QCD Taller de Altas Energías - TAE 2019

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Lecture 1: basic concepts

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Quantum Chromodynamics (QCD)

The theory of quarks, gluons and their interactions

It's central to all modern colliders (and QCD is what we are made of)

Outline

- 1. Basic concepts: QCD Lagrangean, the strong coupling and IR divergences in e+e-
- 2. New methods in pQCD: helicity formalism, generalized unitarity, and the collinear limit of QCD
- 3. pQCD at hadron colliders, parton densities and jets

The ingredients of QCD

QCD is a gauge invariant QFT based on the local SU(3) symmetry group (Yang-Mills theory)

• Quarks (and antiquarks): six flavours

• they come in 3 colours

• Gluons: massless gauge bosons

- a bit like photons in QED
- but there are 8 of them, and they are colour charged

• and the strong coupling $\alpha_{\rm S}(\mu) = g_{\rm S}^2(\mu)/(4\pi)$

- that is not so small (strongest force)
- and run fast: at the LHC, in the range 0.08 @ 5 TeV to O(1) at 0.5 GeV







QUANTUM THEORY OF GRAVITATION*

By R. P. Feynman

(Received July 3, 1963)

There is another theory, more well-known to meson physicists, called the Yang-Mills theory, and I take the one with zero mass; it is a special theory that has never been investigated in great detail. It is very analogous to gravitation; instead of the coordinate transformation group being the source of everything, it's the isotopic spin rotation group that's the source of everything. It is a non-linear theory, that's like the gravitation theory, and so forth. At the suggestion of Gell-Mann I looked at the theory of Yang-Mills with zero mass, which has a kind of gauge group and everything the same; and found exactly the same difficulty. And therefore in meson theory it was not strictly unknown difficulty, because it should have been noticed by meson physicists who had been fooling around the Yang-Mills theory. They had not noticed it because they're practical, and the Yang-Mills theory with zero mass obviously does not exist, because a zero mass field would be obvious; it would come out of nuclei right away. So they didn't take the case of zero mass and investigate it carefully.

quark Lagrangean + colour

The quark part of the Lagrangean

$$\mathscr{L}_{q} = \overline{\psi}_{i} \left(\delta_{ij} \left(\imath \partial - m \right) + g_{\mathrm{S}} \mathbf{t}_{ij}^{a} A^{a} \right) \psi_{j} , \qquad \partial = \gamma^{\mu} \partial_{\mu} \qquad A^{a} = \gamma^{\mu} A^{a}_{\mu}$$

where quarks carry three colors $\psi_i = \begin{pmatrix} \psi_1 \\ \psi_2 \\ \psi_2 \end{pmatrix}$

- SU(3) local gauge symmetry: $8(=3^2-1)$ generators $\mathbf{t}_{ij}^1, \dots, \mathbf{t}_{ij}^8$ with $\operatorname{Tr}(\mathbf{t}^a \cdot \mathbf{t}^b) = T_R \,\delta^{ab} \mid T_R = 1/2$ corresponding to the 8 gluons $A_{\mu}^1, \dots, A_{\mu}^8$
- In the fundamental representation: $t^a = \lambda^a/2$ Gell-Mann matrices, traceless and Hermitian

