

# Optical nonlinear processes in semiconductors: an ab-initio description

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# Outline

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1. Introduction: definition and general features

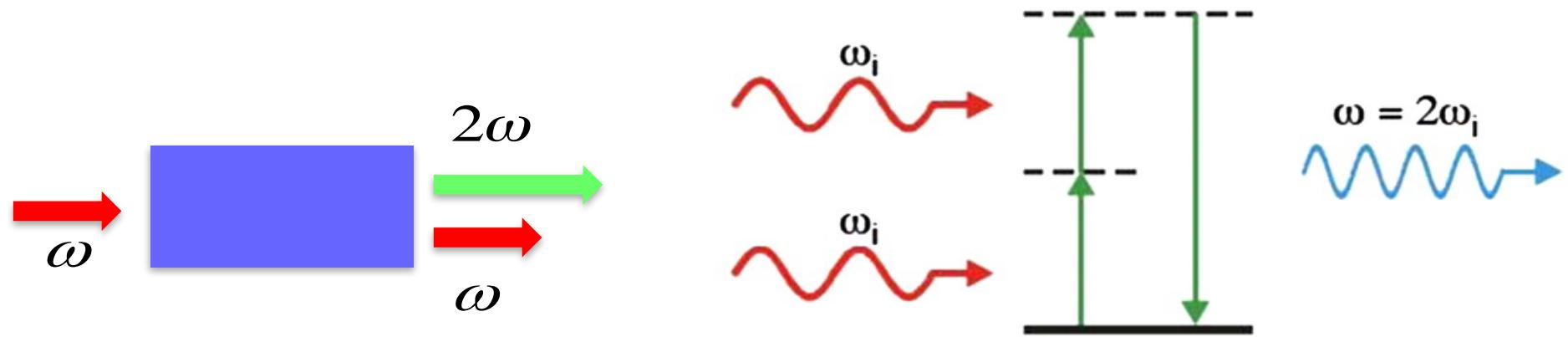
2. SHG in condensed matter

- Screening, Excitons and Local fields
- GaAs

3. Nonlinear processes with static fields

- Theoretical description
- Electronic and ionic part
- EFISH

# Second harmonic generation



Amplitude

$$\chi^{(3)} E^3 \ll \text{First nonlinear term} \ll \chi^{(1)} E$$

A blue circle containing the text "First nonlinear term".

but...

Symmetry

Centro-symmetric materials

$$\chi^{(2)} = 0$$

in the dipole approximation  
(Long wavelength limit)

# Interest for Second Harmonic Generation: in condensed matter

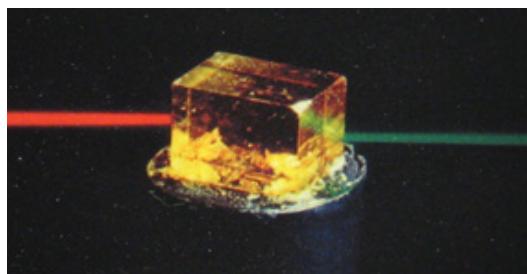
- Probe for materials :

Sensitivity to local symmetries and selection rules  
for electronic transitions in  $\chi^{(2)}$   
gives access to states with different symmetries,  
compared to linear optics

⇒ { Surfaces  
Thin films  
Interfaces  
Nanowires

- Development and characterisation of new materials

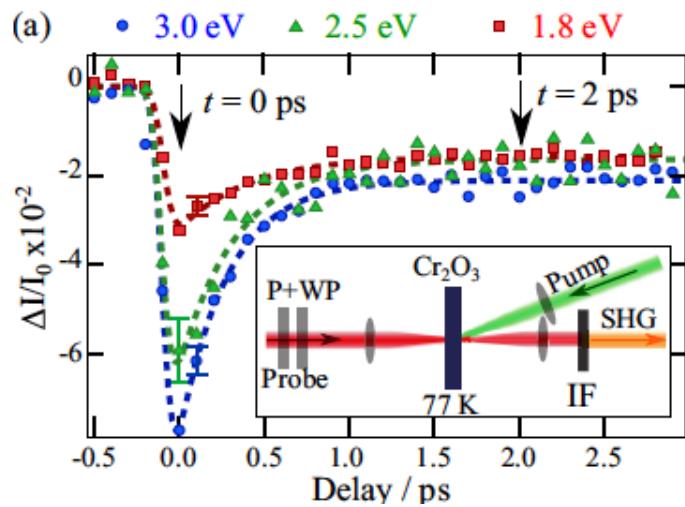
New optical devices



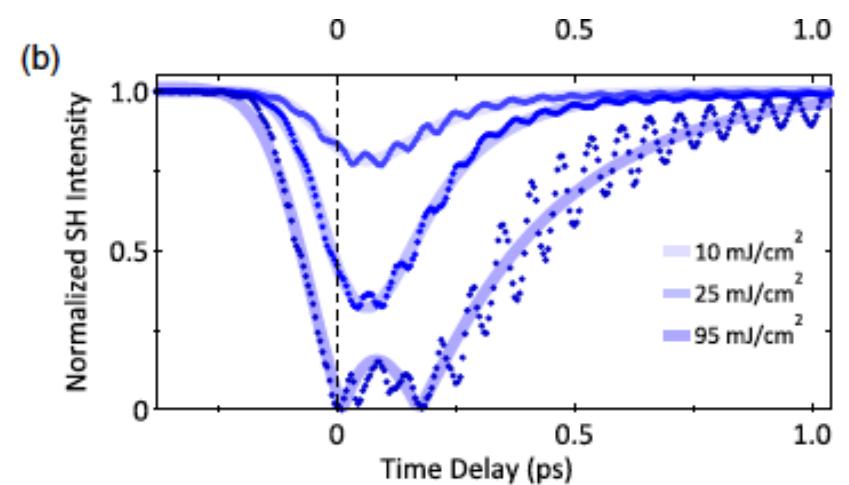
# Interest for Second Harmonic Generation: in ultrafast processes

- Ultrafast probe for materials : time-resolved SHG

Ultrafast Demagnetization in  $\text{Cr}_2\text{O}_3$



Ultrafast Reversal of the  
Ferroelectric Polarization in  $\text{LiNbO}_3$



V. G. Sala *et al*, Phys. Rev. B **94** 014430 (2016)

R. Mankowsky *et al*,  
Phys. Rev. Lett. **118** 197601 (2017)

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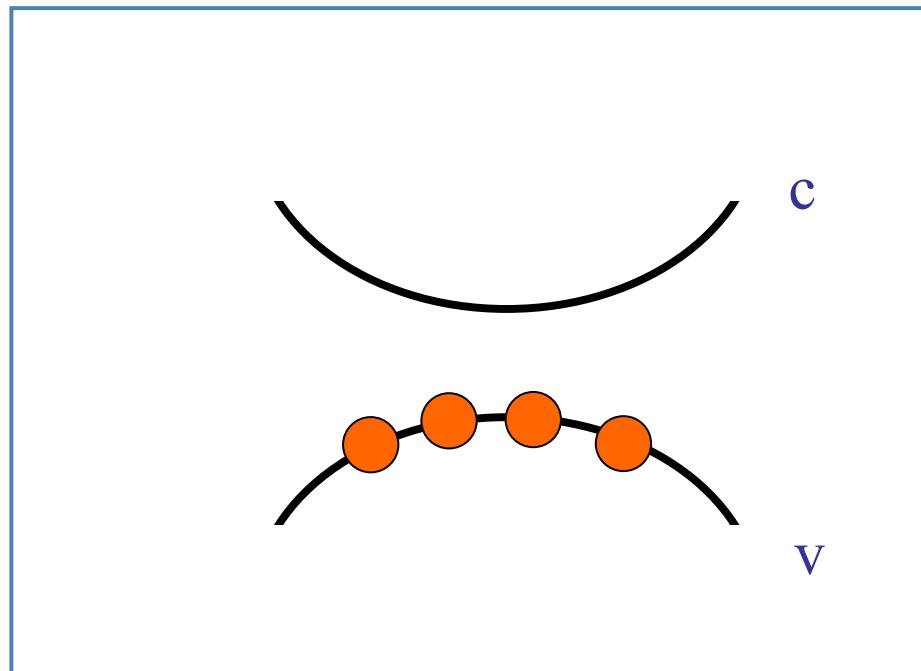
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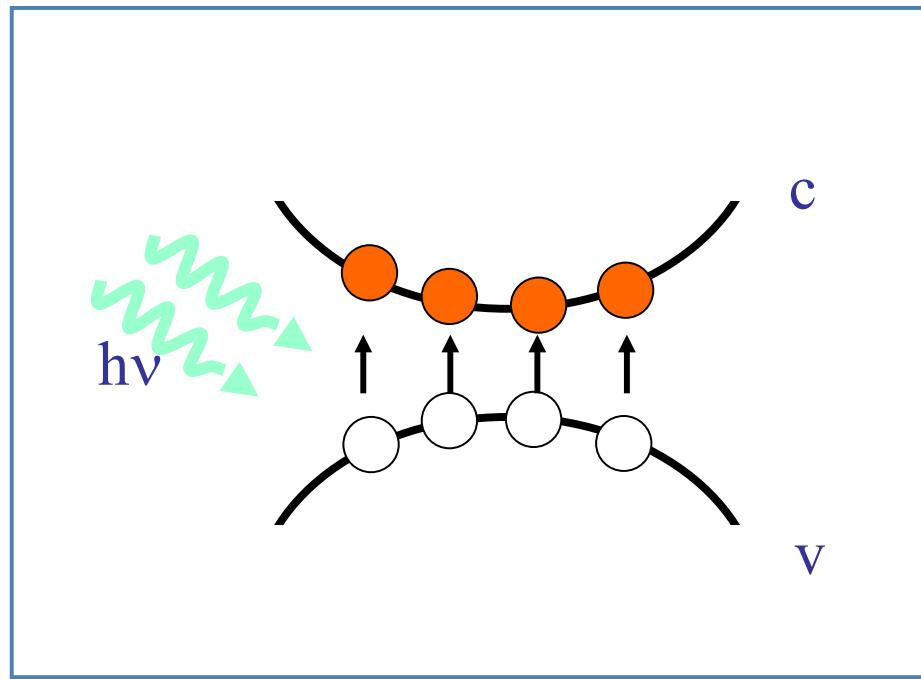
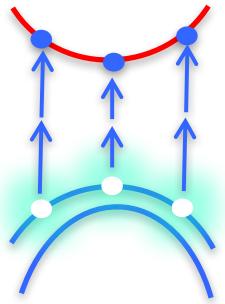
3. Nonlinear processes with static fields

