

Standing theoretical issues in ν physics

①

When the SM was built there was no evidence of ν mass
Moreover, ν only have weak interactions \Rightarrow only the left-handed part is seen $\Rightarrow \nu_R$ were not included

\Rightarrow the usual mechanism for giving fermions a mass in the SM (Yukawas) are not present

$$Y_\nu \bar{\nu}_L \tilde{\phi} \nu_R + h.c. \xrightarrow[\langle \tilde{\phi} \rangle = \begin{pmatrix} \sqrt{f_2} \\ 0 \end{pmatrix}]{\text{selects } \nu} Y_\nu \frac{\nu}{\sqrt{2}} \bar{\nu}_L \nu_R + h.c.$$

However, for ν there is a second option:

$$\frac{m_\nu}{2} \bar{\nu}_L^C \nu_L \quad \text{"Majorana mass term"}$$

$$\nu_L^C = C \bar{\nu}_L^t \quad \bar{\nu}_L^C = \nu_L^t C$$

\Rightarrow Violates all symmetries the ν_L is charged under

- Violates lepton number L since ν_L and $\bar{\nu}_L^C$ both have $L=1$ and $\bar{\nu}_L^C \nu_L = \nu_L^t C \bar{\nu}_L = \bar{\nu}_L \nu_L^{t*}$ $L=-2$
- Does not violate QED or QCD since ν_L is neutral and colorless

But violates hypercharge $U(1)$ and $SU(2)_L$

\Rightarrow Can't write it like that in the Lagrangian

Need a trick similar to the Yukawas for m_ϕ

$$\frac{2}{\sqrt{2}} \frac{m_M}{2} \left(\overline{L}_L \tilde{\phi}^* \right) \left(\tilde{\phi}_L^+ \right) \xrightarrow{\text{selects } \nu} \frac{m_M}{2} \overline{\nu}_L^c \nu_L$$

$$\langle \tilde{\phi} \rangle = \begin{pmatrix} \frac{v}{\sqrt{2}} \\ 0 \end{pmatrix}$$

$d=5$ operator Weinberg

► coefficient with $\sim \frac{1}{M}$ dimension

A $d=5$ operator is not renormalizable but it can be induced effectively as a low energy effect of a renormalizable ($d \leq 4$) theory when integrating out heavy particles from the spectrum.

Just like the $d=6$ effective Fermi interactions when the W and Z are removed

$$\frac{g}{\sqrt{2}} (\bar{u} \gamma_\mu P_L d) \frac{g^{\mu\nu}}{p^2 - M_W^2} (\bar{l} \gamma_\nu P_L \nu) \frac{g}{\sqrt{2}}$$

$p \ll M_W$

$\pi^- \rightarrow l \bar{\nu}$

$$- (g-1) \frac{p^\mu p^\nu}{p^2 - M_W^2}$$

\Rightarrow

$$\frac{-g^2}{8 M_W^2} (\bar{u} \gamma_\mu (1-\gamma_5) d) (\bar{l} \gamma^\mu (1-\gamma_5) l)$$

The Weinberg $d=5$ operator can in the same way be the remnant of heavy particles that have been integrated out like the W 's and Z 's ②

In fact we expect the SM to be completed with extra high E physics which at low E is encoded in a series of $d > 4$ operators

$$\mathcal{L} = \mathcal{L}_{\text{SM}} + \frac{1}{\Lambda} \mathcal{L}^{d=5} + \frac{1}{\Lambda^2} \mathcal{L}^{d=6} + \dots$$

Λ new physics scale like M_W in GF

With the SM particle content and respecting the SM symmetries $59 + 5$ (B and L violating)
 $d=6$ operators exist (without flavor structure)

Only one $d=5$ operator Weinberg

It is suspicious that the evidence for physics Beyond SM shows from the effective op
that we expect to be least suppressed

Two ways to induce ν masses

① add ν_R

$$Y_\nu \bar{L}_L \tilde{\phi} \nu_R$$

Complete gauge singlet under the SM \Rightarrow Majorana mass allowed

$$M_R \bar{\nu}_R^c \nu_R$$

Completely new physics scale unrelated to EWSB & unlike all other scales in the SM (except Λ_{QCD})

Either forbid it promoting L (or rather $B-L$) to an exact SM symmetry or new scale M_R at which L is broken

Can also generate $d=5$ @ loop level

② $d=5$ o P

$$\frac{1}{2} (\bar{L}_L^c \tilde{\phi}^*) (\tilde{\phi}^+ L_L)$$

Are they so different?