$$\lambda^{1} = \begin{pmatrix} 0 & 1 & 0 \\ 1 & 0 & 0 \\ 0 & 0 & 0 \end{pmatrix} \quad \lambda^{2} = \begin{pmatrix} 0 & -i & 0 \\ i & 0 & 0 \\ 0 & 0 & 0 \end{pmatrix} \quad \lambda^{3} = \begin{pmatrix} 1 & 0 & 0 \\ 0 & -1 & 0 \\ 0 & 0 & 0 \end{pmatrix} \quad \lambda^{4} = \begin{pmatrix} 0 & 0 & 1 \\ 0 & 0 & 0 \\ 1 & 0 & 0 \end{pmatrix}$$
$$\lambda^{5} = \begin{pmatrix} 0 & 0 & -i \\ 0 & 0 & 0 \\ i & 0 & 0 \end{pmatrix} \quad \lambda^{6} = \begin{pmatrix} 0 & 0 & 0 \\ 0 & 0 & 1 \\ 0 & 1 & 0 \end{pmatrix} \quad \lambda^{7} = \begin{pmatrix} 0 & 0 & 0 \\ 0 & 0 & -i \\ 0 & i & 0 \end{pmatrix} \quad \lambda^{8} = \begin{pmatrix} \frac{1}{\sqrt{3}} & 0 & 0 \\ 0 & \frac{1}{\sqrt{3}} & 0 \\ 0 & \frac{1}{\sqrt{3}} & 0 \\ 0 & 0 & \frac{-2}{\sqrt{3}} \end{pmatrix}$$

Gluon Lagrangean

The gluonic part of the Lagrangean

$$\mathscr{L}_g = -\frac{1}{4} F^a_{\mu_1 \mu_2} F^{\mu_1 \mu_2 a} + \mathscr{L}_{\text{gauge fixing}}$$

where the field tensor is

$$F^{a}_{\mu_{1}\mu_{2}} = \partial_{\mu_{1}}A^{a}_{\mu_{2}} - \partial_{\mu_{2}}A^{a}_{\mu_{1}} + \iota g_{S}\left(-\iota f^{aa_{1}a_{2}}\right)A^{a_{1}}_{\mu_{1}}A^{a_{2}}_{\mu_{2}}$$

 $f_{aa_1a_2}$ are the **structure constants** of SU(3): antisymmetric in all indices. Needed for gauge invariance of the Lagrangean

$$[\mathbf{t}^{a_1}, \mathbf{t}^{a_2}] = \iota f_{a_1 a_2 a} \, \mathbf{t}^a$$

Color algebra [Catani, Seymour]

It is useful to introduce a basis in color+spin

$$\mathscr{M}_{N}^{c_{1},\ldots,c_{N};s_{1},\ldots,s_{N}}(p_{1},\ldots,p_{N}) = \left(\langle c_{1},\ldots \mid \otimes \langle s_{1},\ldots \mid \right) \mid 1,\ldots,N \rangle_{N}$$

• Then associate a color-charge to each emission $\mathbf{T}_i \equiv T_i^c | c \rangle$, e.g. emission of one gluon of color c from each parton i

• color conservation $\sum \mathbf{T}_i | 1, ..., N \rangle_N = 0$

for a gluon:
$$T^a_{bc} \equiv -\iota f_{abc}$$

for a quark: $T^a_{\alpha\beta} = t^a_{\alpha\beta}$
for an anti-quark: $T^a_{\alpha\beta} = -t^a_{\beta\alpha}$

• The colour algebra is $\mathbf{T}_i \cdot \mathbf{T}_j = \mathbf{T}_j \cdot \mathbf{T}_i$, $\mathbf{T}_i^2 = C_i$ Casimir operator

Normalisation, Casimir and Fierz

$$\mathbf{T}_g^2 = \sum_{c,d} f^{acd} f^{bcd} = C_A \delta^{ab} \qquad C_A = N_C = 3$$

$$\sum_{a} t_{ij}^{a} t_{kl}^{a} = \frac{1}{2} \left(\delta_{jk} \delta_{il} - \frac{1}{N_C} \delta_{ij} \delta_{kl} \right)$$



Normalisation, Casimir and Fierz

probability of a gluon to emit $q\bar{q}$ < quark to emit gluons < gluon to emit gluons

$$Feynman's$$
incoming quark $u(p)$
incoming antiquark $\bar{v}(p)$ $u(p)$ $u(p)$ outgoing quark $u(p)$
incoming gluon $e_{\mu}^{a}(k)$ $u(p)$ $u(p)$ outgoing antiquark $u(p)$
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Perturbative Quantum Field Theory

• Relies on the idea of order-by-order expansion in the small coupling $\alpha_{\rm S} \ll 1$

$$\alpha_{\rm S} + \alpha_{\rm S}^2 + \alpha_{\rm S}^3 + \dots$$

small smaller

negligible ?





