

# Neutrino Physics

**Mariam Tórtola**  
**IFIC, CSIC/Universitat de València**



**XLIX International Meeting on Fundamental Physics**

**Benasque, 5-10 September 2022**

# Session 1

## Neutrinos in the SM

- ◆ Main properties
- ◆ Neutrino mass in the SM

## Neutrino oscillations

- ◆ Introduction & formalism
- ◆ Current status of  $\nu$  oscillations
- ◆ Unknowns in the  $3\nu$  paradigm
- ◆ Future prospects

# Session 2

## Neutrino masses

- ◆ Current limits
- ◆ Neutrino mass models

## Neutrino physics BSM

- ◆ Light sterile neutrinos
- ◆ Non-unitary neutrino mixing
- ◆ Non-standard interactions
- ◆ BSM searches with CE $\nu$ NS

# Neutrino Physics (I)

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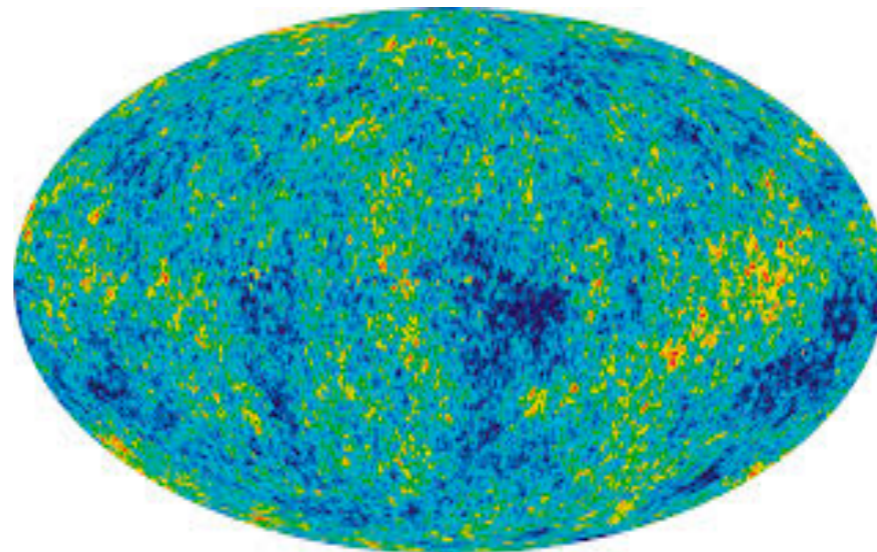
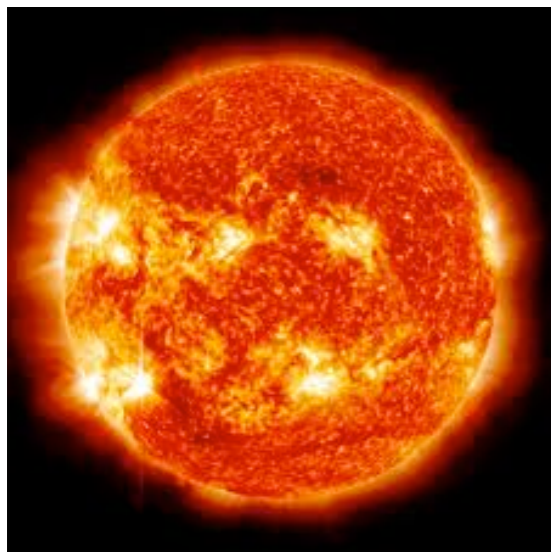


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# Why we care about neutrinos?

- ◆ They can probe environments that other techniques cannot: SN explosions, the core of the Sun,...
- ◆ Their role is crucial for the evolution of the universe (Big Bang Nucleosynthesis, structure formation)
- ◆ They could help explaining the matter-antimatter asymmetry of the Universe (leptogenesis mechanism)
- ◆ They could be a component of the dark matter of the universe.
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However: there are still many open questions in neutrino physics

# Neutrinos in the Standard Model

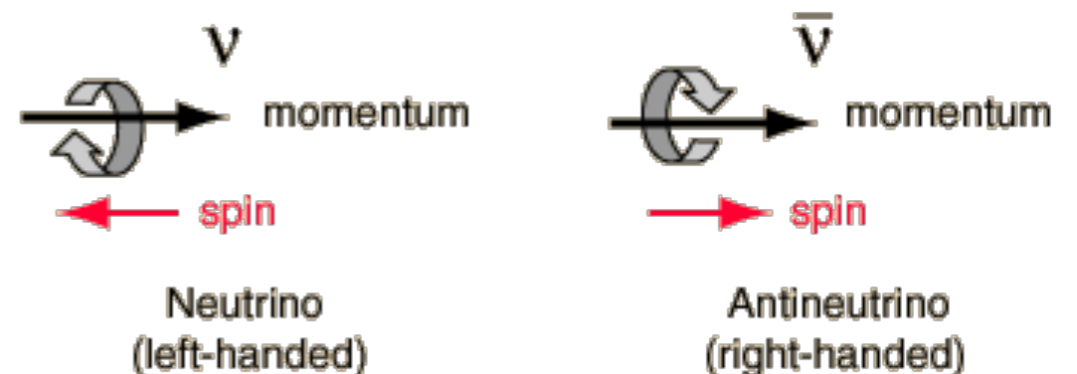
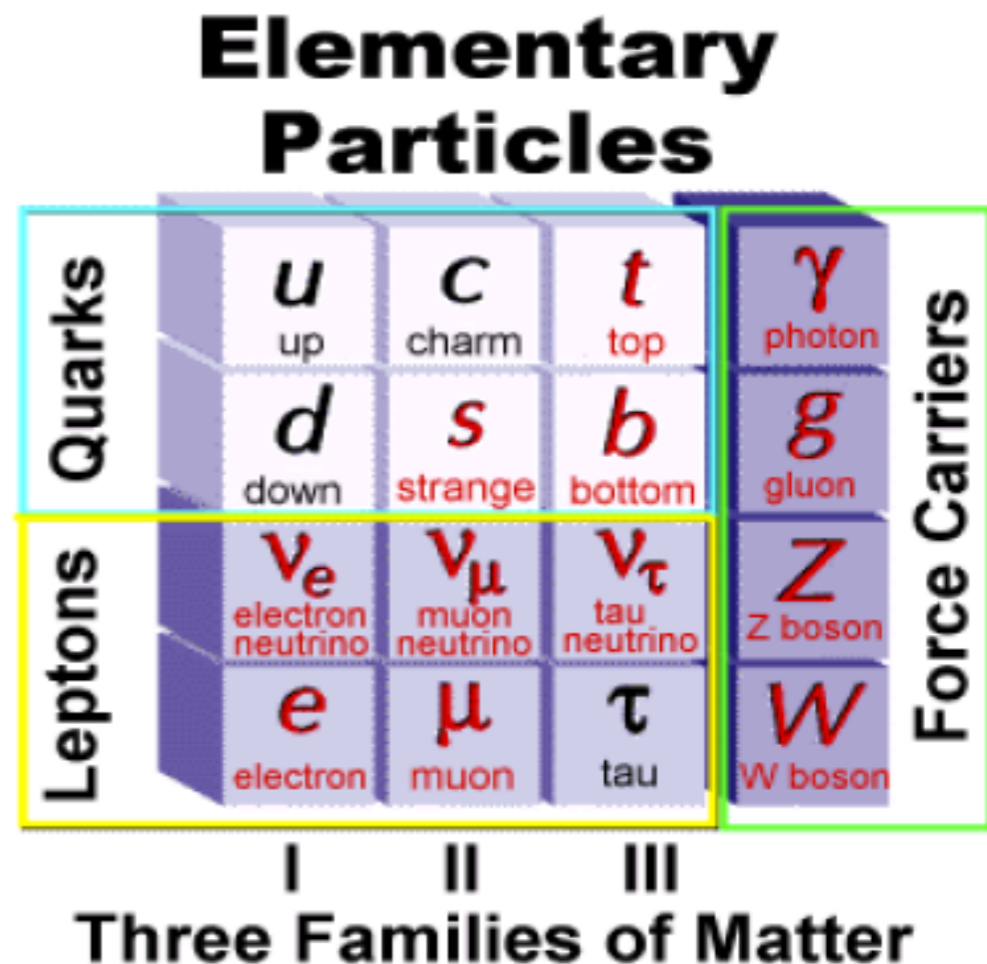
- ◆ Neutrinos come in 3 flavours, corresponding to the charged lepton associated
- ◆ Leptons are described as  $SU(2)_L$  doublets

$$\begin{pmatrix} \nu_e \\ e \end{pmatrix}_L, \begin{pmatrix} \nu_\mu \\ \mu \end{pmatrix}_L, \begin{pmatrix} \nu_\tau \\ \tau \end{pmatrix}_L$$

- ◆ Only two types of neutrinos have been observed in nature:

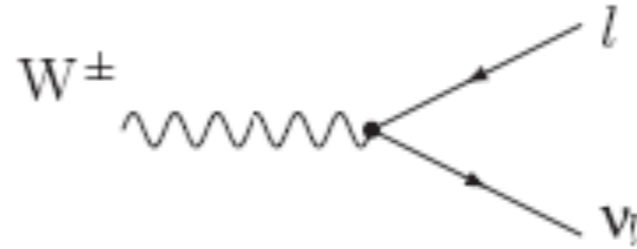
- left-handed neutrino
- right-handed antineutrino

[ no  $SU(2)$  neutrino singlets in the SM ]



# Neutrino interactions in the SM

## Charged Current (CC):



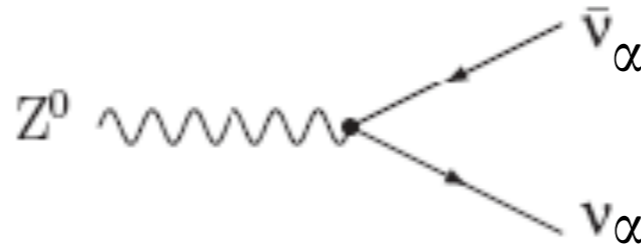
$$W^- \rightarrow l_\alpha^- + \bar{\nu}_\alpha$$

$$W^+ \rightarrow l_\alpha^+ + \nu_\alpha$$

$$(\alpha = e, \mu, \tau)$$

$$\mathcal{L}_{CC} = -\frac{g}{\sqrt{2}} \sum_{\alpha} \bar{\nu}_{\alpha L} \gamma^{\mu} l_{\alpha L} W_{\mu} + \text{h.c.}$$

## Neutral Current (NC):



$$Z^0 \rightarrow \nu_{\alpha} \bar{\nu}_{\alpha}$$

$$\mathcal{L}_{NC} = -\frac{g}{2 \cos \theta_W} \sum_{\alpha} \bar{\nu}_{\alpha L} \gamma^{\mu} \nu_{\alpha L} Z_{\mu}^0$$

in the SM, only LH neutrinos and RH antineutrinos participate in weak interactions

♦ interactions conserve total Lepton Number  $L$ :  $L(l^-) = L(\nu) = -L(l^+) = -L(\bar{\nu}) = 1$

♦ family lepton numbers  $L_e, L_{\mu}, L_{\tau}$  are also conserved (1998: nu oscill !!)

# Fermion masses in the SM lagrangian

- ◆ In the SM, fermion masses appear in the lagrangian with terms like:

$$m\bar{\psi}\psi \quad \rightarrow \text{Dirac mass term}$$

decomposing into its chiral states:  $\psi \equiv \psi_L + \psi_R$

$$\rightarrow m\bar{\psi}\psi = m\bar{\psi}_L\psi_R + m\bar{\psi}_R\psi_L$$

→ **forbidden**: not invariant under SU(2): it couples  $\psi_L$  with  $\psi_R$  ( $I_W=1/2$ )

→ solved by **Higgs mechanism**: after SSB, Dirac mass terms appear from Yukawa couplings:

$$\mathcal{L}_{\text{Yukawa}} = Y\bar{\psi}_L\phi\psi_R + \text{h.c.} \quad \langle\phi^0\rangle = v$$

→ OK for most of particles but SM neutrino has only a L-chiral state (no  $\psi_R$ )



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**What about a Majorana mass term??**

# Majorana neutrino mass

Majorana, ~1930

- ◆ We add a R-chiral field from a L-chiral field by charge conjugation:

$$\psi_R \equiv \psi_L^C = \hat{C} \bar{\psi}^T \quad \hat{C} = i\gamma^2 \gamma^0$$

→ the total neutrino field is:  $\psi = \psi_L + \psi_R = \psi_L + \psi_L^C$  (2 degrees of freedom)

→ taking the charge conjugate:  $\psi^C = (\psi_L + \psi_R)^C = \psi_L^C + \psi_L = \psi$

$$\psi = \nu = \nu_L + \nu_L^C$$

neutrino = antineutrino

**Majorana mass term:**

$$-\mathcal{L}_M = \frac{1}{2} m \left( \overline{\nu_L^C} \nu_L + \overline{\nu_L} \nu_L^C \right)$$

Not invariant under U(1) transformations

However: this mass term not invariant under weak isospin ( $I_W=1$ )

→ solved with a **Higgs triplet** BUT it is not included in the SM.

→ solved with a **dim-5 operator** (Weinberg operator) BUT non-renormalizable

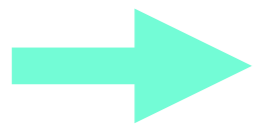
# Neutrino mass in the SM

- ◆ Since the SM does not contain **right-handed neutrinos**: a Dirac mass term as for the rest of fermions is not allowed.
- ◆ The SM only contains one Higgs doublet: no **Higgs triplet** to build a Majorana mass term
- ◆ The SM is **renormalizable** and, therefore, dim-5 terms as the Weinberg operator are not allowed.

**Neutrinos are strictly massless in the Standard Model!**

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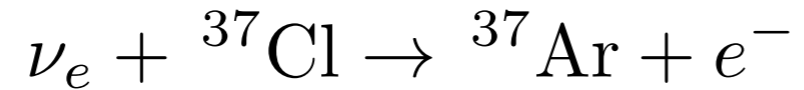
# Neutrino oscillations



[nobelprize.org](http://nobelprize.org)

# First indication of $\nu$ oscillations

1968: First observation of solar neutrinos by R. Davis in Homestake.

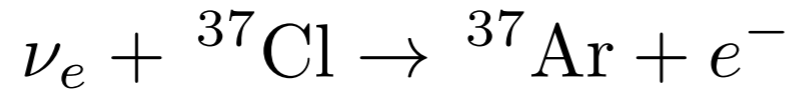


➔ 1/3 of the Standard Solar Model prediction !!

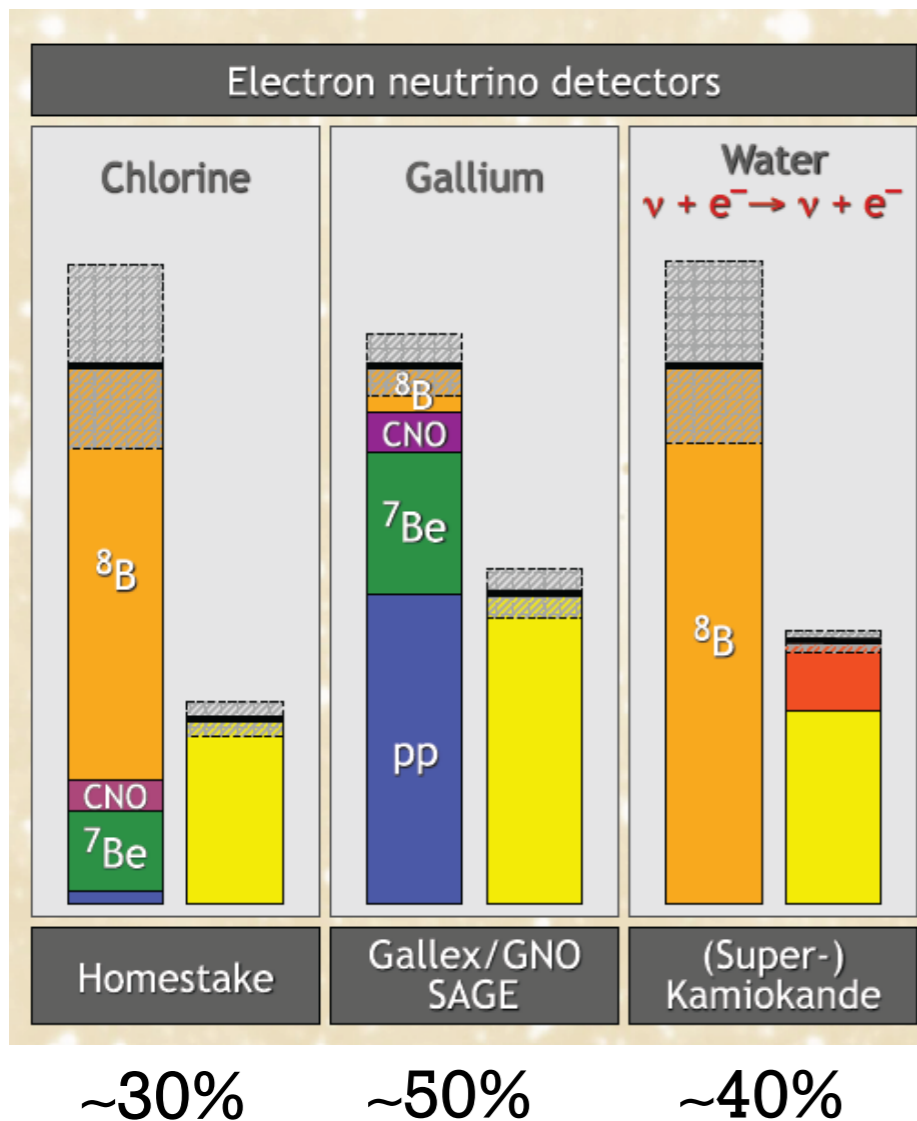


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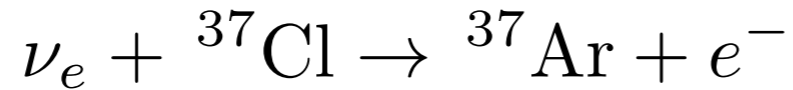
→ confirmed by the following solar experiments



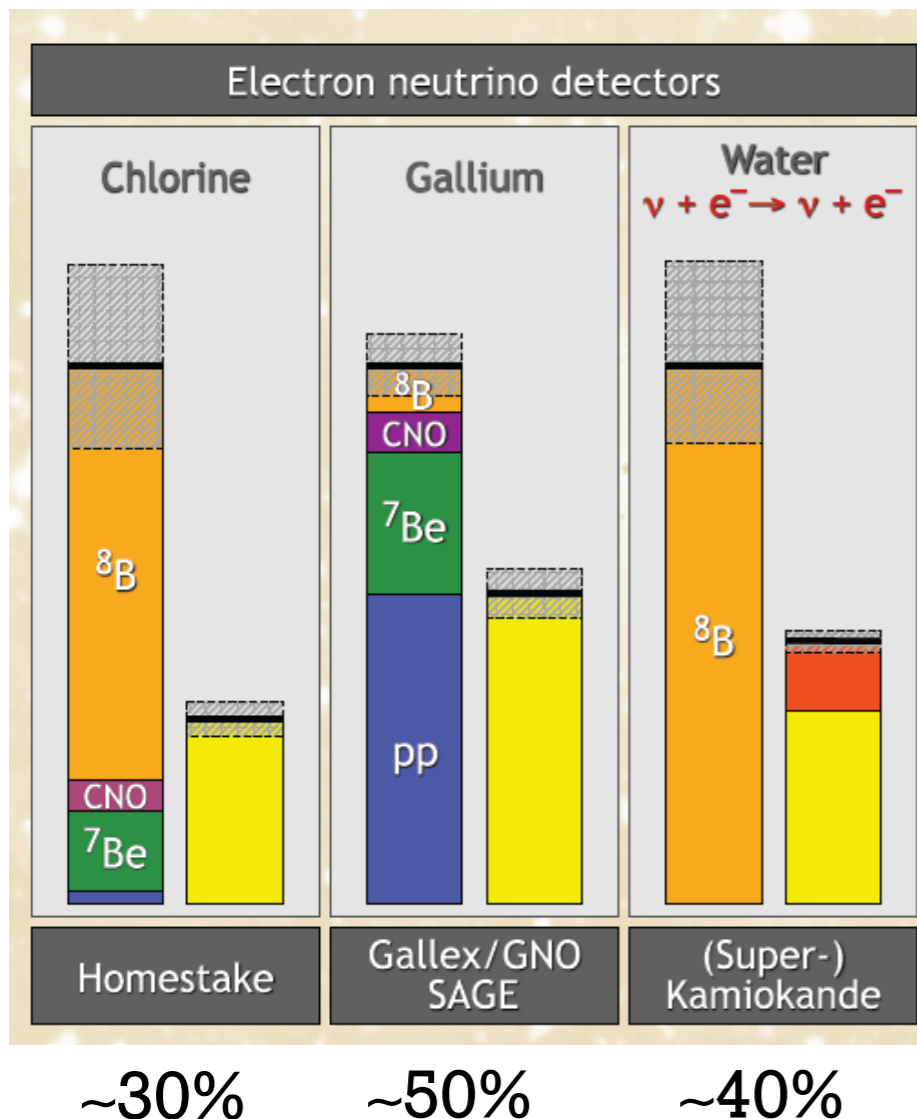


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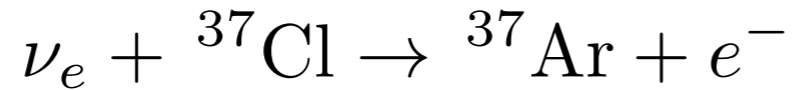
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- theory (SM, SSM) was wrong
- experiments were wrong (all of them?)
- something was happening to neutrinos

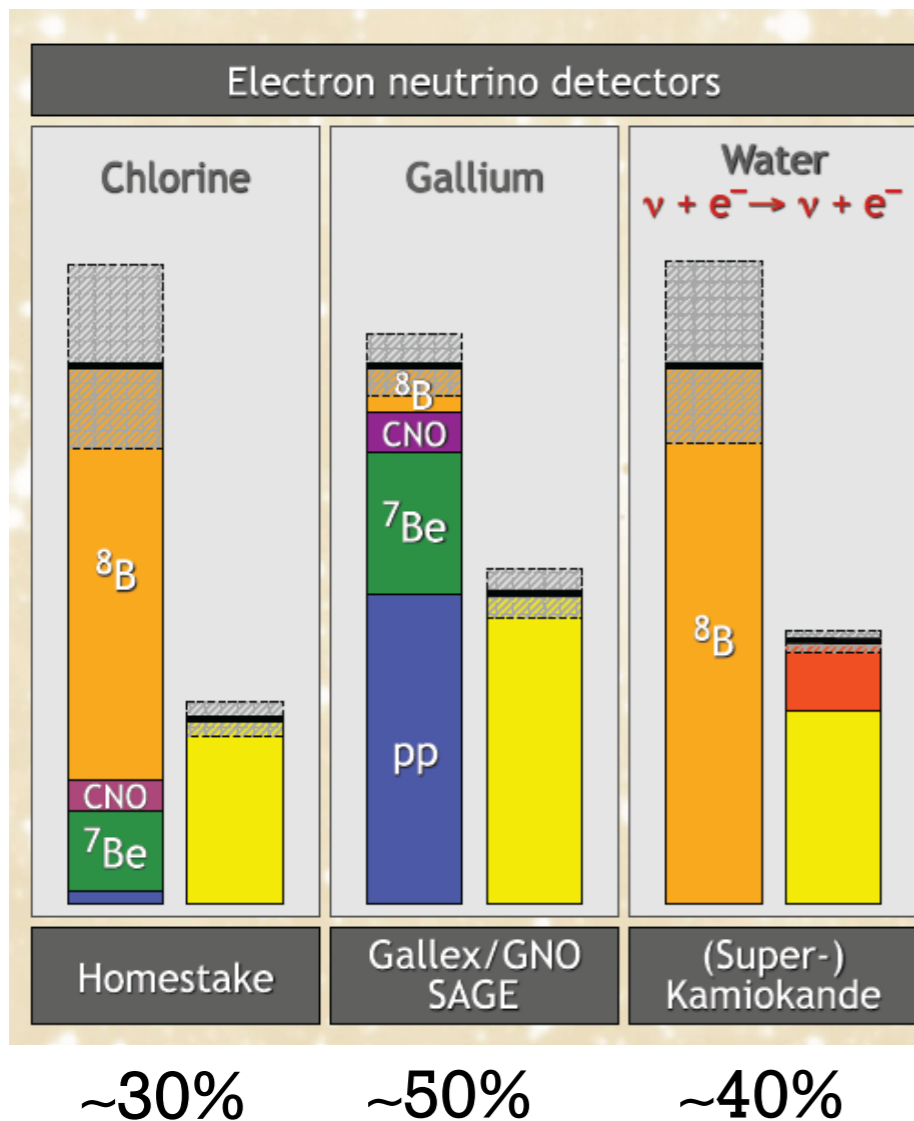


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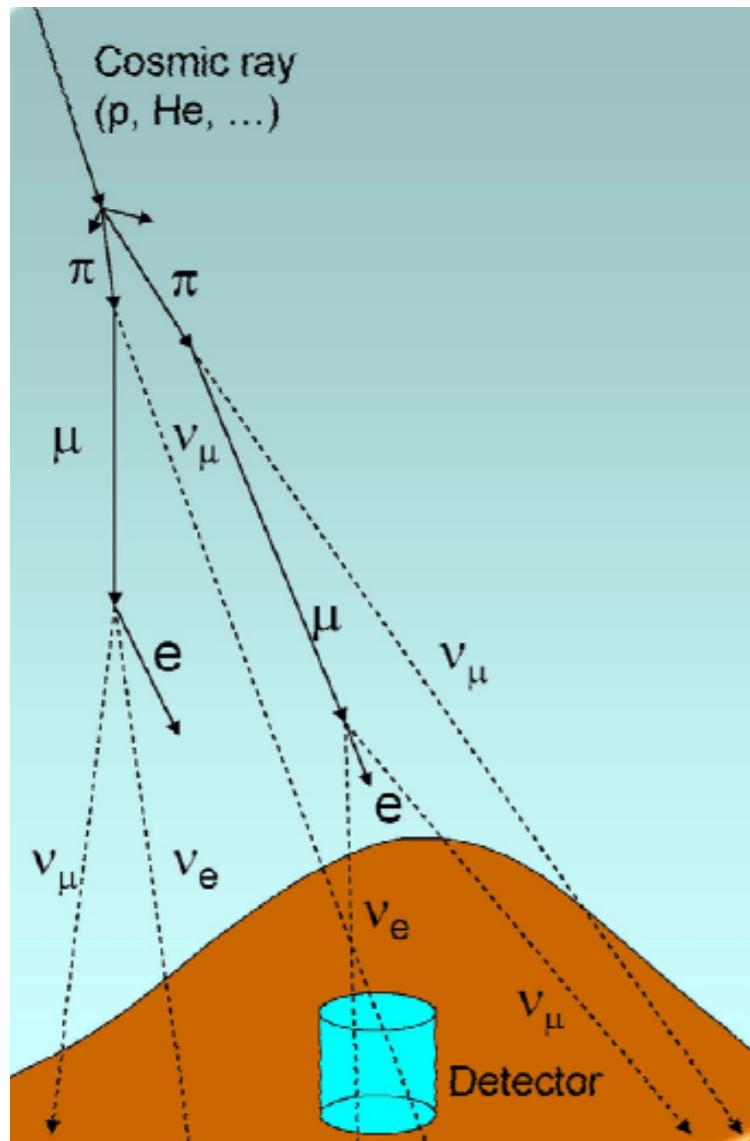
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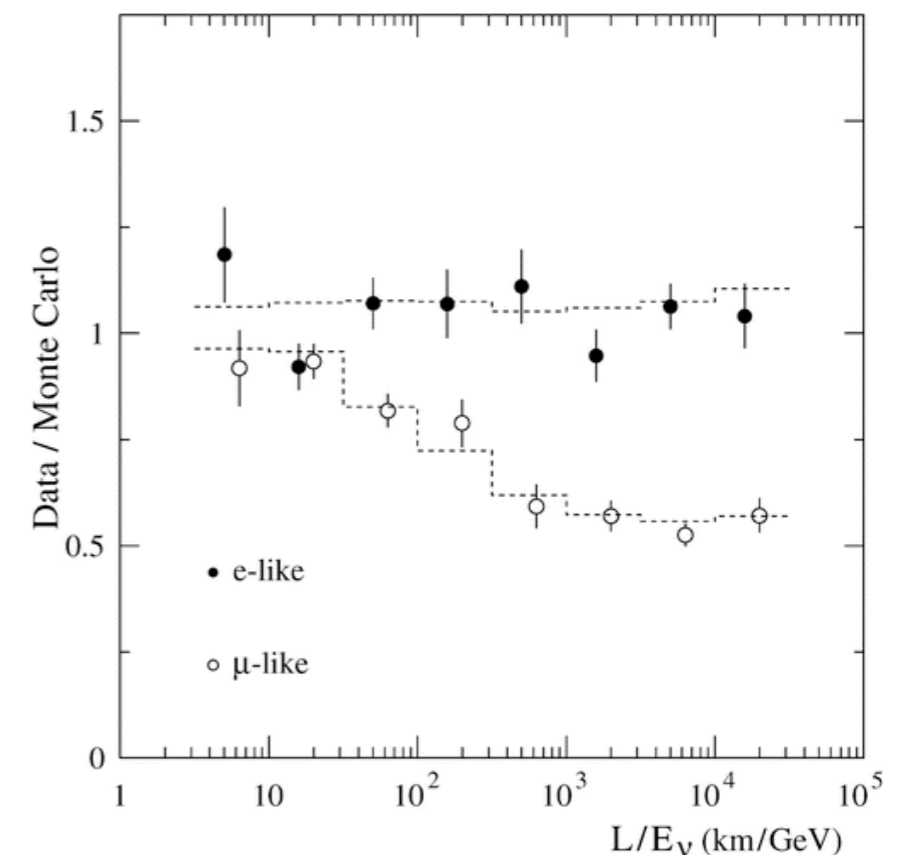
2002 Nobel Prize in Physics

