

Fractional Chiral Hinge Insulator

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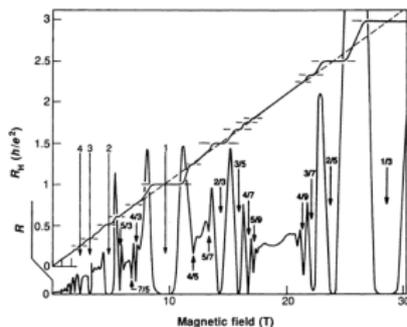
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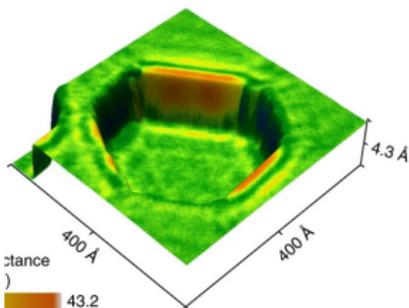
B. Andrei Bernevig
(Princeton)

Toward 3D topological order

2D TO: FQHE



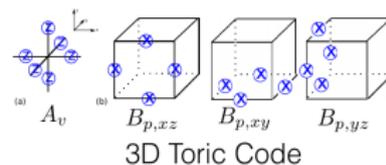
Higher order topological insulators



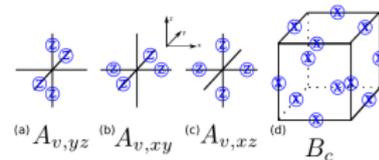
An intermediate step
(3d but chiral hinge
modes)

3D TO

Toric code, fractons, ...
Any microscopic
electronic model?



3D Toric Code

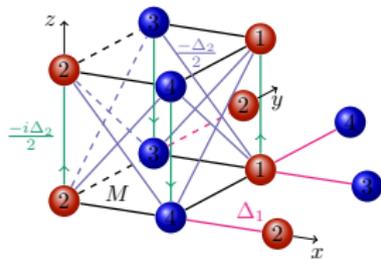
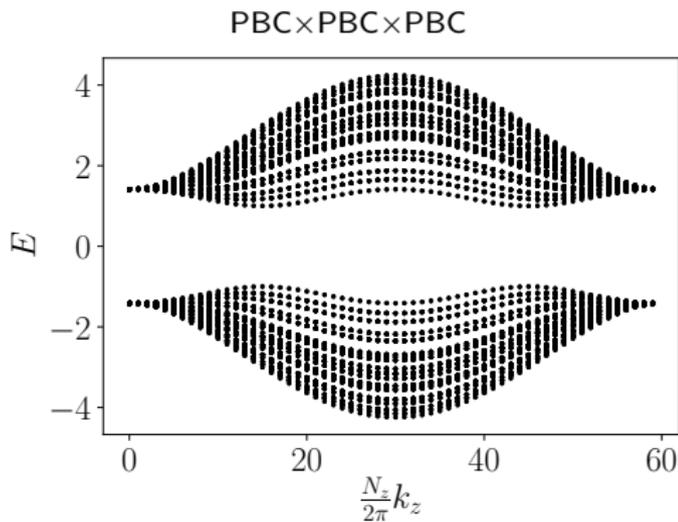
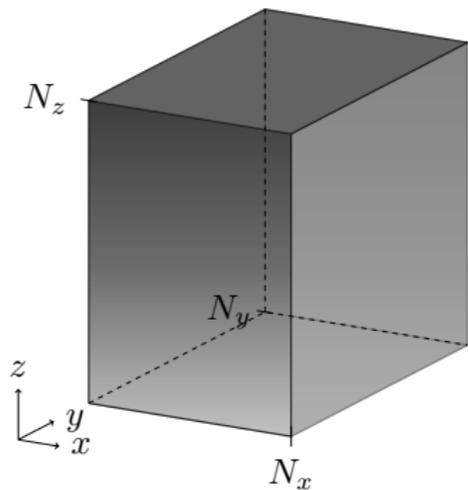