- Theoretical description
- Electronic and ionic part
- EFISH

# Band theory



# Band theory



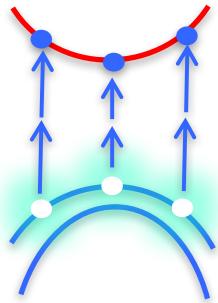
Independent particle approximation:

*All the electrons make independent transitions*

(IPA)

Fermi golden rule

# Independent Particle Approximation



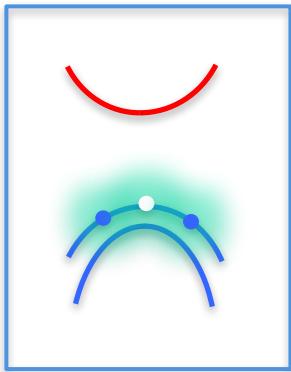
Second-order response : in “real life”

Independent Particle Approximation

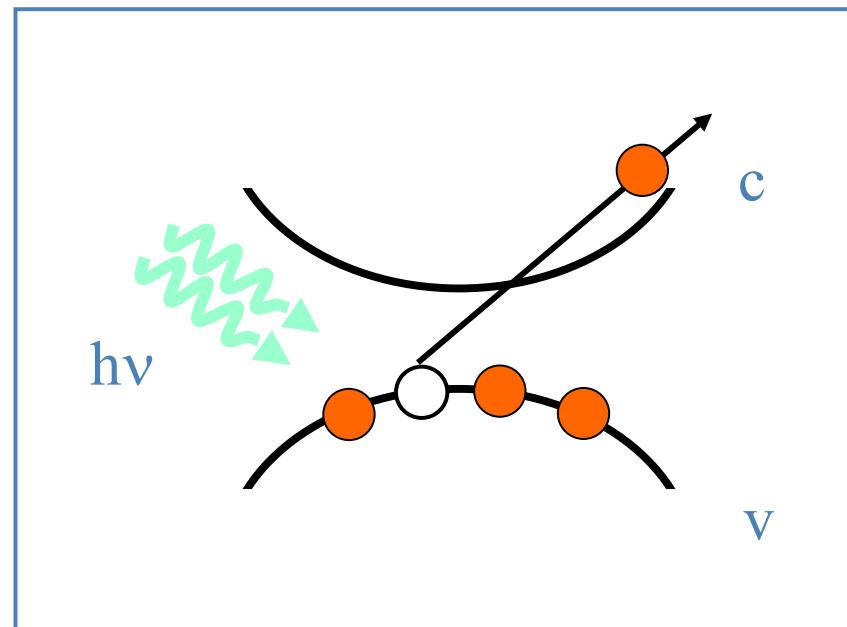
$$\begin{aligned}\chi_{abc}^{(2)}(-2\omega, \omega, \omega) = & \frac{-ie^3}{\hbar^2 m^3 \omega^3 V} \sum_{nml} \int d\vec{k} \frac{1}{E_m - E_n - 2\omega - 2i\eta} \\ & \times \left[ f_{nl}(\vec{k}) \frac{p_{nm}^a(\vec{k}) \left\{ p_{ml}^b(\vec{k}) p_{ln}^c(\vec{k}) \right\}}{E_l - E_n - \omega - i\eta} + f_{ml}(\vec{k}) \frac{p_{nm}^a(\vec{k}) \left\{ p_{ml}^b(\vec{k}) p_{ln}^c(\vec{k}) \right\}}{E_m - E_l - \omega - i\eta} \right]\end{aligned}$$

Dipole approximation (optical processes)

# Screening

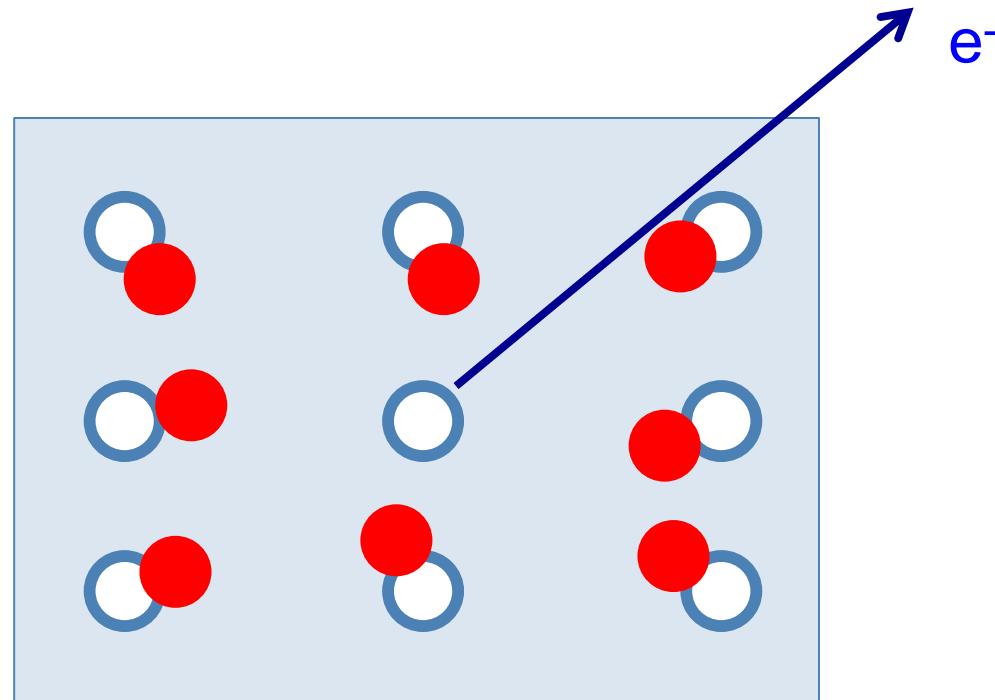
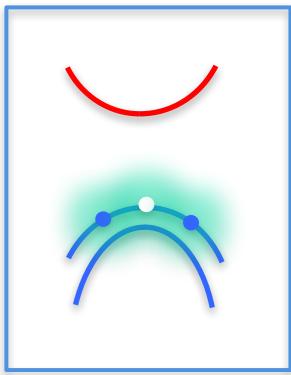


Creation of a hole



*Hole + (N-1) electrons*

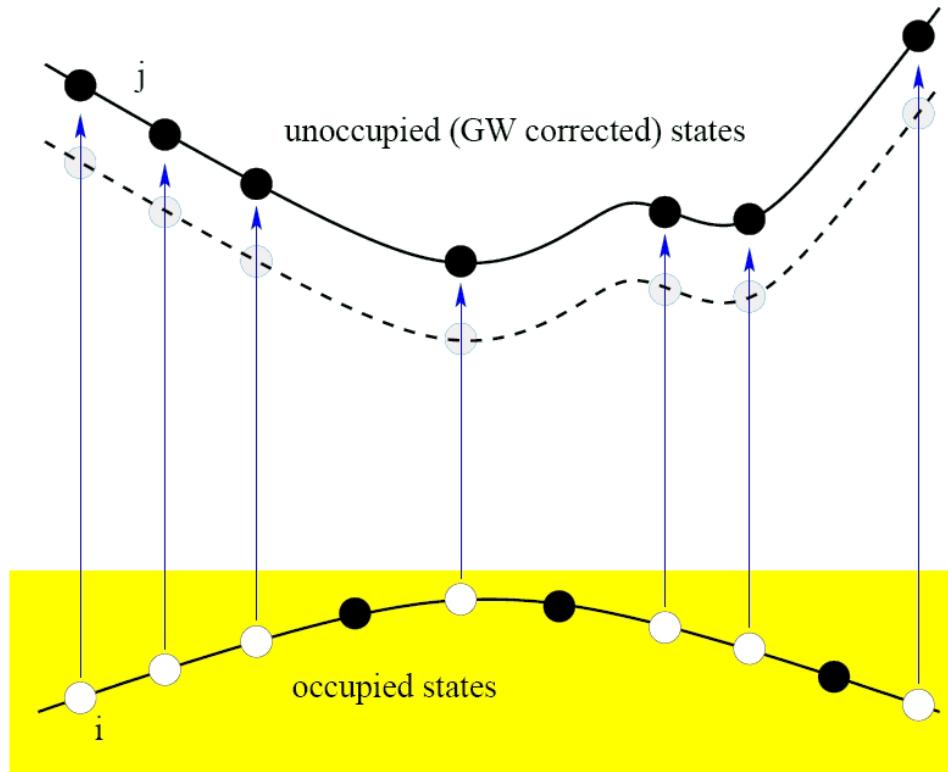
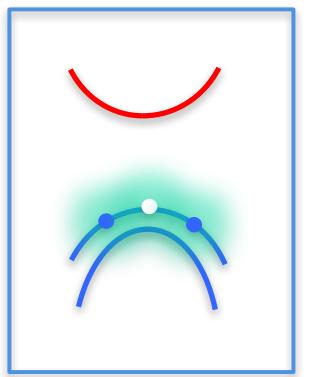
# Screening



