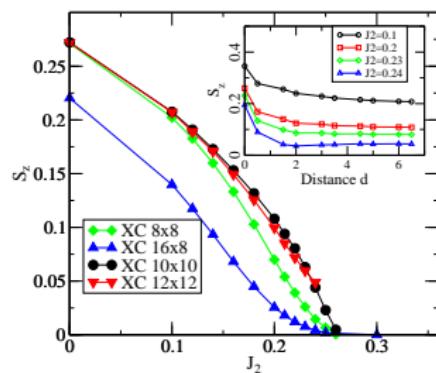
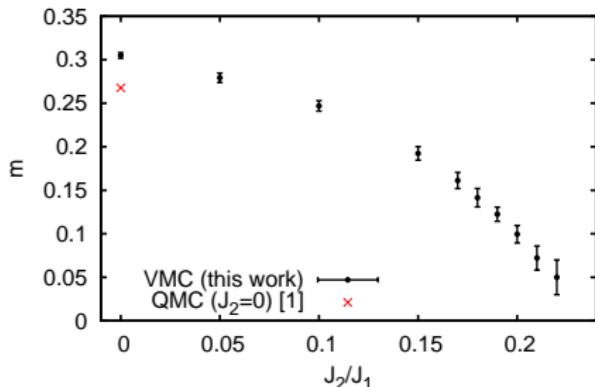
## Size scaling of the AF order parameter for small $J_2/J_1$

- We compute the isotropic spin-spin correlations at the largest distance

$$m^2 = \lim_{r \rightarrow \infty} \langle \mathbf{S}_0 \cdot \mathbf{S}_r \rangle$$



# The AF state for small $J_2/J_1$



# The magnetically disordered phase for intermediate $J_2/J_1$

- Is it a gapped or gapless spin liquid?

Based on a **wrong** prejudice

(the Hubbard model sustains a gapped SL phase for moderate  $U/t$ )  
people were interested in **gapped** SL in the  $J_1-J_2$  model

## Projective symmetry-group (PSG) analysis

Y.-M. Lu and Y. Ran, Phys. Rev. B 84, 024420 (2011)

TABLE I. A summary of all 24 different PSGs with  $\text{IGG} = \{\pm\tau^0\}$  around the u-RVB ansatz. They correspond to 24 different  $Z_2$  SLs near the u-RVB state.

No.	$g_T$	$g_\sigma$	$g_{C_6}$	$g_1$	$g_2$
1	$\tau^0$	$\tau^0$	$\tau^0$	$\tau^0$	$\tau^0$
2	$\tau^0$	$\tau^0$	$i\tau^3$	$\tau^0$	$\tau^0$
3	$\tau^0$	$\tau^0$	$i\tau^3$	$e^{i2\pi/3\tau^1}$	$e^{-i2\pi/3\tau^1}$
4	$\tau^0$	$i\tau^3$	$i\tau^3$	$\tau^0$	$\tau^0$
5	$\tau^0$	$i\tau^3$	$i\tau^3$	$\tau^0$	$\tau^0$
6	$\tau^0$	$i\tau^3$	$i\tau^1$	$\tau^0$	$\tau^0$
7	$\tau^0$	$i\tau^3$	$e^{i\pi/6\tau^1}$	$\tau^0$	$\tau^0$
8	$\tau^0$	$i\tau^3$	$e^{i\pi/3\tau^1}$	$\tau^0$	$\tau^0$
9	$\tau^0$	$i\tau^3$	$i\tau^1$	$e^{i2\pi/3\tau^3}$	$e^{-i2\pi/3\tau^3}$
10	$\tau^0$	$i\tau^3$	$e^{i2\pi/3\tau^1}$	$i\left(\frac{\tau^1}{\sqrt{3}} - \sqrt{\frac{2}{3}}\tau^2\right)$	$i\left(\frac{\tau^1}{\sqrt{3}} - \frac{\tau^2}{\sqrt{6}} - \frac{\tau^3}{\sqrt{3}}\right)$
11	$i\tau^3$	$\tau^0$	$\tau^0$	$\tau^0$	$\tau^0$
12	$i\tau^3$	$\tau^0$	$i\tau^3$	$\tau^0$	$\tau^0$
13	$i\tau^3$	$\tau^0$	$i\tau^1$	$\tau^0$	$\tau^0$
14	$i\tau^3$	$\tau^0$	$i\tau^1$	$e^{i2\pi/3\tau^3}$	$e^{-i2\pi/3\tau^3}$
15	$i\tau^3$	$i\tau^3$	$\tau^0$	$\tau^0$	$\tau^0$
16	$i\tau^3$	$i\tau^3$	$i\tau^3$	$\tau^0$	$\tau^0$
17	$i\tau^3$	$i\tau^3$	$i\tau^1$	$\tau^0$	$\tau^0$
18	$i\tau^3$	$i\tau^3$	$i\tau^1$	$e^{i2\pi/3\tau^3}$	$e^{-i2\pi/3\tau^3}$
19	$i\tau^3$	$i\tau^1$	$i\tau^1$	$\tau^0$	$\tau^0$
20	$i\tau^3$	$i\tau^1$	$i\tau^2$	$\tau^0$	$\tau^0$
21	$i\tau^3$	$i\tau^1$	$\tau^0$	$\tau^0$	$\tau^0$
22	$i\tau^3$	$i\tau^1$	$i\tau^3$	$\tau^0$	$\tau^0$
23	$i\tau^3$	$i\tau^1$	$e^{i\pi/6\tau^3}$	$\tau^0$	$\tau^0$
24	$i\tau^3$	$i\tau^1$	$e^{i\pi/3\tau^3}$	$\tau^0$	$\tau^0$