# The atmospheric neutrino anomaly



**1985:** First indications of a deficit in the observed number of atmospheric  $\nu_\mu$  at the IMB experiment.

**1994:** Kamiokande finds the  $\nu_\mu$  deficit depends on the distance travelled by the neutrino and its energy.

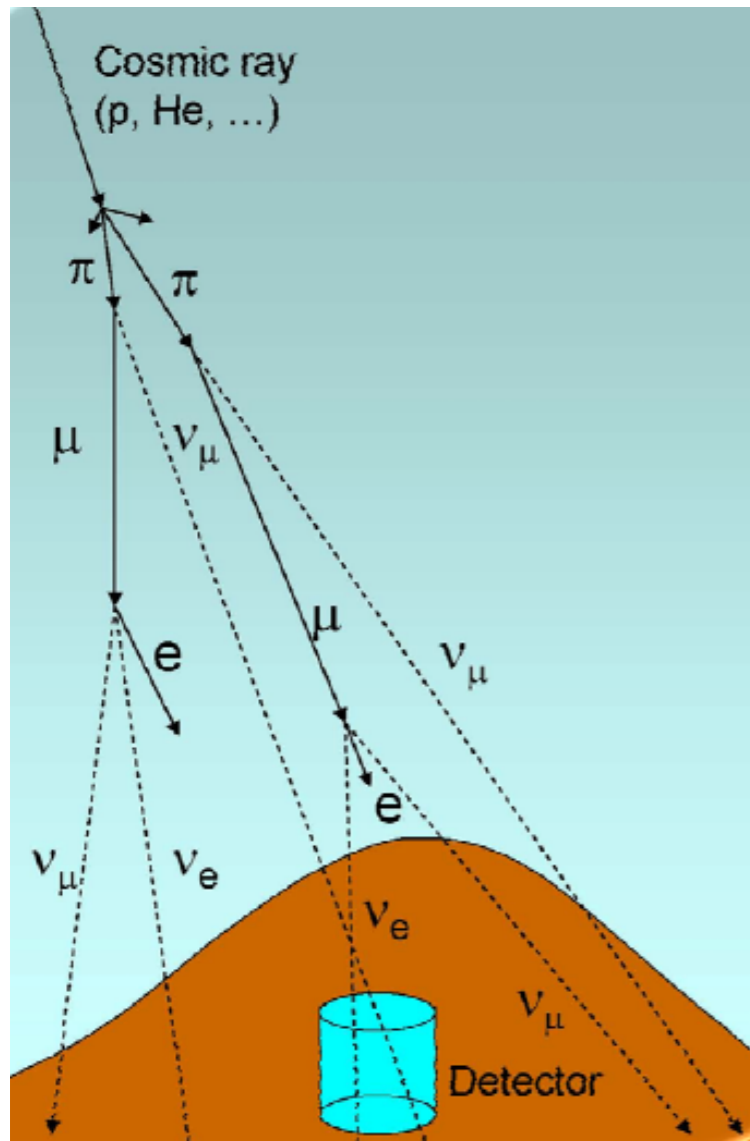


**1998:** Discovery of atmospheric neutrino oscillations in Super-Kamiokande.

oscillation channel  $\nu_\mu \rightarrow \nu_\tau$

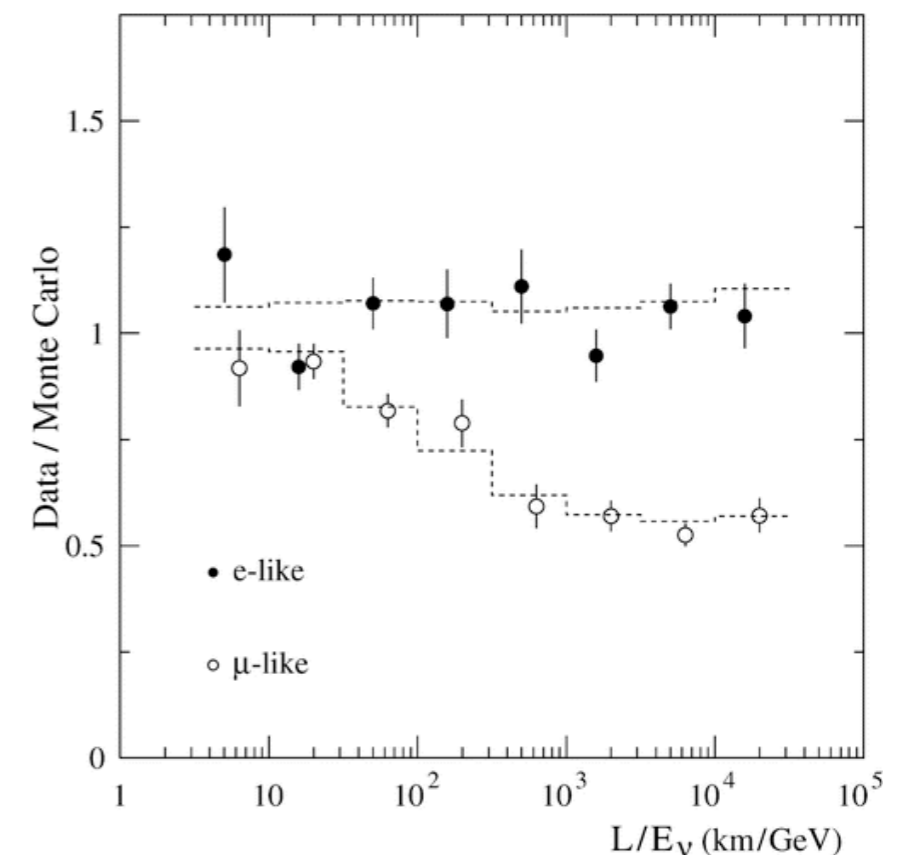
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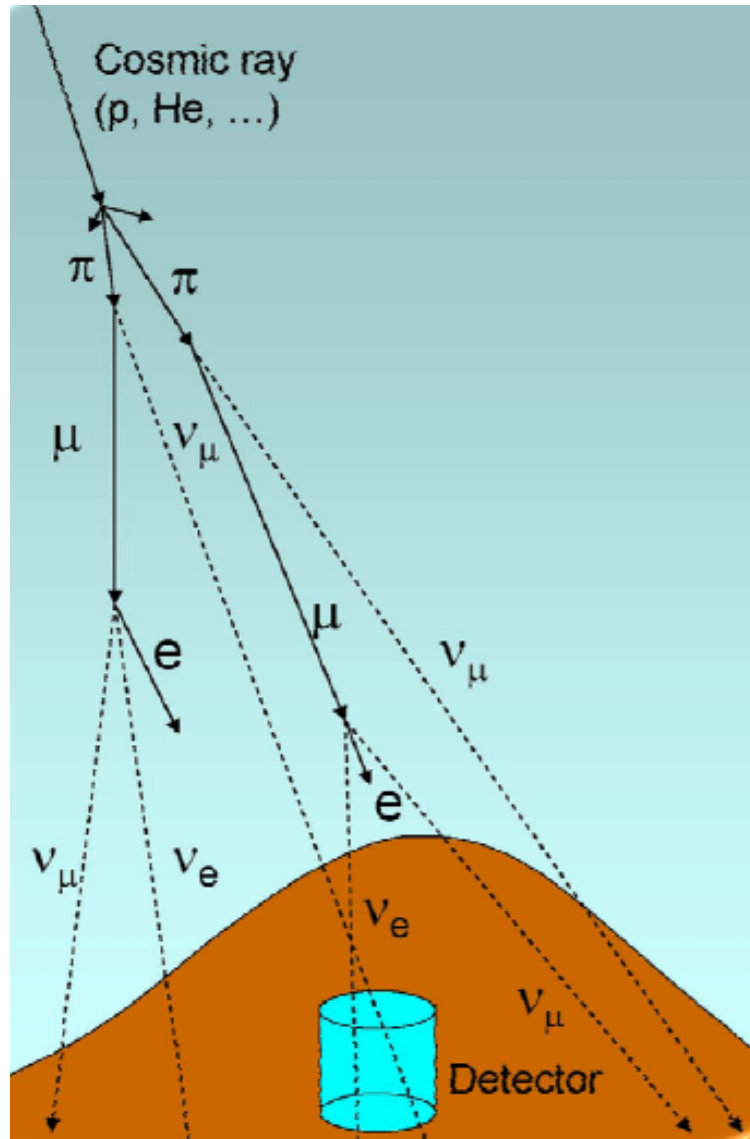


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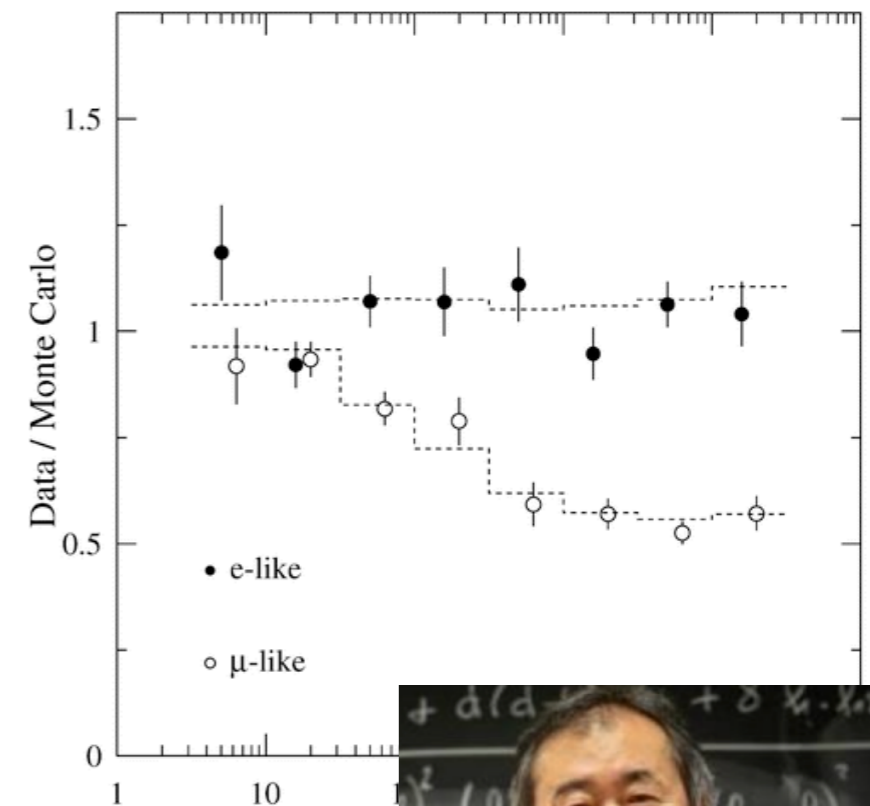
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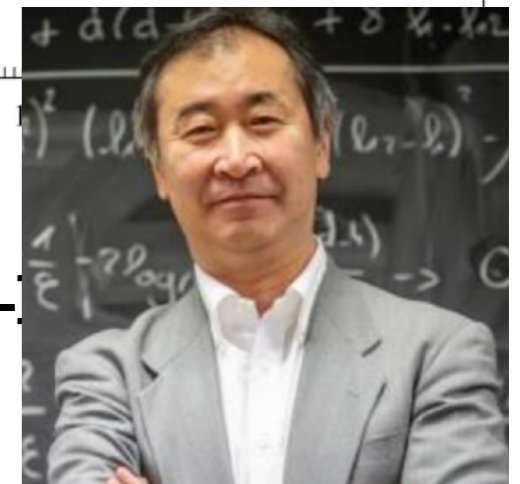
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2015 Nobel  
Prize in Physics

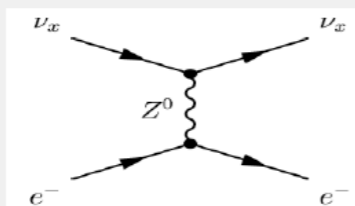
# The Sudbury Neutrino Observatory

2001: Confirmation of flavor conversion in solar neutrinos in SNO.

SNO Coll, PRL89 (2002) 011301

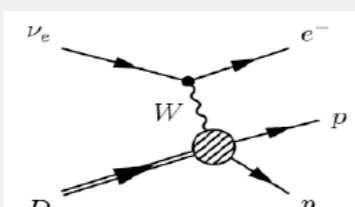
**SNO interactions**

**Elastic-scattering (ES):**  
 $\nu_x + e^- \rightarrow \nu_x + e^-$



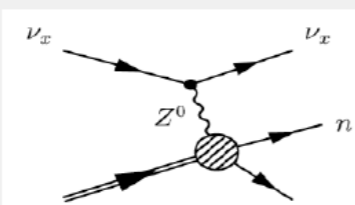
$\nu_e$  mainly strong directional sensitivity

**Charged-currents (CC):**  
 $\nu_e + d \rightarrow p + p + e^-$

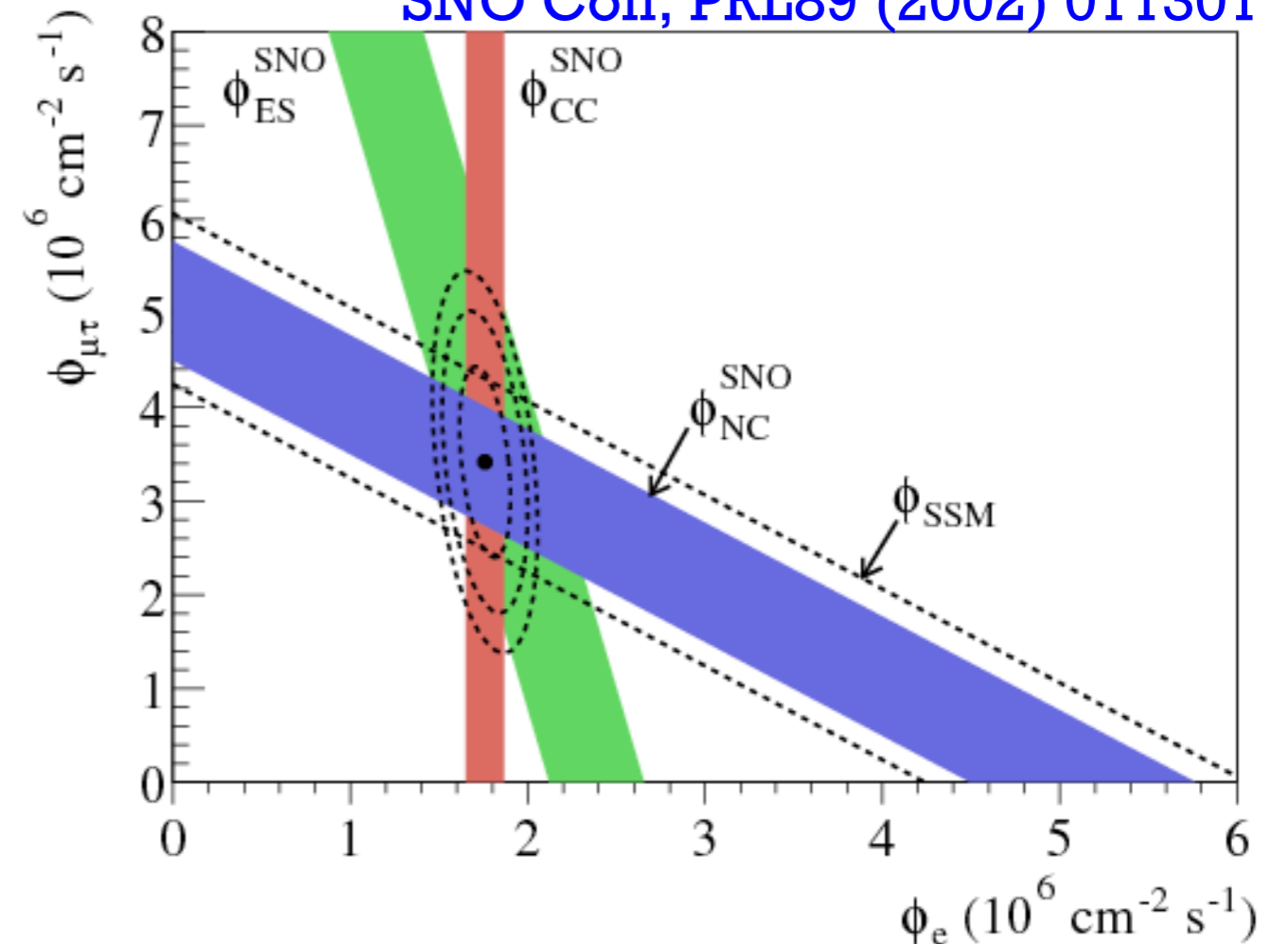


$\nu_e$  only  
 $E_e$  well correlated with  $E_\nu$

**Neutral-currents (NC):**  
 $\nu_x + d \rightarrow p + n + \nu_x$



All flavors equally  
 Total neutrino flux



$$\frac{\phi_{CC}^{SNO}}{\phi_{NC}^{SNO}} = 0.301 \pm 0.033 \quad \rightarrow \quad \text{30\% of solar neutrinos are detected as } \nu_e$$

$$\phi_{NC}^{SNO} \simeq \phi_{8B}^{SSM} \quad \rightarrow \quad \boxed{\text{conversion } \nu_e \rightarrow \nu_{\mu\tau}}$$

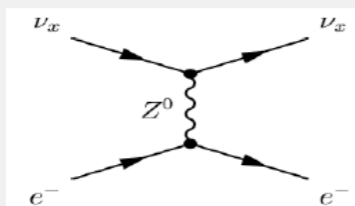
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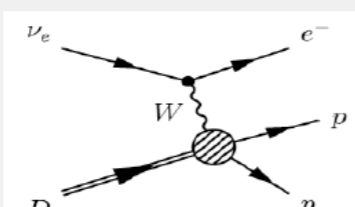
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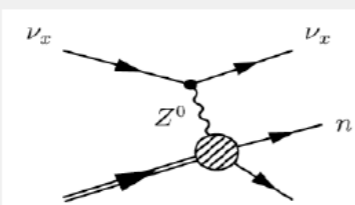
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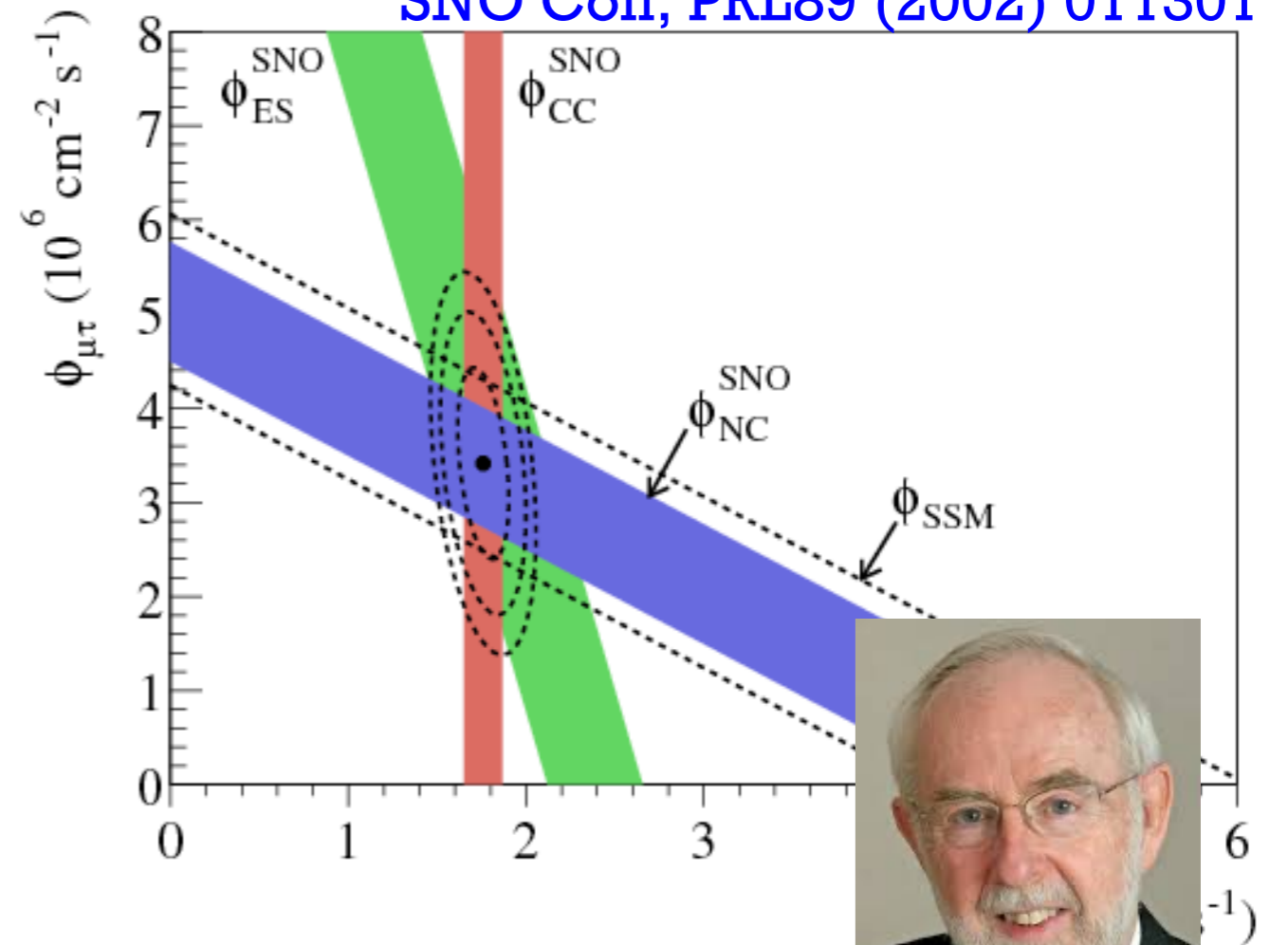


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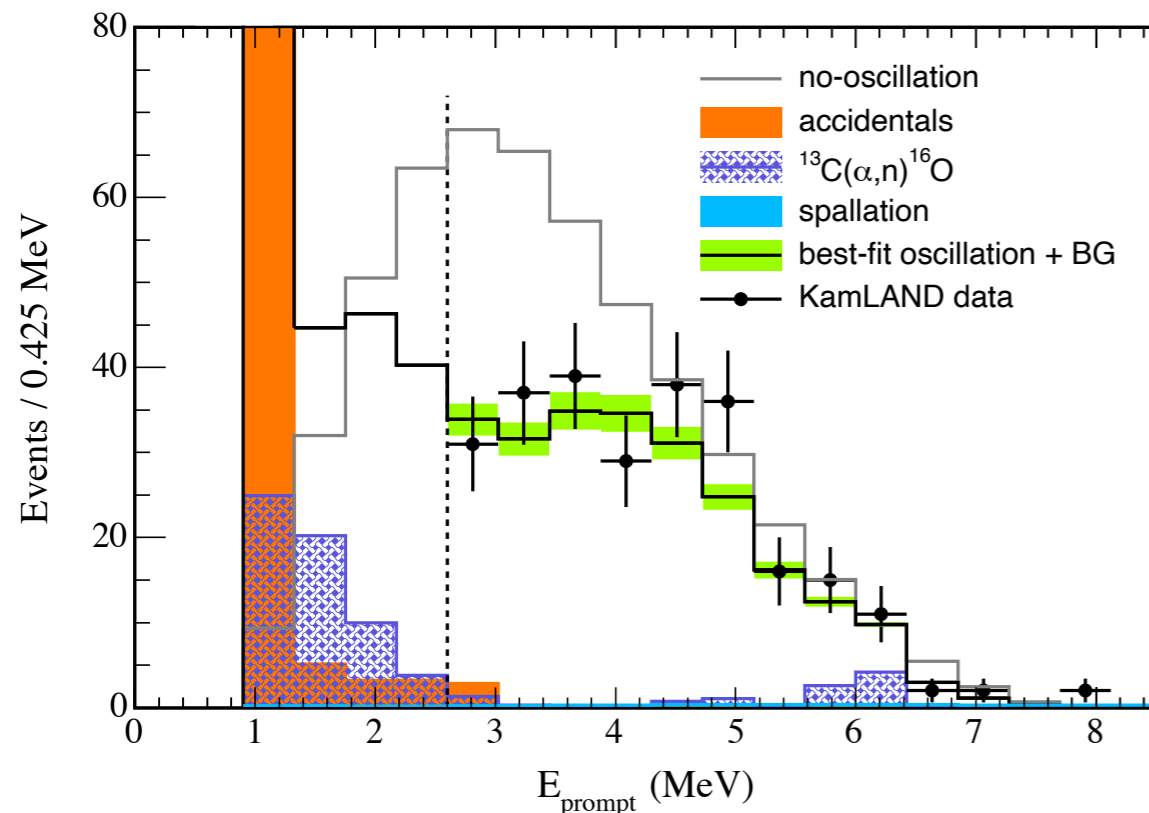
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$$\phi_{NC}^{SNO} \simeq \phi_{8B}^{SSM} \rightarrow \text{conversion } \nu_e \rightarrow \nu_{\mu\tau}$$

# Other important results

**2002:** The reactor experiment **KamLAND** observed neutrino oscillations consistent with the solar anomaly.



**KamLAND Coll, PRL 90 (2003) 021802**

**2002:** Results of the accelerator experiment **K2K** consistent with  $\nu_{\mu}$  oscillations as in the atmospheric anomaly (**MINOS, T2K, NO $\nu$ A**).

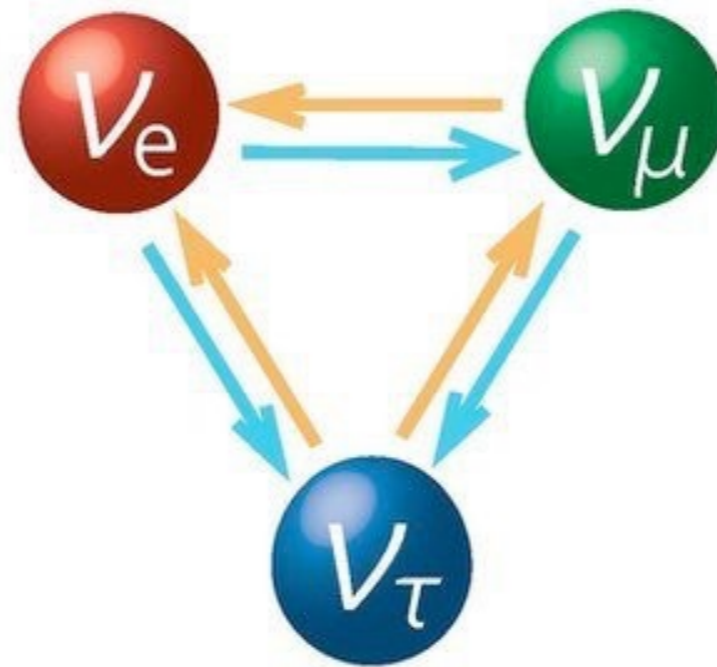
**2011:**  $\nu_{\mu} \rightarrow \nu_e$  oscillations observed in long-baseline accelerator experiments: first hint for a non-zero  $\theta_{13}$ .

**2011:** Double Chooz confirmed reactor antineutrino oscillations in a baseline of  $\sim 1$  km: first measurement of  $\theta_{13}$ . (**Daya Bay, RENO**)

neutrino oscillations have been observed in solar, atmospheric, reactor and accelerator neutrino experiments.



# Neutrino oscillations: formalism



# Neutrino mixing

- ◆ Mixing described by the **Pontecorvo-Maki-Nakagawa-Sakata** (PMNS) matrix:

$$\nu_{\alpha L} = \sum_k U_{\alpha k} \nu_{k L}$$

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- ◆ For **Dirac neutrinos**, the invariance of the Lagrangian under global phase transformations of the fields allows the absorption of  $(2N-1)$  phases of U in the definition of the leptonic fields.

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- ◆ For **Majorana neutrinos**, the mass term is not invariant under global phase transformations: only N phases can be eliminated from U.

→  $N(N-1)/2$  **physical phases**:  $(N-1)(N-2)/2$  Dirac phases → effect in  $\nu$  oscil.

(N-1) Majorana phases → relevant for  $0\nu\beta\beta$

# Neutrino mixing

- ◆ 2-neutrino mixing depends on 1 angle only (+1 Majorana phase)

$$\begin{pmatrix} \cos \theta & \sin \theta \\ -\sin \theta & \cos \theta \end{pmatrix}$$

- ◆ 3-neutrino mixing is described by 3 angles and 1 Dirac (+2 Majorana) CP violating phases.

