

CONTROLLABILITY OF THE KORTEWEG-DE VRIES EQUATION ON A STAR-SHAPED NETWORK

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Motivation

- The Korteweg-de Vries (KdV) equation:

$$\partial_t y + \partial_x y + \partial_x^3 y + y \partial_x y = 0,$$



Figure: Solitary-type waves.

Motivation

- In last years, a lot of works have studied controllability properties for the Korteweg-de Vries equation.
The control of systems of partial differential equations is interesting because it appears in many physical models and there are very challenging problems.
- Question:

Can you control one system if you have N coupled PDE, what is the minimum number of controls?

Previous Works

The control of the KdV equation in a bounded domain has been studied for different authors:

- (Rosier, 1997)
- (Coron & Crépeau, 2004)
- (Cerpa, 2014).

In last years some authors have proposed models using the KdV equations on a finite star shaped network. For example in (Ammari & Crépeau, 2017) the exact controllability results are proven for $N+1$ controls.

Star-Shaped Network. $N=3$

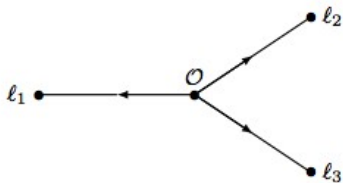


Figure: Star-Shaped Network

Korteweg-de Vries on a Star-Shaped Network

The system is the following:

$$\left\{ \begin{array}{ll} \partial_t u_j + \partial_x u_j + u_j \partial_x u_j + \partial_x^3 u_j = 0, & x \in (0, l_j), t > 0, \\ u_j(t, 0) = u_k(t, 0), & t > 0, j, k = 1, \dots, N, \\ \sum_{j=1}^N \partial_x^2 u_j(t, 0) = -\alpha u_1(t, 0) - \frac{N}{3} (u_1(t, 0))^2 + g(t), & t > 0, j = 1, \dots, N, \\ u_j(t, l_j) = 0, & t > 0, j = 1, \dots, N \\ \partial_x u_j(t, l_j) = g_j(t), & t > 0, j = 1, \dots, N, \\ u_j(0, x) = u_j^0(x), & x \in (0, l_j), j = 1, \dots, N. \end{array} \right.$$

Where $\alpha > N/2$.

Previous Result

(Ammari & Crépeau, 2017). The Korteweg-de Vries equation on a finite star-shaped network is exactly controllable.

Goal

We want to show the controllability without control g at the central node for the system of N Korteweg-de Vries equations.

Problem

For the system of N Korteweg-de Vries equations:

$$\left\{ \begin{array}{ll} \partial_t u_j + \partial_x u_j + u_j \partial_x u_j + \partial_x^3 u_j = 0, & \forall x \in (0, l_j), \forall t > 0, \\ u_j(t, 0) = u_k(t, 0), & \forall t > 0, j, k = 1, \dots, N, \\ \sum_{j=1}^N \partial_x^2 u_j(t, 0) = -\alpha u_1(t, 0) - \frac{N}{3} (u_1(t, 0))^2 & \forall t > 0, j = 1, \dots, N, \\ u_j(t, l_j) = 0 & \forall t > 0, j = 1, \dots, N, \\ \partial_x u_j(t, l_j) = g_j(t), & \forall t > 0, j = 1, \dots, N, \\ u_j(0, x) = u_j^0(x), & \forall x \in (0, l_j), j = 1, \dots, N. \end{array} \right.$$

Where $\alpha > N/2$.

We define

$$\mathbb{L}^2(T) = \prod_{j=1}^N L^2(0, l_j), \quad \mathbb{C}_0^2([0, T]) = \prod_{j=1}^N C_0^2([0, T]),$$

$$H_r^s(0, l_j) = \left\{ v \in H^s(0, l_j), \left(\frac{d}{dx} \right)^{i-1} v(l_j) = 0, 1 \leq i \leq s \right\},$$

$$\mathbb{H}_e^s(T) = \{ \underline{u} = (u_1, \dots, u_N) \in \prod_{j=1}^N H_r^s(0, l_j), u_j(0) = u_k(0), \forall j, k = 1, \dots, N \},$$

and the space

$$\mathbb{B} := C([0, T], L^2(T)) \cap L^2(0, T; \mathbb{H}_e^1(T)).$$

Controllability of the linear system

Observability Inequality

Proposition [H. Brezis. Analyse fonctionnelle. Théorie et applications]

Let E, F be two Banach spaces and $A : E \rightarrow F$ a closed operator with $D(A)$ dense in E . Then, we have:

- $A(E) = F$ if and only if there exists a constant $C > 0$ such that:

$$\|v\|_{F^*} \leq C \|A^*(v)\|_{E^*}$$

for every $v \in D(A^*)$.