How to open it
Seesaw models

$$\bar{L}_L^c \times \nu_R \rightarrow \text{fermion singlet}$$

type - I

$$\bar{L}_L^c \times \nu_R \rightarrow \text{fermion triplet}$$

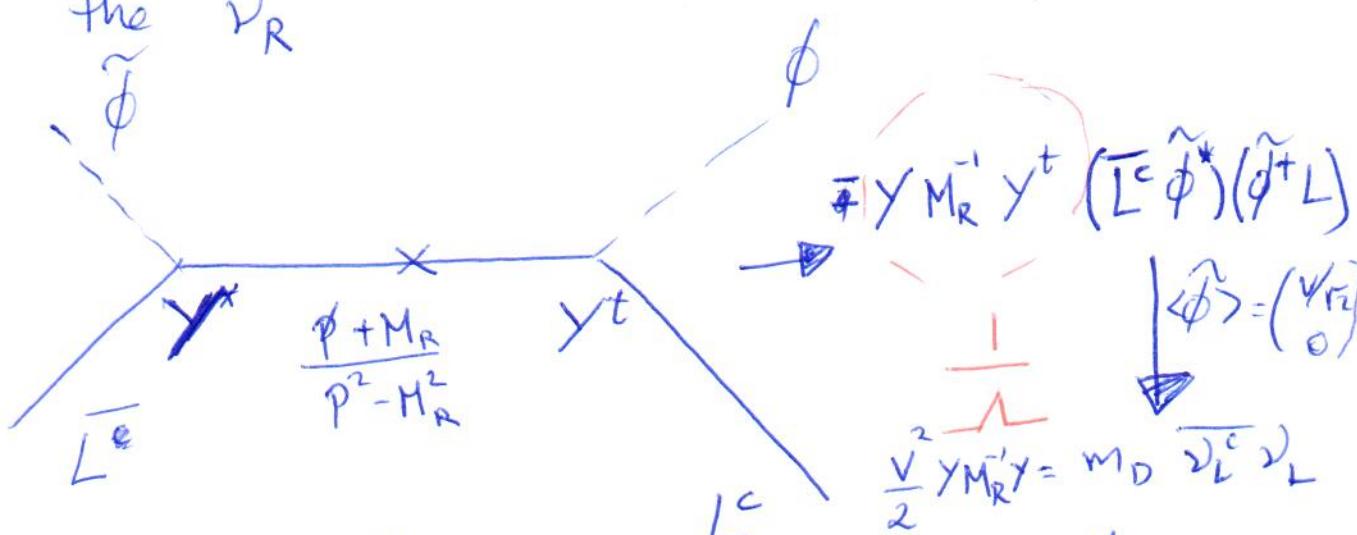
type - III

$$\bar{L}_L^c \times \nu_R \rightarrow \text{scalar triplet}$$

type - II

3-3

In fact if $M_R \gg v$ I can integrate out the ψ_R ③



So if $M_R \gg v$ the two solutions are the same

There will be a $d=6$ op from the $\frac{\phi}{M_R^2}$ term

$$Y M_R^{-2} Y^+ (\bar{L} \tilde{\phi}) i \not{D} (\tilde{\phi}^+ L)$$

$$\downarrow \\ \cancel{M_R} \cancel{\phi}$$

$$\frac{v^2}{2} (Y M_R^{-2} Y^+)_{\alpha\beta} = \left(\begin{matrix} E_{\alpha\beta} \\ \not{D}_L^\alpha \end{matrix} \right) \not{D}_L^\beta$$

contribution to
d) kinetic terms

$$\Rightarrow \text{Low energy } L = (\delta_{\alpha\beta} + E_{\alpha\beta}) \not{D}_L^\alpha \not{D}_L^\beta + m_{\alpha\beta} \not{D}_L^\alpha \not{D}_L^\beta$$