Only **one** gapped SL with short-range couplings  
Number 19, called sublattice pairing state (SPS)

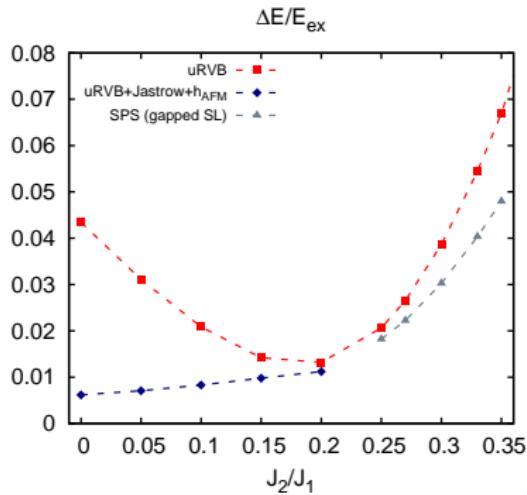
All the other states are gapless

# The SPS gapped spin liquid

- The SPS state has NN hopping and NNN pairing  
(with opposite phases on the two sublattices)

$$\mathcal{H}_{\text{MF}} = t \sum_{\langle i,j \rangle, \alpha} c_{i,\alpha}^\dagger c_{j,\alpha} + \Delta e^{i\theta} \sum_{\langle\langle i,k \rangle\rangle \in \mathcal{A}} c_{i,\uparrow}^\dagger c_{k,\downarrow}^\dagger + \Delta e^{-i\theta} \sum_{\langle\langle i,k \rangle\rangle \in \mathcal{B}} c_{i,\uparrow}^\dagger c_{k,\downarrow}^\dagger + \text{h.c.}$$

- Results for the accuracy on the 24-site cluster



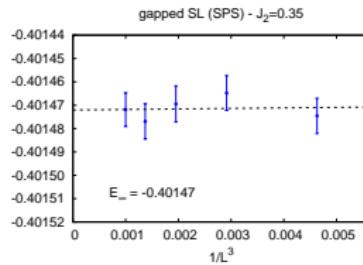
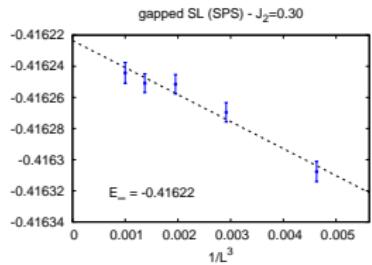
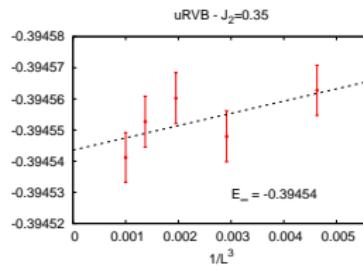
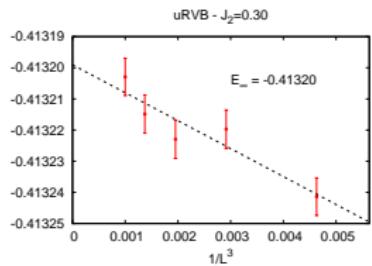
# The SPS gapped spin liquid

- The variational energy is (almost) insensitive on  $\theta$
- The “best” energy is obtained with  $\theta = 0$  (corresponding to a  $U(1)$  SL)
- Previous VMC calculations by B. Clark were affected by a mistake