Perturbative Quantum Field Theory

 consider order-by-order all possible quantum fluctuations | encoded through loop Feynman's diagrams, and Feynman diagrams with emission of extra radiation - Relies on the idea of order-by-order expansion in the small coupling $\alpha_{\rm S} \ll 1$

$$\alpha_{\rm S} + \alpha_{\rm S}^2 + \alpha_{\rm S}^3 + \dots$$
small
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negligible ?

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How big is the coupling ?

All the SM couplings (including mass and Yukawa in the \overline{MS} scheme) depend on the energy scale (obey **Renormalization Group Equations RGE**), the QCD coupling and the quark masses run fast

$$\frac{\partial a_{\rm S}(\mu)}{\partial \log \mu^2} = \beta(a_{\rm S}) = -a_{\rm S}^2 \left(b_0 + a_{\rm S} b_1 + a_{\rm S}^2 b_2 + \dots \right) , \qquad a_{\rm S} = \frac{\alpha_{\rm S}}{\pi}$$
$$\frac{\partial \log m_q(\mu)}{\partial \log \mu^2} = \gamma_m(a_{\rm S}) = -a_{\rm S} \left(g_0 + a_{\rm S} g_1 + a_{\rm S}^2 g_2 + \dots \right)$$

with coefficients

$$b_0 = \frac{1}{12} \left(11C_A - 2N_F \right) , \quad b_1 = \frac{1}{24} \left(17C_A^2 - (5C_A + 3C_F)N_F \right) ,$$

$$g_0 = 1 , \qquad g_1 = \frac{1}{16} \left(\frac{202}{3} - \frac{20}{9}N_F \right) .$$

steep function in the number of quark flavors N_F



- Sign $\beta(\alpha_{\rm S}) < 0$: Asymptotic Freedom due to gluon self-interactions First calculated by `t Hooft, published by Gross, Politzer, Wilczek (Nobel Prize 2004)
- At high scales: coupling becomes small, quarks and gluons are almost free, strong interactions are weak
- At low scales: coupling becomes large, quarks and gluons interact strongly, confined into hadrons, perturbation theory fails



The evolution of the strong coupling



Unification of forces



• $\beta(\alpha_{\rm S})$ and $\gamma_m(\alpha_{\rm S})$ are **steep** functions in the number of quark flavors N_F



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$$\begin{aligned} a_{\rm S}^{(N_F)}(\mu_{\rm th}) &= a_{\rm S}^{(N_F-1)}(\mu_{\rm th}) \left[1 + \sum C_k(x) \left(a_{\rm S}^{(N_F-1)}(\mu_{\rm th}) \right)^k \right] \\ m_q^{(N_F)}(\mu_{\rm th}) &= m_q^{(N_F-1)}(\mu_{\rm th}) \left[1 + \sum H_k(x) \left(a_{\rm S}^{(N_F-1)}(\mu_{\rm th}) \right)^k \right] \\ x &= \log(\mu_{\rm th}^2/m_q^2) \\ C_1 &= \frac{x}{6} , \qquad C_2 &= -\frac{11}{72} + \frac{19}{24}x + \frac{x^2}{36} \\ H_1 &= 0 , \qquad H_2 &= -\frac{89}{432} + \frac{5}{36}x - \frac{x^2}{12} \end{aligned}$$

Matching is independent of $\mu_{\rm th}$, up to higher orders

Similar discussions for parton densities

Germán Rodrigo – QCD

Exercises:

- 1. Integrate analytically the one-loop and two-loop RGE for the strong coupling, and one-loop for a quark mass
- 2. Calculate $\alpha_{\rm S}(10~{\rm GeV})$ and $\alpha_{\rm S}(1~{\rm TeV})$ from $\alpha_{\rm S}(m_Z) = 0.1185 \pm 0.0006$
- 3. If $m_b(m_b) = 4.2 \pm 0.1$ GeV, what is $m_b(m_Z)$
- 4. Hint

$$a_{\rm S}(\mu) = \frac{a_{\rm S}(\mu_0)}{1 + b_0 \, a_{\rm S}(\mu_0) \, \log \frac{\mu^2}{\mu_0^2}} = \frac{1}{b_0 \, \log \frac{\mu^2}{\Lambda_{\rm QCD}^2}}$$

Then calculate Λ_{QCD} , the "fundamental" scale of QCD, at which the coupling blows up (NB: it is not unambiguously defined at higher orders)









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soft singularities (IR)

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soft singularities (IR)

collinear singularities (IR)

Ultraviolet singularities (UV)

and **threshold** singularities, integrable but numerically unstable

DIMENSIONAL REGULARISATION (DREG)



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- Qualitative interpretation: A way to give some extra space to the colliding particles
- infinities appear as **poles in the extra dimensions**: $1/\epsilon$ after integration over loop momenta and phase-space



DIMENSIONAL REGULARISATION (DREG)



- Qualitative interpretation: A way to give some extra space to the colliding particles
- infinities appear as **poles in the extra dimensions**: $1/\epsilon$ after integration over loop momenta and phase-space
- different quantum fluctuations should contribute with poles of opposite sign, such that theoretical predictions for physical observables remain finite

Isolating the poles in $\boldsymbol{\epsilon}$

Slicing method: split phase-space in two regions

$$\int_0^1 \frac{f(x)}{x} \to \int_0^1 x^{-1+\epsilon} f(x) \simeq f(0) \int_0^\omega x^{-1+\epsilon} + \int_\omega^1 \frac{f(x)}{x}$$
$$= f(0) \left(\frac{1}{\epsilon} + \log(\omega)\right) + \int_\omega^1 \frac{f(x)}{x}$$

Isolating the poles in $\boldsymbol{\epsilon}$

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$$= f(0) \left(\frac{1}{\epsilon} + \log(\omega)\right) + \int_\omega^1 \frac{f(x)}{x}$$

 Subtraction method: add and subtract back an approximation having the same singular behaviour

$$\int_0^1 x^{-1+\epsilon} f(x) = f(0) \int_0^1 x^{-1+\epsilon} + \int_0^1 \frac{f(x) - f(0)}{x}$$

At leading-order (LO):

 $\mathcal{M}_{q\bar{q}}^{(0)} = (-\iota e_q) \,\bar{u}(p_1) \,\gamma^\mu \, v(p_2)$





At leading-order (LO): -ieγμ $\mathscr{M}_{a\bar{a}}^{(0)} = (-\iota e_q) \,\bar{u}(p_1) \,\gamma^\mu \, v(p_2)$ Then emit one gluon $\mathcal{M}_{q\bar{q}g}^{(0)} = (-\iota e_q) (\iota g_S) \mathbf{T}^a \, \bar{u}(p_1) \left(\boldsymbol{\ell}(k) \frac{\iota}{\boldsymbol{h}_1 + \boldsymbol{k}} \gamma^{\mu} - \gamma^{\mu} \frac{\iota}{\boldsymbol{h}_2 + \boldsymbol{k}} \boldsymbol{\ell}(k) \right) v(p_2)$ Using $p_2 \notin = 2\varepsilon \cdot p_2 - \ell p_2 | \ell \# = -\ell \ell$ and the equation of motion $p_2 v(p_2) = 0$ in the soft $(\ell \to 0)$ and collinear with p_2 $(k v(p_2) \rightarrow 0)$ limits $(\not p_2 + \not k) \not \epsilon(k) v(p_2) \simeq 2\epsilon \cdot p_2 v(p_2)$