To go to the mass basis with canonical normalized states:

1. Diagonalize the kinetic terms $\delta_{\alpha\beta} + \epsilon_{\alpha\beta}$ with unitary rotation U_1
2. Normalize the kinetic terms with diagonal rescaling d
3. Diagonalize mass matrix with unitary rotation U_2

From the original flavor basis to the mass basis we have: $U^{\text{PMNS}} = U_2 d \cdot U_1$

$$v_\alpha = U_1 v'_\alpha = U_2 d v''_\alpha = U_2 d U_2^{-1} v_i$$

Completely general (non-unitary) matrix

To leading order in ϵ

$$U^{\text{PMNS}} = \underbrace{\left(\delta_{\alpha\beta} - \frac{\epsilon_{\alpha\beta}}{2} \right)}_{U_2 d} \underbrace{U_1}_{U_2}$$

$$\epsilon_{\alpha\beta} = \frac{y^2}{2} (Y M^{-2} Y^+)_{\alpha\beta} \Rightarrow U^{\text{PMNS}} \approx U$$

Easier way to see it:

$$\mathcal{L} = m_D (\bar{\nu}_L \nu_R + \bar{\nu}_R \nu_L) + \frac{M_R}{2} \overline{\nu_R^c} \nu_R =$$

after EWSB

$$= \frac{1}{2} (\bar{\nu}_L^c \bar{\nu}_R) \begin{pmatrix} 0 & m_D \\ m_D^t & M_R \end{pmatrix} \begin{pmatrix} \nu_L \\ \nu_R^c \end{pmatrix}$$

$$\equiv \frac{1}{2} \overline{N^c} \mathcal{M} N \quad \text{with } N \equiv (\nu_L \nu_R^c)$$

just diagonalize \mathcal{M} to go to the mass basis

$$\begin{pmatrix} \nu_L \\ \nu_R^c \end{pmatrix} = \begin{pmatrix} \cos\theta & \sin\theta \\ -\sin\theta & \cos\theta \end{pmatrix} \begin{pmatrix} \nu_1 \\ \nu_2 \end{pmatrix}$$

$$\tan 2\theta = \frac{2m_D}{M_R}; \quad m_{1,2} = \frac{M_R}{2} \pm \sqrt{\left(\frac{M_R}{2}\right)^2 + m_D^2}$$

$$\hookrightarrow \theta \sim m_D/M_R \quad \simeq \frac{M_R}{2} \pm \left(\frac{M_R}{2} + \frac{m_D^2}{M_R} \right)$$

$$\Rightarrow \begin{cases} m_1 \simeq \frac{m_D^2}{M_R} \\ m_2 \simeq M_R \end{cases} \rightarrow d=5 \text{ op } Y^* M_R Y \frac{\sqrt{2}}{2}$$

"See-saw" if $M_R \gg m_D \Rightarrow m_1 \ll M_R$
 $m_2 \simeq M_R$

and $\nu_1 = \cos\theta \nu_L + \sin\theta \nu_R$ $E = Y M_R Y \frac{\nu^2}{2}$

$$1 - \frac{\theta^2}{2} = 1 - \frac{m_D^2}{2 M_R^2}$$

$$\nu_2 \simeq \cos\theta \nu_R - \sin\theta \nu_L$$

$$\nu_1 = (1 - \epsilon/2) \nu_L$$

If we have 3 families after block diagonalizing

$$\begin{pmatrix} 0 & m_D \\ m_D^t & M_R \end{pmatrix} \longrightarrow \begin{pmatrix} m_1 & 0 \\ 0 & m_2 \end{pmatrix}$$