3D X Cube

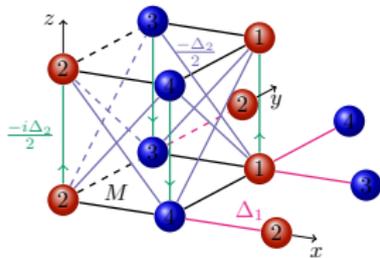
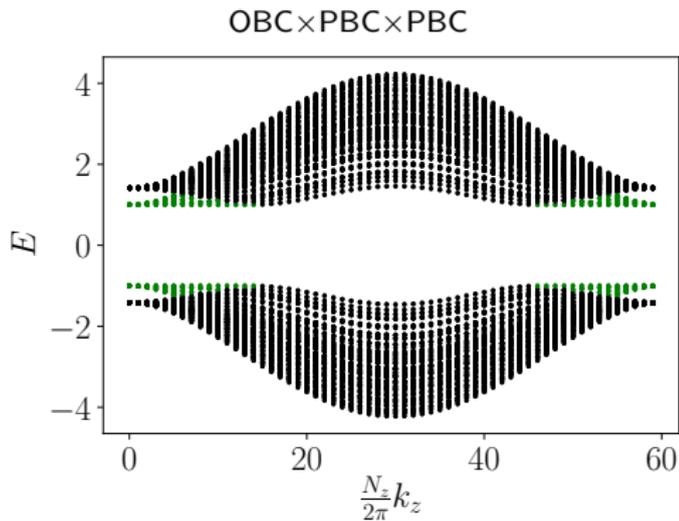
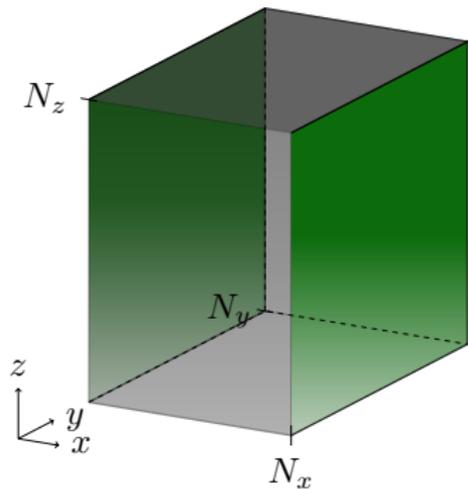
[Vijay, Haah, Fu, 2016]

Building a model state for a FCHI

Chiral Hinge Insulator: 2nd order TI in 3D [Schindler et al. 2018]

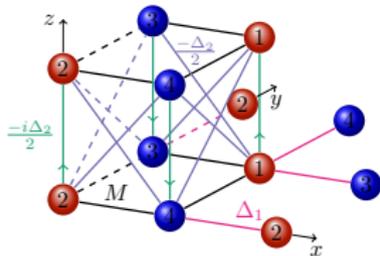
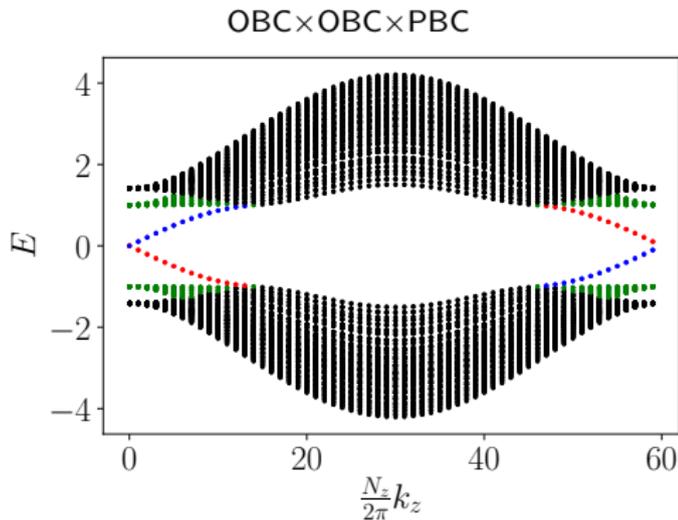
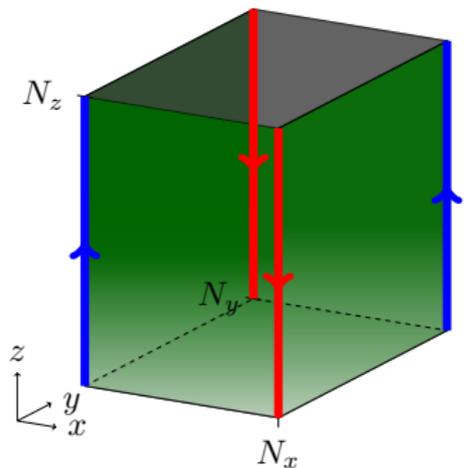