B.K. Clark, D.A. Abanin, and S.L. Sondhi, Phys. Rev. Lett. **107**, 087204 (2011)

More reliable calculations by O. Motrunich

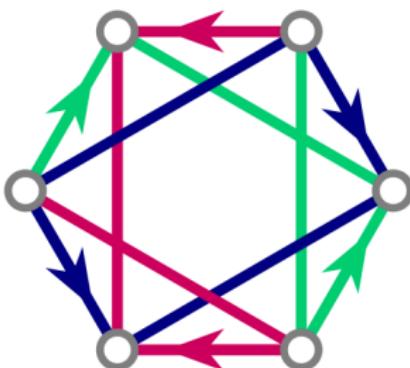
S.-S. Gong *et al.*, Phys. Rev. B **88**, 165138 (2013)



# A gapless $Z_2$ spin liquid

- There is a **gapless** state with NN hopping and NNN pairing

$$\mathcal{H}_{\text{MF}} = \sum_{\langle i,j \rangle, \alpha} t e^{i\theta_{ij}} c_{i,\alpha}^\dagger c_{j,\alpha} + \sum_{\langle\langle i,k \rangle\rangle} \Delta e^{-i\theta_{ik}} c_{i,\uparrow}^\dagger c_{k,\downarrow}^\dagger + \text{h.c.}$$

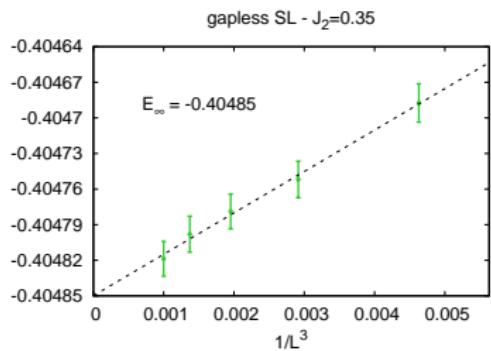
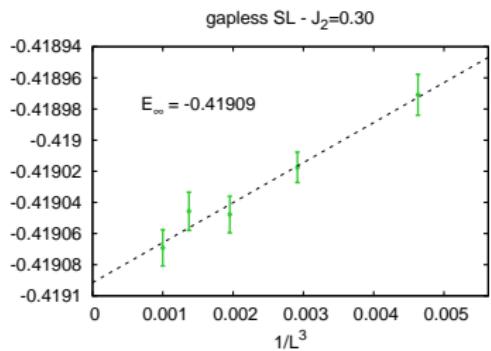
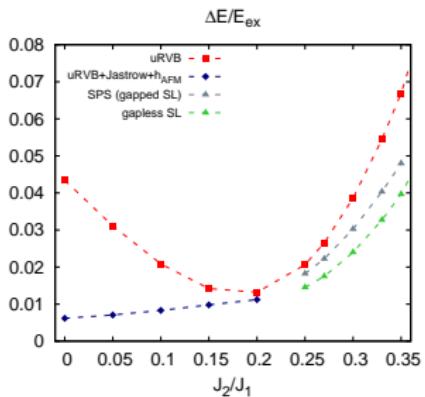


BLUE:  $\theta_{ij} = 0$

RED:  $\theta_{ij} = 2\pi/3$

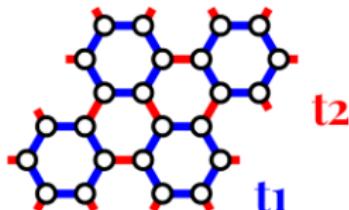
GREEN:  $\theta_{ij} = 4\pi/3$

# A gapless $Z_2$ spin liquid

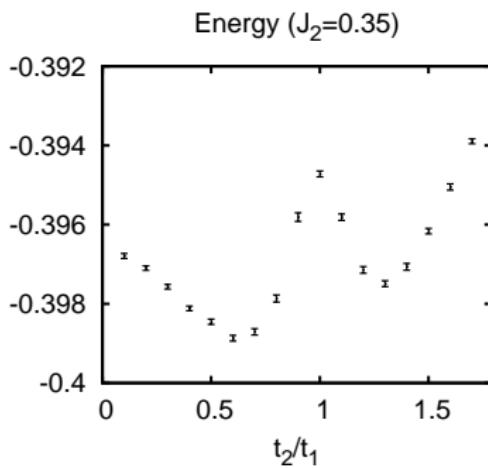
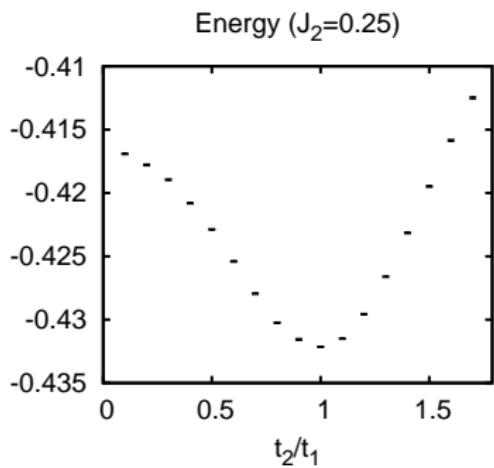