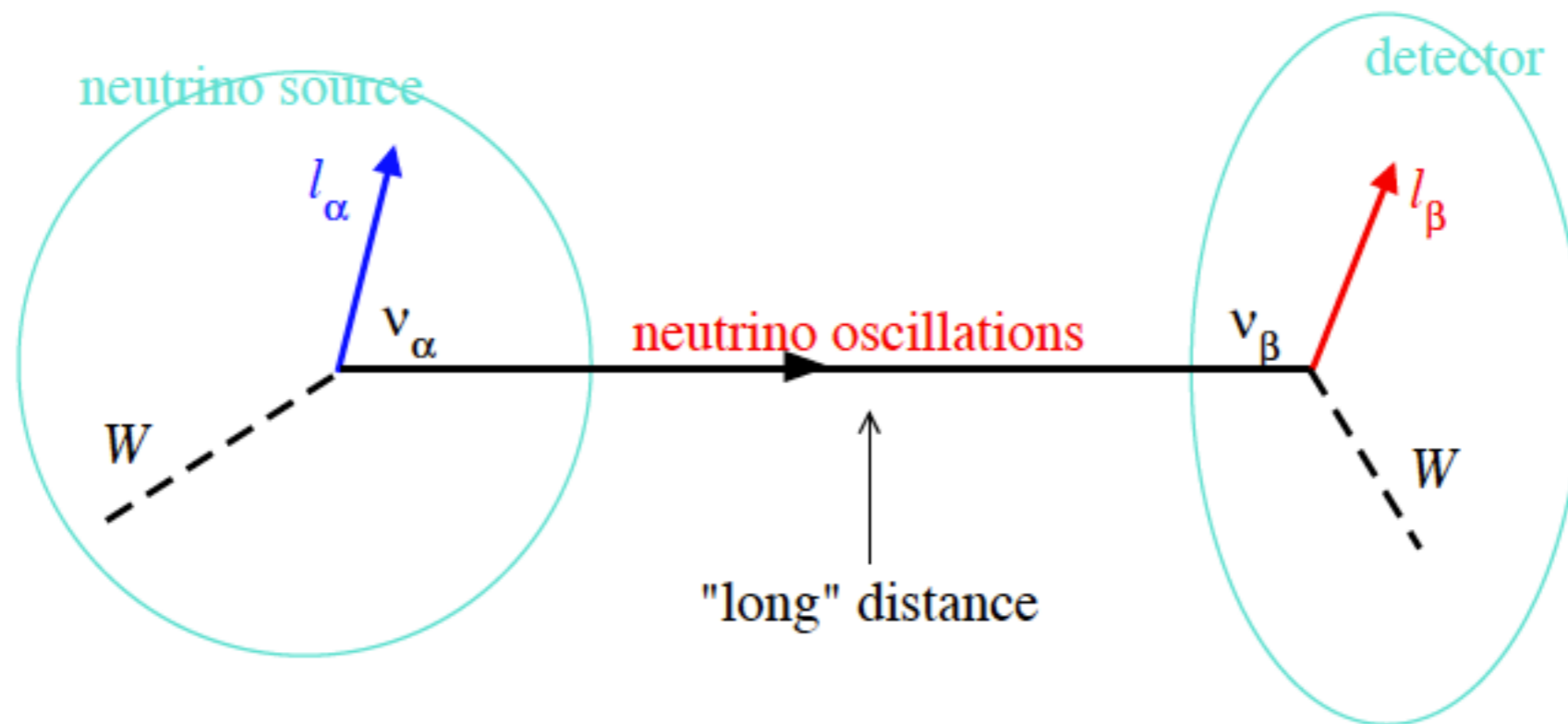
$$\begin{pmatrix} 1 & 0 & 0 \\ 0 & c_{23} & s_{23} \\ 0 & -s_{23} & c_{23} \end{pmatrix} \begin{pmatrix} c_{13} & 0 & s_{13}e^{-i\delta} \\ 0 & 1 & 0 \\ -s_{13}e^{i\delta} & 0 & c_{13} \end{pmatrix} \begin{pmatrix} c_{12} & s_{12} & 0 \\ -s_{12} & c_{12} & 0 \\ 0 & 0 & 1 \end{pmatrix}$$

atmospheric + LBL

reactor + LBL

solar + KamLAND

# Neutrino oscillations picture



## Production

$$|\nu_\alpha\rangle = \sum_j U_{\alpha j}^* |\nu_j\rangle$$

coherent superposition  
of massive states

## Propagation

$$\nu_j : e^{-i \frac{m_j^2 L}{2E}}$$

different propagation  
phases change  $\nu_j$   
composition

## Detection

$$\langle \nu_\beta | = \sum_j \langle \nu_j | U_{\beta j}$$

projection over  
flavour eigenstates

# Neutrino oscillation probability

Neutrino oscillation amplitude:

$$\begin{aligned}
 A_{\nu_\alpha \rightarrow \nu_\beta} &= \langle \nu_\beta(t) | \nu_\alpha(0) \rangle = \sum_j \langle \nu_\beta | \nu_j(t) \rangle \langle \nu_j(t) | \nu_j(0) \rangle \langle \nu_j(0) | \nu_\alpha \rangle \\
 &= \sum_j U_{\beta j} e^{-i \frac{m_j^2 L}{2E}} U_{\alpha j}^*
 \end{aligned}$$

detection                      production  
propagation

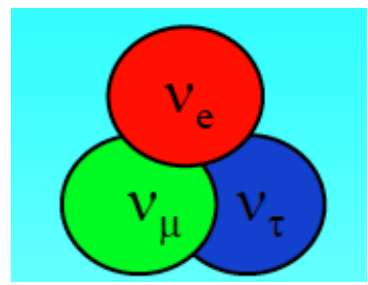
Neutrino oscillation probability:

$$P_{\nu_\alpha \rightarrow \nu_\beta} = \left| \sum_j U_{\beta j} e^{-i \frac{m_j^2 L}{2E}} U_{\alpha j}^* \right|^2$$

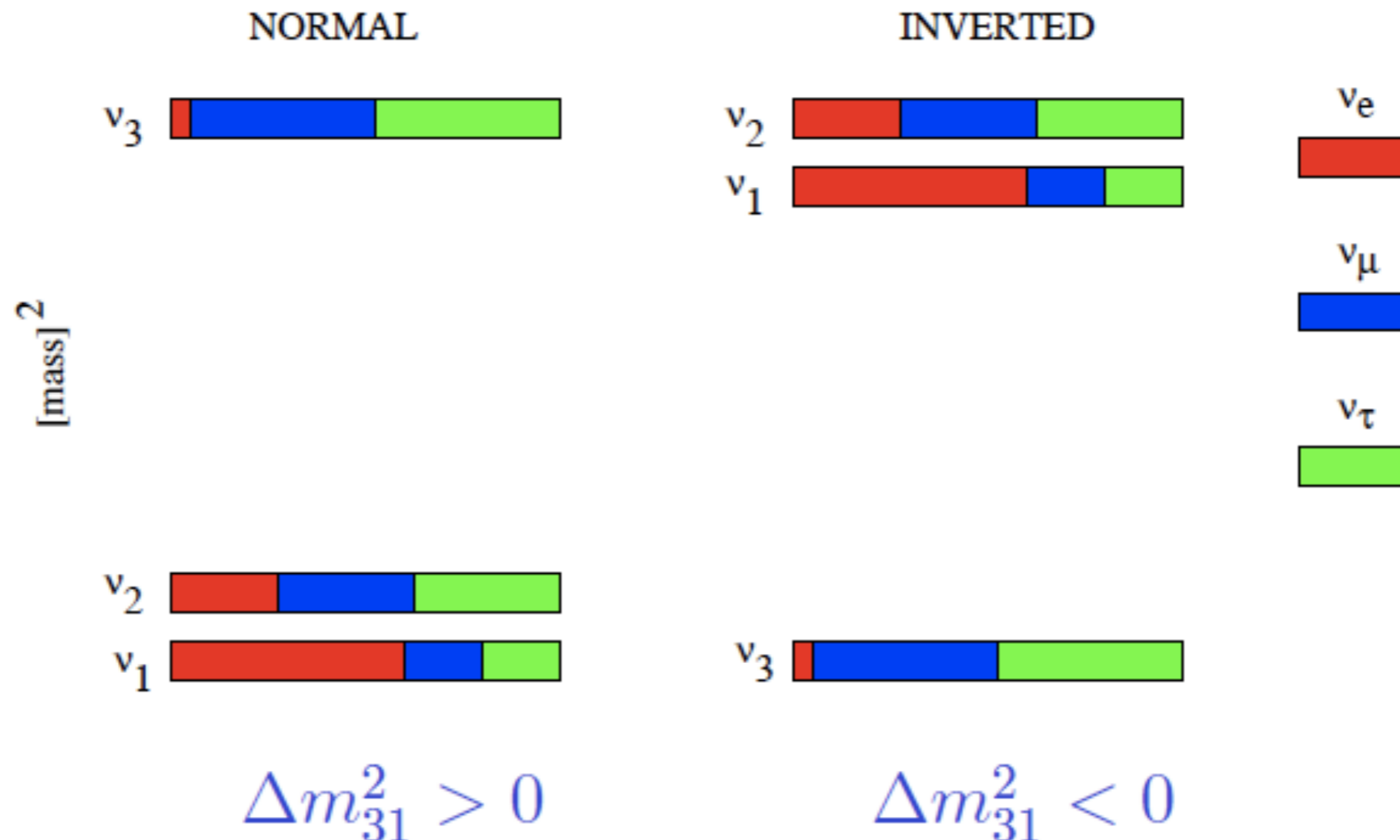
$$\begin{aligned}
 P_{\alpha\beta} &= \delta_{\alpha\beta} - 4 \sum_{i>j} \text{Re} (U_{\alpha i}^* U_{\alpha j} U_{\beta i} U_{\beta j}^*) \sin^2 \left( \frac{\Delta m_{ij}^2 L}{4E} \right) + \\
 &+ 2 \sum_{i>j} \text{Im} (U_{\alpha i}^* U_{\alpha j} U_{\beta i} U_{\beta j}^*) \sin \left( \frac{\Delta m_{ij}^2 L}{2E} \right) \quad \Delta m_{ij}^2 = m_i^2 - m_j^2
 \end{aligned}$$



# Two possible mass orderings

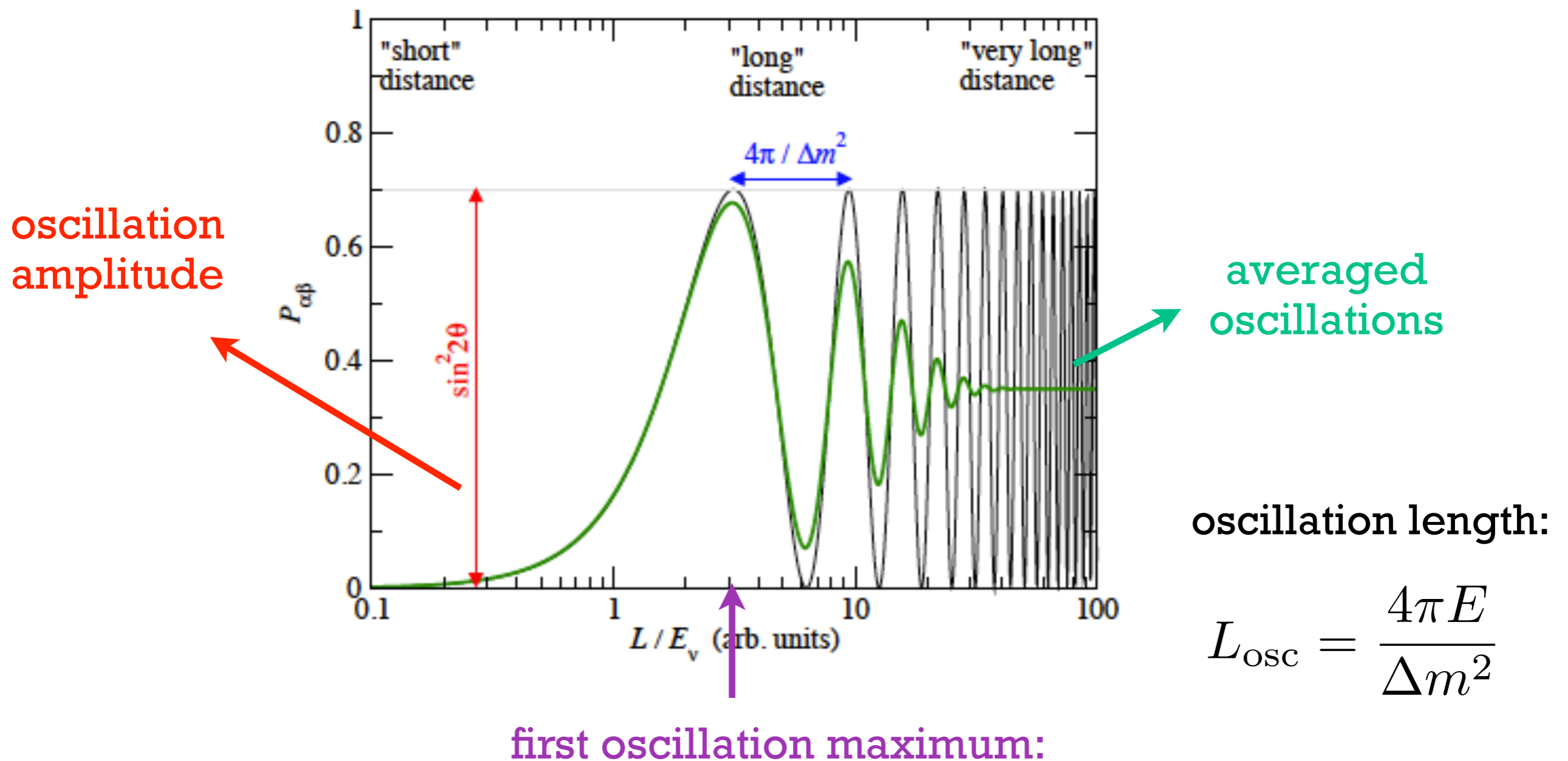


- ◆  $\Delta m^2_{21}$  : solar + KamLAND (positive)
- ◆  $\Delta m^2_{31}$  : atmospheric + LBL accelerator + SBL reactor (sign?)



# 2-neutrino oscillation probability

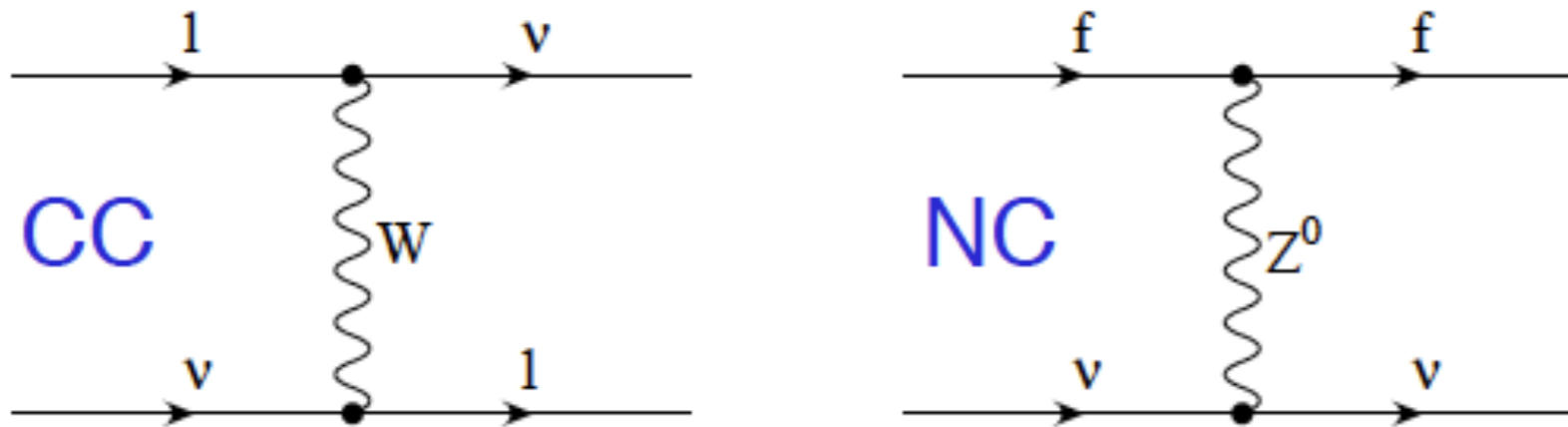
$$P_{\alpha\beta} = \sin^2 2\theta \sin^2 \left( \frac{\Delta m^2 L}{4E} \right)$$



# Matter effects on neutrino oscillations

◆ When neutrinos pass through matter, the interactions with the particles in the medium induce an **effective potential** for the neutrinos.

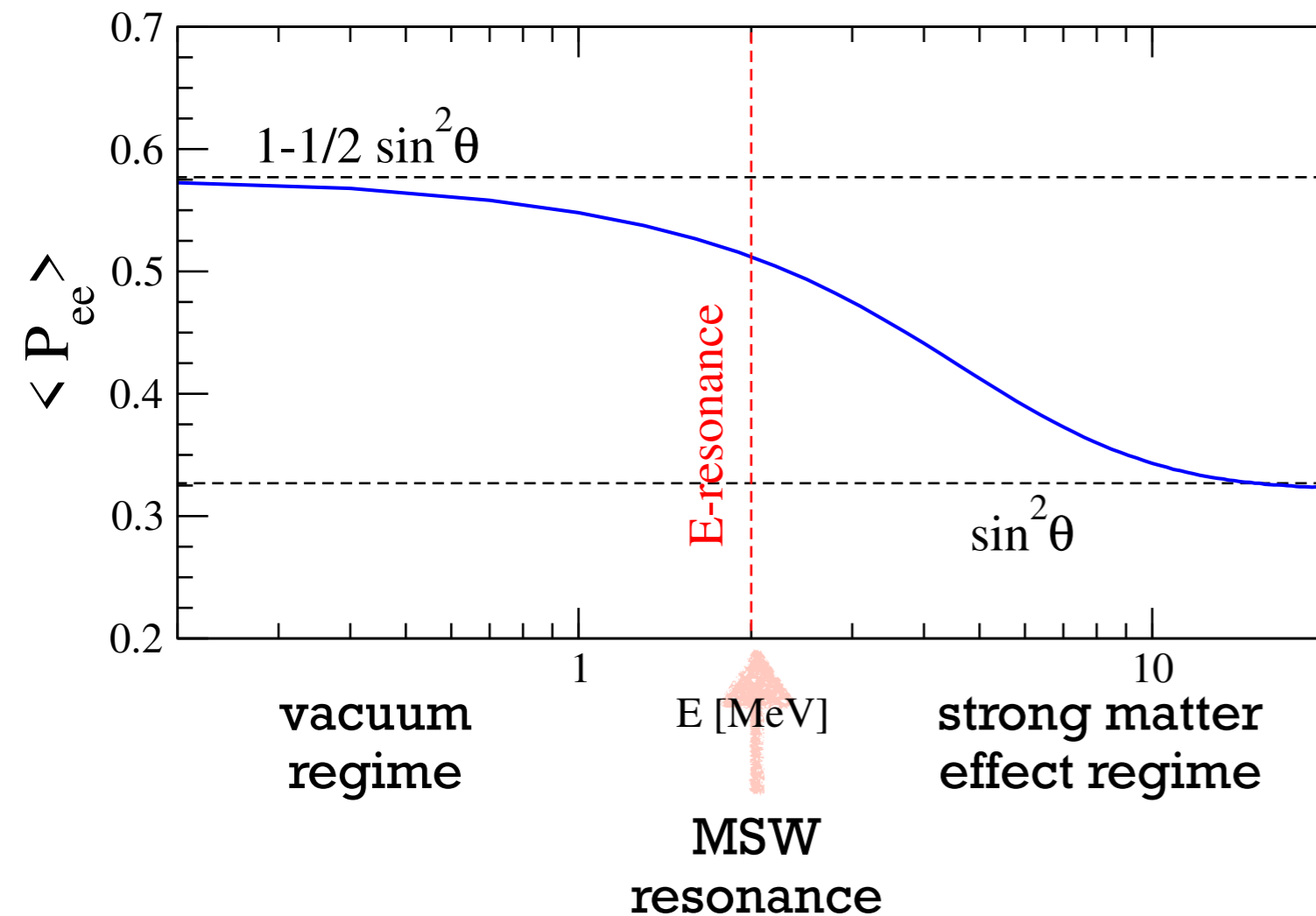
[→ the coherent forward scattering amplitude leads to an index of refraction for neutrinos. **L. Wolfenstein, 1978**]



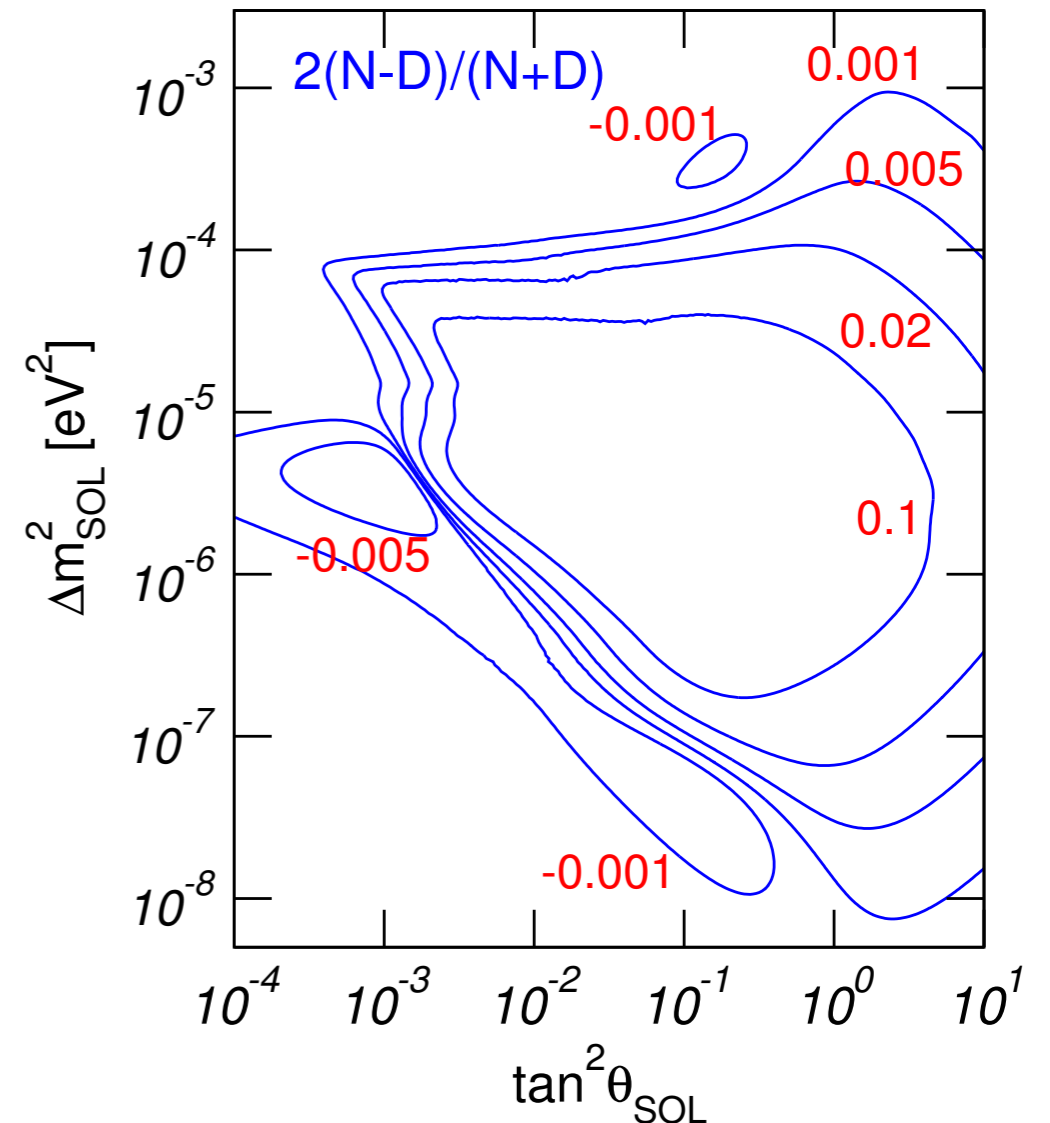
→ modifies the **mixing between flavor states and mass eigenstates** as well as the eigenvalues of the Hamiltonian, leading to a different oscillation probability with respect to vacuum oscillations.

# Matter effects in solar neutrinos

- ◆ Electron neutrino survival probability (MSW effect)



- ◆ Day-night effect

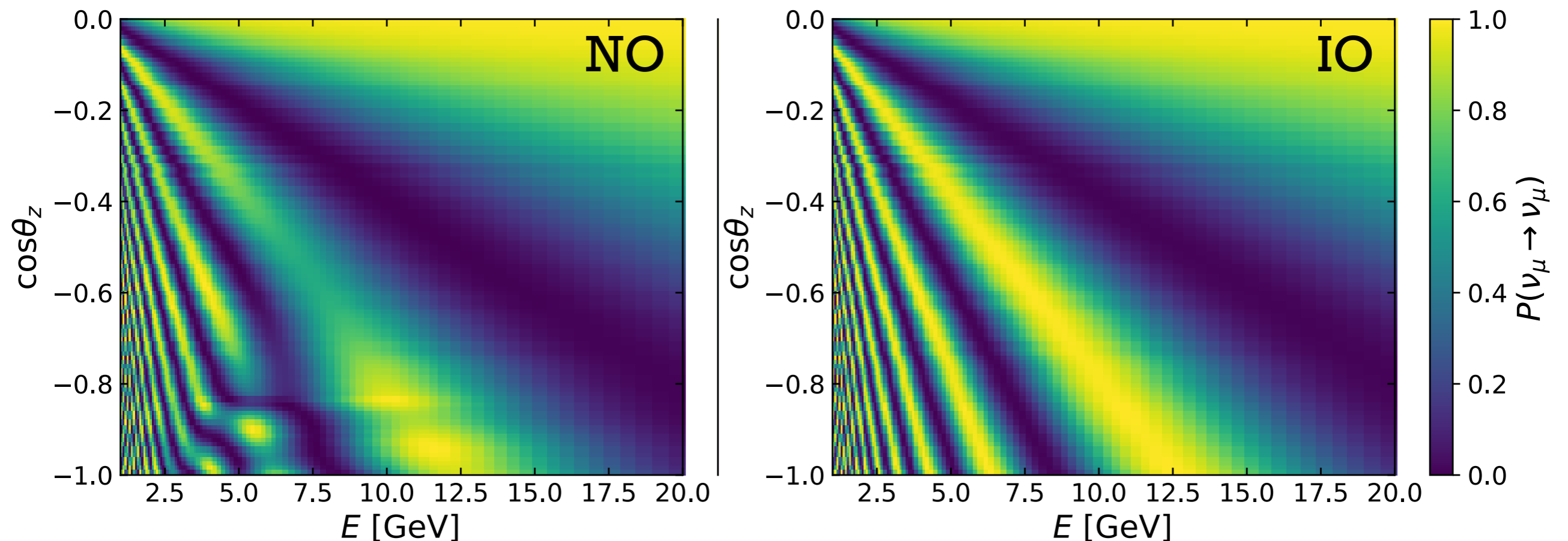


$$\phi_{\text{ND}} \simeq 3.3\%$$

[ Super-Kamiokande Coll, 2016 ]

# Matter effects in atmospheric $\nu$ 's

- ◆ They are harder to observe since they depend on  $\theta_{13}$
- ◆ Matter effects are sensitive to the **mass ordering**: NO vs IO

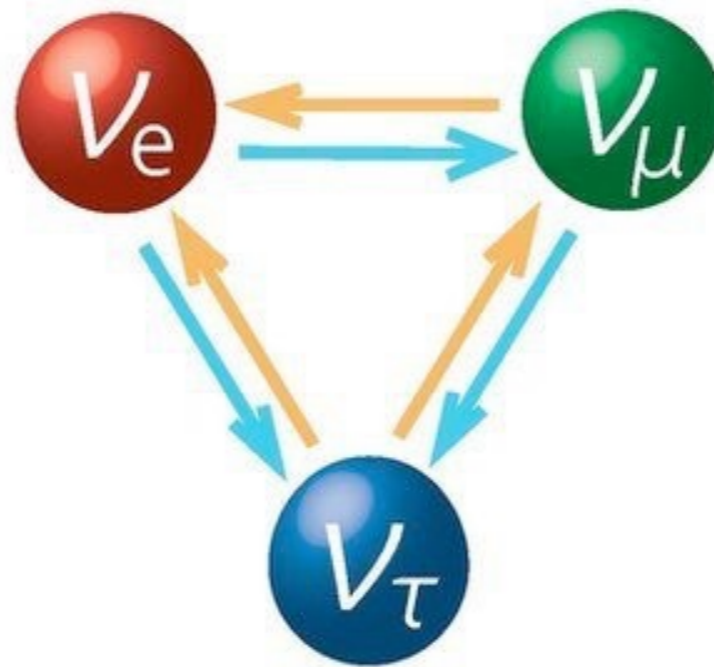


de Salas et al, *Front.Astron.Space Sci.* 5 (2018) 36

At  $E \sim 3-8$  GeV: MSW resonance for neutrinos and NO mass spectrum.

For antineutrinos  $\Rightarrow$  the resonance appears in IO

# Neutrino oscillations: experimental results



# The three-flavour $\nu$ picture

## neutrino mixing

$$U_{3 \times 3} = \begin{pmatrix} 1 & 0 & 0 \\ 0 & \cos \theta_{23} & \sin \theta_{23} \\ 0 & -\sin \theta_{23} & \cos \theta_{23} \end{pmatrix} \begin{pmatrix} \cos \theta_{13} & 0 & \sin \theta_{13} e^{-i\delta} \\ 0 & 1 & 0 \\ -\sin \theta_{13} e^{i\delta} & 0 & \cos \theta_{13} \end{pmatrix} \begin{pmatrix} \cos \theta_{12} & \sin \theta_{12} & 0 \\ -\sin \theta_{12} & \cos \theta_{12} & 0 \\ 0 & 0 & 1 \end{pmatrix}$$

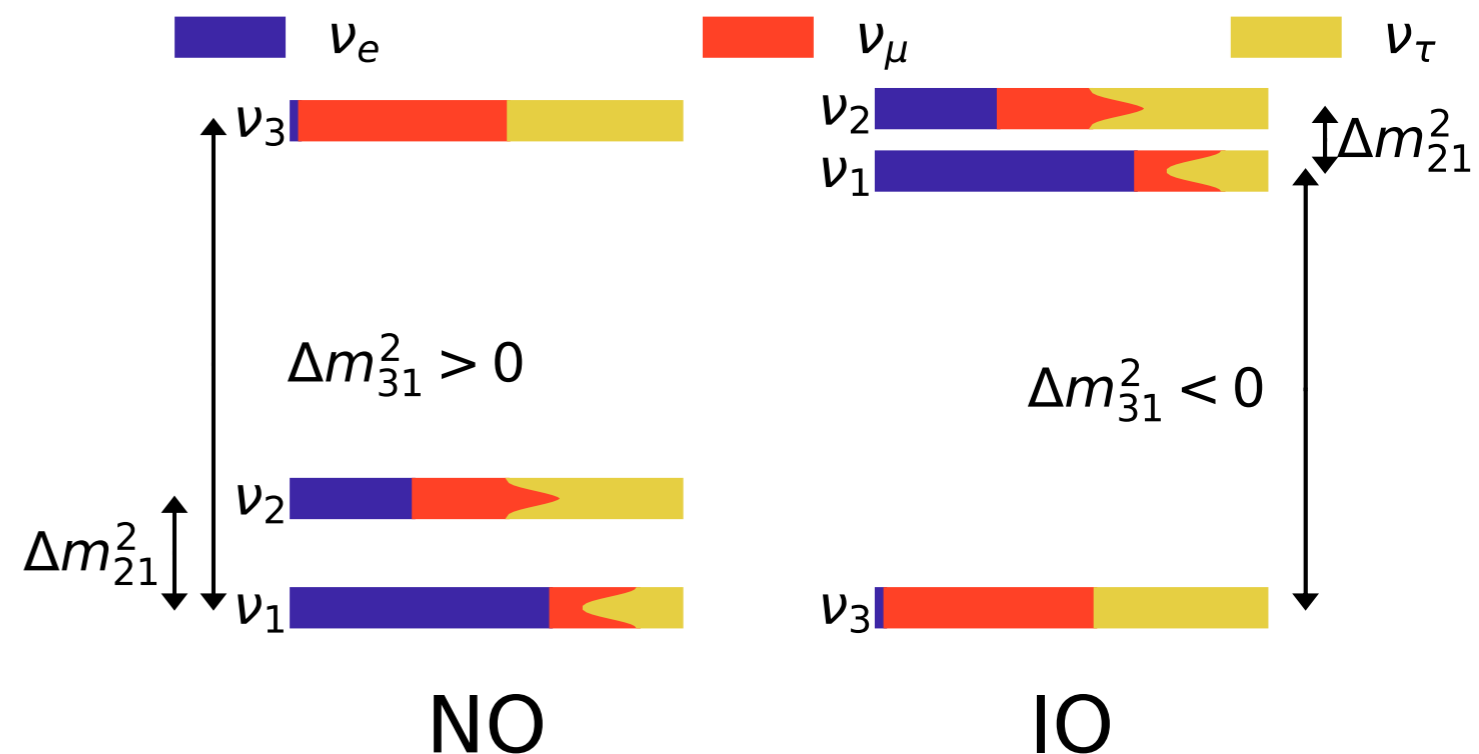
- ✓ 3 mixing angles:  $\theta_{12}, \theta_{23}, \theta_{13}$
- ✓ 3 CP phases: 1 Dirac + 2 Majorana
- ✓ 3 masses:  $m_1, m_2, m_3$