Chiral Hinge Insulator: 2nd order TI in 3D [Schindler et al. 2018]



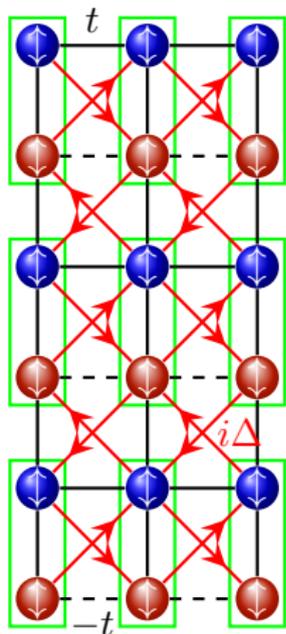
- Gapped vertical surfaces

Chiral Hinge Insulator: 2nd order TI in 3D [Schindler et al. 2018]



- Gapped vertical **surfaces**.
- Gapless **chiral** & **antichiral** hinge modes.
- Hinge modes = IQHE edge modes (BUT surfaces \neq IQHE bulk).
- Protected by the C_4T symmetry (nbr hinges mod 2).

Fractional Chern insulator from Gutzwiller projection



- Two copies of CI with spin $s = \uparrow, \downarrow$
- On-site repulsion $U \sum_x n_{x,\uparrow} n_{x,\downarrow}$
- FCI phase if $U \gg t, \Delta$ (Laughlin 1/2)

Model wave function from limit $U \rightarrow \infty$:

- Double-occupancy forbidden, 1 particle per site:
Spin s only remaining degree of freedom
- Ground state obtained by Gutzwiller projection

$$P_G = \prod_x (1 - n_{x,\uparrow} n_{x,\downarrow})$$

Zhang, T. Grover, and A. Vishwanath PRB (2011)

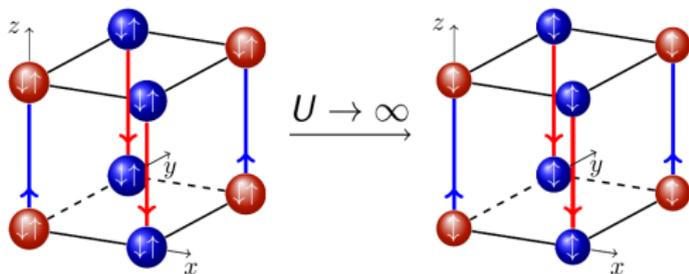
- Topological sectors by using PBC/APBC for the CIs

Interacting model wave function: Gutzwiller projection of two spin copies!