## Comparison with VBS plaquette states

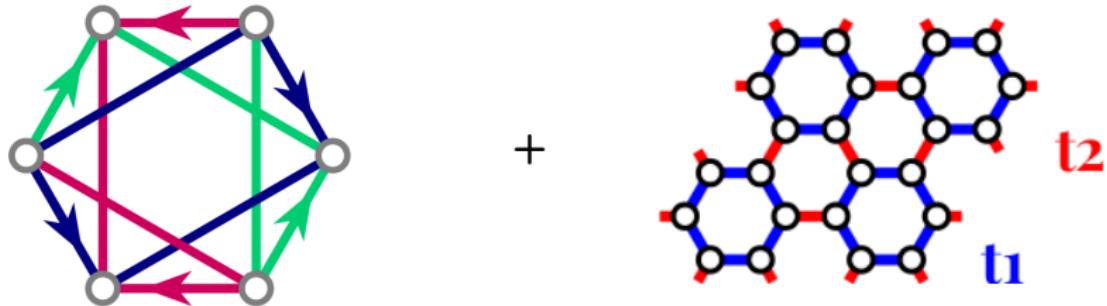
- Is it a valence-bond solid (VBS), with plaquette order?



- On the  $4 \times 4 \times 6$  cluster, the energy landscape:



# Is the gapless SL stable against VBS order?



- On the  $10 \times 10 \times 6$  cluster:

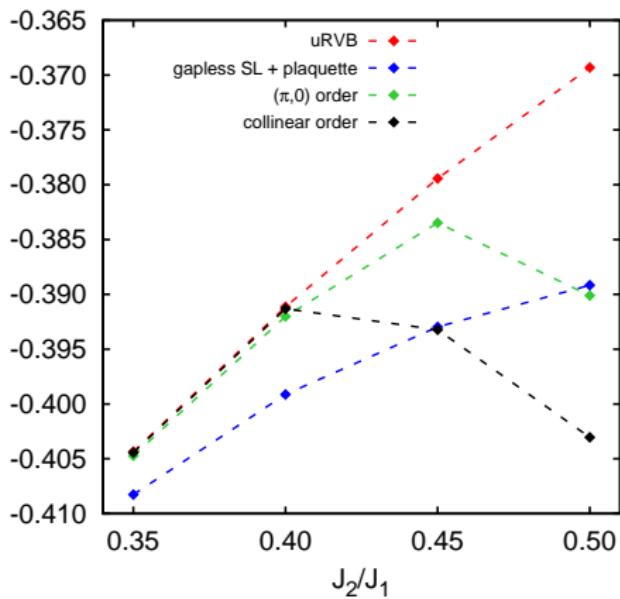
For  $J_2/J_1 = 0.30$        $E_{\text{SL}} = -0.41907(1) \rightarrow E_{\text{SL+VBS}} = -0.41950(1)$

For  $J_2/J_1 = 0.35$        $E_{\text{SL}} = -0.40482(1) \rightarrow E_{\text{SL+VBS}} = -0.40675(2)$

- No sign of dimer order is found (at most anti-plaquette)

The collinear state becomes energetically favorable for  $J_2/J_1 > 0.45$

- On the  $4 \times 4 \times 6$  cluster:



By using Jastrow-Slater variational wave functions

- $\mathbf{Q} = (0, 0)$  up to  $J_2/J_1 \approx 0.25$   
(in agreement with DMRG results!)
- The SPS gapped SL is a local minimum  
but other states compete with it in the highly-frustrated regime
- A 6-site plaquette state is stabilized up to  $J_2/J_1 \approx 0.45$   
(in agreement with DMRG results!)
- A collinear AF state with  $\mathbf{Q} = (0, \frac{2\pi}{\sqrt{3}})$  is found for  $0.45 < J_2/J_1 < 0.55$
- Chiral phases (with  $J_3$ ?)
- Deconfined criticality?  
(yesterday:  $\eta_s \approx 0.75$  and  $\nu_s \approx 0.67$ ???)