⇒ absolute neutrino mass:  $m_0$

⇒ two mass splittings:

$$\Delta m_{21}^2, \Delta m_{31}^2$$

## neutrino mass spectrum

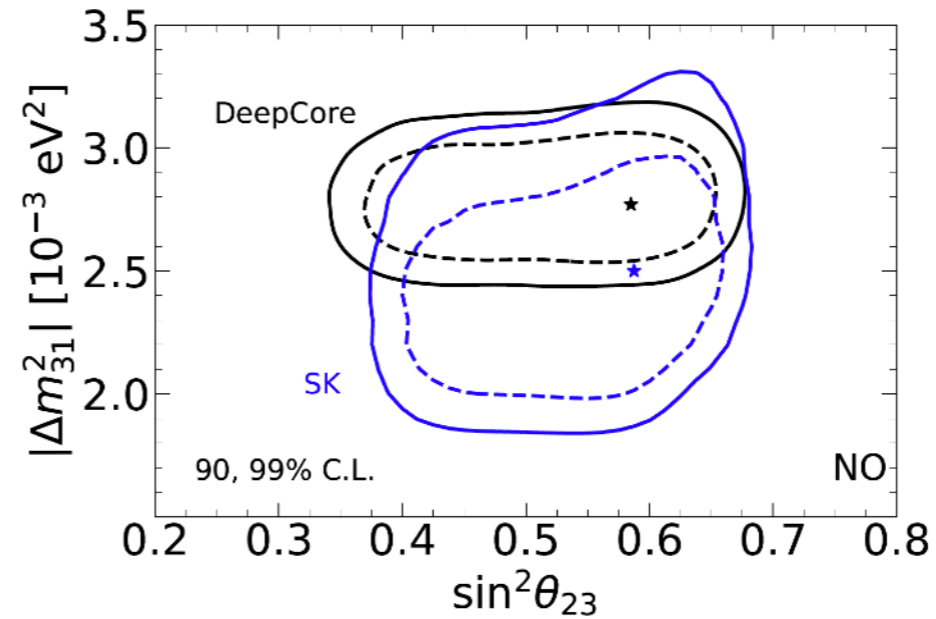
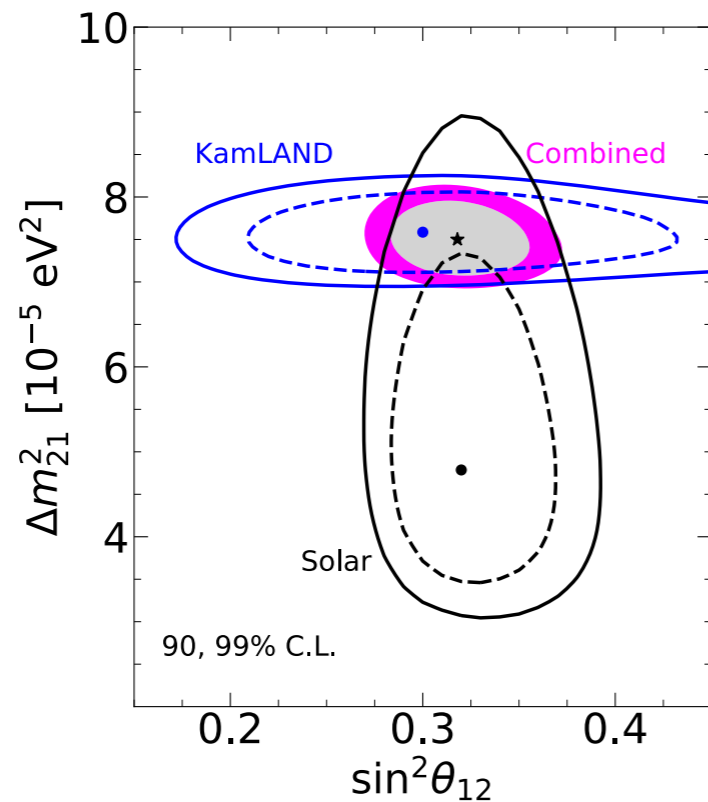


# Experimental data

de Salas et al, **JHEP 02 (2021) 071** [arXiv:2006.11237]

solar  
sector

Cl, Ga, SK  
SNO, Borexino  
KamLAND

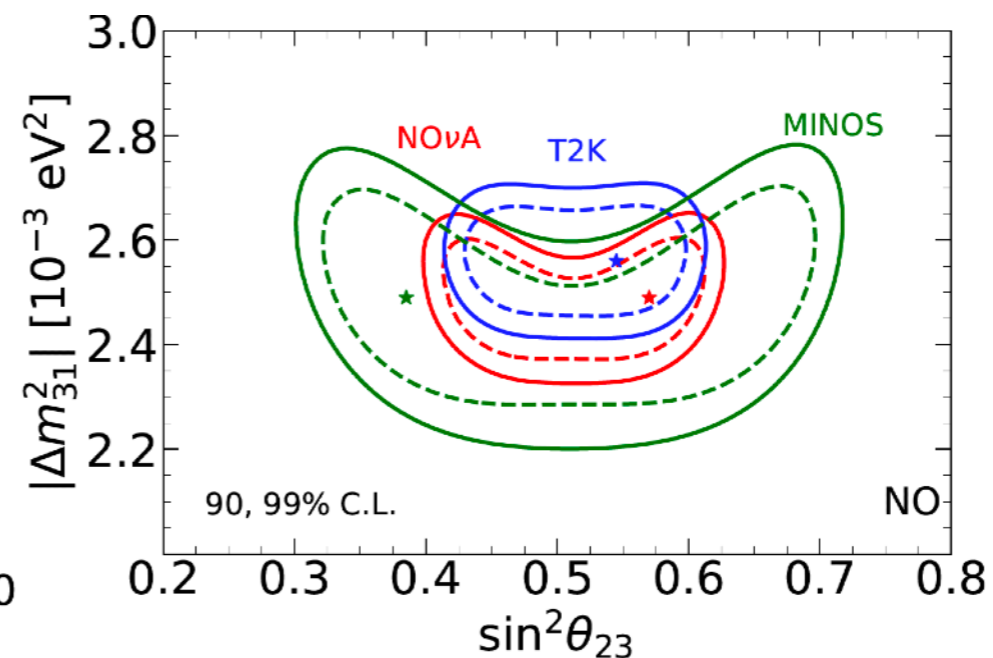
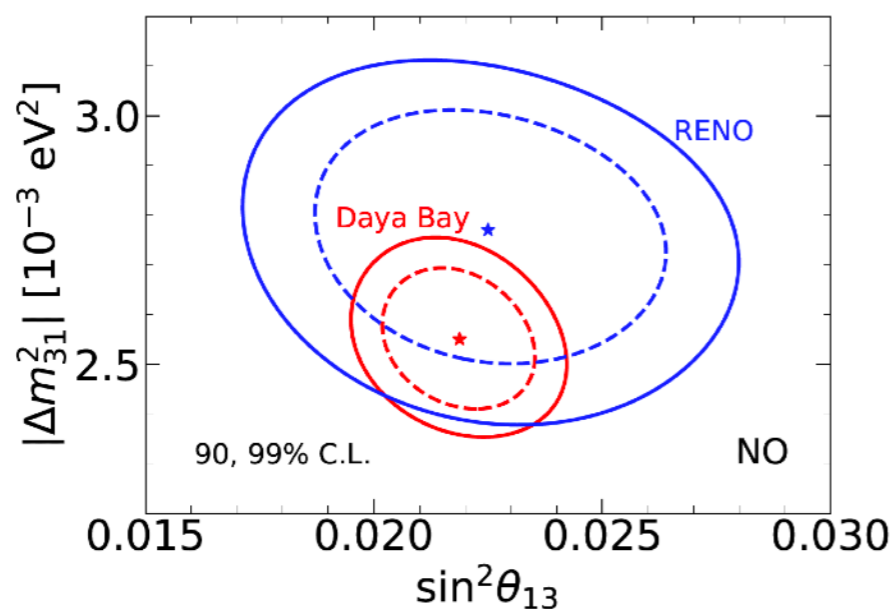


atmospheric  
results

Super-K  
IC-DeepCore

SBL  
reactors

Daya Bay  
RENO



LBL  
experiments

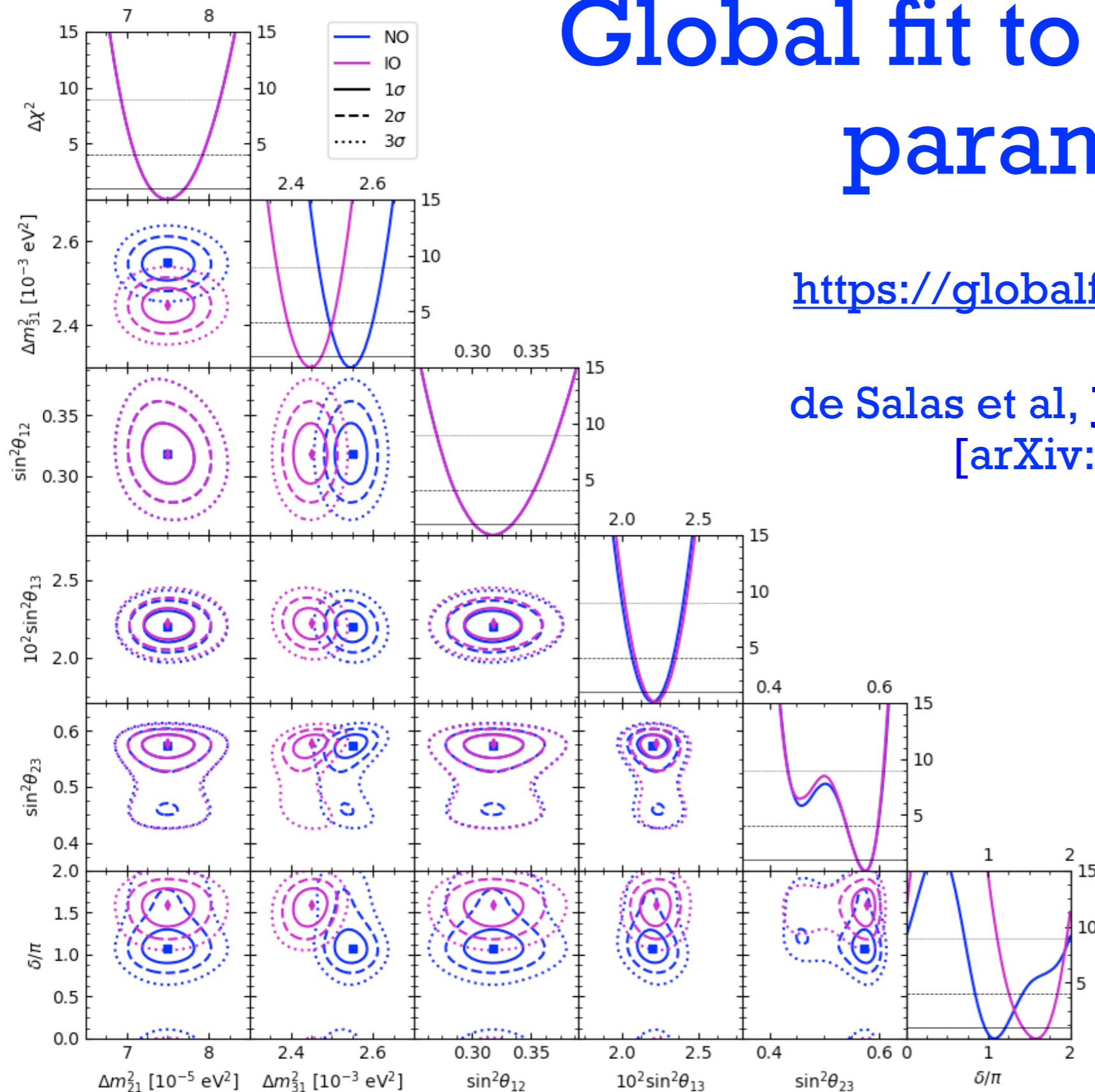
MINOS  
T2K  
NOvA



# Global fit to $\nu$ oscillation parameters

<https://globalfit.astroparticles.es/>

de Salas et al, **JHEP 02 (2021) 071**  
[arXiv:2006.11237]



# Global fit to $\nu$ oscillation parameters

de Salas et al, **JHEP 02 (2021) 071** [arXiv:2006.11237]

See also  
NuFIT and  
Bari group  
analyses

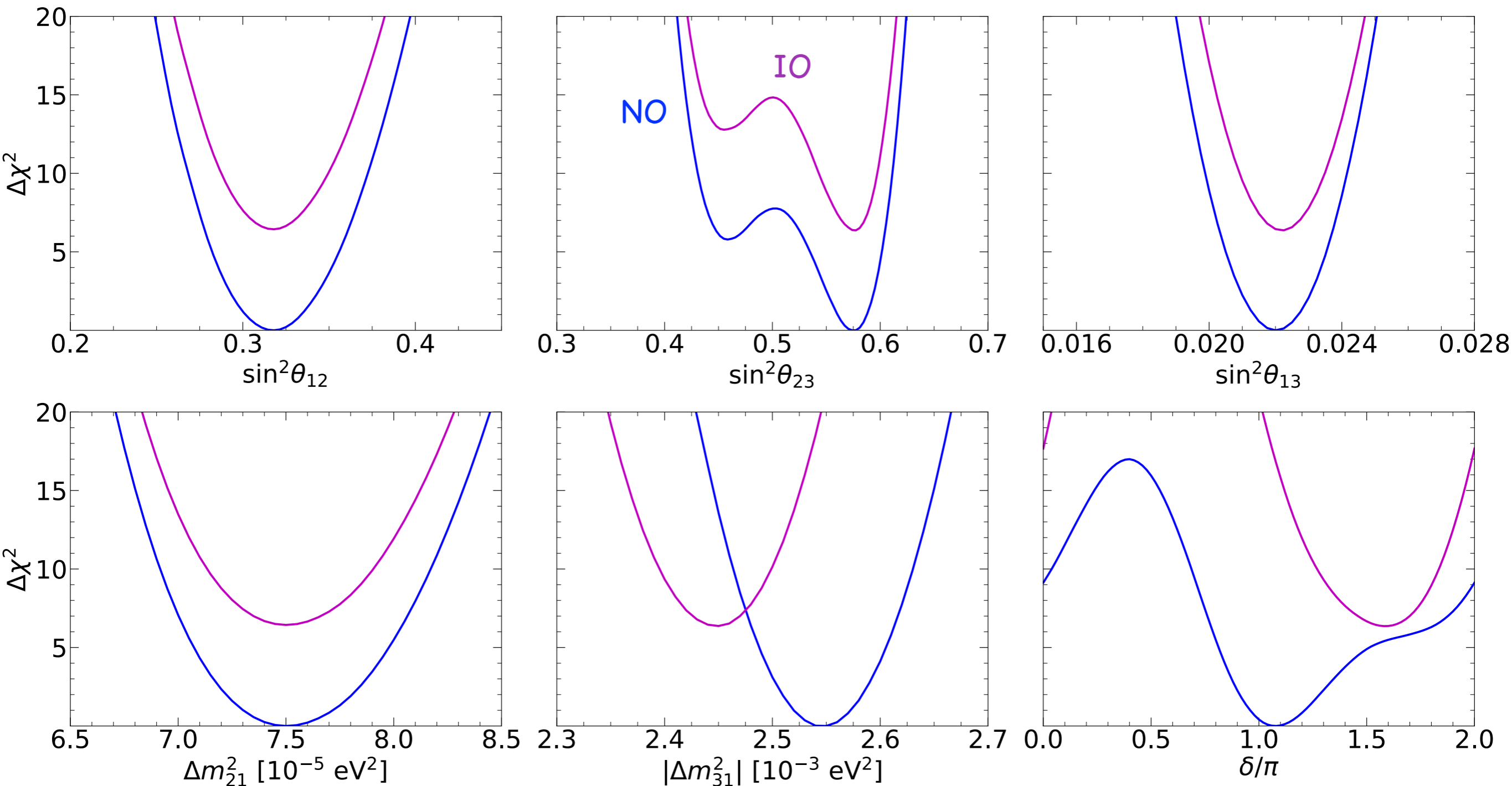
parameter	best fit $\pm 1\sigma$	$3\sigma$ range	
$\Delta m_{21}^2$ [ $10^{-5}\text{eV}^2$ ]	$7.50^{+0.22}_{-0.20}$	6.94–8.14	2.7%
$ \Delta m_{31}^2 $ [ $10^{-3}\text{eV}^2$ ] (NO)	$2.55^{+0.02}_{-0.03}$	2.47–2.63	1.1%
$ \Delta m_{31}^2 $ [ $10^{-3}\text{eV}^2$ ] (IO)	$2.45^{+0.02}_{-0.03}$	2.37–2.53	
$\sin^2\theta_{12}$ / $10^{-1}$	$3.18 \pm 0.16$	2.71–3.69	5.2%
$\sin^2\theta_{23}$ / $10^{-1}$ (NO)	$5.74 \pm 0.14$	4.34–6.10	5.1%
$\sin^2\theta_{23}$ / $10^{-1}$ (IO)	$5.78^{+0.10}_{-0.17}$	4.33–6.08	
$\sin^2\theta_{13}$ / $10^{-2}$ (NO)	$2.200^{+0.069}_{-0.062}$	2.000–2.405	3.0%
$\sin^2\theta_{13}$ / $10^{-2}$ (IO)	$2.225^{+0.064}_{-0.070}$	2.018–2.424	
$\delta/\pi$ (NO)	$1.08^{+0.13}_{-0.12}$	0.71–1.99	20%
$\delta/\pi$ (IO)	$1.58^{+0.15}_{-0.16}$	1.11–1.96	9.0%

relative  $1\sigma$  uncertainty

<https://globalfit.astroparticles.es/>

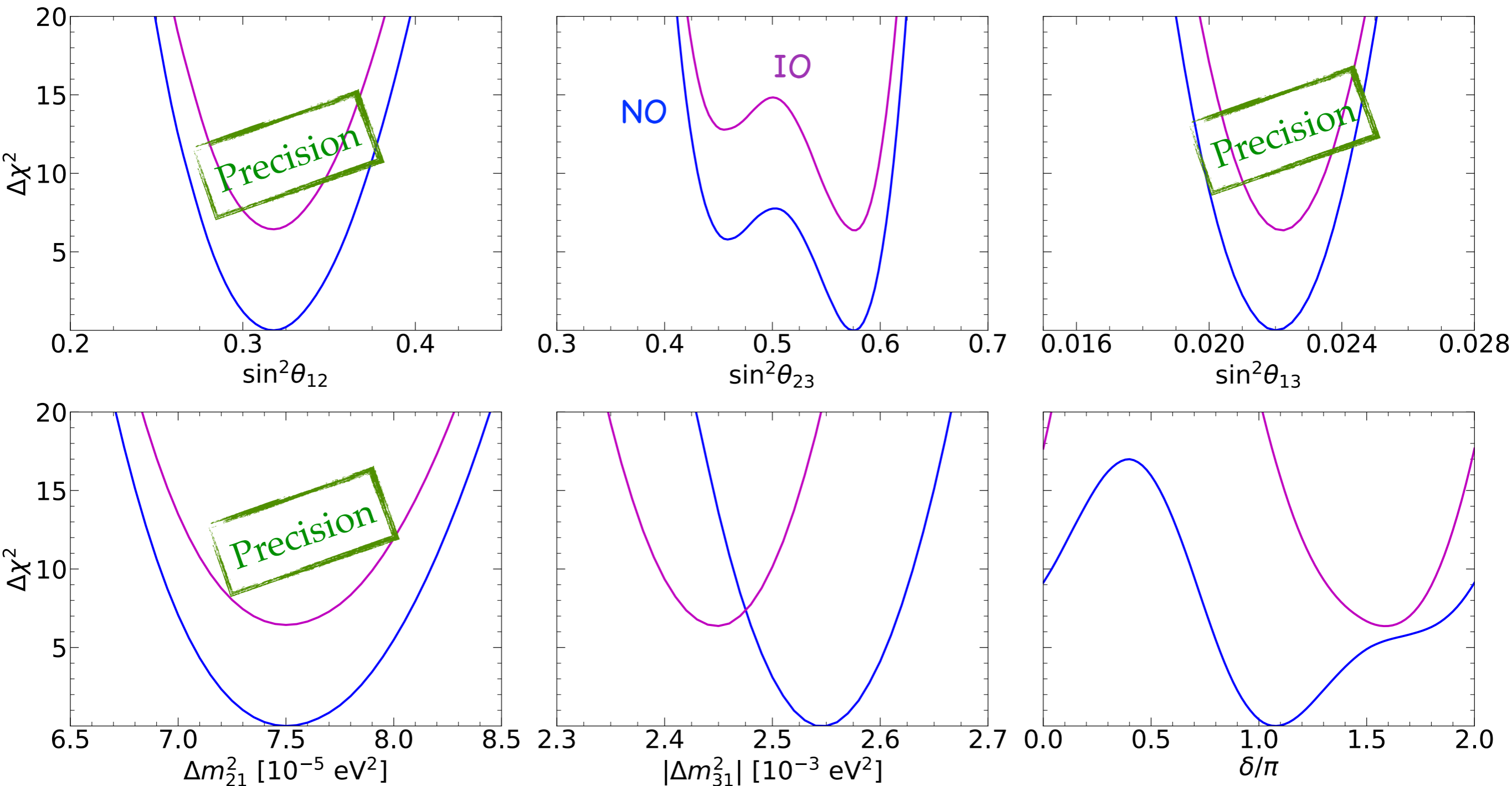
# Global fit to $\nu$ oscillation parameters

de Salas et al, **JHEP 02 (2021) 071** [arXiv:2006.11237]



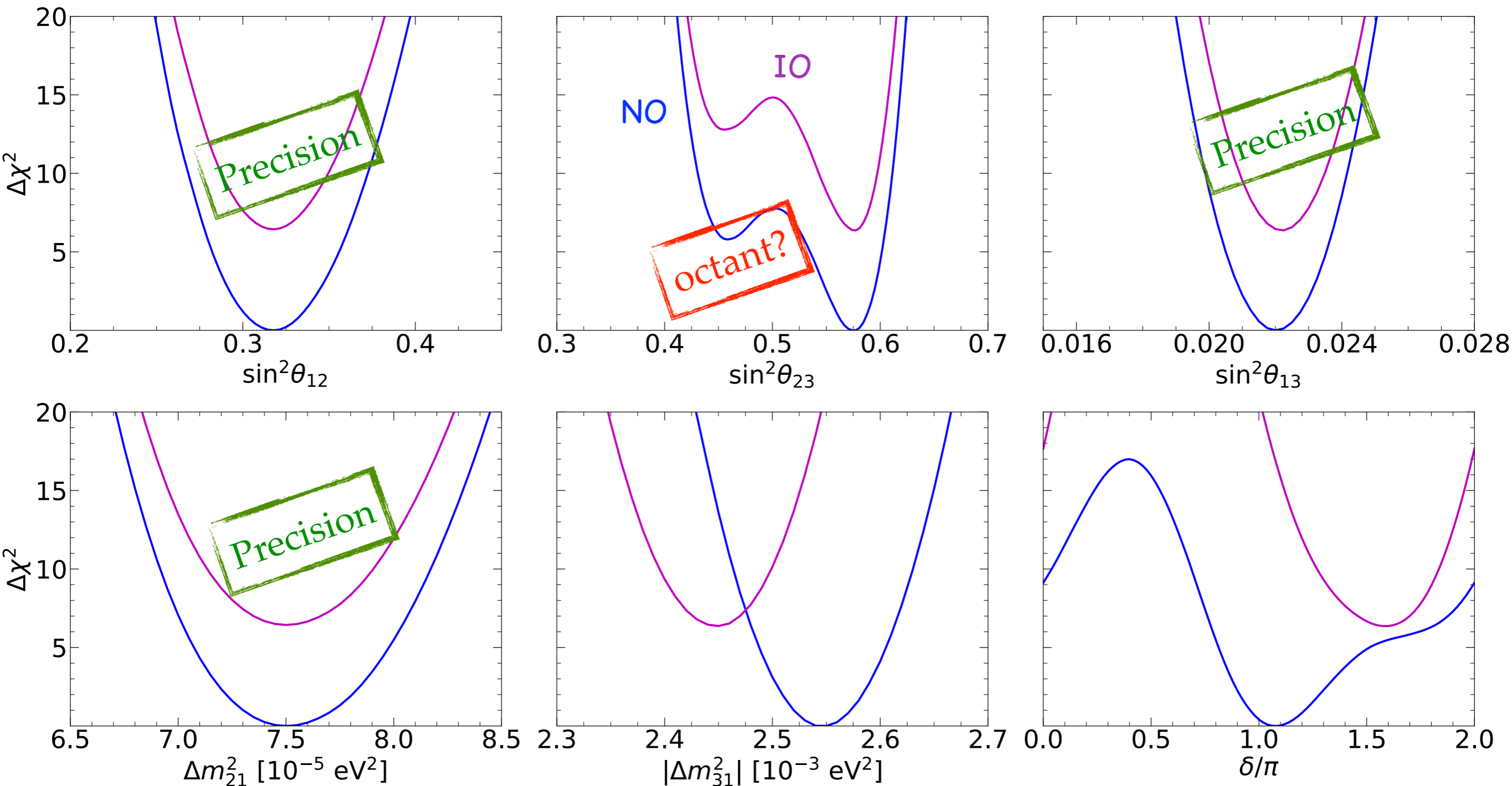
# Global fit to $\nu$ oscillation parameters

de Salas et al, **JHEP 02 (2021) 071** [arXiv:2006.11237]



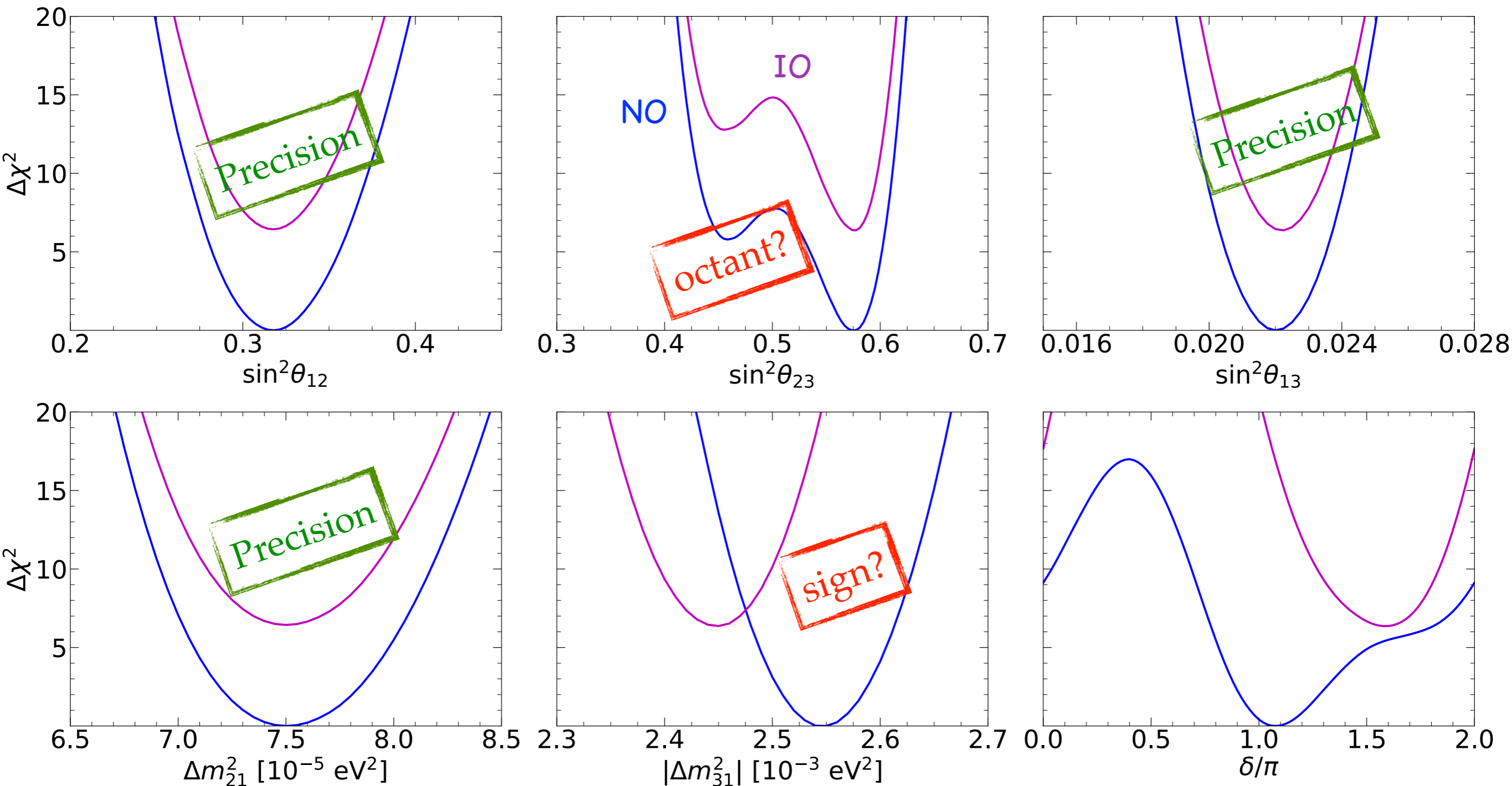
# Global fit to $\nu$ oscillation parameters

de Salas et al, **JHEP 02 (2021) 071** [arXiv:2006.11237]



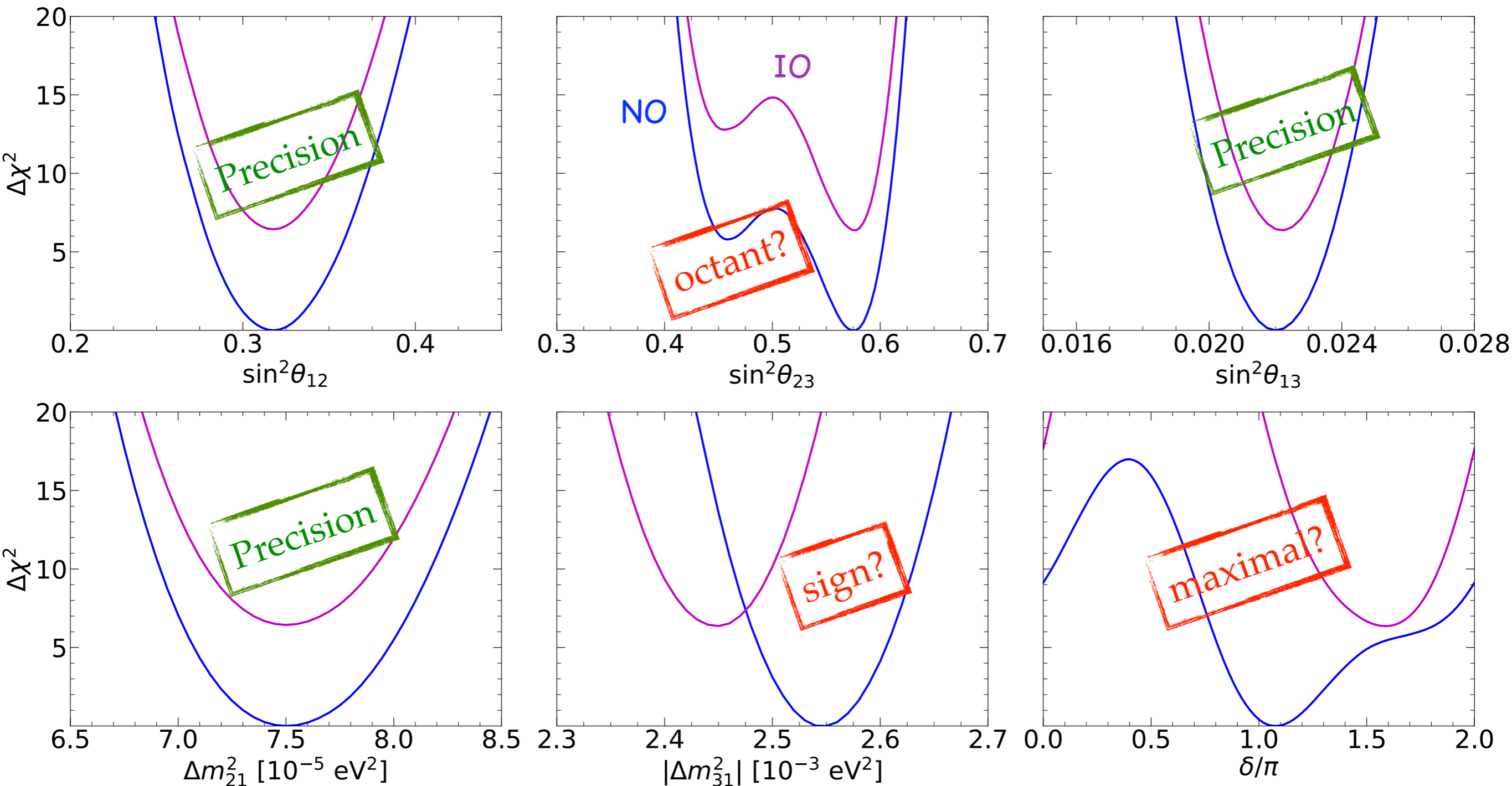
# Global fit to $\nu$ oscillation parameters

de Salas et al, **JHEP 02 (2021) 071** [arXiv:2006.11237]