Fractional chiral hinge insulator (FCHI)

- $|\psi_s\rangle$ ground state of CHI at half-filling with spin $s = \uparrow, \downarrow$
- **FCHI model wave function:** Gutzwiller projection

$$|\Psi_{\text{FCHI}}\rangle = P_G [|\psi_\uparrow\rangle \otimes |\psi_\downarrow\rangle]$$



Properties accessible in Monte Carlo simulations to characterize our model state:

- Second Renyi entanglement entropy: $S^{(2)} = -\ln(\text{Tr}_{\mathcal{A}} \rho_{\mathcal{A}}^2)$
- Spin fluctuations: $\text{Var}(M_{\mathcal{A}}) = \text{Tr}_{\mathcal{A}}(M_{\mathcal{A}}^2 \rho_{\mathcal{A}}) - (\text{Tr}_{\mathcal{A}}(M_{\mathcal{A}} \rho_{\mathcal{A}}))^2$
- Overlaps between different “topological sectors”

Monte Carlo simulations for $S^{(2)}$

Partition into \mathcal{A} & complement \mathcal{B} , want $S_{\mathcal{A}}^{(2)}$:

- Spin configuration $|v\rangle = |v_{\mathcal{A}}, v_{\mathcal{B}}\rangle$
- Swap operator

$$\text{SWAP} (|v_{\mathcal{A}}, v_{\mathcal{B}}\rangle \otimes |v'_{\mathcal{A}}, v'_{\mathcal{B}}\rangle) = |v'_{\mathcal{A}}, v_{\mathcal{B}}\rangle \otimes |v_{\mathcal{A}}, v'_{\mathcal{B}}\rangle$$

- Entanglement entropy

$$\frac{\langle \Psi \otimes \Psi | \text{SWAP} | \Psi \otimes \Psi \rangle}{\langle \Psi \otimes \Psi | \Psi \otimes \Psi \rangle} = e^{-S_{\mathcal{A}}^{(2)}}$$

- Double-layer simulation
- Measured value decays **exponentially** with $|\partial\mathcal{A}|$
- **More than 2 million CPU hours**

Probing the FCHI

Luttinger liquids: 1D critical quantum system

Entanglement for subregion of size L scales as $\ln L$.

Chiral conformal field theory for finite size N

(Second Renyi) Entropy:

$$S_{\text{crit}}^{(2)}(L; N) = \frac{c}{8} \ln \left[\frac{N}{\pi} \sin \left(\frac{\pi L}{N} \right) \right]$$

**Expect $c = 1$ for
Luttinger liquid!**

Fluctuations of U(1) current:

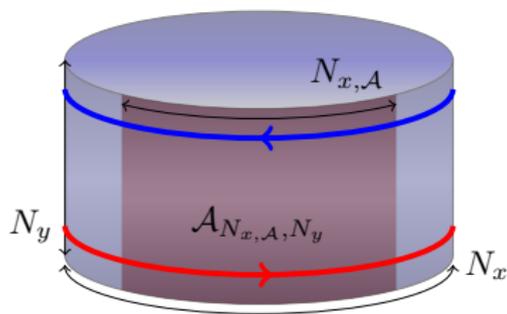
$$\text{Var}(M_{\mathcal{A}}) = \frac{K}{2\pi^2} \ln \left[\frac{N}{\pi} \sin \left(\frac{\pi L}{N} \right) \right]$$

**$K \neq 1$ indicates
fractionalization!**

Study scaling of $S^{(2)}$ and $\text{Var}(M_{\mathcal{A}})$ with L

Disentangling the edge & hinge from the bulk contribution

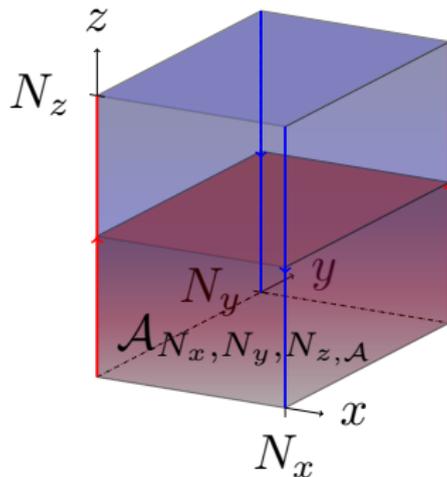
Edge states in 2D:



$$S^{(2)}(N_{x,A}) = \alpha_{2D} + 2 \times S_{\text{crit}}^{(2)}(N_{x,A}; N_x)$$