*Hole + ( $N-1$ ) electrons*

reaction: polarisation, screening

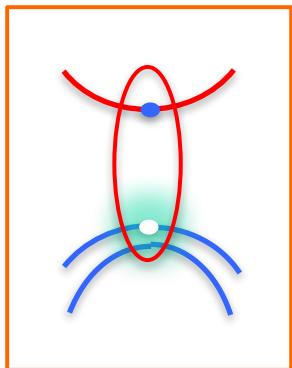
# Back to band structure



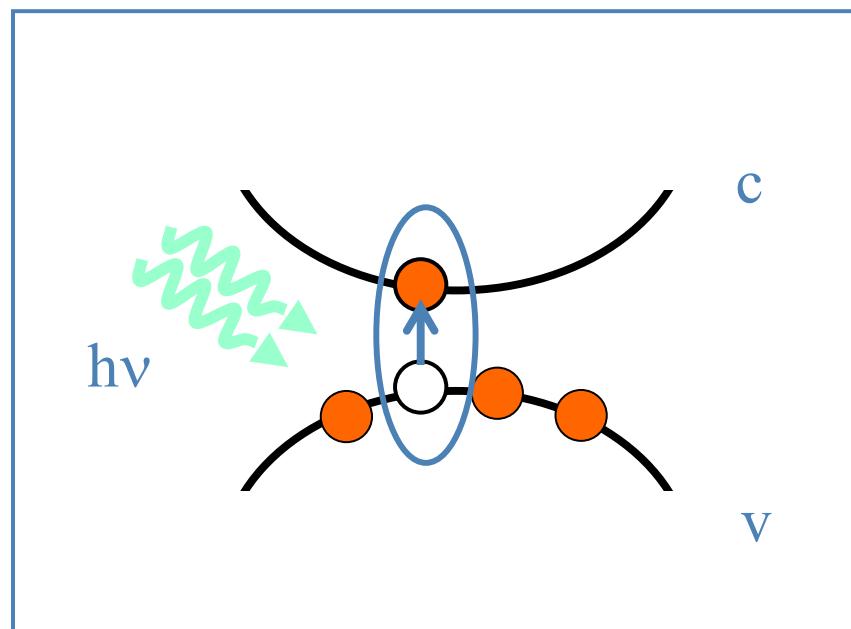
⇒Opening of the gap

GW or Scissor operator

# Excitonic effects



Electron-hole  
interaction



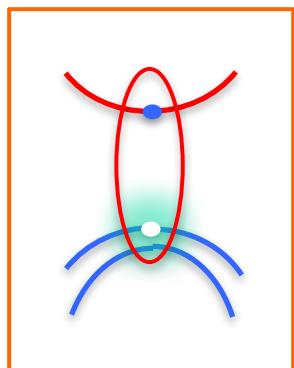
Bethe Salpeter Equation  
(2-particles equation)

or

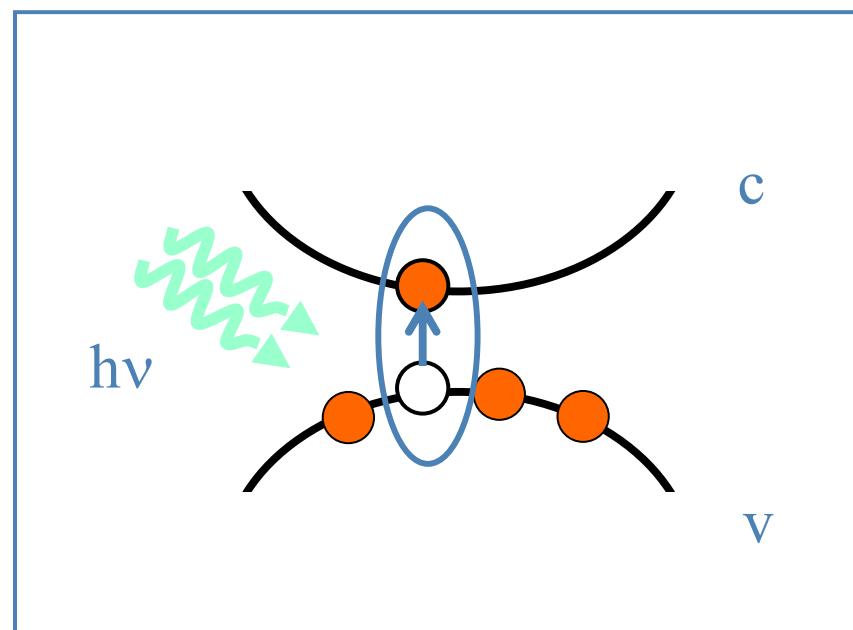
Time-Dependent  
Density-Functional  
Theory  
(TDDFT)

1-particle picture → 2-particle picture

# Excitonic effects



Electron-hole  
interaction



*Time-Dependent  
Density-Functional  
Theory  
(TDDFT)*

1-particle picture → 2-particle picture

# Towards the macroscopic polarization

## External and total fields

$$\mathbf{E}_{\text{ext}}(\omega) \longleftrightarrow \mathbf{E}_{\text{tot}}(\omega)$$

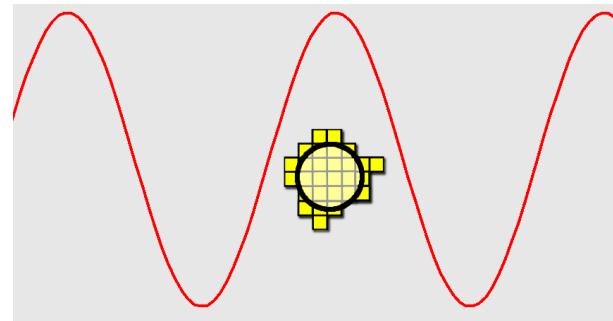
induced fields → large and irregular fluctuations over the atomic scale

$$\mathbf{P}_M = \chi^{(2)} \mathbf{E}_{\text{tot}} \mathbf{E}_{\text{tot}}$$

## Macroscopic average

Average over distances :

- ✓ large compared to the cell diameter
- ✓ small compared to the wavelength of the external perturbation



The difference between the microscopic fields and the averaged (macroscopic) fields is called **local fields**.

# TDDFT and response functions

Macroscopic susceptibility

Zinc-blende symmetry

$$\chi_{xyz}^{(2)}(2\mathbf{q}, 2\omega) = \frac{-i}{12q_x q_y q_z} [\epsilon_M(2\mathbf{q}, 2\omega)] [\epsilon_M(\mathbf{q}, \omega)]^2 \chi^{(2)}(2\mathbf{q}, \mathbf{q}, \mathbf{q}, \omega, \omega)$$

$\epsilon_M$  : macroscopic dielectric function

$\chi^{(2)}$  : second order response function

# TDDFT and response functions

Response functions

Dyson equation

$$\chi^{(1)} = \chi_0^{(1)} + \chi_0^{(1)}(v + f_{xc})\chi^{(1)}$$

1st order

Non-interacting  
response function  
(Kohn-Sham)

Coulomb potential

Exchange-correlation kernel

$$f_{xc}(\mathbf{r}, t, \mathbf{r}', t') = \frac{\delta V_{xc}(\mathbf{r}, t)}{\delta \rho(\mathbf{r}', t')}$$

# TDDFT and response functions

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Response functions

Dyson equation  
in momentum space

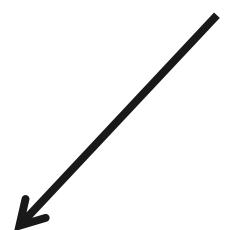
$$\chi^{(1)}(\mathbf{q} + \mathbf{G}, \mathbf{q} + \mathbf{G}', \omega) = \chi_0^{(1)}(\mathbf{q} + \mathbf{G}, \mathbf{q} + \mathbf{G}', \omega) + \sum_{\mathbf{G}'' \mathbf{G}'''} \chi_0^{(1)}(\mathbf{q} + \mathbf{G}, \mathbf{q} + \mathbf{G}'', \omega) [v(\mathbf{q} + \mathbf{G}'', \mathbf{q} + \mathbf{G}''') + f_{xc}(\mathbf{q} + \mathbf{G}'', \mathbf{q} + \mathbf{G}''', \omega)] \chi^{(1)}(\mathbf{q} + \mathbf{G}''', \mathbf{q} + \mathbf{G}', \omega)$$

# TDDFT and response functions

Response functions

$$\left[1 - \chi_0^{(1)}(v + f_{xc})\right] \chi^{(2)} = \chi_0^{(2)} \left[1 + (v + f_{xc})\chi^{(1)}\right]^2 + \chi_0^{(1)} g_{xc} \chi^{(1)} \chi^{(1)}$$

2nd order



Coulomb potential



Non-interacting  
response function

 **2light**

See for instance: : E. K. U. Gross et al,  
in Density Functional Theory II,  
Topics in Current Chemistry, Vol 181, p81 (1996).