Theorem 1. [E. Cerpa, E. Crépeau, C. Moreno.] IMA J. of Math. Control and Inf.

Let $(l_j)_{j=1,\dots,N} \in (0, +\infty)^N$ such that $L = \max_{j=1,\dots,N} l_j$ is sufficiently small. Then exist $a, C > 0$ such that for $a < T$, and $\varphi_T \in L^2(T)$ we have:

$$\|\varphi(T, \cdot)\|_{L^2(T)}^2 \leq C \sum_{j=1}^N \|\partial_x \varphi_j(t, l_j)\|_{L^2(0, T)}^2. \quad (1)$$

For the backward adjoint problem:

$$\left\{ \begin{array}{ll} (\partial_t \varphi_j + \partial_x \varphi_j + \partial_x^3 \varphi_j)(t, x) = 0, & \forall x \in (0, l_j), \forall t > 0 \\ \varphi_j(t, 0) = \varphi_k(t, 0), & \forall t > 0, j, k = 1, \dots, N \\ \sum_{j=1}^N \partial_x^2 \varphi_j(t, 0) = (\alpha - N) \varphi_1(t, 0), & \forall t > 0, j = 1, \dots, N \\ \varphi_j(t, l_j) = 0, & \forall t > 0, j = 1, \dots, N \\ \partial_x \varphi_j(t, l_j) = 0, & \forall t > 0, j = 1, \dots, N \\ \varphi_j(T, x) = \varphi_j^T(x), & \forall x \in (0, l_j), j = 1, \dots, N. \end{array} \right.$$

Proof steps.

Multiplying the linear adjoint system by $q_j \varphi_j$ and integrating by parts in $[s, T] \times [0, l_j]$.

- 1 $q_j(t, x) = t, \quad s = 0,$
- 2 $q_j(t, x) = 1,$
- 3 $q_j(t, x) = \frac{(2l_j - x)(l_j - x)}{2l_j^2}, \quad s = 0.$

For $L = \max l_j$ and $l = \min l_j$ and the Poincaré inequality we deduce:

$$M \|\varphi(T, x)\|_{L^2(T)}^2 \leq T \sum_{j=1}^N \|\partial_x \varphi_j(t, l)\|_{L^2(0, T)}^2,$$

where

$$M = \left\{ T - C^2 \left(\frac{2L}{3} + \frac{LT}{l} \right) - \frac{T(1 + \frac{3T}{2l})(2\alpha - N)}{(2\alpha - N) + \sum_{j=1}^N \frac{1}{l_j^2}} \right\}. \quad (2)$$

We want to study for $C = L/\pi$ the sign of discriminant given by

$$\Delta = \left(1 - \frac{L^3}{l\pi^2} - \frac{2\alpha - N}{(2\alpha - N) + \sum_{j=1}^N \frac{1}{l_j^2}}\right)^2 - 4 \frac{\frac{3}{2l}(2\alpha - N)}{(2\alpha - N) + \sum_{j=1}^N \frac{1}{l_j^2}} \left(\frac{2L^3}{3\pi^2}\right).$$

The discriminant is positive if and only if:

$$\left(1 - \frac{L^3}{l\pi^2} - \frac{2\alpha - N}{(2\alpha - N) + \sum_{j=1}^N \frac{1}{l_j^2}}\right)^2 > 4 \frac{(2\alpha - N)}{(2\alpha - N) + \sum_{j=1}^N \frac{1}{l_j^2}} \left(\frac{L^3}{l\pi^2}\right),$$

this is true for L sufficiently small. Therefore, for $a < T < b$ we have the controllability of the system.

Controllability Nonlinear System

We also study the local exact controllability for the nonlinear system:

$$\left\{ \begin{array}{ll} (\partial_t u_j + \partial_x u_j + u_j \partial_x u_j + \partial_x^3 u_j)(t, x) = 0, & \forall x \in (0, l_j), \forall t > 0, \\ u_j(t, 0) = u_k(t, 0), & \forall t > 0, j, k = 1, \dots, N \\ \sum_{j=1}^N \partial_x^2 u(t, 0) = -\alpha u_1(t, 0) - \frac{N}{3} (u_1(t, 0))^2, & \forall t > 0, j = 1, \dots, N \\ u(t, l_j) = 0, & \forall t > 0, j = 1, \dots, N \\ \partial_x u(t, l_j) = g_j(t), & \forall t > 0, j = 1, \dots, N \\ u_j(0, x) = u_j^0(x), & \forall x \in (0, l_j), j = 1, \dots, N. \end{array} \right. \quad (3)$$

Theorem 2. [E. Cerpa, E. Crépeau, C. Moreno.] IMA J. of Math. Control and Inf. Accepted.