α_{2D} bulk area law (constant)

Hinge states in 3D:

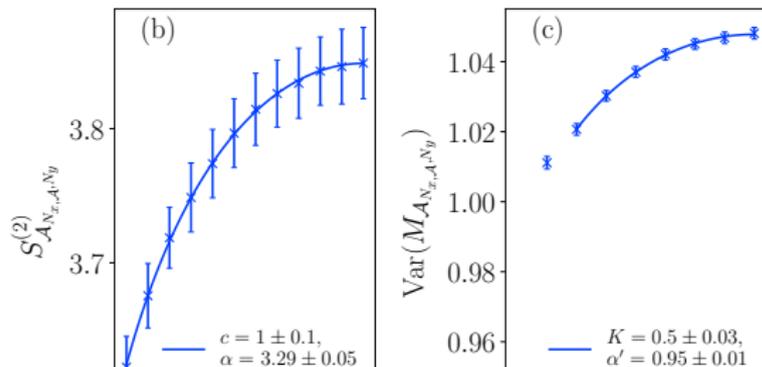


$$S^{(2)}(N_{z,A}) = \alpha_{3D} + 4 \times S_{\text{crit}}^{(2)}(N_{z,A}; N_z)$$

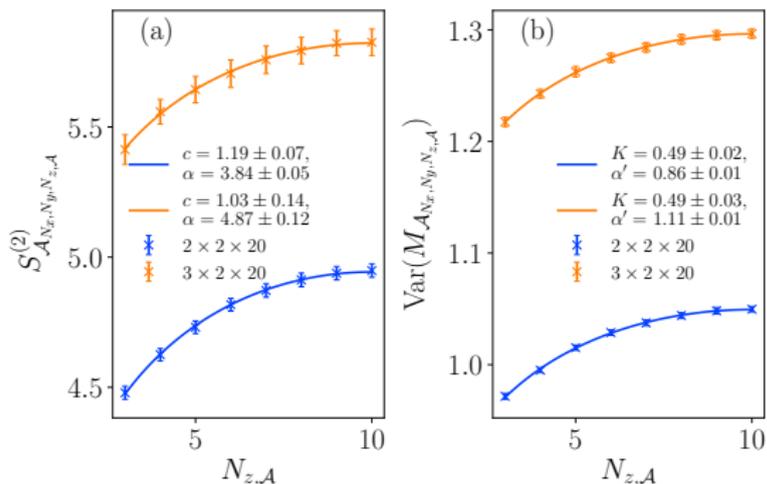
α_{3D} bulk area law (constant)

Monte-Carlo results

FCI:



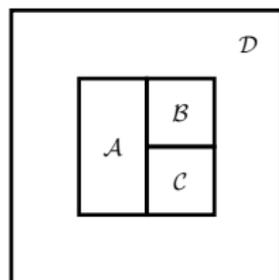
FCHI:



**Both have $c = 1$ and $K = 1/2$:
Fractionalized hinge
states**

Topological entanglement entropy (TEE) γ

- Area law for entanglement entropy:
 $S_{\mathcal{A}} = \alpha|\partial\mathcal{A}| - \gamma$
- Kitaev-Preskill cut.
- $-\gamma = S_{ABC} - S_{AB} - S_{BC} - S_{AC} + S_A + S_B + S_C$