Dyson equation

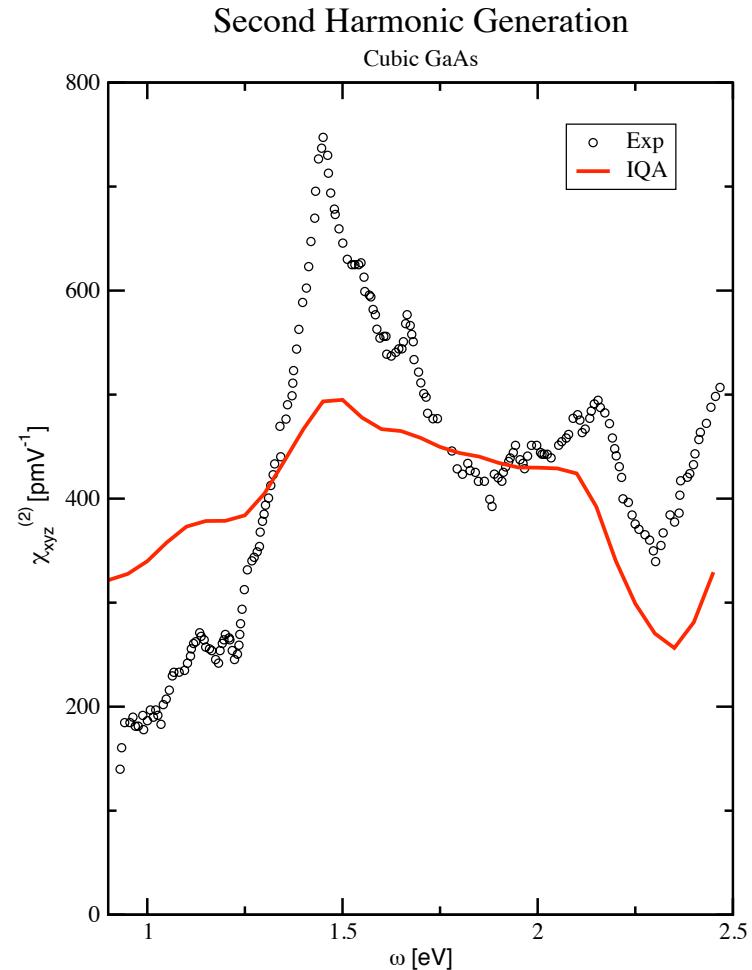


Exchange-correlation kernel

$$f_{xc}(\mathbf{r}, t, \mathbf{r}', t') = \frac{\delta V_{xc}(\mathbf{r}, t)}{\delta \rho(\mathbf{r}', t')}$$

$$g_{xc}(\mathbf{r}, t, \mathbf{r}', t', \mathbf{r}'', t'') = \frac{\delta^2 V_{xc}(\mathbf{r}, t)}{\delta \rho(\mathbf{r}', t') \delta \rho(\mathbf{r}'', t'')}$$

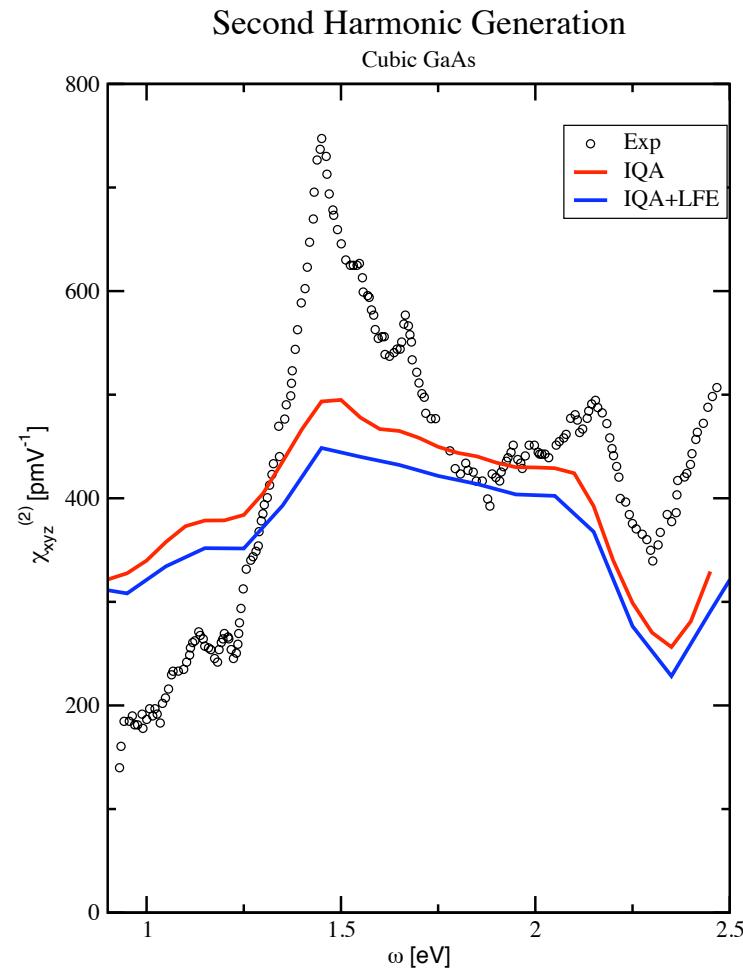
# $\chi^{(2)}$ for GaAs



Screening (scissor)

Exp: S. Bergfeld and W. Daum, PRL (2003)

# $\chi^{(2)}$ for GaAs

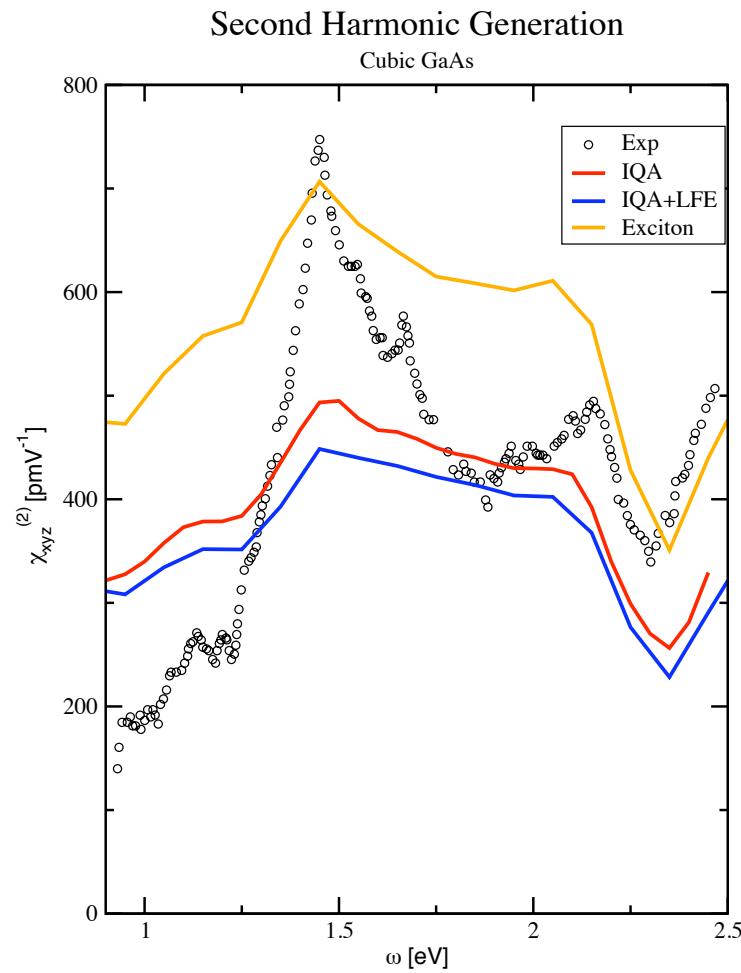


Screening (scissor)

Screening and local fields

Exp: S. Bergfeld and W. Daum, PRL (2003)

# $\chi^{(2)}$ for GaAs



Full calculation

Screening (scissor)

Screening and local fields

Exciton (Long range static kernel)

$$f_{xc} = \frac{\alpha}{q^2}$$

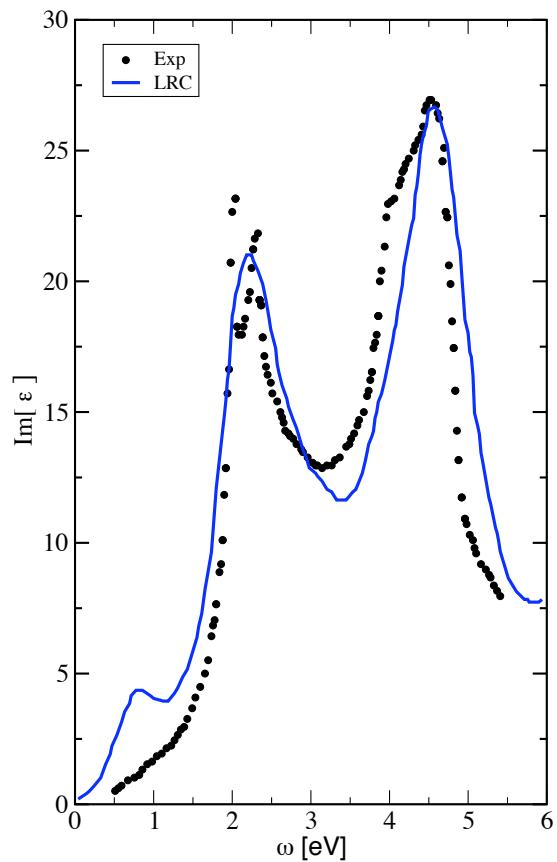
Exp: S. Bergfeld and W. Daum, PRL (2003)

# $\varepsilon_M$ for GaAs

$$\chi_{xyz}^{(2)}(2\mathbf{q}, 2\omega) = \frac{-i}{12q_x q_y q_z} [\epsilon_M(2\mathbf{q}, 2\omega)] [\epsilon_M(\mathbf{q}, \omega)]^2 \chi^{(2)}(2\mathbf{q}, \mathbf{q}, \mathbf{q}, \omega, \omega)$$

# $\epsilon_M$ for GaAs

$$\chi_{xyz}^{(2)}(2\mathbf{q}, 2\omega) = \frac{-i}{12q_x q_y q_z} [\epsilon_M(2\mathbf{q}, 2\omega)] [\epsilon_M(\mathbf{q}, \omega)]^2 \chi^{(2)}(2\mathbf{q}, \mathbf{q}, \mathbf{q}, \omega, \omega)$$