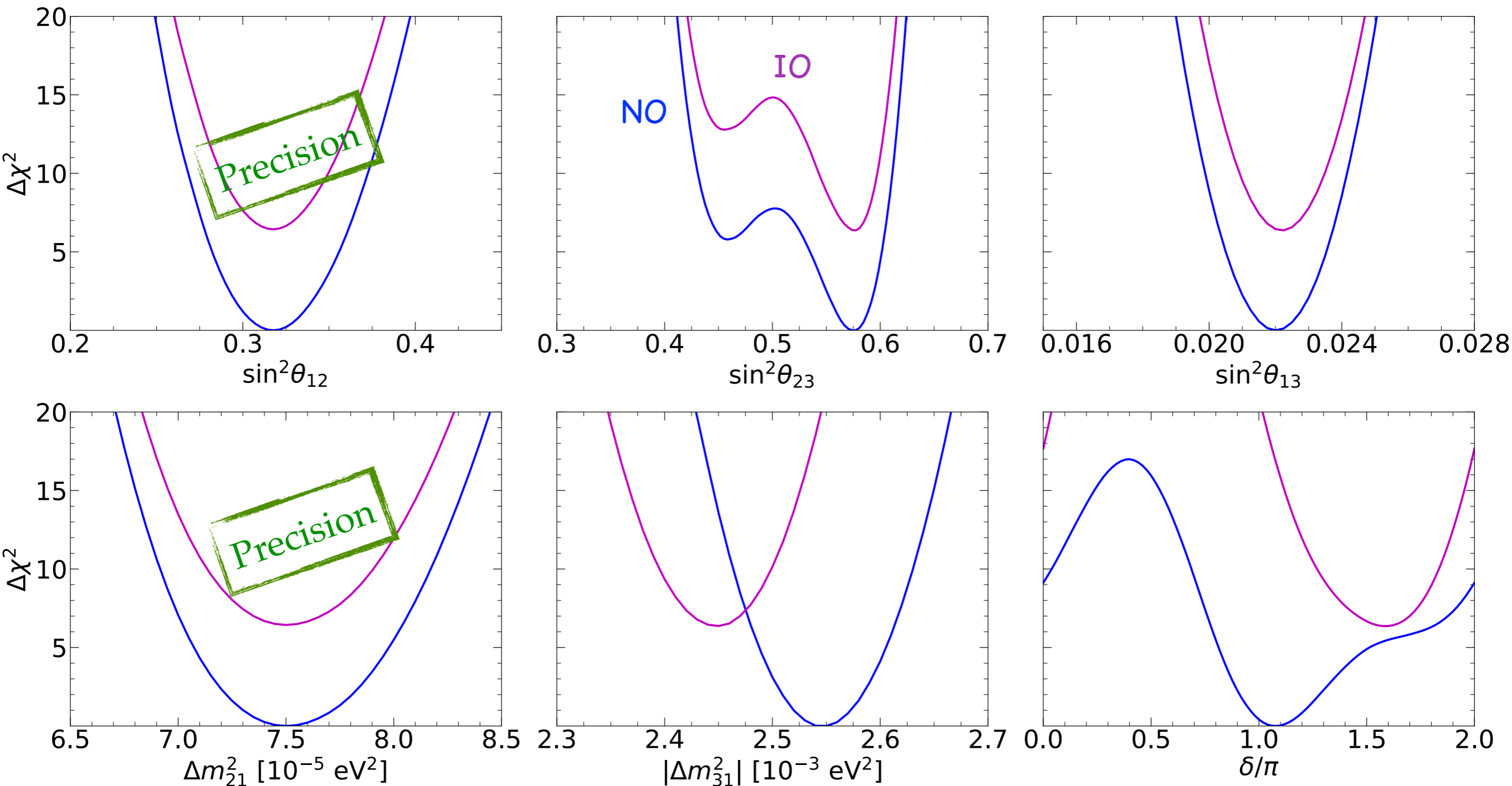
# Global fit to $\nu$ oscillation parameters

de Salas et al, **JHEP 02 (2021) 071** [arXiv:2006.11237]



# Global fit to $\nu$ oscillation parameters

de Salas et al, **JHEP 02 (2021) 071** [arXiv:2006.11237]

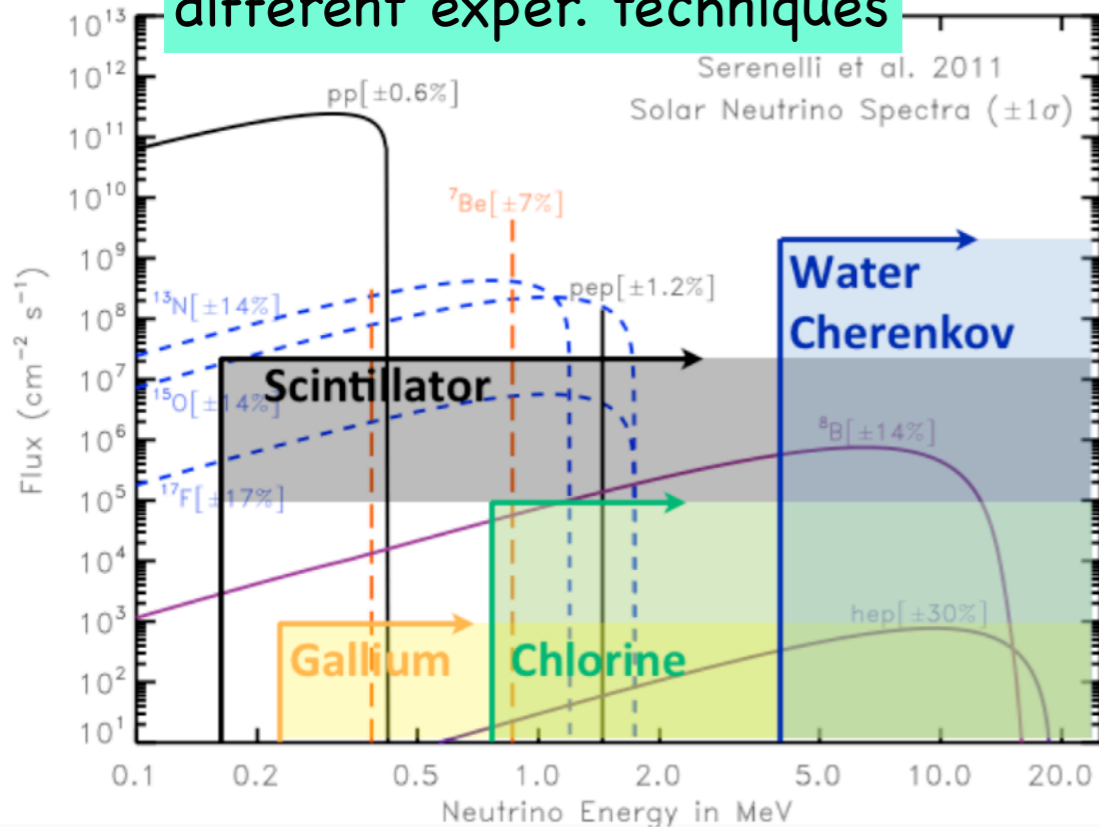




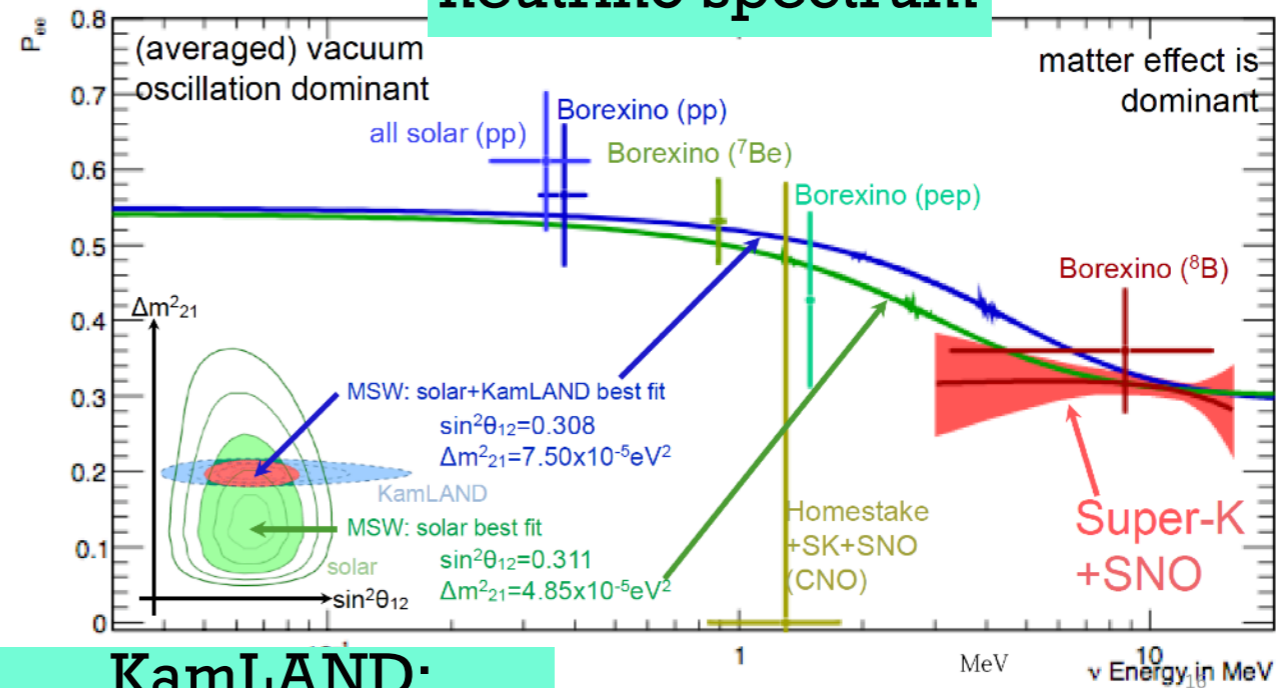
# The solar neutrino sector

Solar experiments have measured neutrino disappearance for ~ 50 years

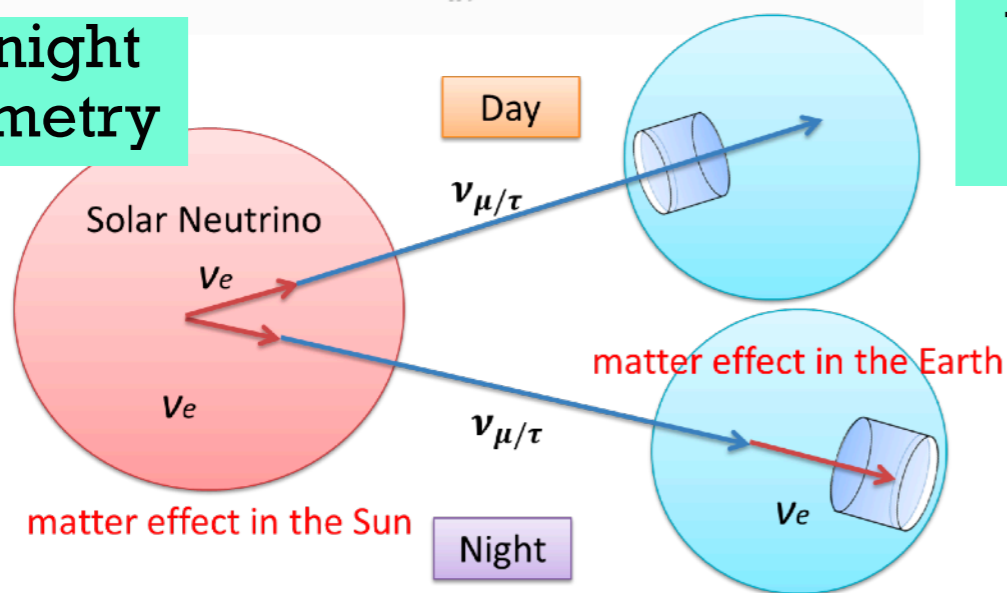
different exper. techniques



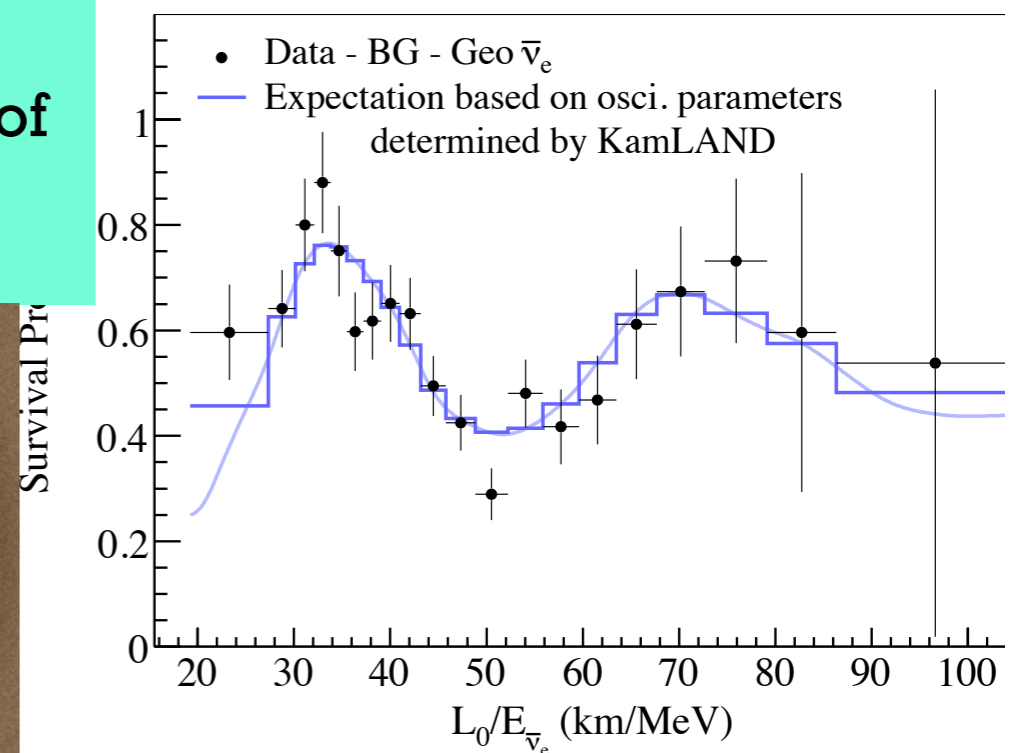
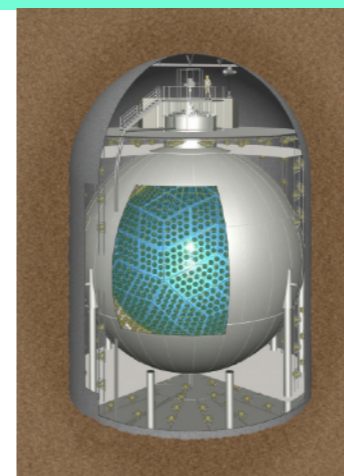
neutrino spectrum



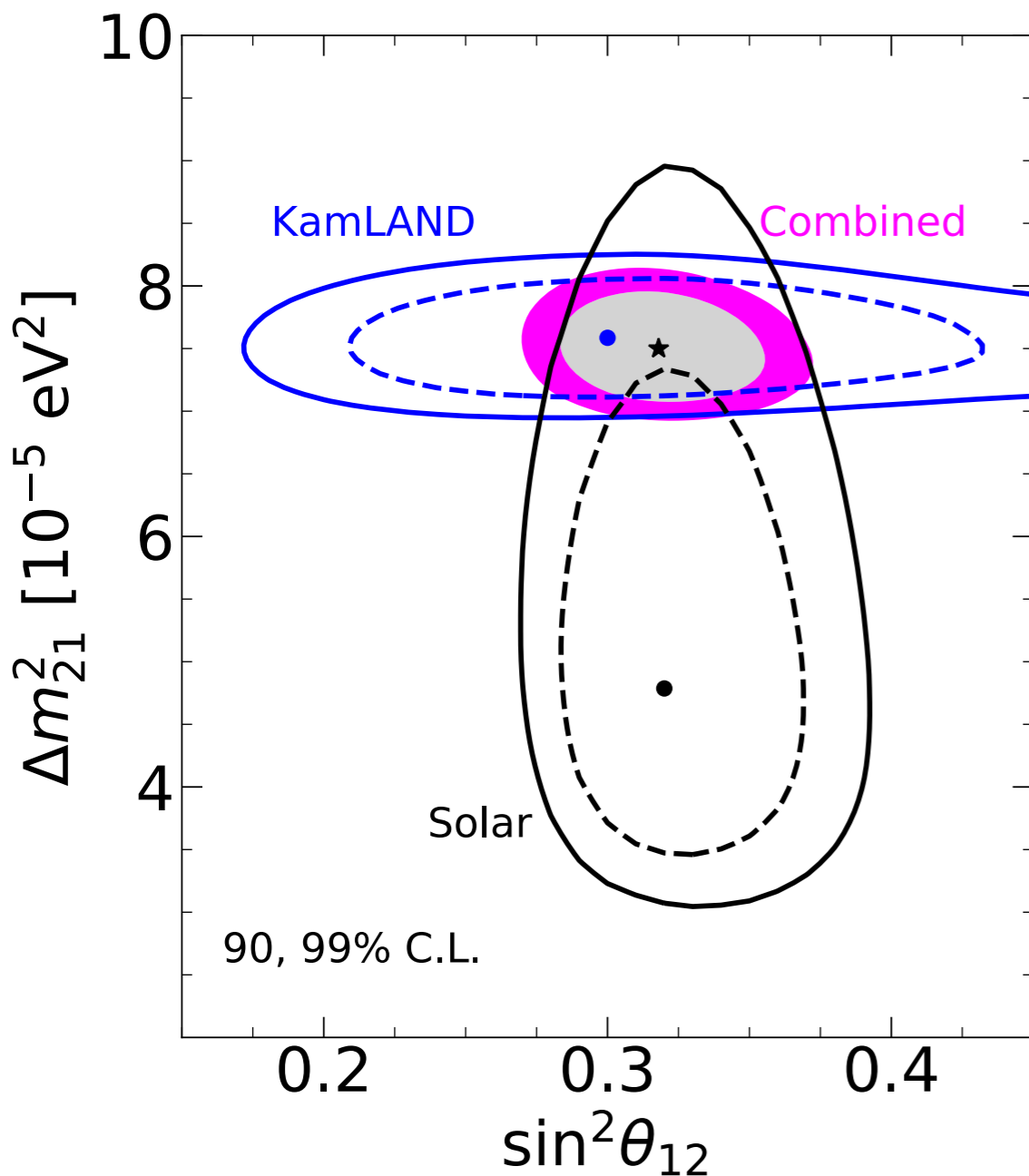
day/night asymmetry



KamLAND: precise measurement of oscillation frequency



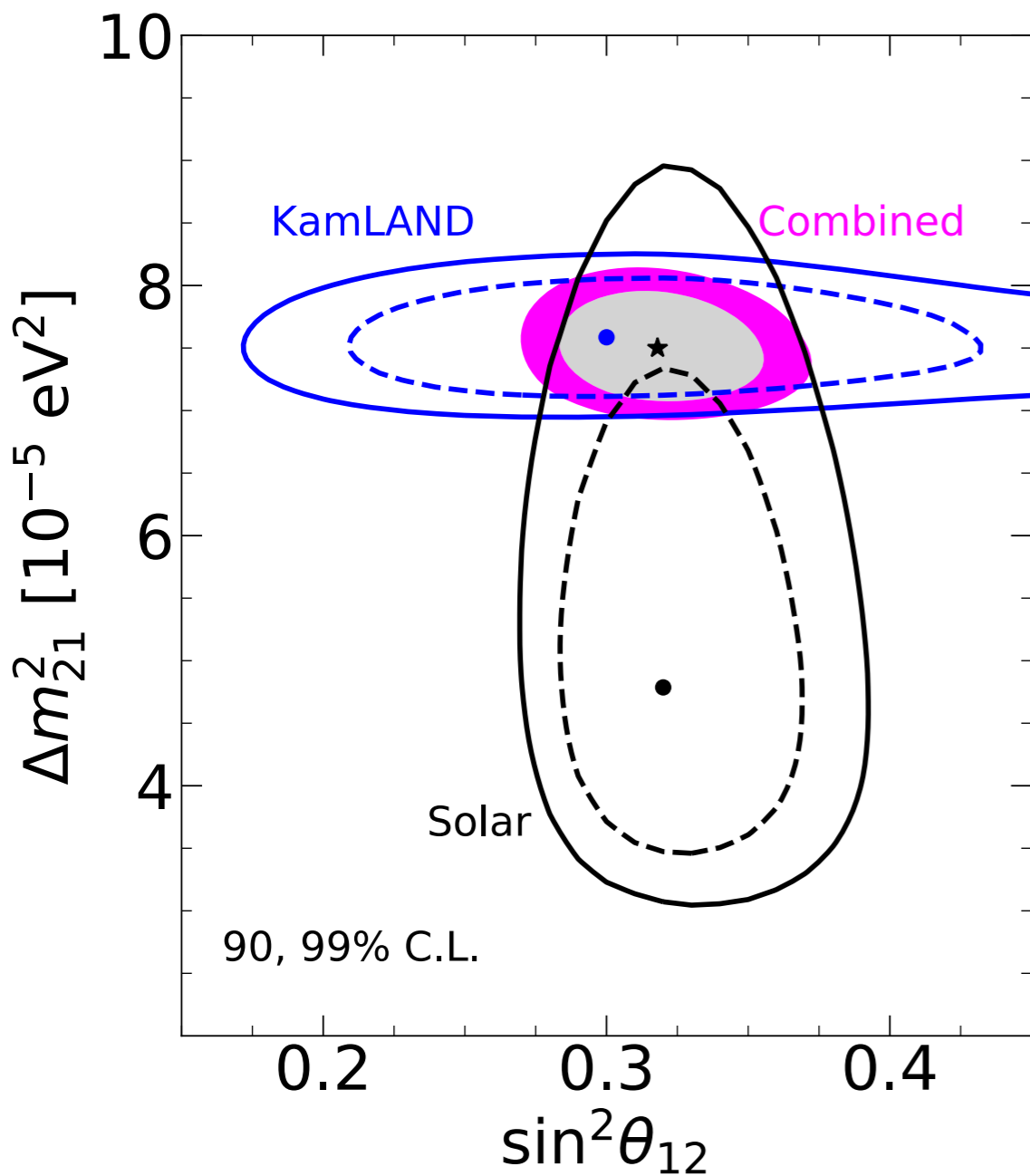
# The solar sector



- ◆  $\theta_{12}$  measurement is dominated by solar neutrino data
- ◆  $\Delta m^2_{21}$  is better measured by KamLAND.
- ◆ **2 $\sigma$  mismatch** between the values of  $\Delta m^2_{21}$  measured by solar and KamLAND

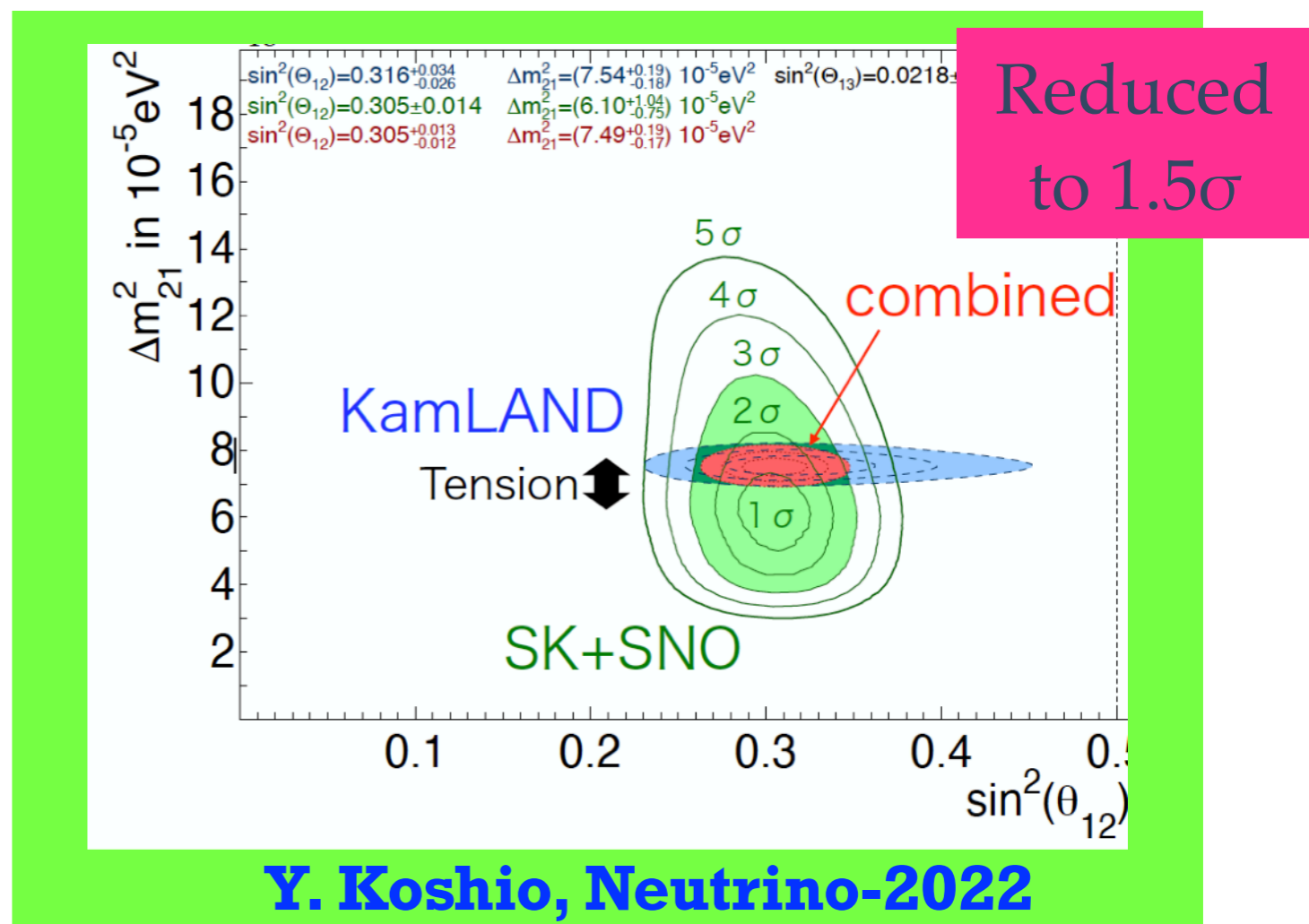
de Salas et al, **JHEP 02 (2021) 071**  
[arXiv:2006.11237]

# The solar sector



de Salas et al, **JHEP 02 (2021) 071**  
[arXiv:2006.11237]

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# The reactor sector

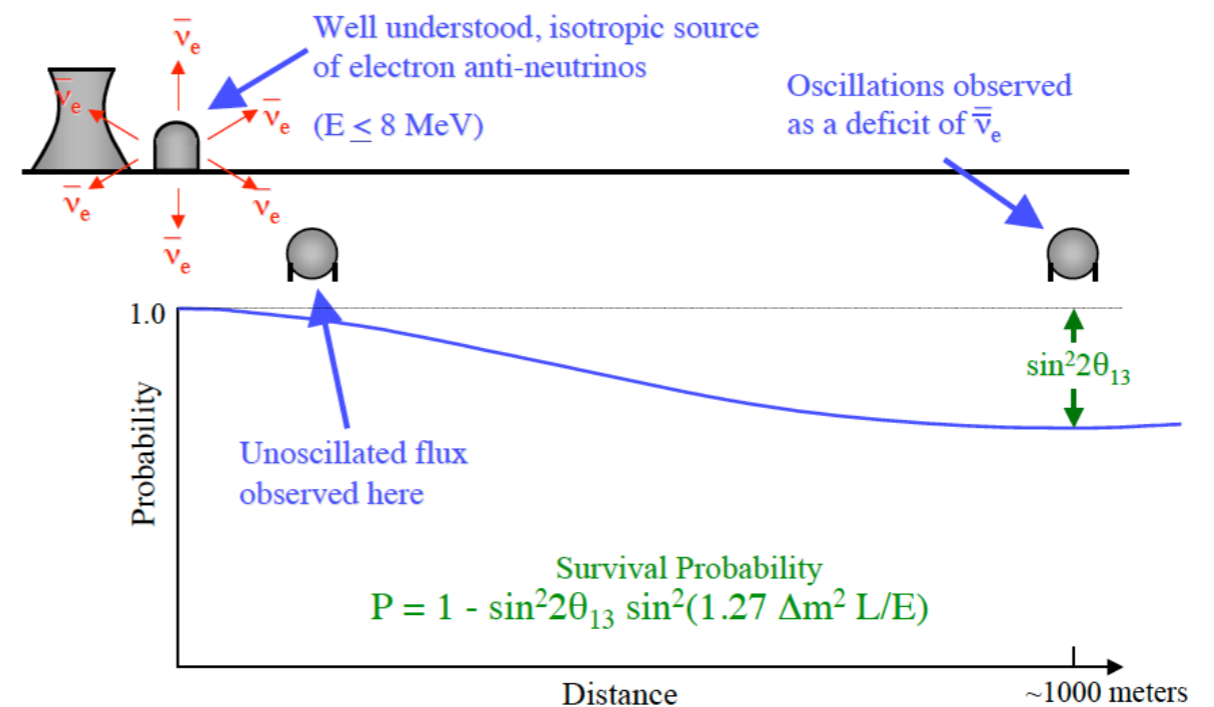


6 cores + 4 ND + 4FD

2 cores + 1 ND + 1 FD

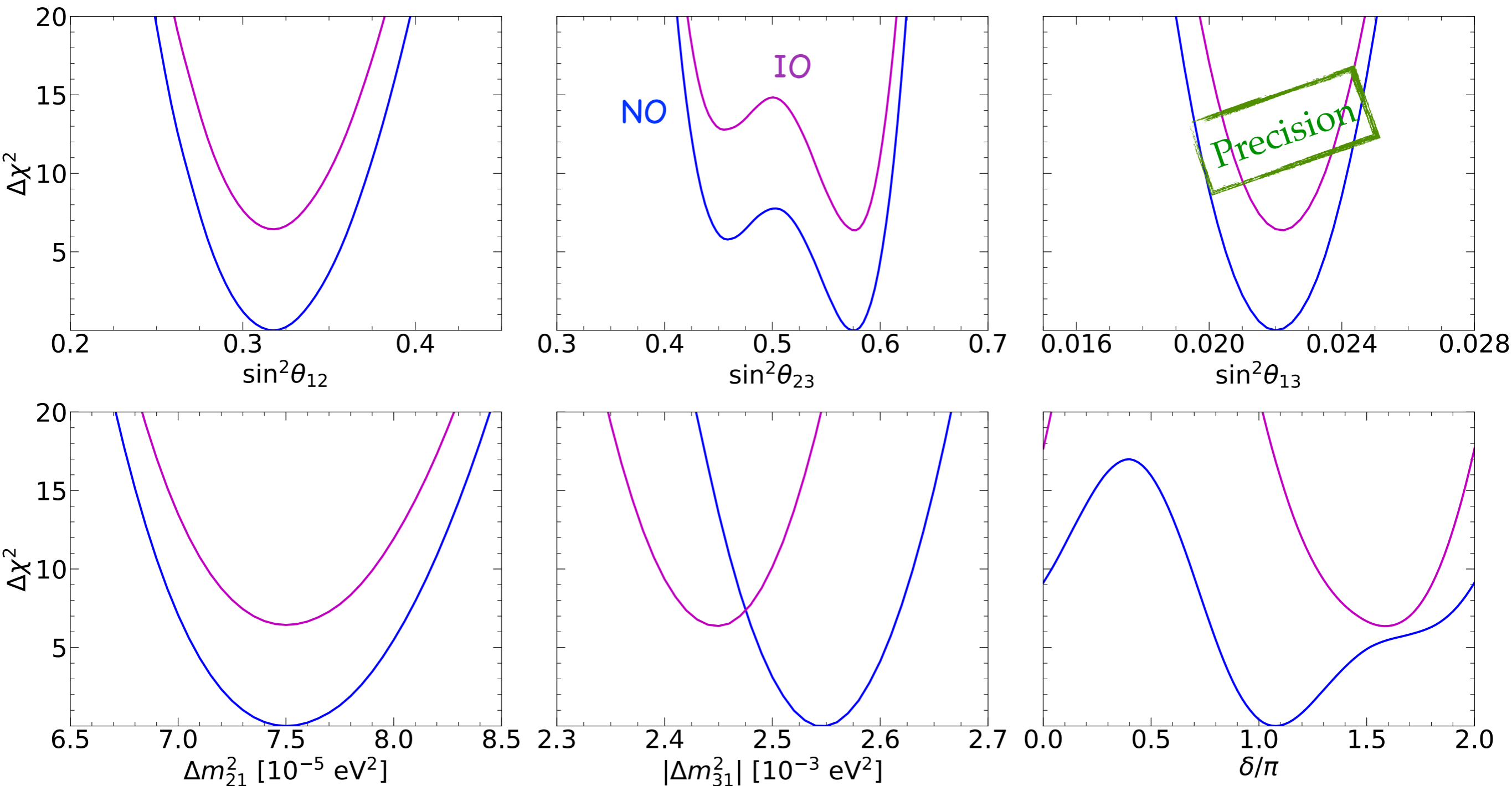
6 cores + 1 ND + 1 FD

- ◆ more powerful reactors
- ◆ larger detector volume
- ◆ 2-8 detectors at 100 m – 1 km