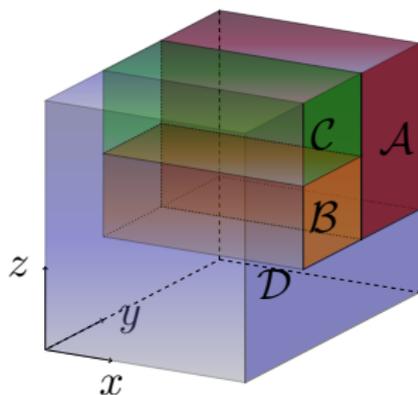
Periodic boundary conditions in x

- $\gamma_{PBC} = -0.009 \pm 0.102 \approx 0$
- Same value if taken along z ,
- $\gamma_{PBC} \approx 0$ for two system sizes \rightarrow **not a layered construction.**

Open boundary conditions in x

- $\gamma_{OBC} = 0.32 \pm 0.16 \approx \ln(\sqrt{2}) = 0.35$

$$\gamma_{\text{FCHI}}^{2D} = (\gamma_{OBC} - \gamma_{PBC})/2 \approx \ln(\sqrt{2})/2$$

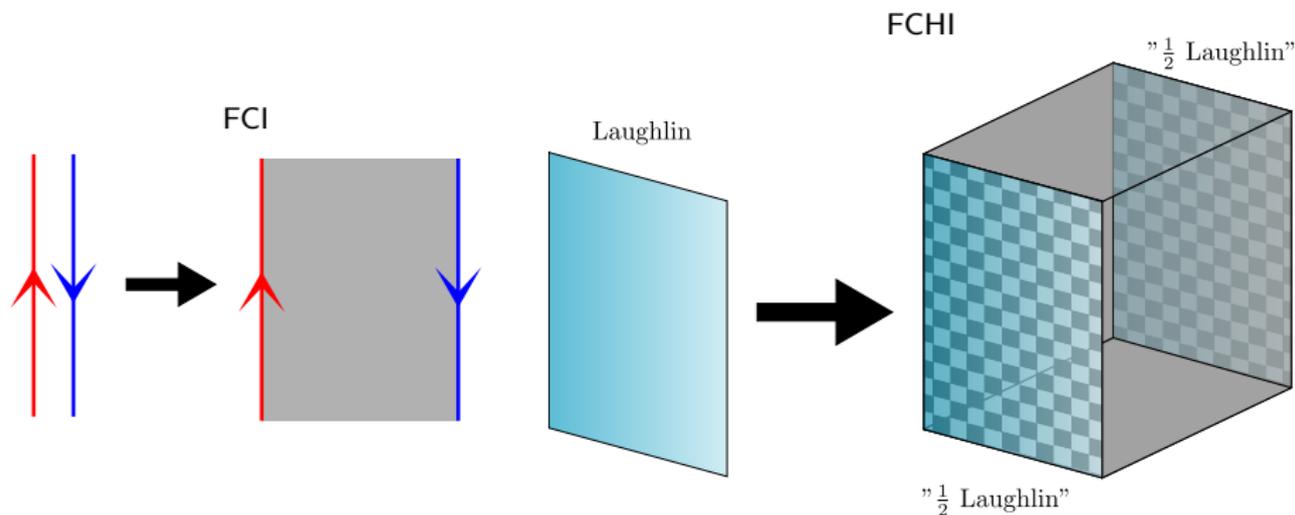


Unconventional surface phase

$$\gamma_{\text{FCHI}}^{2D} = \ln(\sqrt{2})/2$$

But: Strictly 2D topological phase has $\gamma = 0$ or $\gamma \geq \ln(\sqrt{2})$

Surfaces host unconventional topological phase that cannot be described by 2D TQFT

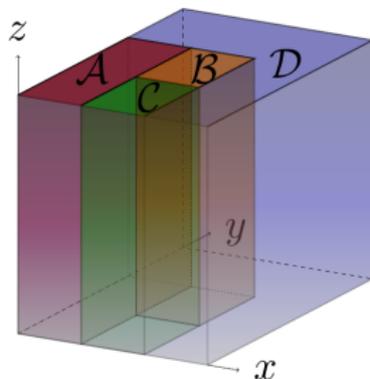


Signature from the bulk

What about the TEE in the bulk?