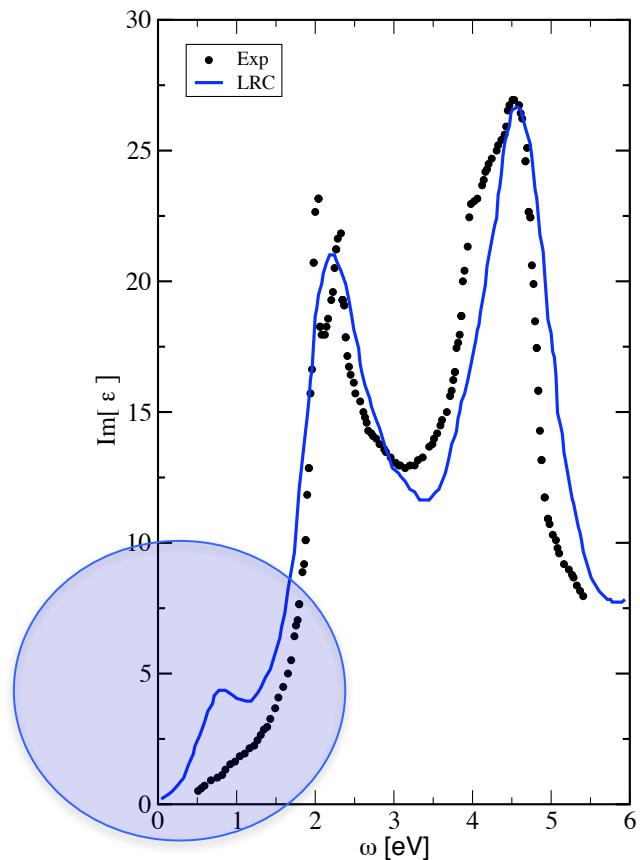


## Linear dielectric function

- TDDFT (Long rang kernel)
- Similar results with BSE

# $\epsilon_M$ for GaAs

$$\chi_{xyz}^{(2)}(2\mathbf{q}, 2\omega) = \frac{-i}{12q_x q_y q_z} [\epsilon_M(2\mathbf{q}, 2\omega)] [\epsilon_M(\mathbf{q}, \omega)]^2 \chi^{(2)}(2\mathbf{q}, \mathbf{q}, \mathbf{q}, \omega, \omega)$$

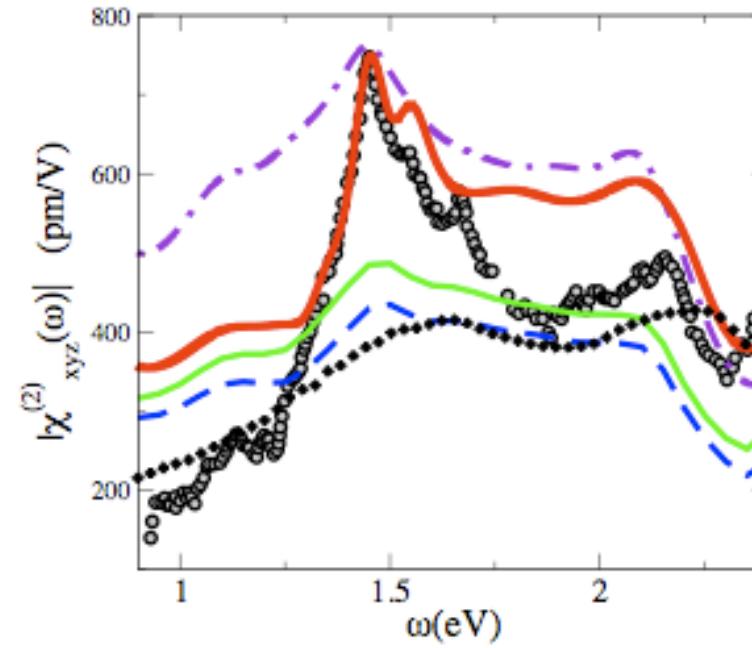
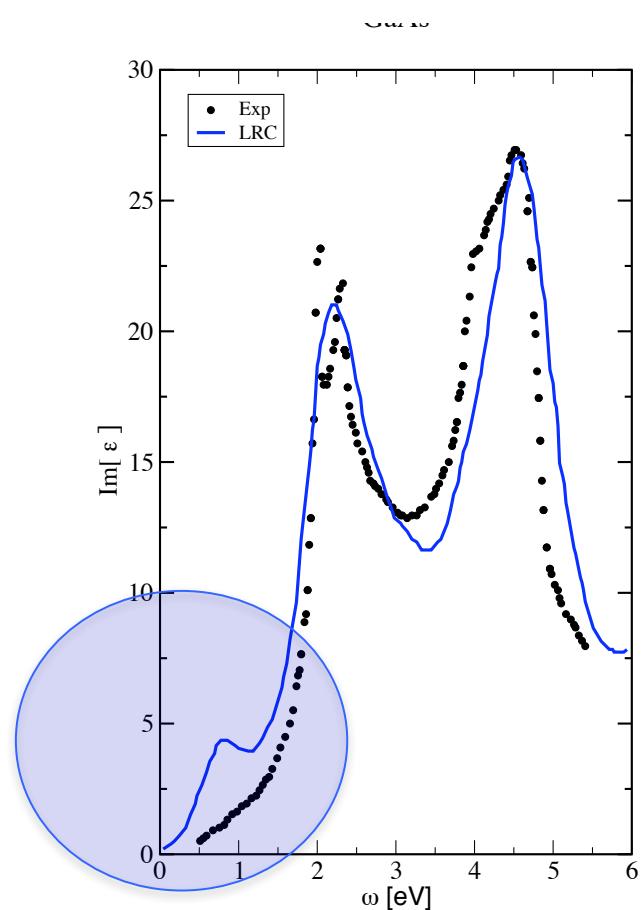


Linear dielectric function

- TDDFT (Long rang kernel)
- Similar results with BSE

In common : static approximation

# $\chi^{(2)}$ and $\epsilon_M$ for GaAs



$\chi^{(2)}$  evaluated with the experimental dielectric functions

Good agreement with the experiment

The kernel should be improved in this region  
(adiabatic approximation)

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# Why static fields?

Investigated charge carrier effects in silicon membranes using a femtosecond laser

WI Ndebeka, PH Neethling, CM Steenkamp, H Stafast, EG Rohwer

Frontiers in Optics, Optical Society of America, 2015



Second harmonic generation at the interface

EFISH : Electric Field Induced  
Second Harmonic

The time dependence of the resulting EFISH signal is a measure of the rate of trap site generation and population, by both electrons and holes being pumped across the interface

$$P_i(2\omega) = \sum_{jkl} \left[ \chi_{ijk}^{(2)}(-2\omega; \omega, \omega) + 3\chi_{ijkl}^{(3)}(-2\omega; \omega, \omega, 0) \mathcal{E}_l \right] E_j(\omega) E_k(\omega)$$

# Why static fields?

$$\chi_{ijk}^{(2)}(-2\omega; \omega, \omega)$$



Non centro-symmetric materials,  
without charge accumulation

$$\chi_{ijk}^{(2)}(-2\omega; \omega, \omega) + 3\chi_{ijkl}^{(3)}(-2\omega; \omega, \omega, 0) \mathcal{E}_l$$

Ex : Charge accumulation

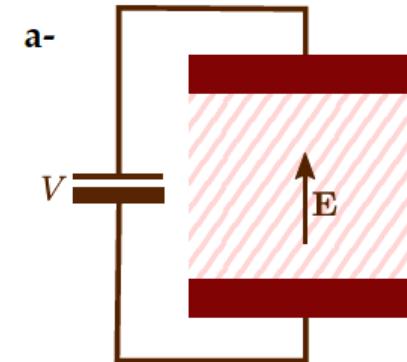
$$\chi_{ijkl}^{(3)}(-2\omega; \omega, \omega, 0) \mathcal{E}_l$$



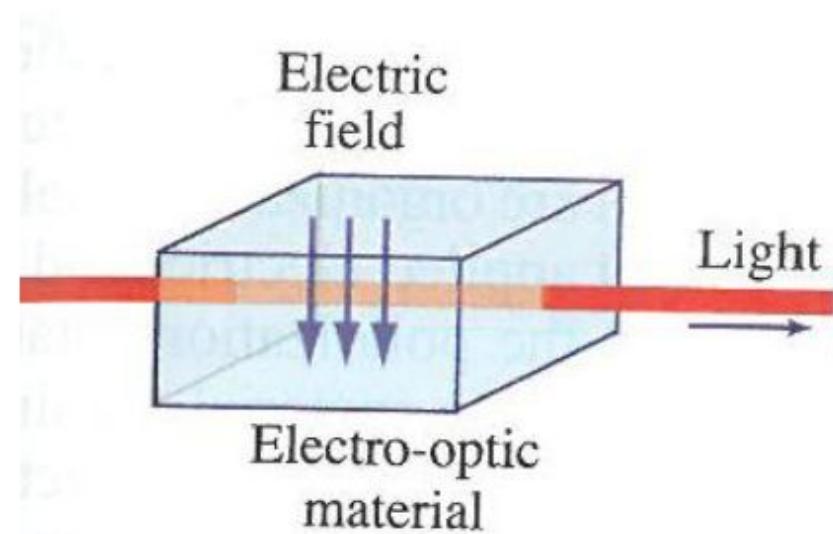
Centro-symmetric materials,  
with an applied static field

# Electro-optic effect : definition

Optical properties of a material can be modified by an applied electric field.