Let $(l_j)_{j=1,\dots,N} \in (0, +\infty)^N$ and $\alpha \geq N/2$. There exist $L_0, T_{\min} > 0$ such that if

$$L = \max_{j=1,\dots,N} l_j < L_0 \quad \text{and} \quad T > T_{\min}, \quad (4)$$

then the nonlinear control system (3) is locally exactly controllable. This means that there exists $\varepsilon > 0$ such that for any states $u^0 \in \mathbb{L}^2(T)$ and $u^T \in \mathbb{L}^2(T)$ with $\|u^0\|_{\mathbb{L}^2(T)} < \varepsilon$ and $\|u^T\|_{\mathbb{L}^2(T)} < \varepsilon$ there exists some controls $g = (g_1, \dots, g_N) \in L^2(0, T)^N$ such that the solution $u = (u_1, \dots, u_N) \in \mathbb{B}$ of (3) satisfies

$$u_1(T, \cdot) = u_1^T, \quad u_2(T, \cdot) = u_2^T, \quad \dots, \quad u_N(T, \cdot) = u_N^T.$$

Proof

Let $u^0 \in \mathbb{L}^2(T)$ such that for $\varepsilon > 0$ sufficiently small, $\|u^0\| < \varepsilon$ and $\|u^T\| < \varepsilon$, for the Theorem 1 there exists a unique solution u . We can decompose this solution into $u^1 + u^2 + u^3$, where u^1 is solutions of

$$\left\{ \begin{array}{ll} (\partial_t u_j^1 + \partial_x u_j^1 + \partial_x^3 u_j^1)(t, x) = 0, & \forall x \in (0, l_j), \forall t > 0, \\ u_j^1(t, 0) = u_k^1(t, 0), & \forall t > 0, j, k = 1, \dots, N \\ \sum_{j=1}^N \partial_x^2 u_j^1(t, 0) = -\alpha u_1^1(t, 0), & \forall t > 0, j = 1, \dots, N \\ u_j^1(t, l_j) = 0, & \forall t > 0, j = 1, \dots, N \\ \partial_x u_j^1(t, l_j) = 0, & \forall t > 0, j = 1, \dots, N \\ u_j^1(0, x) = u_j^0(x), & \forall x \in (0, l_j), j = 1, \dots, N. \end{array} \right.$$

u^2 is solution of

$$\left\{ \begin{array}{ll} (\partial_t u_j^2 + \partial_x u_j^2 + \partial_x^3 u_j^2)(t, x) = -u_j \partial_x u_j, & \forall x \in (0, l_j), \forall t > 0, \\ u_j^2(t, 0) = u_k^2(t, 0), & \forall t > 0, j, k = 1, \dots, N \\ \sum_{j=1}^N \partial_x^2 u_j^2(t, 0) = -\alpha u_1^2(t, 0) - \frac{N}{3} (u_1(t, 0))^2, & \forall t > 0, j = 1, \dots, N \\ u_j^2(t, l_j) = 0, & \forall t > 0, j = 1, \dots, N \\ \partial_x u_j^2(t, l_j) = 0, & \forall t > 0, j = 1, \dots, N \\ u_j^2(0, x) = 0, & \forall x \in (0, l_j), j = 1, \dots, N, \end{array} \right.$$

and u^3 is solution of system

$$\left\{ \begin{array}{ll} (\partial_t u_j^3 + \partial_x u_j^3 + \partial_x^3 u_j^3)(t, x) = 0, & \forall x \in (0, l_j), \forall t > 0, \\ u_j^3(t, 0) = u_k^3(t, 0), & \forall t > 0, j, k = 1, \dots, N \\ \sum_{j=1}^N \partial_x^2 u_j^3(t, 0) = -\alpha u_1^3(t, 0), & \forall t > 0, j = 1 \dots N \\ u_j^3(t, l_j) = 0, & \forall t > 0, j = 1, \dots, N \\ \partial_x u_j^3(t, l_j) = g_j(t), & \forall t > 0, j = 1, \dots, N \\ u_j^3(0, x) = 0, & \forall x \in (0, l_j), j = 1, \dots, N. \end{array} \right.$$

Where $g = (g_1, \dots, g_N) \in L^2(0, T)^N$ is a control such that

$$u^3(T, \cdot) = u^T - u^1(T, \cdot) - u^2(T, \cdot).$$

We consider the map:

$$\Pi : u \in \mathbb{B} \rightarrow u^1 + u^2 + u^3 \in \mathbb{B}.$$

We want to find a fixed point $u \in \mathbb{B}$ of the operator Π .