Need U to diagonalize m_1

$$\Rightarrow U^{\text{PMNS}} = (1 - \epsilon/2) U$$

Full 6×6 matrix is unitary

$$U = \begin{pmatrix} (1 - \epsilon/2) U & \sqrt{\epsilon} \\ \sqrt{\epsilon} U & (1 - \epsilon/2) \end{pmatrix}$$

But I don't have enough energy to see the rest

Testing for "non-unitarity"

$$\text{Eg: } \bar{n} \rightarrow \mu \bar{\nu} \text{ vs } \bar{n} \rightarrow e \bar{\nu}$$

$$U_{\mu i}^{\text{PMNS}} = (\delta_{\mu\alpha} - \frac{\epsilon_{\mu\alpha}}{2}) U_{\alpha i}$$

$$(\delta_{e\alpha} - \frac{\epsilon_{e\alpha}}{2}) U_{\alpha i}$$

$$I \text{ don't measure } \bar{\nu}_i \Rightarrow \sum_{\alpha} |(\delta_{\mu\alpha} - \frac{\epsilon_{\mu\alpha}}{2}) U_{\alpha i}|^2 = 1 - \epsilon_{\mu\alpha}$$

~~while $T_{\text{new}}^2 \propto 1 - \epsilon_{ee}$~~ \Rightarrow C.C. Non-universal

Also since U_{PMNS} is NOT unitary, GIM cancellation
 not effective \Rightarrow enhancement of Flavour-Changing Neutral Currents⁽⁵⁾

$$\text{However } \mathcal{E} \sim \frac{m_D^2}{M_R^2} \sim \begin{cases} 10^{-28} \text{ for } Y \sim 1, M_R \sim 10^{16} \text{ GeV} \\ 10^{-14} \text{ for } Y \sim 10^{-6}, M_R \sim 1 \text{ TeV} \end{cases}$$

Too small to be seen if smallness of ν mass is explained through

However there can be a different explanation for the smallness of ν masses.

$d=5 \quad \circ p$ $Y M^{-1} y^+ (\bar{L}_L \hat{\phi}^*) (\hat{\phi}^+ L)$ violates L	$d=6 \quad \circ p$ $Y M^{-2} y^+ (\bar{L}_L \tilde{\phi}) \tilde{\phi} (\tilde{\phi}_L^+ L)$ does not violate L
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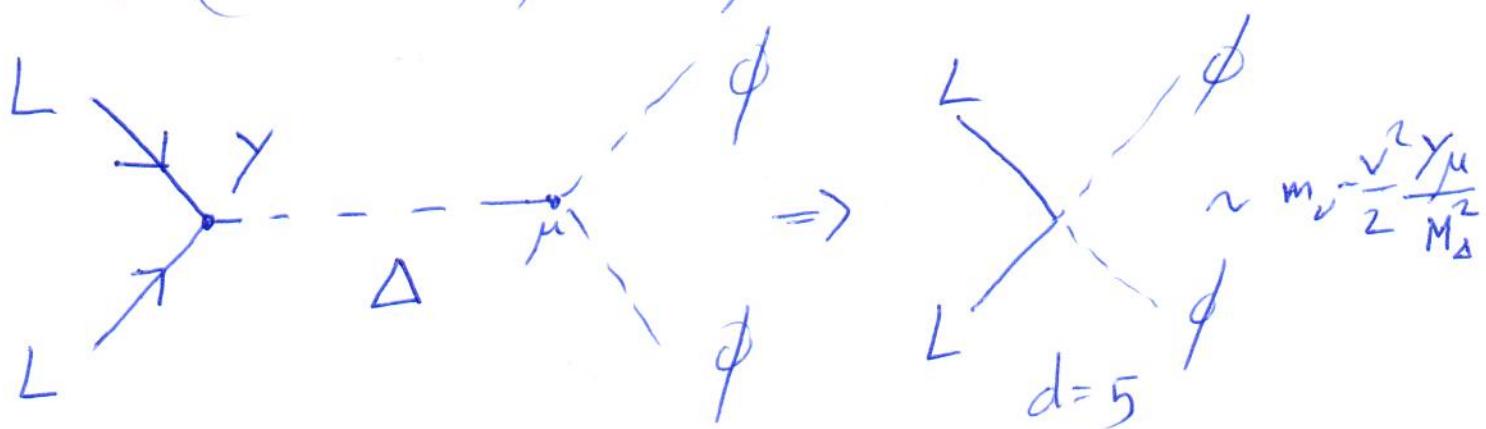
Maybe L is approximately conserved $\Rightarrow d=5$ small but $d=6$ big
 there are L -conserving choices of Y such that