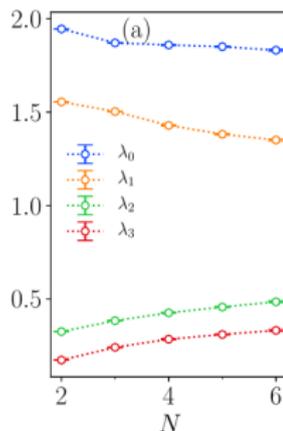
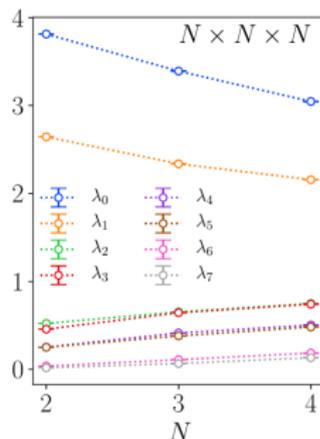
- $\gamma_{PBC} = -0.009 \pm 0.102 \approx 0$
- Same value if taken along z or x
- $\gamma_{PBC} \approx 0$ for two system sizes \rightarrow **not a layered construction.**
- ... but the cut is macroscopic in one direction

Zhang, Grover, Vishwanath PRB (2011).



Topological degeneracy?

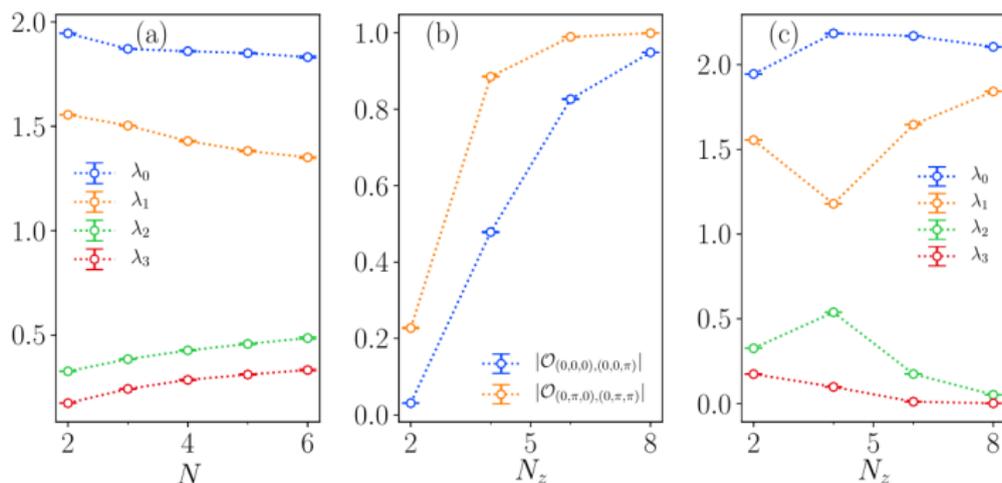
- Can play with PBC/APBC for the CHI to generate 8 states (full PBC) or 4 states (OBC in x)
- Should be isotropic \rightarrow size is a killer.



Conclusion

- Fractional CHI model wave function obtained from Gutzwiller projection.
- Fractionalized chiral hinge modes similar to edge modes of a fractional Chern insulator.
- Gapped vertical surfaces hosting a topological phase that cannot be realized in 2D.
- **Outlook:**
 - 3D is hard. Beyond Monte-Carlo?
 - What is the nature of the phase?
 - Fate of the Dirac cones in the interacting model?

Anisotropic limit OBC×PBC×PBC



- OBC×PBC×PBC: 4 states \rightarrow 2 linearly independent states.
- PBC×PBC×PBC: 8 states \rightarrow 4 linearly independent states.