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$$\mathcal{M}_{q\bar{q}g}^{(0)} \simeq (-\iota e_q) (\iota g_{\mathrm{S}}) \mathbf{T}^a \, \bar{u}(p_1) \, \gamma^\mu \, v(p_2) \left(\frac{p_1 \cdot \varepsilon}{p_1 \cdot k} - \frac{p_2 \cdot \varepsilon}{p_2 \cdot k} \right)$$

$$\begin{aligned} \left| \mathscr{M}_{q\bar{q}g}^{(0)} \right|^{2} &\simeq \sum_{a,\text{pol}} \left| \mathscr{M}_{q\bar{q}}^{(0)}(\iota g_{\text{S}}) \mathbf{T}^{a} \left(\frac{p_{1} \cdot \varepsilon}{p_{1} \cdot k} - \frac{p_{2} \cdot \varepsilon}{p_{2} \cdot k} \right) \right|^{2} \\ &= - \left| \mathscr{M}_{q\bar{q}}^{(0)} \right| g_{\text{S}}^{2} C_{F} \left(\frac{p_{1}}{p_{1} \cdot k} - \frac{p_{2}}{p_{2} \cdot k} \right)^{2} = \left| \mathscr{M}_{q\bar{q}}^{(0)} \right| g_{\text{S}}^{2} C_{F} \frac{2p_{1} \cdot p_{2}}{(p_{1} \cdot k)(p_{2} \cdot k)} \end{aligned}$$

$$\begin{split} |\mathscr{M}_{q\bar{q}g}^{(0)}|^{2} &\simeq \sum_{a,\text{pol}} \left| \mathscr{M}_{q\bar{q}}^{(0)}(\iota g_{\text{S}}) \mathbf{T}^{a} \left(\frac{p_{1} \cdot \varepsilon}{p_{1} \cdot k} - \frac{p_{2} \cdot \varepsilon}{p_{2} \cdot k} \right) \right|^{2} \\ &= - \left| \mathscr{M}_{q\bar{q}}^{(0)} \right| g_{\text{S}}^{2} C_{F} \left(\frac{p_{1}}{p_{1} \cdot k} - \frac{p_{2}}{p_{2} \cdot k} \right)^{2} = \left| \mathscr{M}_{q\bar{q}}^{(0)} \right| g_{\text{S}}^{2} C_{F} \frac{2p_{1} \cdot p_{2}}{(p_{1} \cdot k)(p_{2} \cdot k)} \end{split}$$

Include phase space

$$\int d\Phi_{q\bar{q}g} |\mathcal{M}_{q\bar{q}g}^{(0)}|^2 \simeq \int d\Phi_{q\bar{q}} |\mathcal{M}_{q\bar{q}}^{(0)}|^2 \int \frac{d^3k}{2E(2\pi)^3} g_{\rm S}^2 C_F \frac{2p_1 \cdot p_2}{(p_1 \cdot k)(p_2 \cdot k)}$$

Note factorisation into hard and soft-collinear-gluon emission

The squared matrix element in terms of energy* and angle

$$\frac{2p_1 \cdot p_2}{(p_1 \cdot k)(p_2 \cdot k)} = \frac{4}{E^2 (1 - \cos^2 \theta)}$$

- It diverges for $E \rightarrow 0$: infrared (soft) emission
- It diverges for $\theta \to 0$ and $\theta \to \pi$: collinear singularities

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Use **dimensional regularisation (DREG)** to integrate analytically over the soft and collinear regions of the phase-space

$$\frac{d^3k}{2E(2\pi)^3} \to \frac{d^{d-1}k}{2E(2\pi)^{d-1}} \qquad d = 4 - 2\epsilon$$

leads to poles in $1/\epsilon^2$, $1/\epsilon$, and a finite remainder

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- It diverges for $E \rightarrow 0$: infrared (soft) emission
- It diverges for $\theta \to 0$ and $\theta \to \pi$: collinear singularities