$$Y M^{-1} y^+ = 0 \quad \text{while} \quad Y M^{-2} y^+ \neq 0 \text{ and large}$$

then break L by a small parameter $\Rightarrow d=5$ small
 $d=6$ large

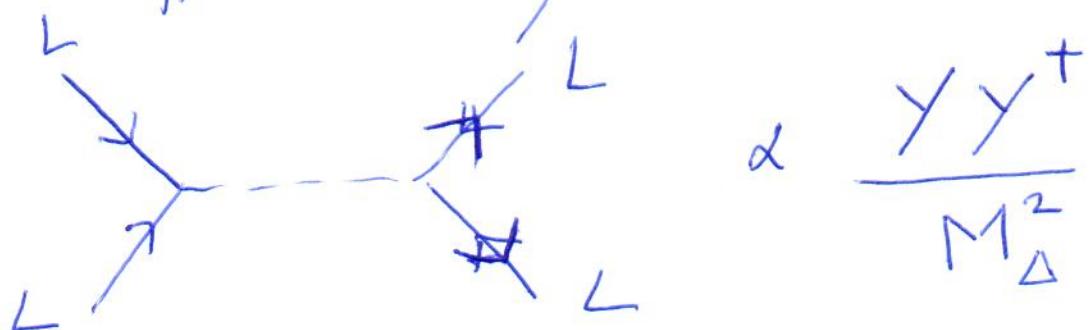
More evident in type-II seesaw:

$$Y(\bar{L}^c \rightarrow L) \vec{\Delta} + \mu (\phi^+ \bar{\tau} \phi) \vec{\Delta}$$



If $\mu = 0 \Rightarrow m_\nu = 0$ and L conserved
(I can assign $L=2$ to Δ)

but I still have interesting $d=6$ operators
not suppressed by μ :



Interesting type-I SS application: Leptogenesis

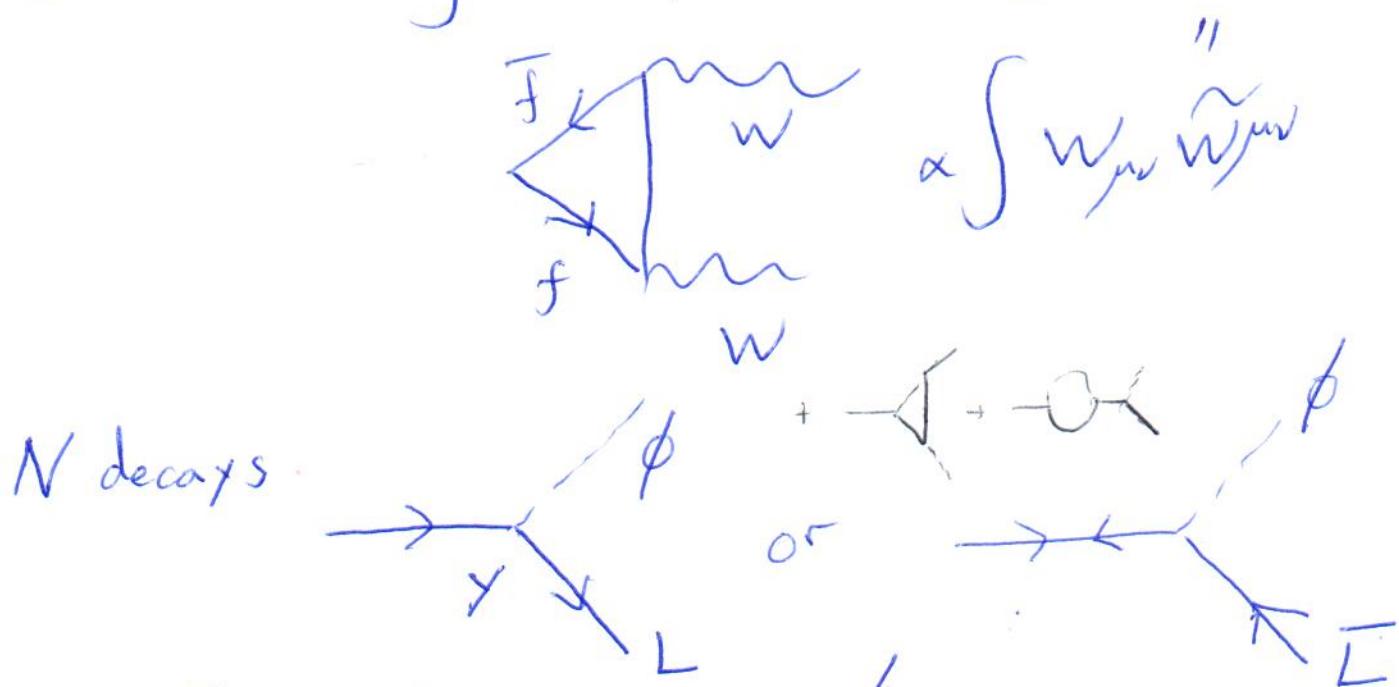
Sakharov conditions to create B:

1. Violation of B \rightarrow Sphalerons
2. Violation of R and CP \rightarrow Complex Yukawas
3. Departure from thermal equilibrium \rightarrow decay of N

Sphalerons are transitions between 2 configurations of W bosons that induce violation of B and L (but conserve B-L).

$$\Delta B = \Delta L = \int d\mu \bar{f} \gamma_\mu f = n_g \Delta n_{cs}$$

\downarrow B or L current



Imagine $T_{N \rightarrow \bar{L}}^L > T_{N \rightarrow L}^L$

L	B
-L ₀	0
+3/6	+3/6
$\sim -L_0/2$	$+L_0/2$

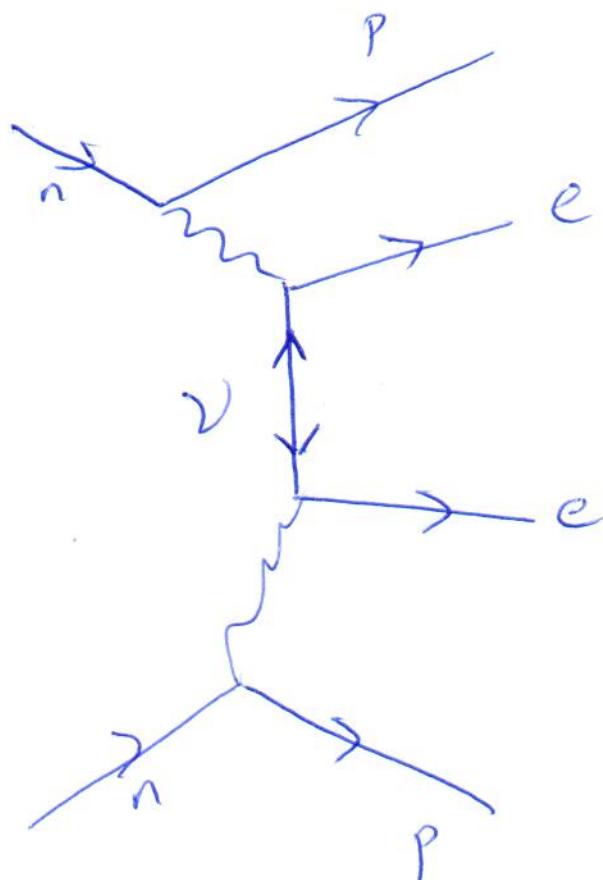
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Baryogenesis via Leptogenesis

How to test the Majorana nature of ν ?