The refractive index changes as a function of the applied field

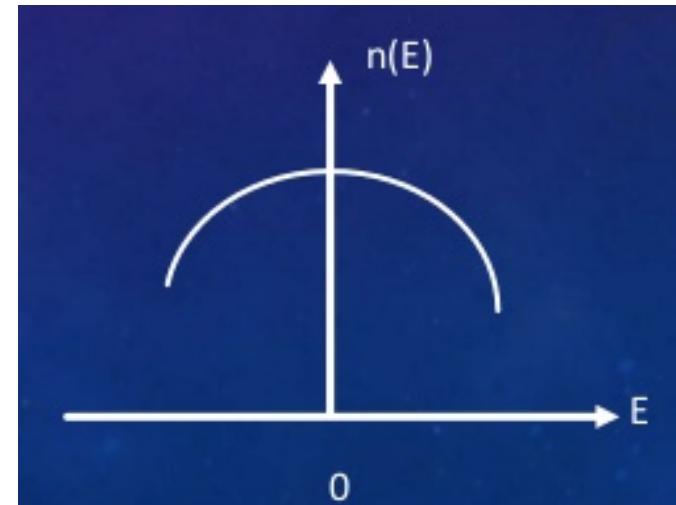


# Electro-optic effect : definition

Linear electro-optic effect  
(Pockels effect, 1893)



Quadratic electro-optic effect  
(DC Kerr effect, 1875)



Discovered well before the laser

Can be described as a nonlinear process inside the material

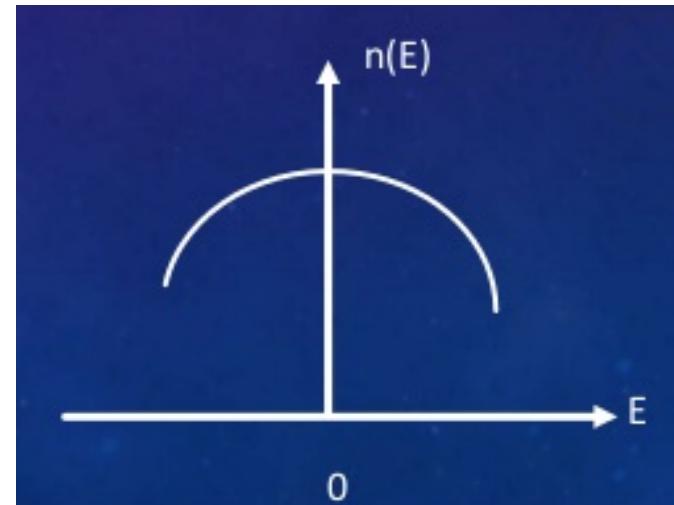
Linear electro-optic effect (LEO) requires non-centrosymmetric materials

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# Potential applications

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- Towards high speed optical modulators : Giant electro-optic effect in Si/SiGe coupled quantum wells
- Strained Si photonic crystal waveguide: data processing in all-silicon components
- Quasiperfect phase matching conditions in homogeneous crystals based on controlled birefringence
- nondestructive and noninvasive probe of surfaces and interfaces in semiconductors

# Theoretical description

Linear electro-optic effect

$$\tilde{\epsilon}_{ij} = \epsilon_{ij} + \sum_k 8\pi \chi_{ijk}^{(2)}(\omega) \mathcal{E}_k$$

Second order susceptibility

$$\chi_{ijk}^{(2)}(\omega) = \lim_{\omega_2 \rightarrow 0} \chi_{ijk}^{(2)}(-\omega - \omega_2; \omega, \omega_2)$$

Perturbation theory

« Dressed » Hamiltonian

$$\tilde{H} = H_0 + \mathcal{E} \cdot \mathbf{r}$$

$\tilde{\epsilon}_{ij}$  obtained from  
the eigenstates of  $\tilde{H}$

Non perturbative in  $\mathcal{E}$   
DC-Kerr effect included

$\mathcal{E}$  : Static field

# Theoretical description

Linear electro-optic effect

$$\tilde{\epsilon}_{ij} = \epsilon_{ij} + \sum_k 8\pi \chi_{ijk}^{(2)}(\omega) \mathcal{E}_k$$

Second order susceptibility

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the eigenstates of  $\tilde{H}$

Non perturbative in  $\mathcal{E}$   
DC-Kerr effect included

$\mathcal{E}$  : Static field

# Theoretical description

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## Previous work:

- Mainly performed for SHG
- $\omega$ -dependent susceptibility: Independent Particle Approximation [1]
- Static susceptibility : based on the Berry phase (ferroelectric oxides) [2,3]

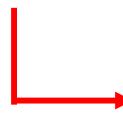
[1] J. L. P. Hughes and J. E. Sipe, Phys. Rev. B 53, 10751 (1996)

[2] M. Veithen, X. Gonze and P. Ghosez, Phys. Rev. B 71, 125107 (2005)

[3] M. Veithen, PhD thesis, Université de Liège, Belgium (2005)

# Theoretical description

$$\mathbf{P}^{(2)}(\omega) = 2 \chi^{(2)}(-\omega; \omega, 0) \mathbf{E}(\omega) \mathcal{E}$$



LEO susceptibility tensor

Modified dielectric tensor

$$\tilde{\varepsilon}_{ij}(\omega) = \varepsilon_{ij}(\omega) + \sum_k 8\pi \chi_{ijk}^{(2)}(-\omega; \omega, 0) \mathcal{E}_k$$

Impermeability tensor

$$\tilde{\eta}_{ij}(\omega) = \eta_{ij}(\omega) + \sum_k r_{ijk}(\omega) \mathcal{E}_k$$

$$\eta = \varepsilon^{-1}$$

Electro-optic coefficient

$$\boxed{\chi_{ijk}^{(2)}(-\omega; \omega, 0) = -\frac{1}{8\pi} n_i^2(\omega) n_j^2(\omega) r_{ijk}(\omega)}$$

# Theoretical description

General expression for  $\chi^{(2)}$        $(\mathbf{q}_1, \omega_1)$      $(\mathbf{q}_2, \omega_2)$

$$\chi_0^{(2)}(\mathbf{q}, \mathbf{q}_1, \mathbf{q}_2, \omega_1, \omega_2) = \frac{2}{V} \sum_{n,m,p} \sum_{\mathbf{k}} \frac{\langle \phi_{n,\mathbf{k}} | e^{-i\mathbf{q}\mathbf{r}} | \phi_{m,\mathbf{k}+\mathbf{q}} \rangle \langle \phi_{m,\mathbf{k}+\mathbf{q}} | e^{i\mathbf{q}_1\mathbf{r}_1} | \phi_{p,\mathbf{k}+\mathbf{q}_2} \rangle \langle \phi_{p,\mathbf{k}+\mathbf{q}_2} | e^{i\mathbf{q}_2\mathbf{r}_2} | \phi_{n,\mathbf{k}} \rangle}{E_{n,\mathbf{k}} - E_{m,\mathbf{k}+\mathbf{q}} + \omega_1 + \omega_2 + 2i\eta} \\ \times \left( \frac{f_{n,\mathbf{k}} - f_{p,\mathbf{k}+\mathbf{q}_2}}{E_{n,\mathbf{k}} - E_{p,\mathbf{k}+\mathbf{q}_2} + \omega_2 + i\eta} + \frac{f_{m,\mathbf{k}+\mathbf{q}} - f_{p,\mathbf{k}+\mathbf{q}_2}}{E_{p,\mathbf{k}+\mathbf{q}_2} - E_{m,\mathbf{k}+\mathbf{q}} + \omega_1 + i\eta} \right) + [(\mathbf{q}_1, \omega_1) \leftrightarrow (\mathbf{q}_2, \omega_2)]$$

$\omega_2 \rightarrow 0$        $\mathbf{q}_2 \rightarrow 0$       Special care for the limit: correct ordering

(1)     $\mathbf{q}_2 \rightarrow 0$

(2)     $\omega_2 \rightarrow 0$

Brut force numerical evaluation can be problematic



k.p perturbation theory

# Theoretical description

$$\begin{aligned}
\chi_0^{(2)}(\hat{\mathbf{q}}, \hat{\mathbf{q}}_1, \hat{\mathbf{q}}_2, \omega, 0) = & \frac{1}{V} \sum_{\mathbf{k}} \sum_{n,m,p} \sigma_{n,m,p} \hat{\mathbf{r}}_{nm,\mathbf{k}}(\hat{\mathbf{q}}) \left[ \hat{\mathbf{r}}_{mp,\mathbf{k}}(\hat{\mathbf{q}}_1) \hat{\mathbf{r}}_{pn,\mathbf{k}}(\hat{\mathbf{q}}_2) \left( -\frac{f_{np}}{(E_{nm,\mathbf{k}} + \tilde{\omega}) E_{np,\mathbf{k}}} \right. \right. \\
& - \frac{f_{mp}}{(E_{nm,\mathbf{k}} + \tilde{\omega})(E_{pm,\mathbf{k}} + \tilde{\omega})} + \frac{1}{2} \frac{f_{nm} E_{np,\mathbf{k}}}{(E_{nm,\mathbf{k}} + \tilde{\omega})(E_{nm,\mathbf{k}})^2} - \frac{1}{2} \frac{f_{nm} E_{pm,\mathbf{k}}}{(E_{nm,\mathbf{k}} + \tilde{\omega})^2 E_{nm,\mathbf{k}}} \\
& + \frac{1}{2} \frac{f_{mp} E_{np,\mathbf{k}}}{(E_{pm,\mathbf{k}} + \tilde{\omega})(E_{pm,\mathbf{k}})^2} + \frac{1}{2} \frac{f_{mp} E_{nm,\mathbf{k}}}{(E_{pm,\mathbf{k}} + \tilde{\omega})^2 E_{pm,\mathbf{k}}} + \frac{1}{2} \frac{f_{np} (E_{pm,\mathbf{k}} + E_{nm,\mathbf{k}})}{(E_{np,\mathbf{k}} + \tilde{\omega})(E_{np,\mathbf{k}})^2} \Big) \\
& + \hat{\mathbf{r}}_{pn,\mathbf{k}}(\hat{\mathbf{q}}_1) \hat{\mathbf{r}}_{mp,\mathbf{k}}(\hat{\mathbf{q}}_2) \left( -\frac{f_{np}}{(E_{nm,\mathbf{k}} + \tilde{\omega})(E_{np,\mathbf{k}} + \tilde{\omega})} - \frac{f_{mp}}{(E_{nm,\mathbf{k}} + \tilde{\omega}) E_{pm,\mathbf{k}}} \right. \\
& + \frac{1}{2} \frac{f_{np} E_{pm,\mathbf{k}}}{(E_{np,\mathbf{k}} + \tilde{\omega})(E_{np,\mathbf{k}})^2} - \frac{1}{2} \frac{f_{nm} E_{pm,\mathbf{k}}}{(E_{nm,\mathbf{k}} + \tilde{\omega})(E_{nm,\mathbf{k}})^2} + \frac{1}{2} \frac{f_{nm} E_{np,\mathbf{k}}}{(E_{nm,\mathbf{k}} + \tilde{\omega})^2 E_{nm,\mathbf{k}}} \\
& \left. \left. + \frac{1}{2} \frac{f_{np} E_{nm,\mathbf{k}}}{(E_{np,\mathbf{k}} + \tilde{\omega})^2 E_{np,\mathbf{k}}} + \frac{1}{2} \frac{f_{mp} (E_{np,\mathbf{k}} + E_{nm,\mathbf{k}})}{(E_{pm,\mathbf{k}} + \tilde{\omega})(E_{pm,\mathbf{k}})^2} \right) \right]
\end{aligned}$$