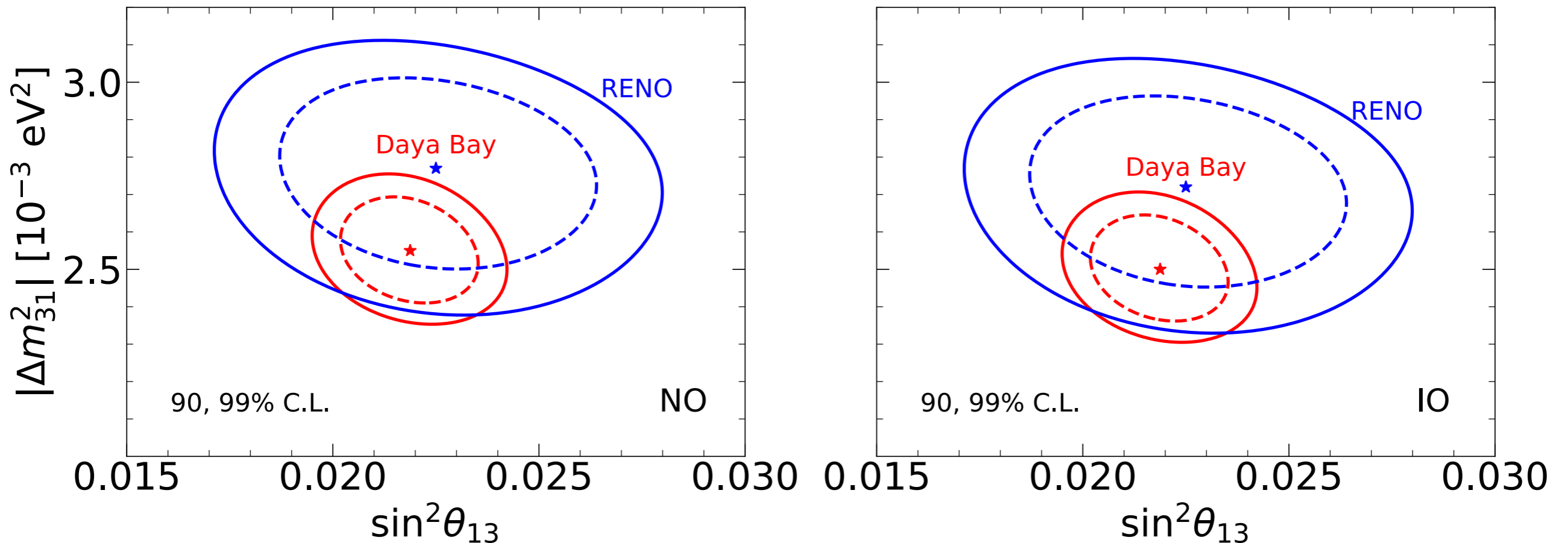
# Global fit to $\nu$ oscillation parameters

de Salas et al, **JHEP 02 (2021) 071** [arXiv:2006.11237]



# The reactor sector

de Salas et al, **JHEP 02 (2021) 071** [arXiv:2006.11237]

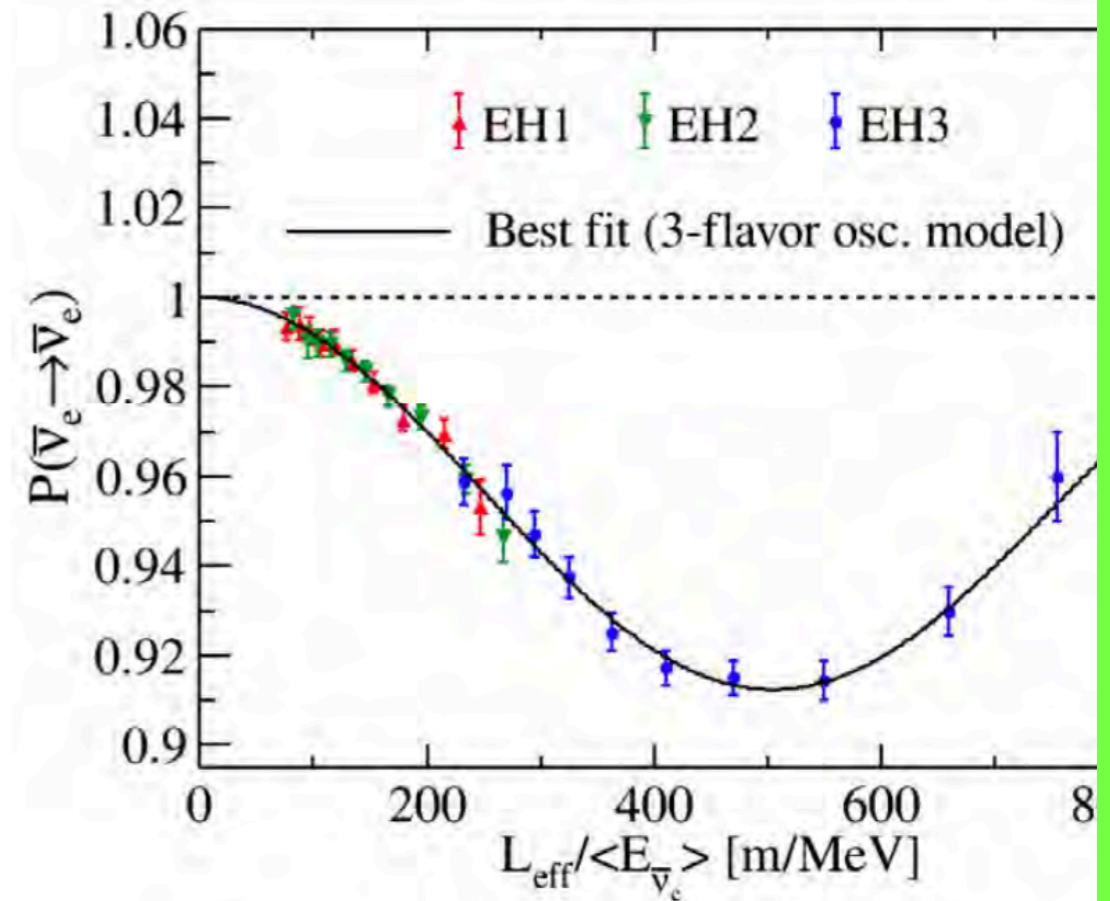
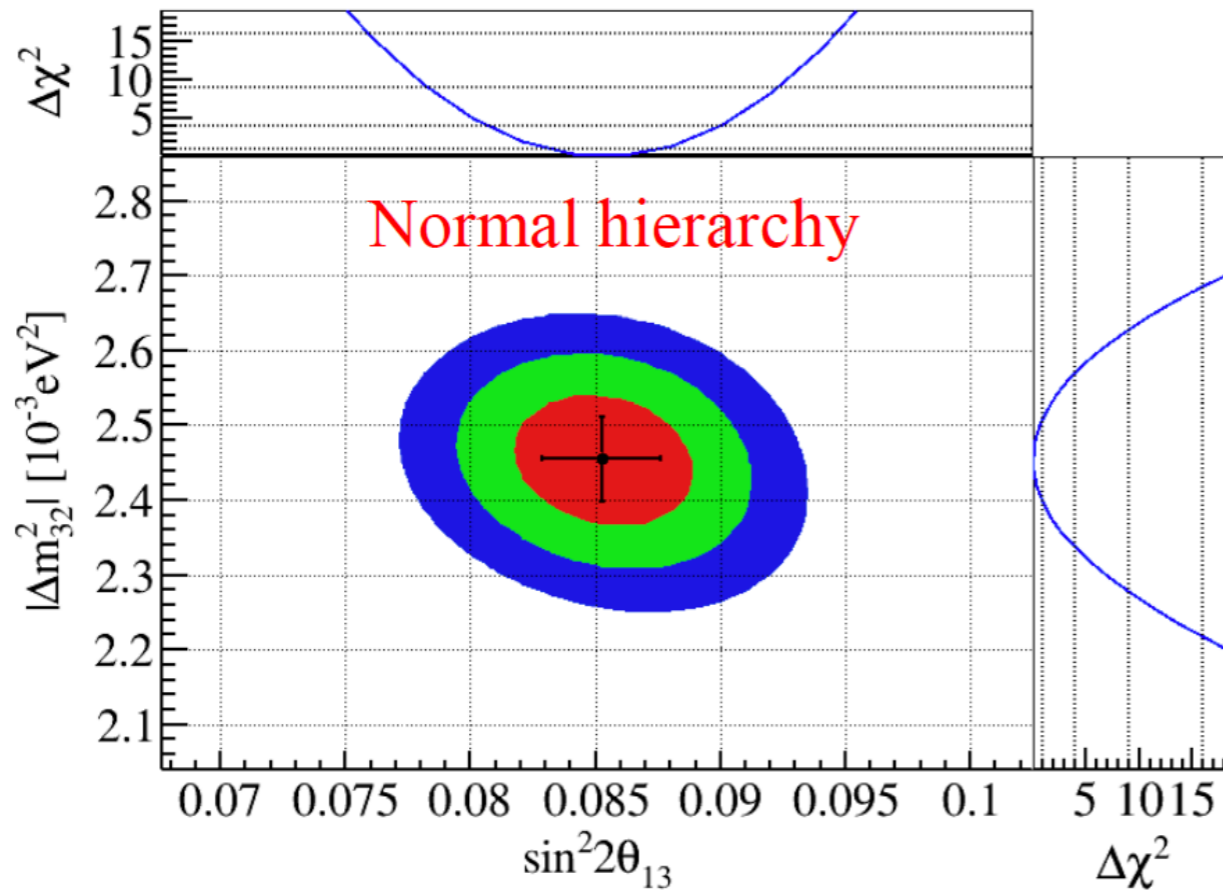


◆ Daya Bay: 1958-day data:  $\sin^2 2\theta_{13} = 0.0856 \pm 0.0029$  (3.4%)

◆ RENO: 2900-day data:  $\sin^2 2\theta_{13} = 0.0892 \pm 0.0063$  (7%)

Precision dominated by Daya Bay

# The reactor sector



Best-fit results:  $\chi^2/\text{ndf} = 559/518$

$$\sin^2 2\theta_{13} = 0.0853^{+0.0024}_{-0.0024} \quad (2.8\% \text{ precision})$$

**Daya Bay: 3158-day data**

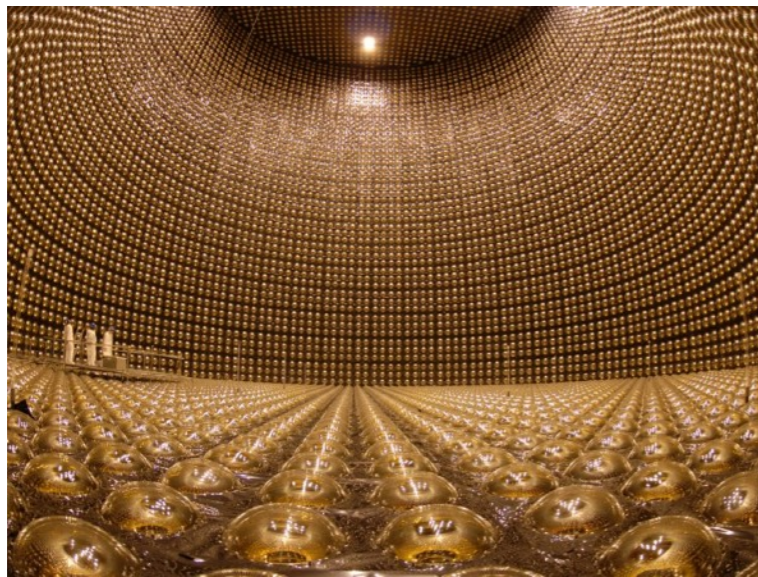
**K. Luk, Neutrino-2022**

# The atmospheric sector

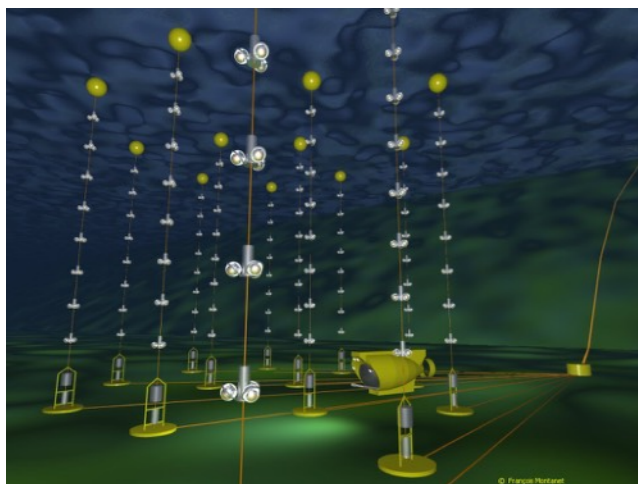
Atmospheric experiments

Accelerator long-baseline experiments

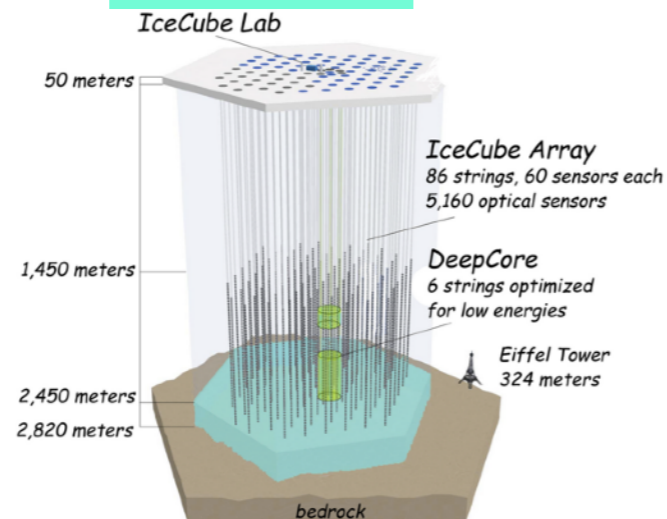
## Super-Kamiokande



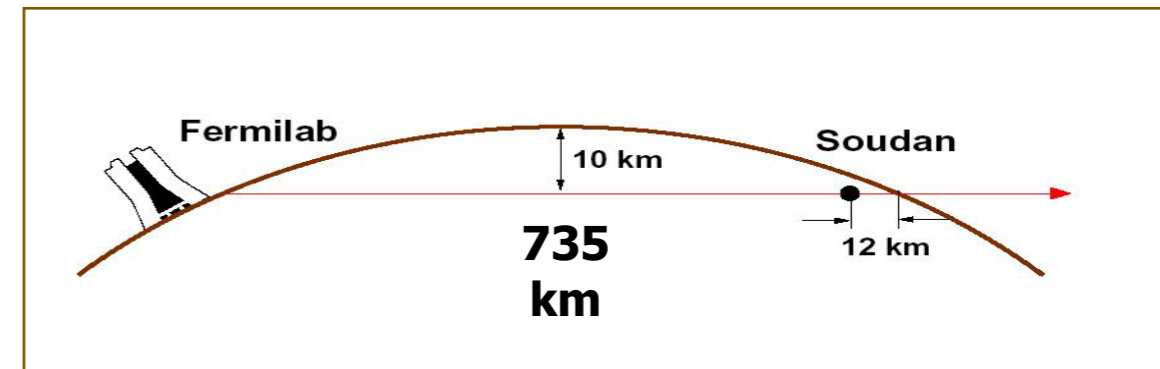
## ANTARES



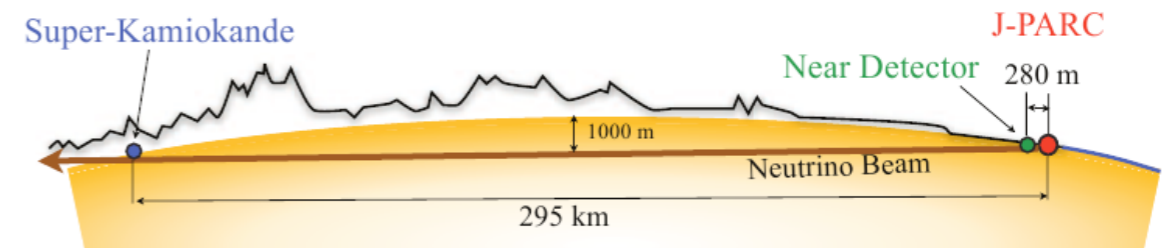
## IceCube



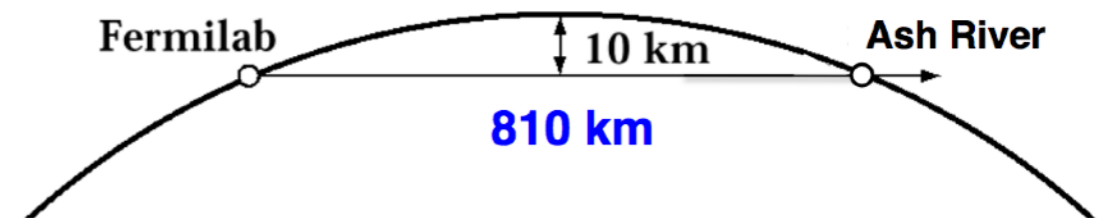
## MINOS



## T2K

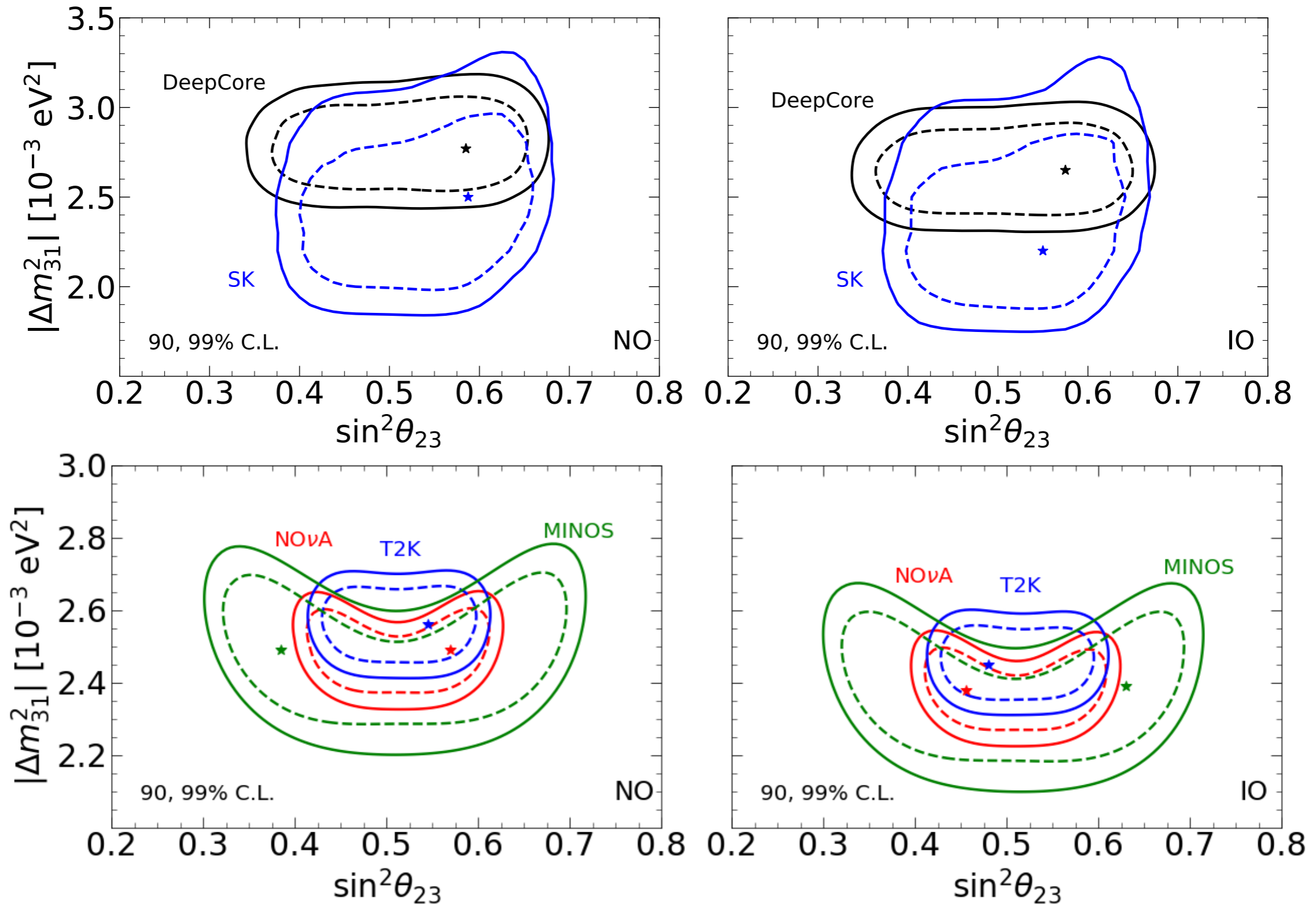


## NOvA



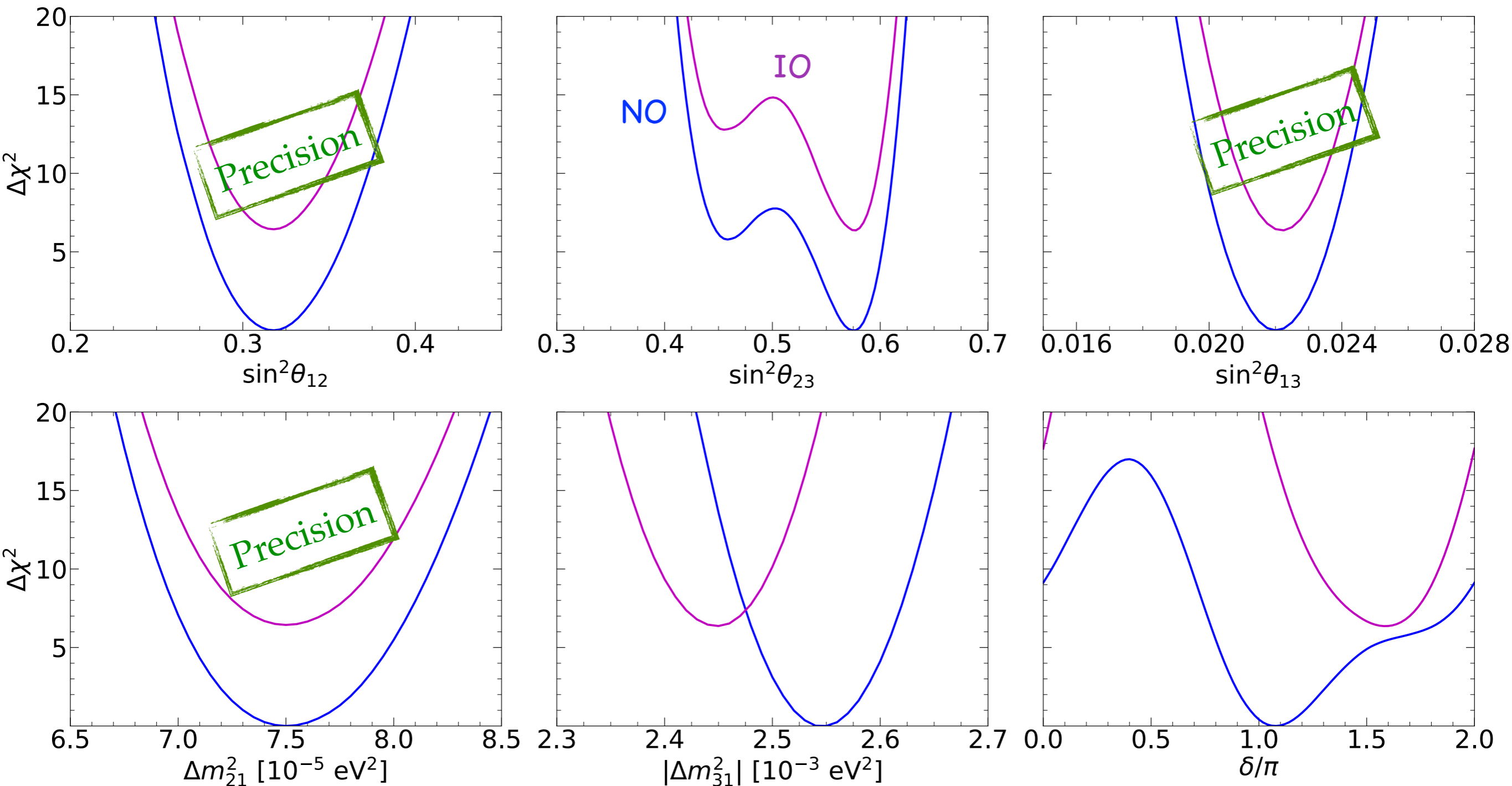


# The atmospheric sector



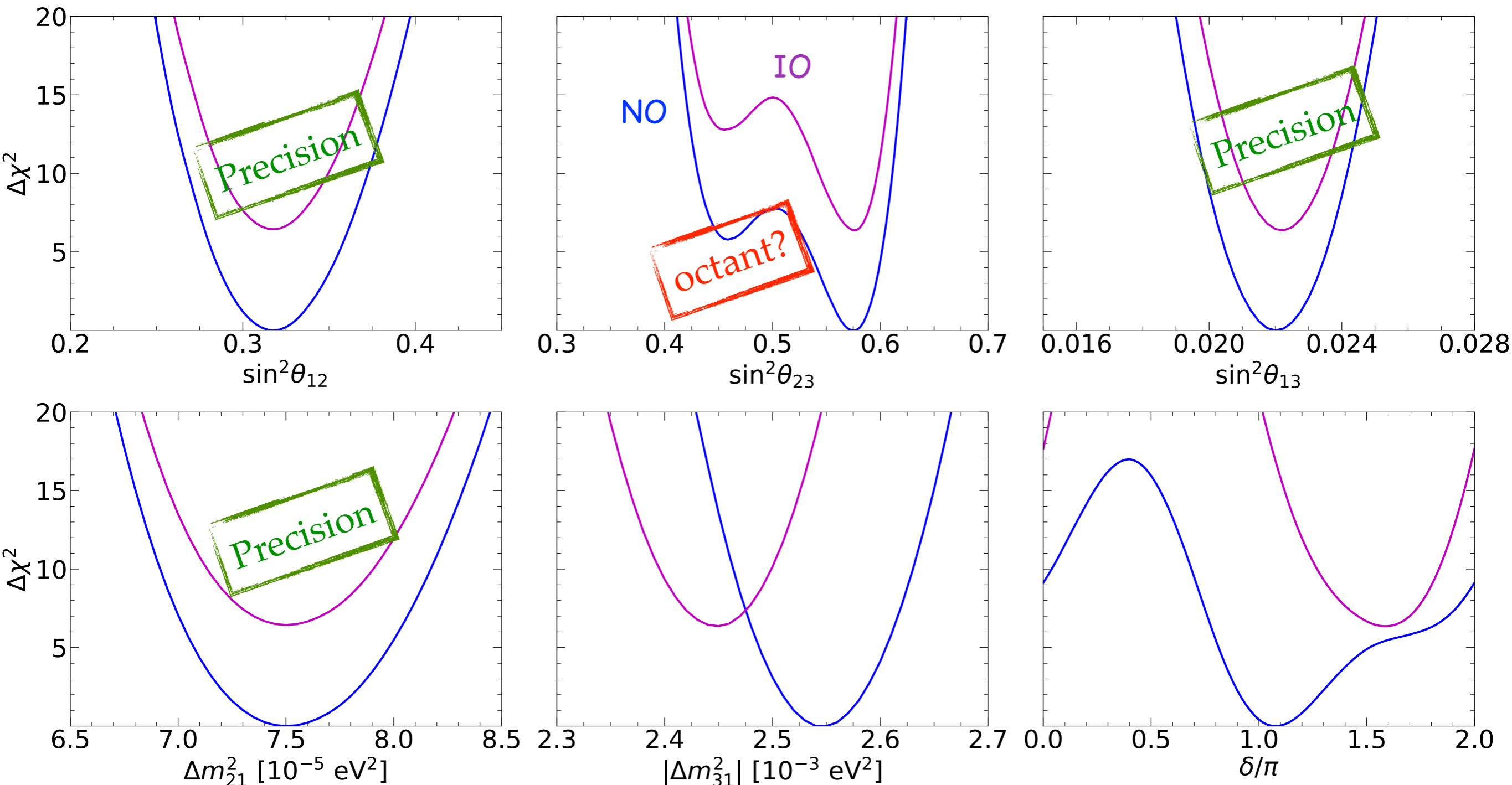
# Global fit to $\nu$ oscillation parameters

de Salas et al, **JHEP 02 (2021) 071** [arXiv:2006.11237]