Have to look for L-violating process

Most promising $O\nu\bar{\nu}BB$



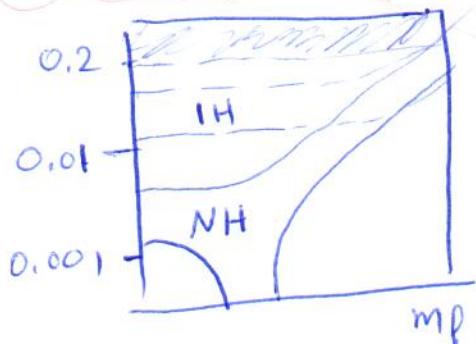
$$\propto m_{BB} = \sum_i V_{ei}^2 m_i$$

||
m_{ee}

$$\bar{e} V_{ei} (\gamma_\mu P_L)^t C \frac{p + m_i}{p^2 - m_i^2} V_{ei} \gamma_\nu P_L e =$$

$$= \bar{e} e \gamma_\mu P_R \frac{p + m_i}{p^2 - m_i^2} \gamma_\nu P_L e V_{ei}^2 \propto \sum_i m_i V_{ei}^2$$

$$m_{BB} = m_1 c_{12}^2 c_{13}^2 + m_2 s_{12}^2 c_{13}^2 e^{2i\alpha_2} + m_3 s_{13}^2 e^{2i\alpha_3}$$



in NH small

3

= ?

IH big 2 terms

2

3

(7)

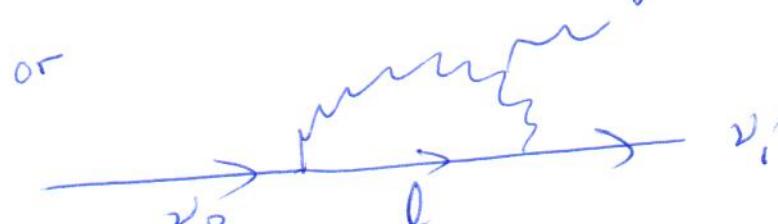
What if M_R is not $\gg m_D$?

Then we don't have a See-Saw and we might need very small γ to accomodate ν masses but there can be interesting pheno from the extra sterile neutrinos

If $M_R \sim \text{keV}$ ν_R is a viable DM candidate It's neutral and can be stable over the age of the Universe. However it decays

$$\begin{aligned} \nu_R &\rightarrow \nu_i + \sum_{\alpha} Z^{\mu} \bar{\nu}_{\alpha} \gamma_{\mu} \nu_{\alpha} = \\ &= Z^{\mu} \sum_{\alpha} \bar{\nu}_i U_{\alpha i}^{PMNS} \gamma^{\mu} U_{\alpha j}^{P-} \nu_j \\ &\text{but } \sum_{\alpha=1,2} U_{\alpha i} U_{\alpha j} \neq \delta_{ij} \\ &\text{since } U^{PMNS} \text{ is } 6 \times 6 \end{aligned}$$

or



$T \propto m_{\nu}^5$ if m_{ν} too light \Rightarrow hot Dark Matter
if m_{ν} too heavy \Rightarrow too fast decay

there is a claim at ~ 35 for a 3.6 keV line that could come from the decay of a 7.2 keV ν DM.

If $M_R \sim 4\text{eV}$ it could help to explain some anomalies in ν oscillation data that do not fit the 3-family scenario since they seem to have signal for L/E too small
⇒ Δm^2 too big to be Δm_{31}^2 or Δm_{21}^2

LSND $\bar{\nu}_\mu \rightarrow \bar{\nu}_e$ 3.8σ

Miniboson ~ 20 low E excess for ν_e and $\bar{\nu}_e$

Reactors $\sim 1.8\sigma$ $\bar{\nu}_e$ disapp

Gallium solar + calibration $\sim 2-3\sigma$ ν_e disapp

But no evidence of ν_μ disapp ⇒ strong tension between datasets ⇒ future experiments to test