Use **dimensional regularisation (DREG)** to integrate analytically over the soft and collinear regions of the phase-space

$$\frac{d^3k}{2E(2\pi)^3} \to \frac{d^{d-1}k}{2E(2\pi)^{d-1}} \qquad d = 4 - 2\epsilon$$

leads to poles in $1/\epsilon^2$, $1/\epsilon$, and a finite remainder

* Are there soft singularities if 1/E ?

e+e-: virtual amplitude



e+e-: virtual amplitude



• set the virtual gluon on-shell: $\frac{1}{\ell^2 + \iota 0} \to -\iota 2\pi \,\theta(\ell_0) \,\delta(\ell^2) \equiv \widetilde{\delta}(\ell)$ $\frac{\bar{u}(p_1) \,\gamma^{\nu} \,(\mathscr{A} - \not{p}_1) = \bar{u}(p_1) \,[2(\ell - p_1)^{\nu} - \mathscr{A}\gamma^{\nu}]}{(\mathscr{A} + \not{p}_2) \,\gamma^{\nu} \,\nu(p_2) = [2(\ell + p_2)^{\nu} - \gamma^{\nu}\mathscr{A}]\nu(p_2)}$

e+e-: virtual amplitude



• In the limit where ℓ becomes soft, or collinear with either of the external particles

$$\mathcal{M}_{q\bar{q}}^{(1)} \simeq -\mathcal{M}_{q\bar{q}}^{(0)} g_{\mathrm{S}}^2 C_F \int_{\ell} \frac{p_1 \cdot p_2}{(p_1 \cdot \ell)(p_2 \cdot \ell)} \widetilde{\delta}(\ell)$$

Germán Rodrigo – QCD

TAE 2019

e+e-: total cross-section

the total cross-section is the sum of all quantum fluctuations



• The total cross-section must be finite: if real part has poles in $1/\epsilon$, then the loop integration should exhibit the same poles of opposite sign (**unitarity, conservation of probability**)

e+e-: total cross-section

the total cross-section is the sum of all quantum fluctuations



- The total cross-section must be finite: if real part has poles in 1/e, then the loop integration should exhibit the same poles of opposite sign (unitarity, conservation of probability)
- Alternatively: local cancellation before integration (loop-tree duality LTD / fourdimensional unsubtraction FDU) [Catani et al. 2008]

NNLO ingrédients



Jun 1

Theorems about cancellation of singularities

- BN (Bloch-Nordsiek 1937): QED (with finite fermion mass) IR divergences cancel if sum over soft (unobserved) photons in the final state
- KLN (Kinoshita 1962 | Lee, Nauenberg 1964): soft and collinear divergences cancel if sum over degenerate final and initial states (γ^{*} → hadrons need only sum in final state)

Definition of infrared and collinear safety

For an observable's distribution to be calculable in [fixed order] perturbation theory, the observable should be infrared safe, i.e. insensitive to the emission of soft or collinear partons. In particular, if \vec{p}_i is any momentum occurring in its definition, it must be invariant under the branching

$$\overrightarrow{p}_i \to \overrightarrow{p}_j + \overrightarrow{p}_k$$

Whenever \overrightarrow{p}_j and \overrightarrow{p}_k are parallel (collinear) of one of them is small (soft) [Ellis, Stirling, Webber, QCD and Collider Physics]

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Examples

Multiplicity of gluons

Energy of the hardest particle

Jet cross-sections

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Examples	
Multiplicity of gluons	not IRC safe, modified by soft/collinear splitting
Energy of the hardest particle	not IRC safe, modified by collinear splitting
Jet cross-sections	is IRC safe, collinear particles get merged into a single parent particle in the first steeps