Let $R > 0$ we define

$$B(0, R) = \{u \in L^2(0, T, \mathbb{H}^1(T)) : \|u\|_{L^2(0, T, \mathbb{H}^1(T))} \leq R\}.$$

From previous computation we get

$$\|\Pi(u)\|_{\mathbb{B}} \leq C_1 \|u_0\|_{\mathbb{L}^2(T)} + C_2 \|u(T)\|_{\mathbb{L}^2(0, L)} + C_3 \|u\|_{L^2(0, T; \mathbb{L}^2(T))},$$

with R and ϵ small enough so that $(C_1 + C_2)\epsilon + C^3 R^2 < R$, we have that $\Pi(B(0, R)) \subset B(0, R)$. Furthermore, $\forall u, v \in B(0, R)$:

$$\|\Pi(u) - \Pi(v)\|_{\mathbb{B}} \leq 2C_4 R \|u - v\|_{L^2(0, T, \mathbb{H}^1(T))},$$

and then for R, ϵ small enough, $C_4 R \in (0, 1)$, thus, we obtain that Π is a contraction in $B(0, R) \subset \mathbb{B}$, which ends the proof of Theorem 2.

Other control problems for system

We study the following Hirota-Sutsuma system, with $T > 0$ and $Q = (0, T) \times (0, L)$:

$$\begin{cases} u_t - \frac{1}{4}u_{xxx} = 3uu_x - 6vv_x + 3w_x, & (t, x) \in Q, \\ v_t + \frac{1}{2}v_{xxx} = -3vv_x, & (t, x) \in Q, \\ w_t + \frac{1}{2}w_{xxx} = -3uw_x & (t, x) \in Q. \end{cases} \quad (5)$$

with the initial and boundary conditions:

$$\begin{cases} u(t, 0) = 0, u(t, L) = 0, u_x(t, 0) = h_1(t), & t \in (0, T), \\ v(t, 0) = 0, v(t, L) = 0, v_x(t, L) = h_2(t), & t \in (0, T), \\ w(t, 0) = 0, w(t, L) = 0, w_x(t, L) = h_3(t), & t \in (0, T), \\ u(0, x) = u_0(x), v(0, x) = v_0(x), w(0, x) = w_0(x), & x \in (0, L). \end{cases} \quad (6)$$

Problem 1.

Given $T > 0$, $(u_0, v_0, w_0) \in (L^2(0, L))^3$ and $(u^1, v^1, w^1) \in (L^2(0, L))^3$ does there exist h_i for $i = 1, 2, 3$ in certain spaces such that the corresponding solution (u, v, w) of (5) - (6) satisfies:

$$u(T, x) = u^1(x), \quad v(T, x) = v^1(x), \quad w(T, x) = w^1(x)?$$

Finally, we study the Kuramoto - Sivashinsky (KS) system with $T > 0$, a bounded domain Ω of \mathbb{R} and ω a nonempty open subset Ω . In $(0, T) \times \Omega$ the system is described by the following equation:

$$\begin{cases} u_t + u_{xxxx} + a(x)u_{xxx} + b(x)u_{xx} = v_x + g_1(x)v + f_1(x)u_x + g_2(x)u + \mathbf{1}_\omega h, \\ v_t + v_{xxxx} + c(x)v_{xxx} + d(x)v_{xx} = u_x + g_3(x)u + f_2(x)v_x + g_4(x)v. \end{cases} \quad (7)$$

with the initial and boundary conditions:

$$\begin{cases} u(t, 0) = u_x(t, 0) = 0, & u(t, L) = u_x(t, L) = 0, & t \in (0, T), \\ v(t, 0) = v_x(t, 0) = 0, & v(t, L) = v_x(t, L) = 0, & t \in (0, T), \\ u(0, x) = u_0(x), & v(0, x) = v_0(x). & x \in \Omega, \end{cases} \quad (8)$$

Problem 2. Let $T > 0$, $(u_0, v_0) \in (L^2(0, L))^2$ and $(u^1, v^1) \in (L^2(0, L))^2$ does there exist h in certain spaces such that the corresponding solution (u, v) of (7) - (8) satisfies: $u(T, x) = v(T, x) = (0, 0)$?

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