Interband transitions

Intraband transitions

# Theoretical description

Present status of the calculation:

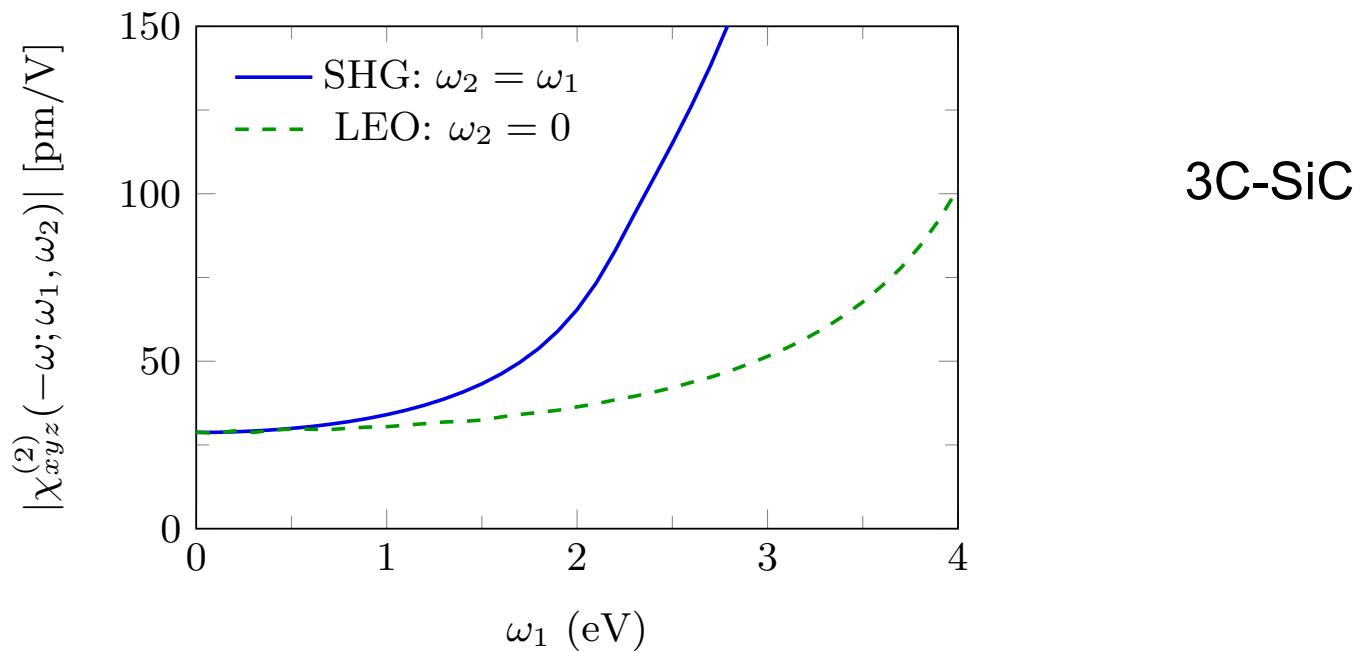
- No local field included (restricted to weakly inhomogeneous materials)
- Screening: Scissor operator ( $\rightarrow$  additional terms)
- Inclusion of the excitonic effect: long-range kernel

Scalar  
Dyson-equation

$$\begin{aligned}\chi^{(2)}(\mathbf{q}, \mathbf{q}_1, \mathbf{q}_2, \omega_1, \omega_2) = & \chi_0^{(2)}(\mathbf{q}, \mathbf{q}_1, \mathbf{q}_2, \omega_1, \omega_2) \left[ 1 + \frac{\alpha}{q_1^2} \chi^{(1)}(\mathbf{q}_1, \omega_1) \right] \left[ 1 + \frac{\alpha}{q_2^2} \chi^{(1)}(\mathbf{q}_2, \omega_2) \right] \\ & + \chi_0^{(1)}(\mathbf{q}, \omega) \frac{\alpha}{q^2} \chi^{(2)}(\mathbf{q}, \mathbf{q}_1, \mathbf{q}_2, \omega_1, \omega_2)\end{aligned}$$

# Preliminary results

## Electro-optic effect vs Second harmonic generation



$$\lim_{\omega \rightarrow 0} \chi_{\text{SHG}}^{(2)}(-2\omega; \omega, \omega) = \lim_{\omega \rightarrow 0} \chi_{\text{LEO}}^{(2)}(-\omega; \omega, 0)$$

# Electronic and ionic contributions

A surprising experimental result !

GaAs

In the transparent  
region

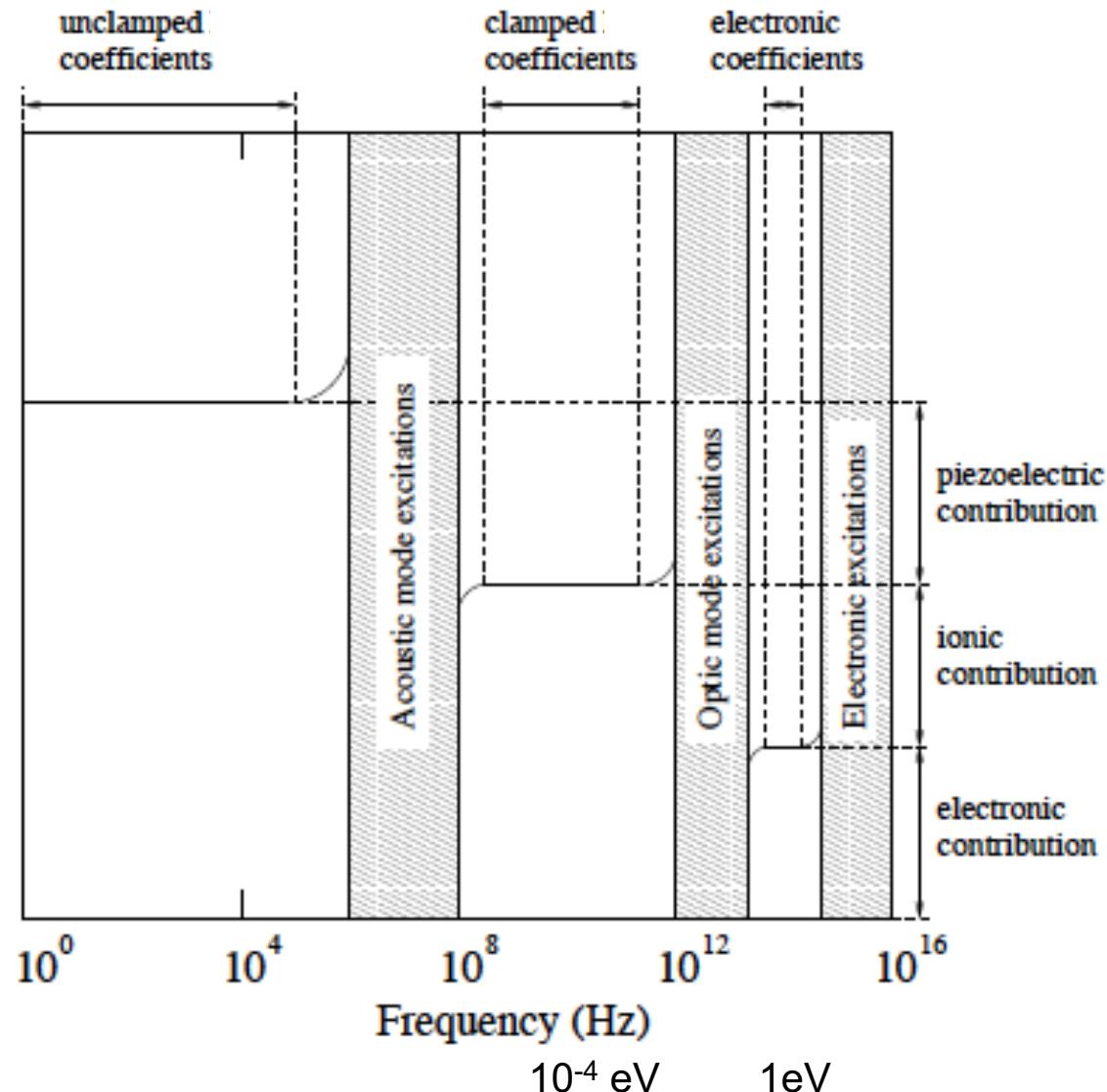
SHG       $|\chi_{xyz}^{(2)}(-2\omega; \omega, \omega)| = 200 \text{ pm/V}$

LEO       $|\chi_{xyz}^{(2)}(-\omega; \omega, 0)| = 100 \text{ pm/V}$

$$\lim_{\omega \rightarrow 0} \chi_{\text{SHG}}^{(2)}(-2\omega; \omega, \omega) = \lim_{\omega \rightarrow 0} \chi_{\text{LEO}}^{(2)}(-\omega; \omega, 0)$$

Expected !!!

# Electronic and ionic contributions



# Electronic and ionic contributions

3 contributions:

- electronic
- ionic
- piezoelectric

Electronic contribution:  $\omega > 1\text{eV}$

direct transition from valence electrons

Ionic contribution:  $\omega < 10^{-3} \text{ eV}$

ionic lattice displacements

Piezzo contribution:

modification of the shape of the unit cell  
due to electric forces

Electronic + ionic → clamped coefficient

Electronic + ionic + piezzo → unclamped coefficient

The relative amplitude of these contributions  
depends on the frequency range and on the material

# Electronic and ionic contributions

A surprising experimental result !