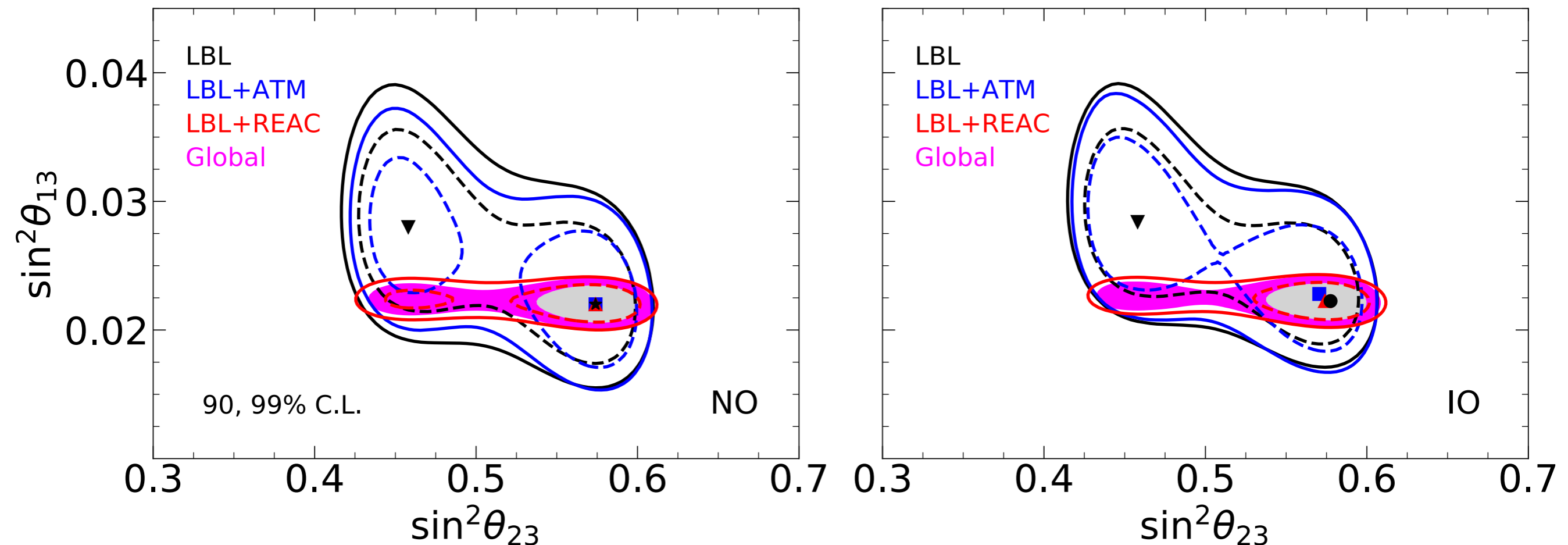
# Global fit to $\nu$ oscillation parameters

de Salas et al, **JHEP 02 (2021) 071** [arXiv:2006.11237]



# The octant of $\theta_{23}$

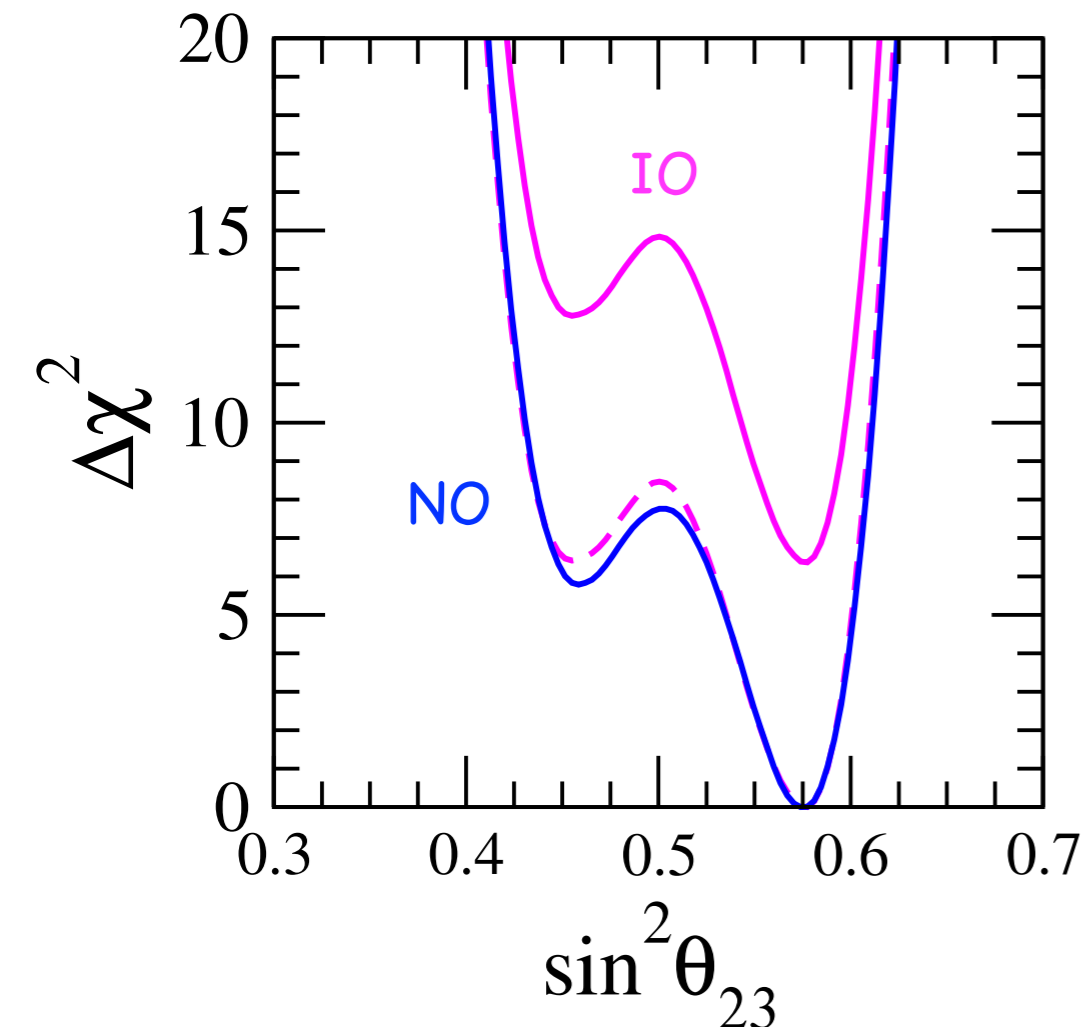
de Salas et al, **JHEP 02 (2021) 071** [arXiv:2006.11237]



- ◆ The combination of LBL experiments slightly prefers  $\theta_{23} < 45^\circ$  for both orderings
- ◆ The combination with atmospheric data shifts the preferred  $\theta_{23}$  to the second octant
- ◆ The combination with SBL reactors also breaks the degeneracy in favor of 2nd octant

# The octant of $\theta_{23}$

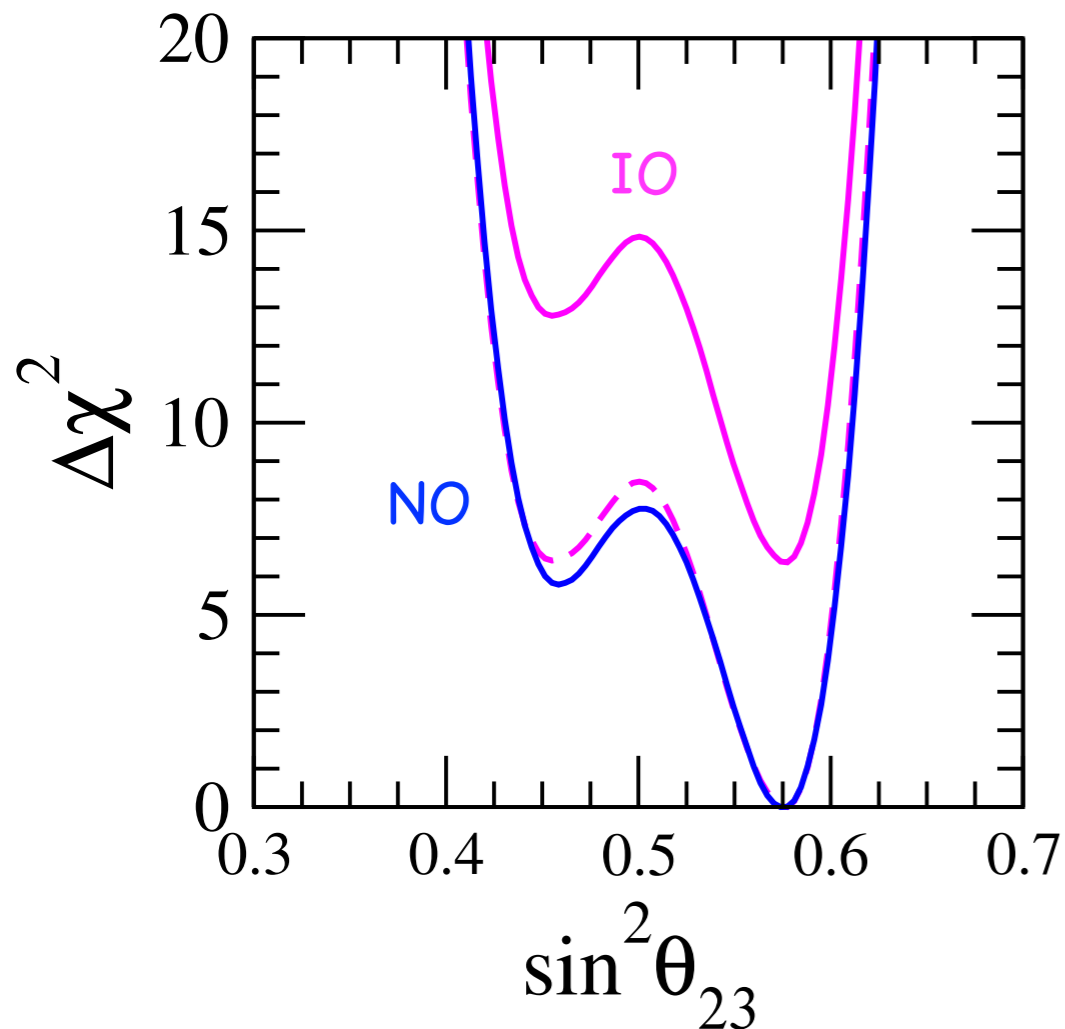
de Salas et al, **JHEP 02 (2021) 071**



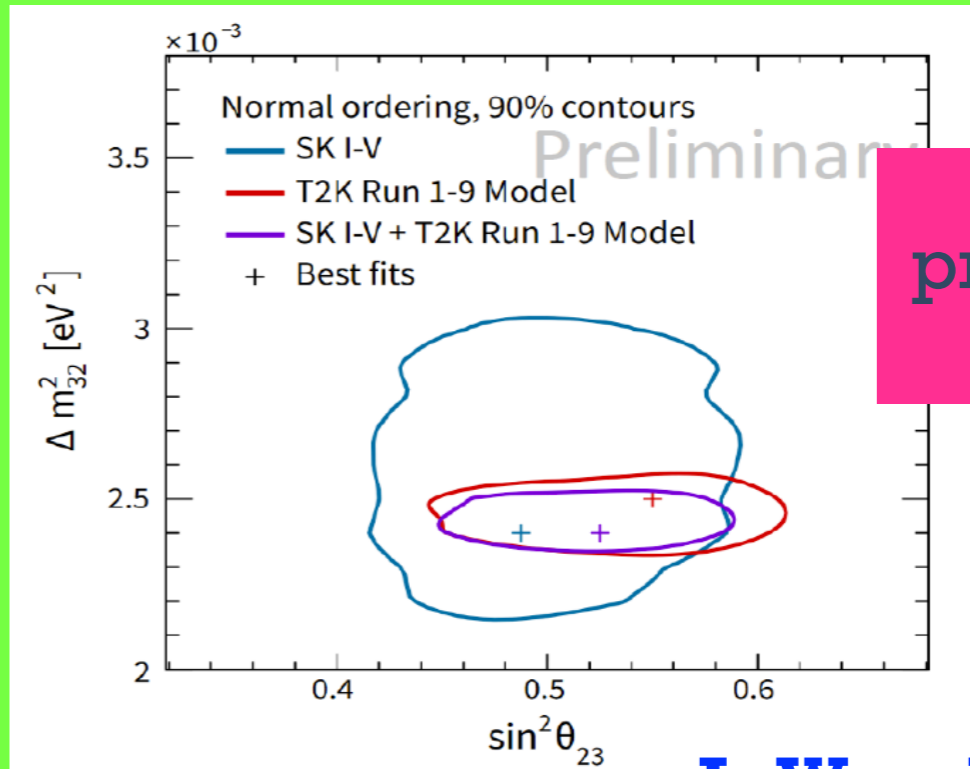
Values at the 1st octant disfavored  
with  $\Delta\chi^2 \geq 5.8$  (6.4) for NO (IO)

# The octant of $\theta_{23}$

de Salas et al, JHEP 02 (2021) 071

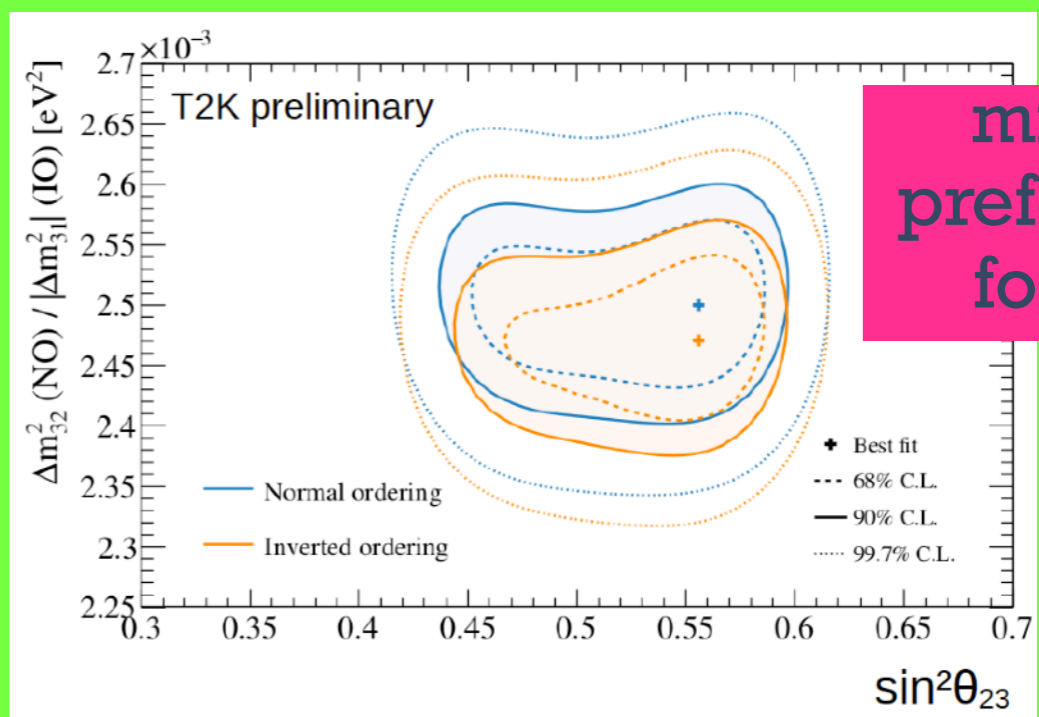


Values at the 1st octant disfavored with  $\Delta\chi^2 \geq 5.8$  (6.4) for NO (IO)



slight preference for LO

L. Wan, Nu 2022

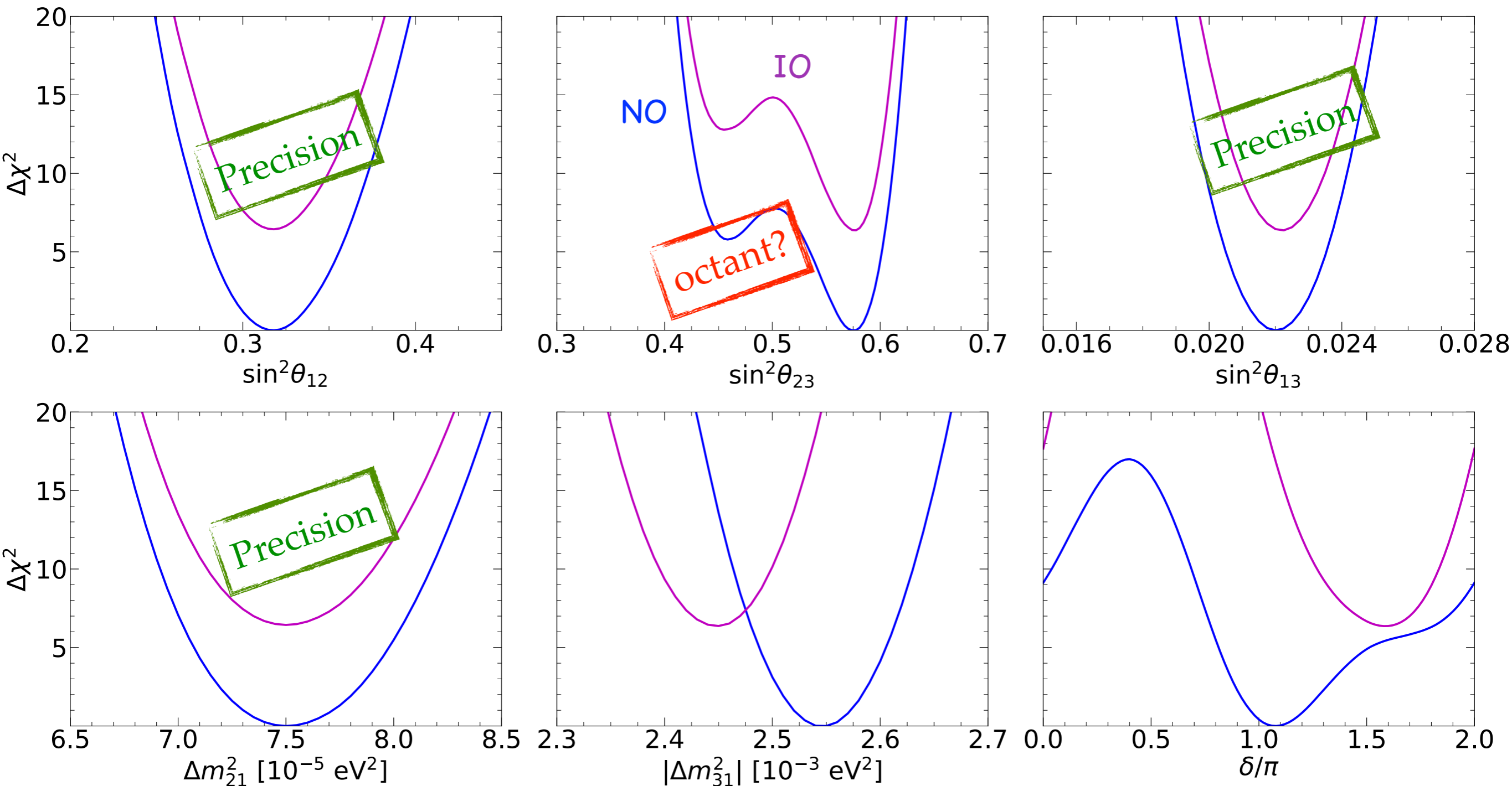


milder preference for UO

C. Bronner, Nu 2022

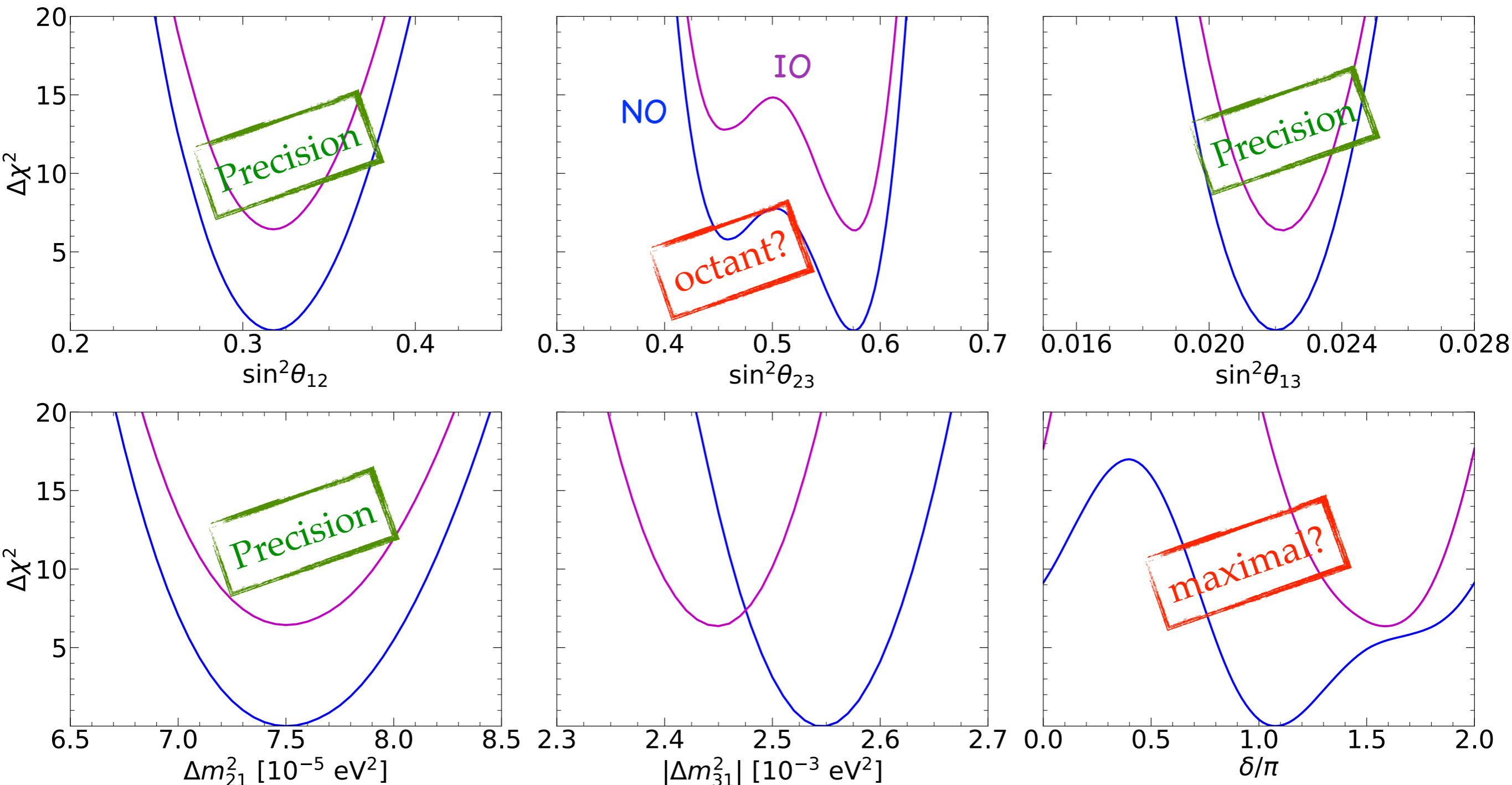
# Global fit to $\nu$ oscillation parameters

de Salas et al, **JHEP 02 (2021) 071** [arXiv:2006.11237]



# Global fit to $\nu$ oscillation parameters

de Salas et al, **JHEP 02 (2021) 071** [arXiv:2006.11237]





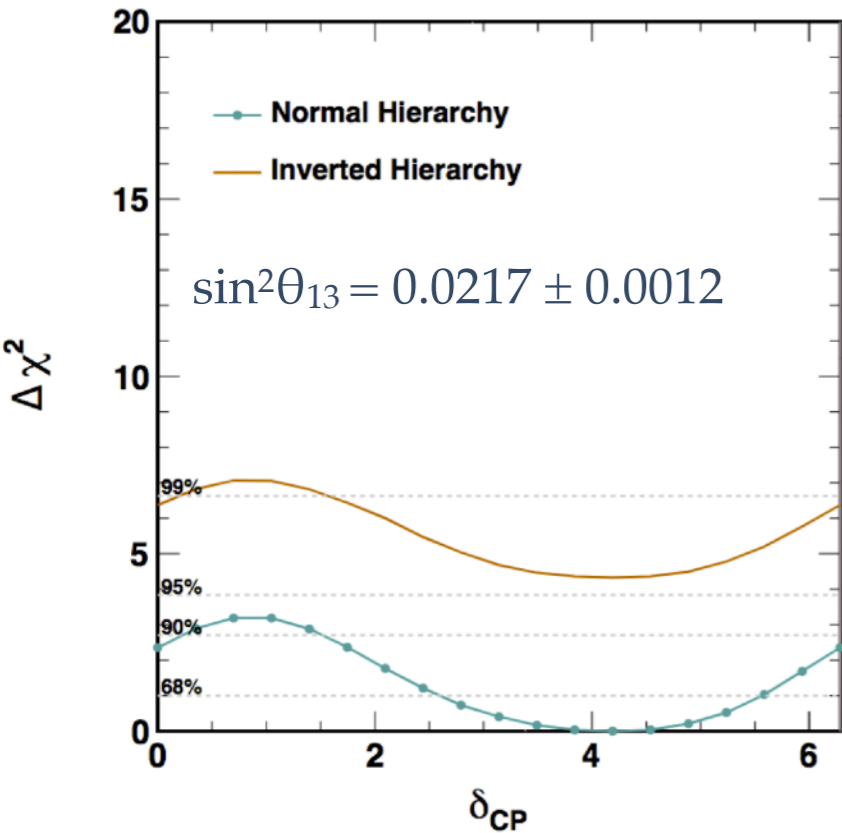
# The CP phase

H. Tanaka, TAUP 2019

Super-Kamiokande (atm)

T2K

$\delta_{BF} \approx 3\pi/2$  due to better agreement with observed  $\nu_e$  and  $\bar{\nu}_e$  events



T2K (NO)		$-\pi/2$	0	$+\pi/2$	$\pi$	OBS
$\nu$ mode	1Re 0 d.e.	74.5	62.3	50.6	62.8	75
	1Re 1 d.e.	7.0	6.1	4.9	5.9	15
$\bar{\nu}$ mode	1Re 0 d.e.	17.1	19.6	21.7	19.3	15

◆  $\delta_{BF} = 1.5\pi$  ( $1.2\pi$ ) for NO (IO)

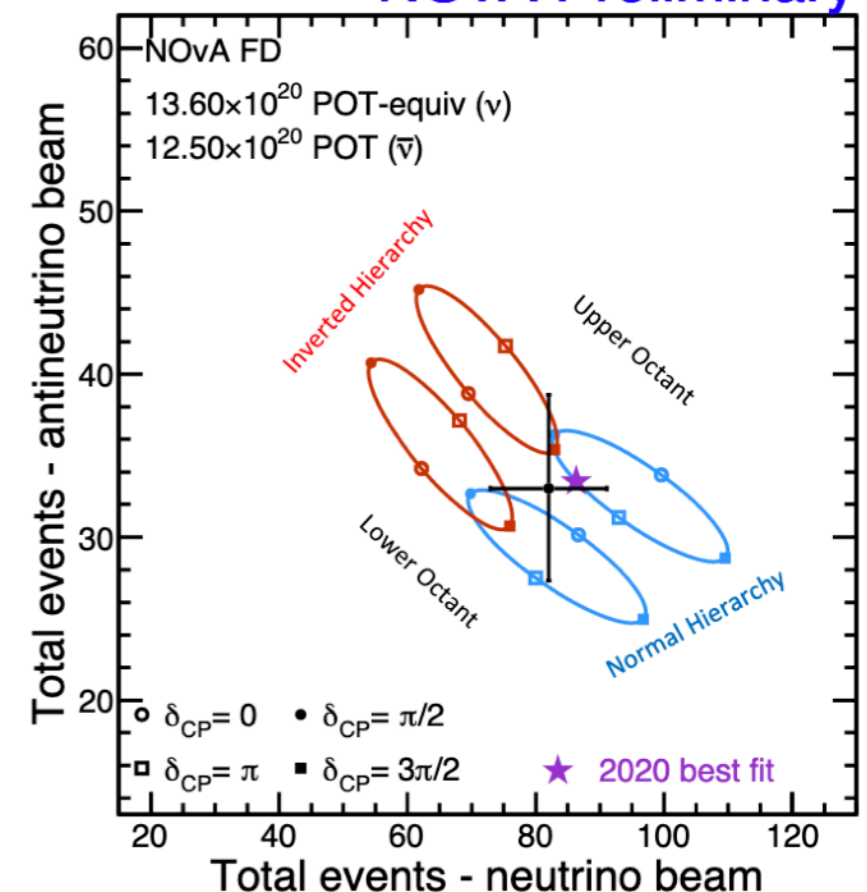
◆ preference driven by sub-GeV e-like samples

SK Collab. PRD97 (2018)

NOvA

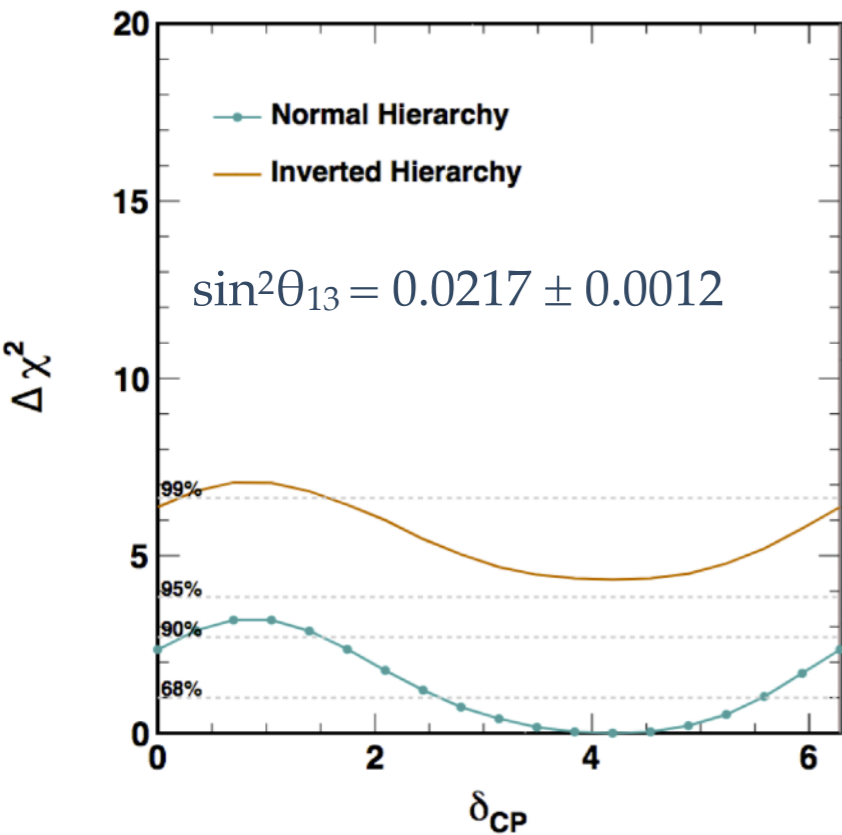
P Vahle, TAUP 2021

NOvA Preliminary



# The CP phase

Super-Kamiokande (atm)