GaAs

In the transparent region

SHG

$$|\chi_{xyz}^{(2)}(-2\omega; \omega, \omega)| = 200 \text{ pm/V}$$

Electronic  
Contribution @1eV

LEO

$$|\chi_{xyz}^{(2)}(-\omega; \omega, 0)| = 100 \text{ pm/V}$$

Electronic+ionic  
Contributions @1eV

Faust-Henry coefficient:

$$C^{FH} = \frac{\chi^{(2)ionic}}{\chi^{(2)electronic}}$$

Extracted from Raman scattering efficiency of TO and LO phonon modes

$$C^{FH} = -0,51$$

Measured at  $\omega=1\text{eV}$

# Electronic and ionic contributions

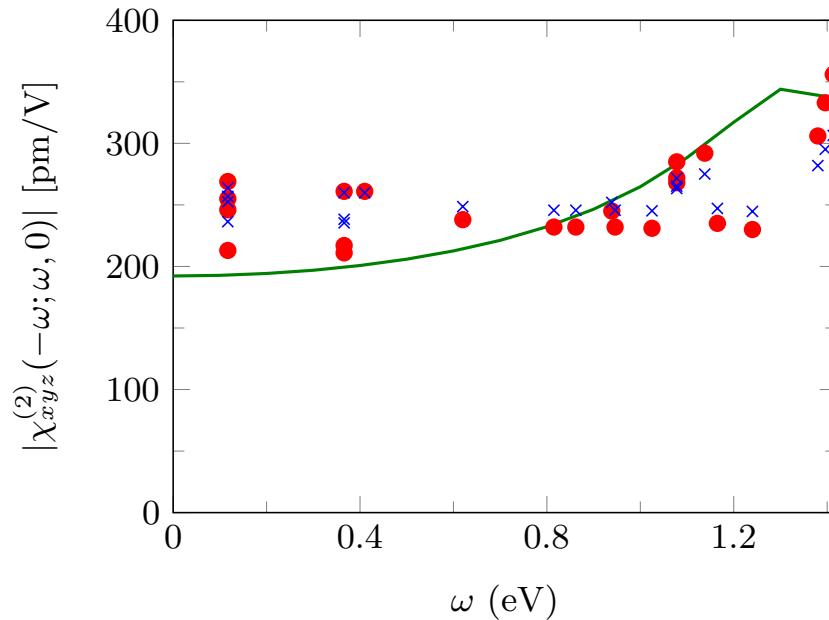
GaAs    Electronic contribution

—    This work

- and X : Extracted from [1]

• : C<sup>FH</sup> constant in [0 , 1.5eV]

x :  $\chi^{(2)\text{ionic}}$  constant [0 , 1.5 eV]



S. Adachi, *GaAs and Related Materials: Bulk Semiconducting and Superlattice Properties* (World Scientific, Teaneck, NJ, 1994).

# Electronic and ionic contributions

Cubic SiC: zinc-blende symmetry

Electro-optic coefficient

$$r_{ijk}(\omega) = 8\pi \frac{\chi_{ijk}^{(2)}(-\omega; \omega, 0)}{n_i^2(\omega) n_j^2(\omega)}$$



Electronic contribution

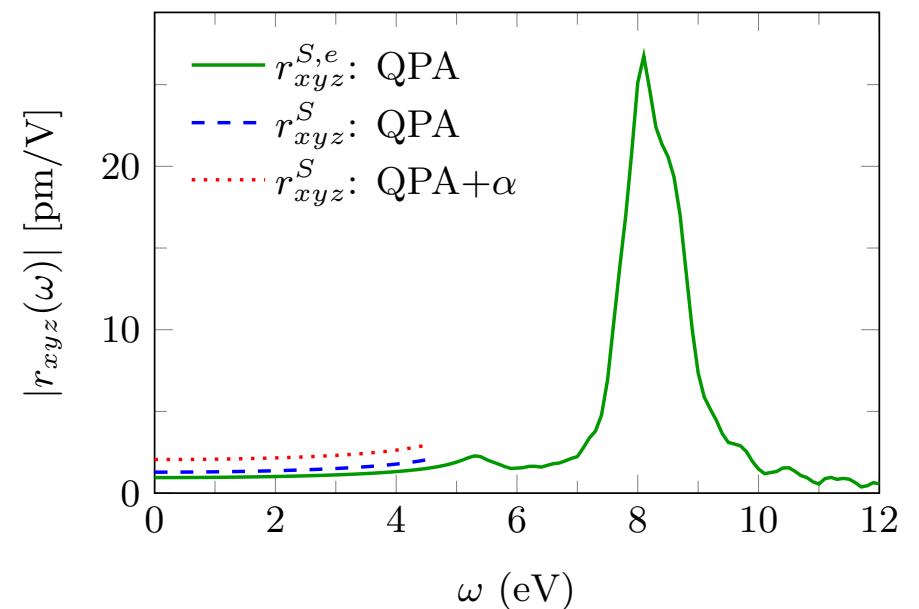


Clamped coefficient (e+i)



Clamped coefficient ( $\alpha$ -kernel)

$C^{FH}=+0.35$



# Electronic and ionic contributions

Cubic SiC: zinc-blende symmetry

Electro-optic coefficient

$$r_{ijk}(\omega) = 8\pi \frac{\chi_{ijk}^{(2)}(-\omega; \omega, 0)}{n_i^2(\omega)n_j^2(\omega)}$$



Electronic contribution



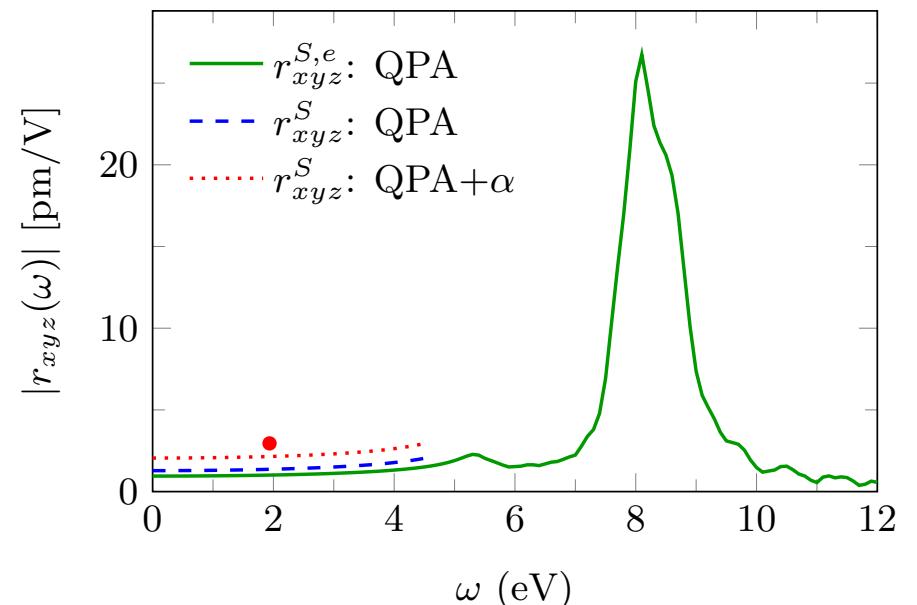
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Clamped coefficient ( $\alpha$ -kernel)

$C^{FH}=+0.35$

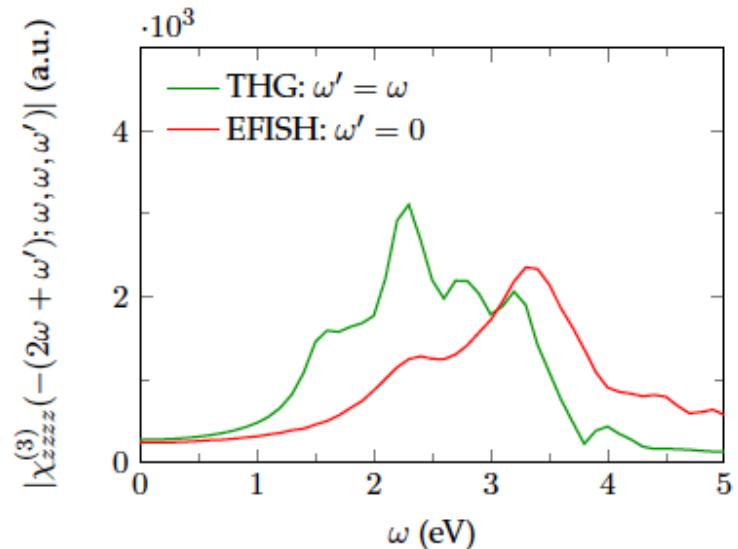
G. L. Harris, *Properties of Silicon Carbide* (IET, Stevenage, 1995).



	$\alpha$ (a.u.)	$\chi_{xyz}^{(2)}$ (pm/V)	$r_{41}^S$ (pm/V)
Expt.			$2.7 \pm 0.5$
QPA	0	24.8	$1.37^{\text{L}}$
QPA + $\alpha_{\text{static}}$	0.3	39.1	$2.15^{\text{L}}$
QPA + $\alpha$	0.5	55.2	$2.40^{\text{L}}$

## Electronic part of the $\chi^{(3)}$

Cubic SiC: zinc-blende symmetry



Importance of the ionic contribution?

Nothing like the Faust-Henry coefficient is available

# What's next?

- \* Electronic contributions for LEO and EFISH: done!
- \* Precise evaluation of the Faust-Henry coefficient for LEO :  $C^{FH} = \frac{\chi^{(2)ionic}}{\chi^{(2)electronic}}$

Experimental values only for simple materials available

Theoretical values for  $\omega=0$  (poor agreement)

Frequency-dependent coefficient required

Material design  
for optical modulators

TeraHertz Generation

$$\chi_{ijk}^{(2)}(-\omega; \omega, 0) = \chi_{kij}^{(2)}(0; -\omega, \omega)$$

- \* Importance of the ionic contribution for EFISH

# Casting

Formalism and GaAs : E. Luppi and H. Hübener (PhD)  
LSI, Ecole Polytechnique

LEO and EFISH : L. Prussel (PhD)  
LSI, Ecole Polytechnique

**Thank you for your attention**