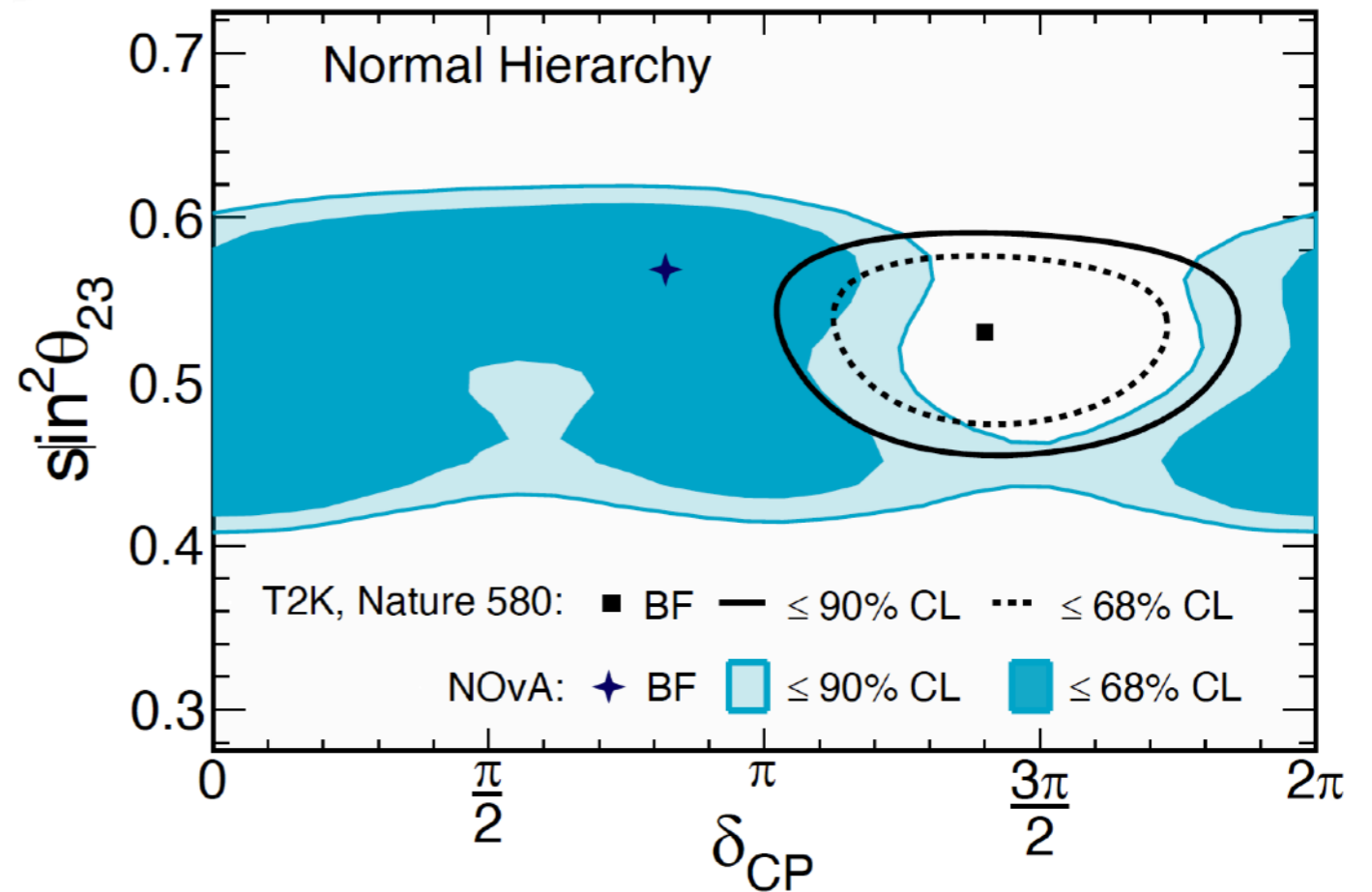


◆  $\delta_{BF} = 1.5\pi$  ( $1.2\pi$ ) for NO (IO)

◆ preference driven by sub-GeV e-like samples

**SK Collab. PRD97 (2018)**

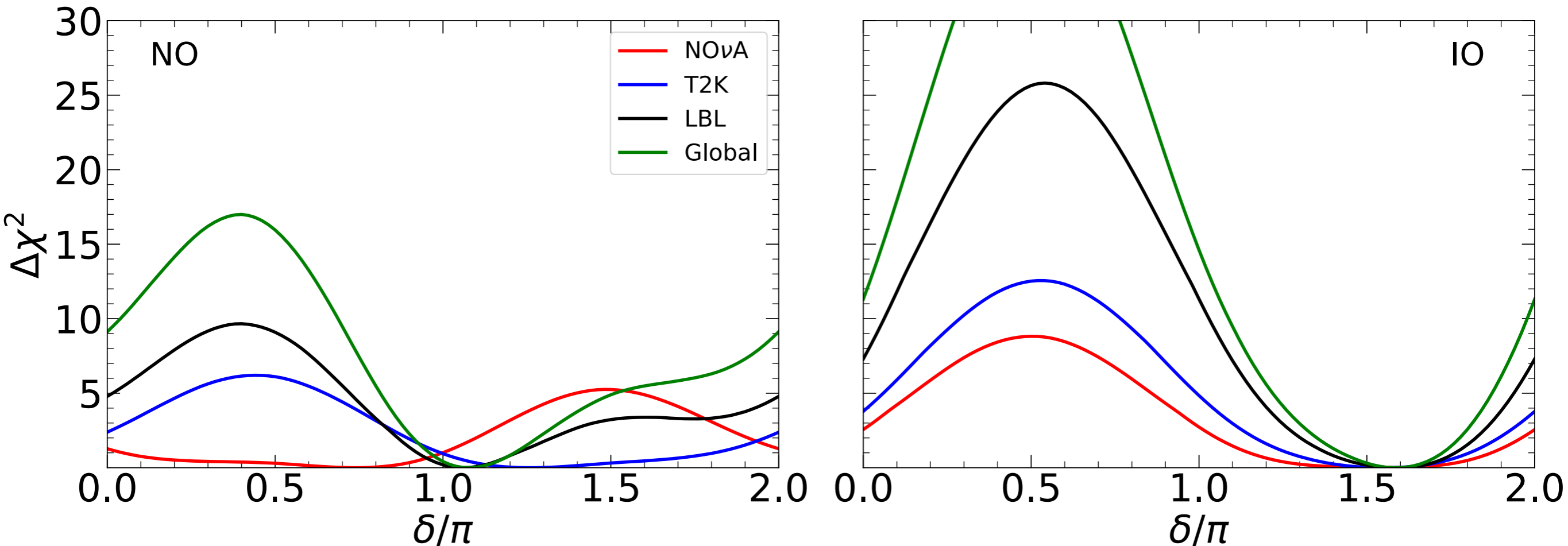
Slight tension between T2K and NOvA results for NO



**A. Himmel, Neutrino 2020**

# The CP phase

de Salas et al, **JHEP 02 (2021) 071** [arXiv:2006.11237]



- ◆ NO: there is a tension between NOνA and T2K and SK atmospheric results

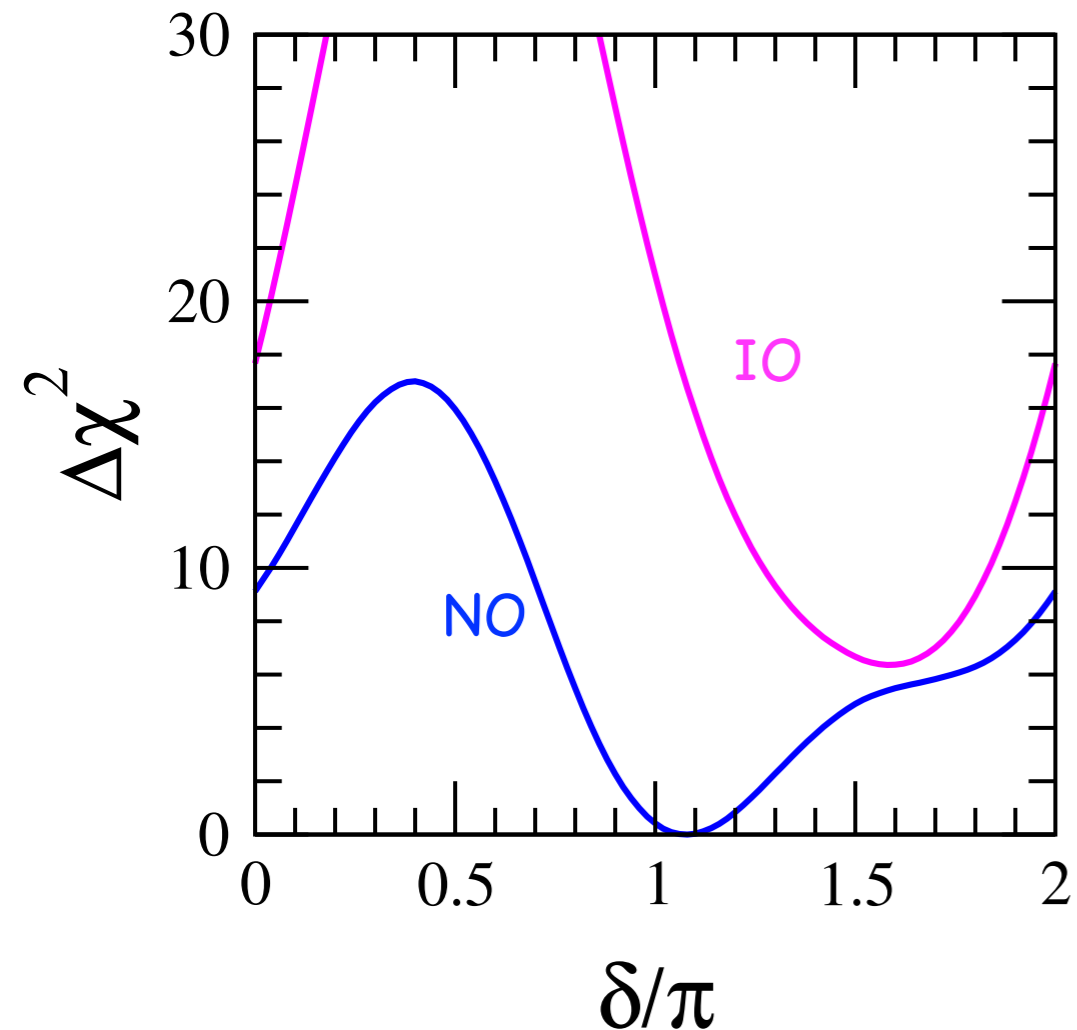
$\delta_{\text{BF}} = 1.08\pi$  ;  $\delta = \pi/2$  (0) disfavored at  $4.0\sigma$  ( $3.0\sigma$ );  $\delta = 3\pi/2$  with  $\Delta\chi^2 = 4.9$

- ◆ IO: all experiments prefer  $\delta \approx 3\pi/2$

$\delta_{\text{BF}} = 1.58\pi$  ;  $\delta = \pi/2$  ( $\pi$ ) disfavored at  $6.2\sigma$  ( $3.8\sigma$ );

# The CP phase

de Salas et al, **JHEP 02 (2021) 071**



NO:  $\delta_{\text{BF}} = 1.08\pi$  (NO $\nu$ A-T2K tension)

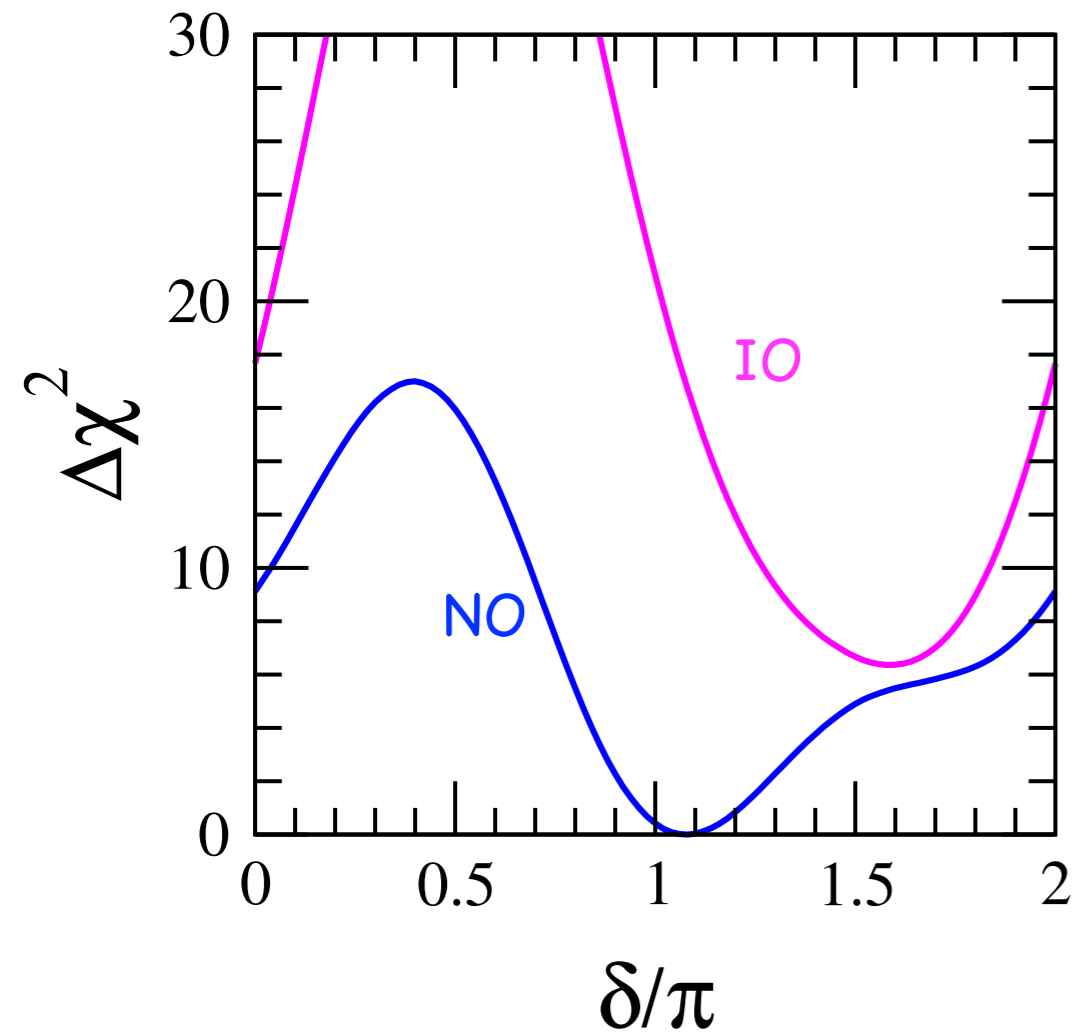
$\delta = \pi/2$  (0) disfavored at  $4.0\sigma$  ( $3.0\sigma$ )

IO:  $\delta_{\text{BF}} = 1.58\pi$  ;

$\delta = \pi/2$  ( $\pi$ ) disfavored at  $6.2\sigma$  ( $3.8\sigma$ )

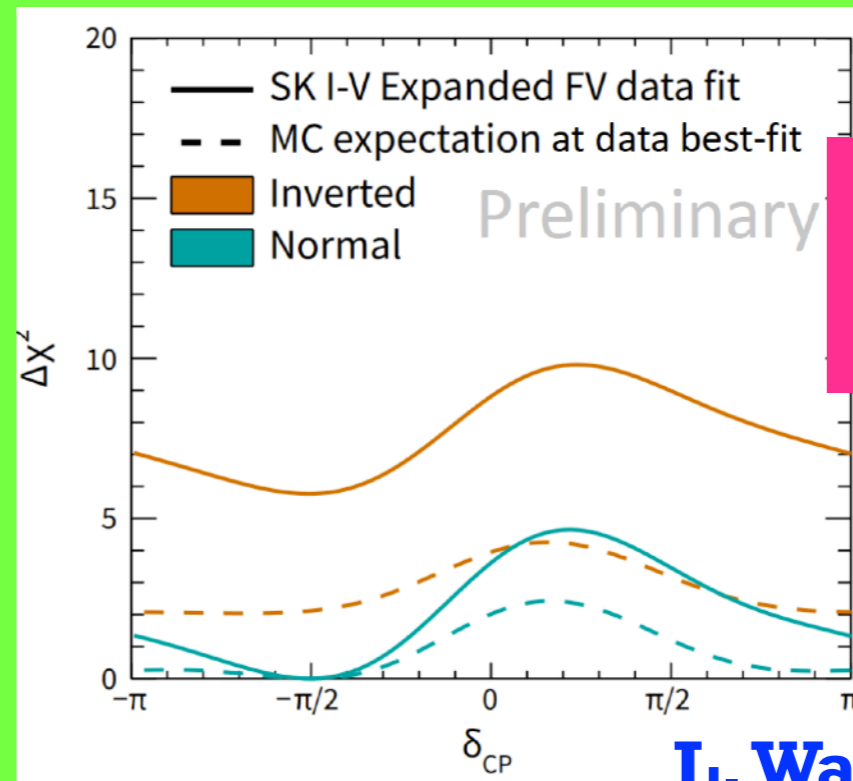
# The CP phase

de Salas et al, **JHEP 02 (2021) 071**



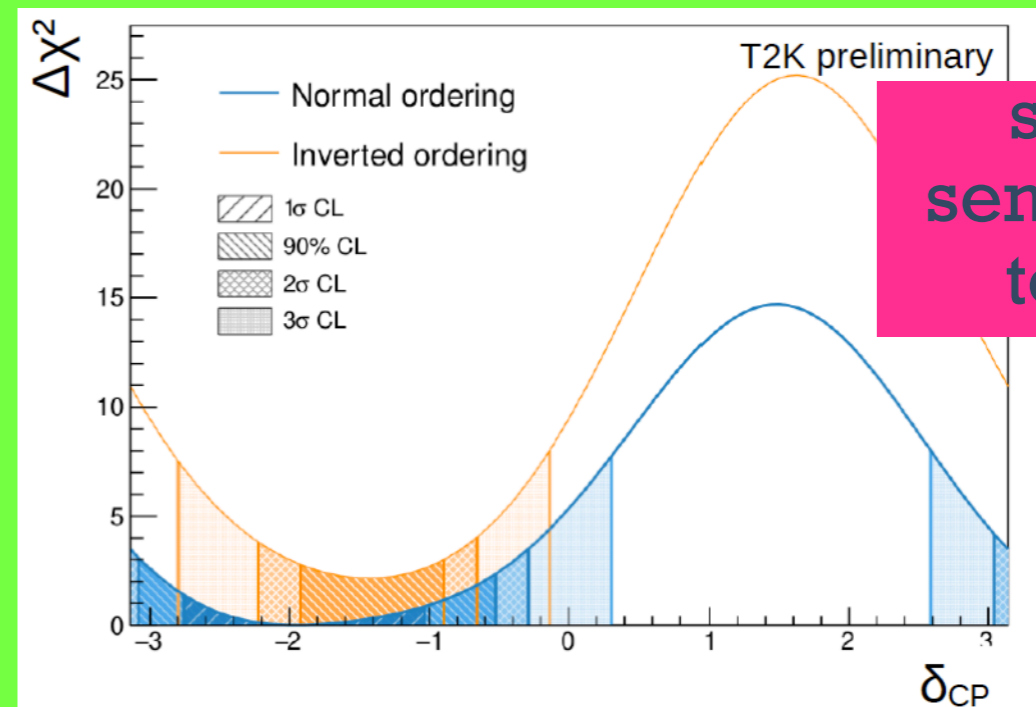
NO:  $\delta_{\text{BF}} = 1.08\pi$  (NO $\nu$ A-T2K tension)  
 $\delta = \pi/2$  (0) disfavored at  $4.0\sigma$  ( $3.0\sigma$ )

IO:  $\delta_{\text{BF}} = 1.58\pi$  ;  
 $\delta = \pi/2$  ( $\pi$ ) disfavored at  $6.2\sigma$  ( $3.8\sigma$ )



improved sensitivity to  $\delta_{\text{CP}}$

**L. Wan, Nu 2022**

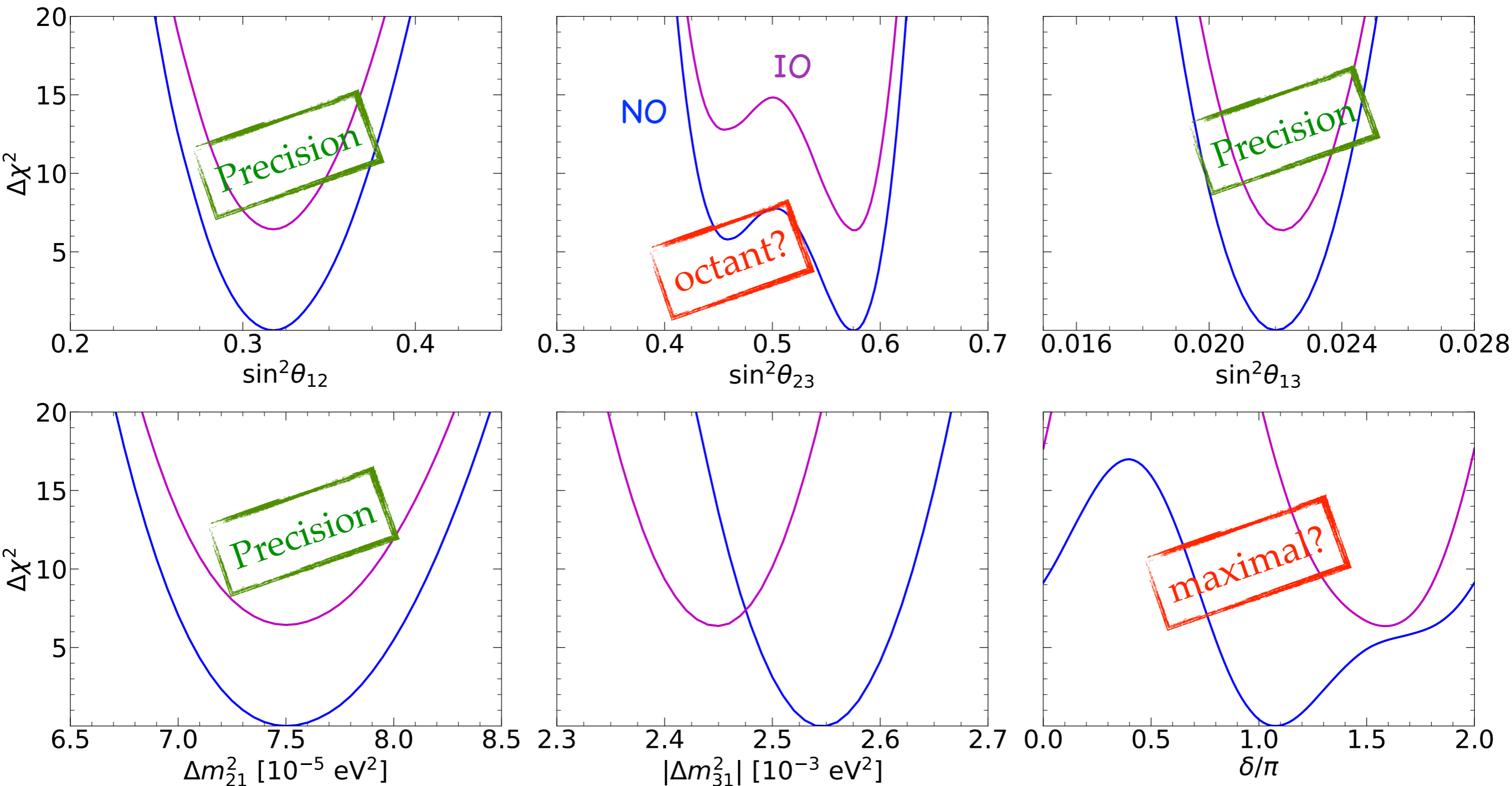


same sensitivity to  $\delta_{\text{CP}}$

**C. Bronner, Nu 2022**

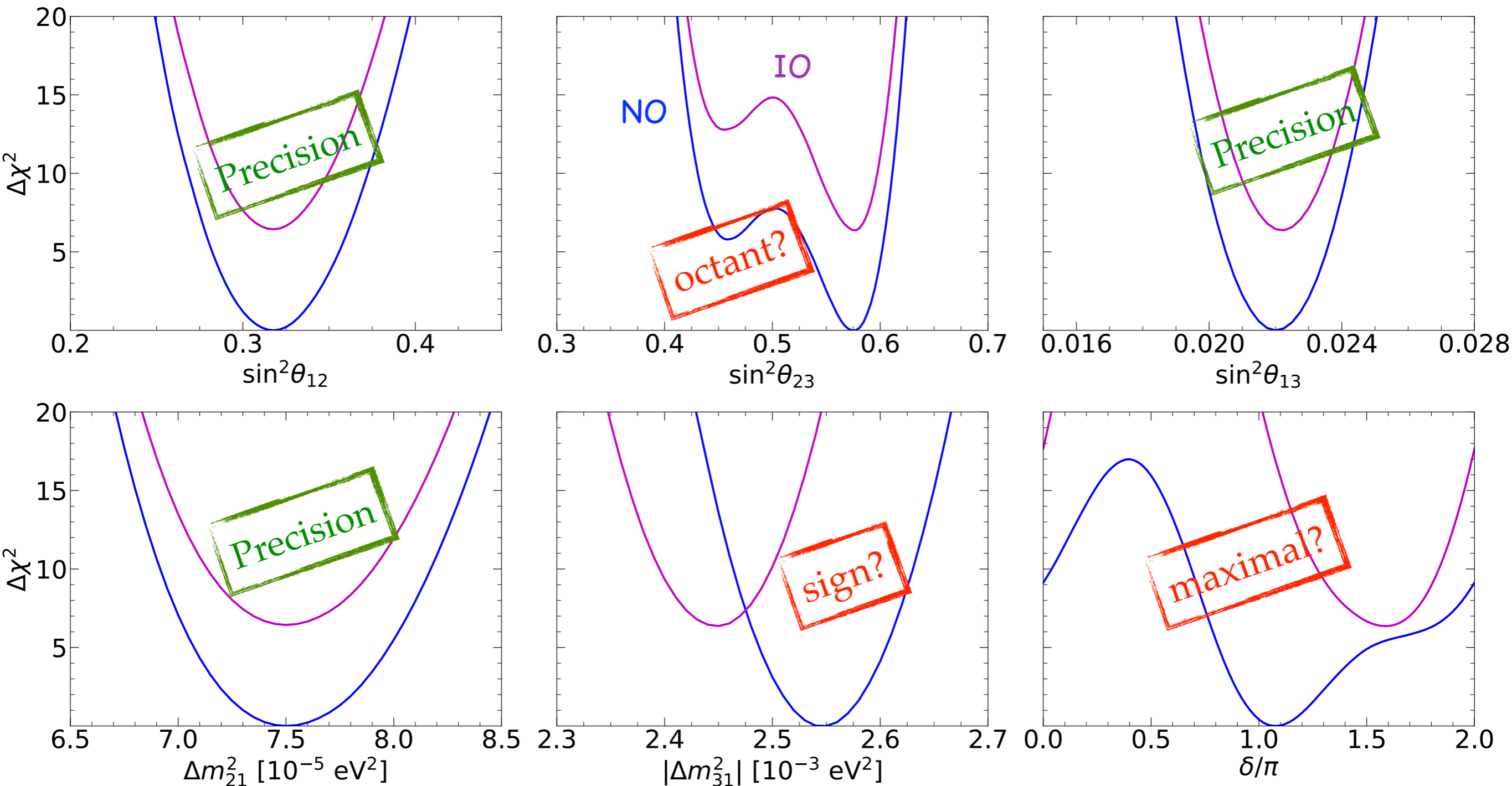
# Global fit to $\nu$ oscillation parameters

de Salas et al, **JHEP 02 (2021) 071** [arXiv:2006.11237]



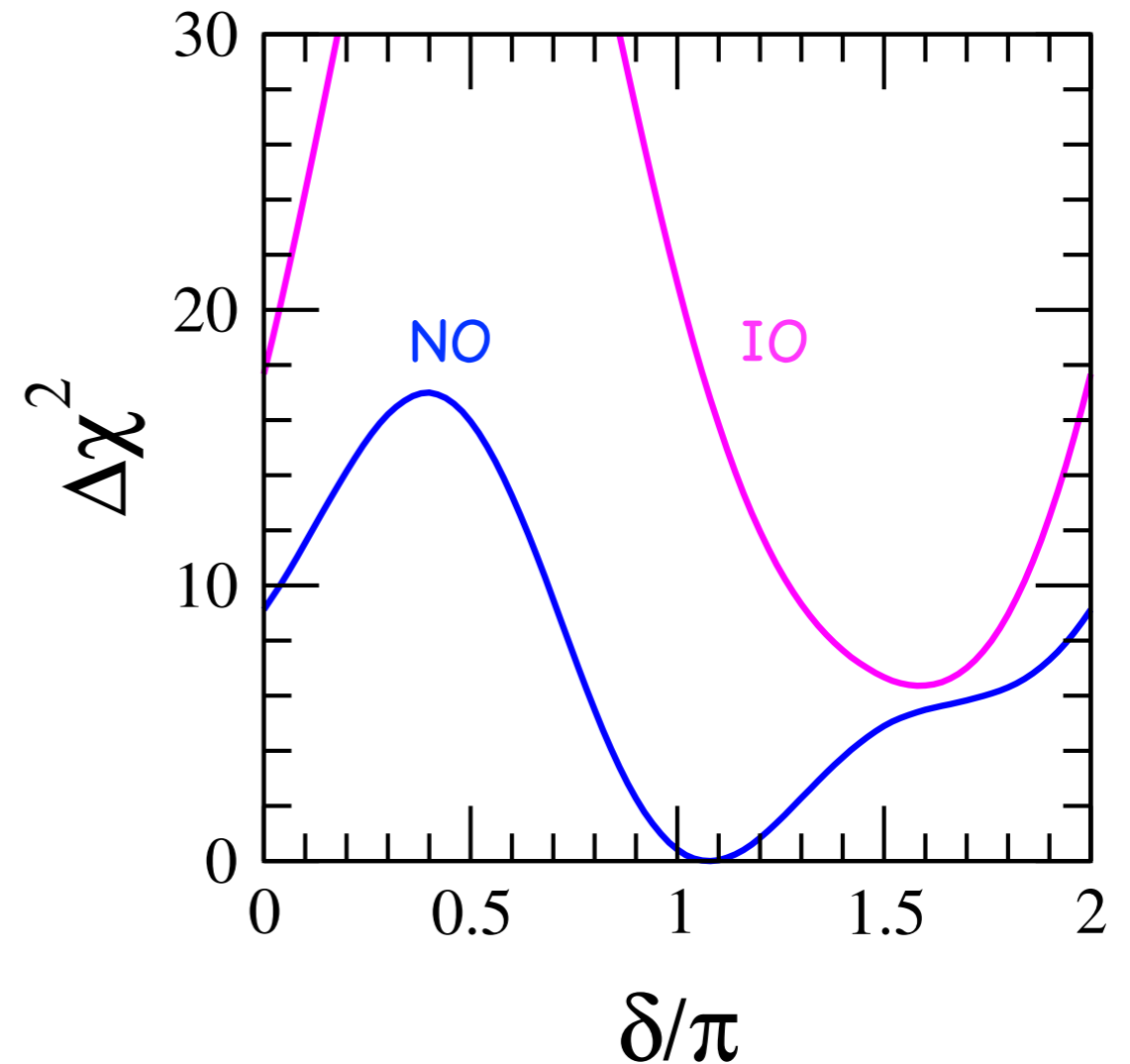
# Global fit to $\nu$ oscillation parameters

de Salas et al, **JHEP 02 (2021) 071** [arXiv:2006.11237]



# The mass ordering

- ◆ T2K and NOvA separate analyses prefer NO with  $\Delta\chi^2 \approx 0.4$
- ◆ T2K + NOvA combined prefer IO with  $\Delta\chi^2 \approx 2.4$  (tension in  $\delta$  for NO)
- ◆ LBL + REAC prefer NO with  $\Delta\chi^2 \approx 1.4$  (tension in  $\Delta m^2_{31}$  measurement in IO)
- ◆ Atmos. sensitivity: Super-K ( $\Delta\chi^2 \approx 3.5$ ) and DeepCore ( $\Delta\chi^2 \approx 1.0$ )
- ◆ Global fit:  $\Delta\chi^2 = 6.4 \rightarrow 2.5\sigma$  preference for NO

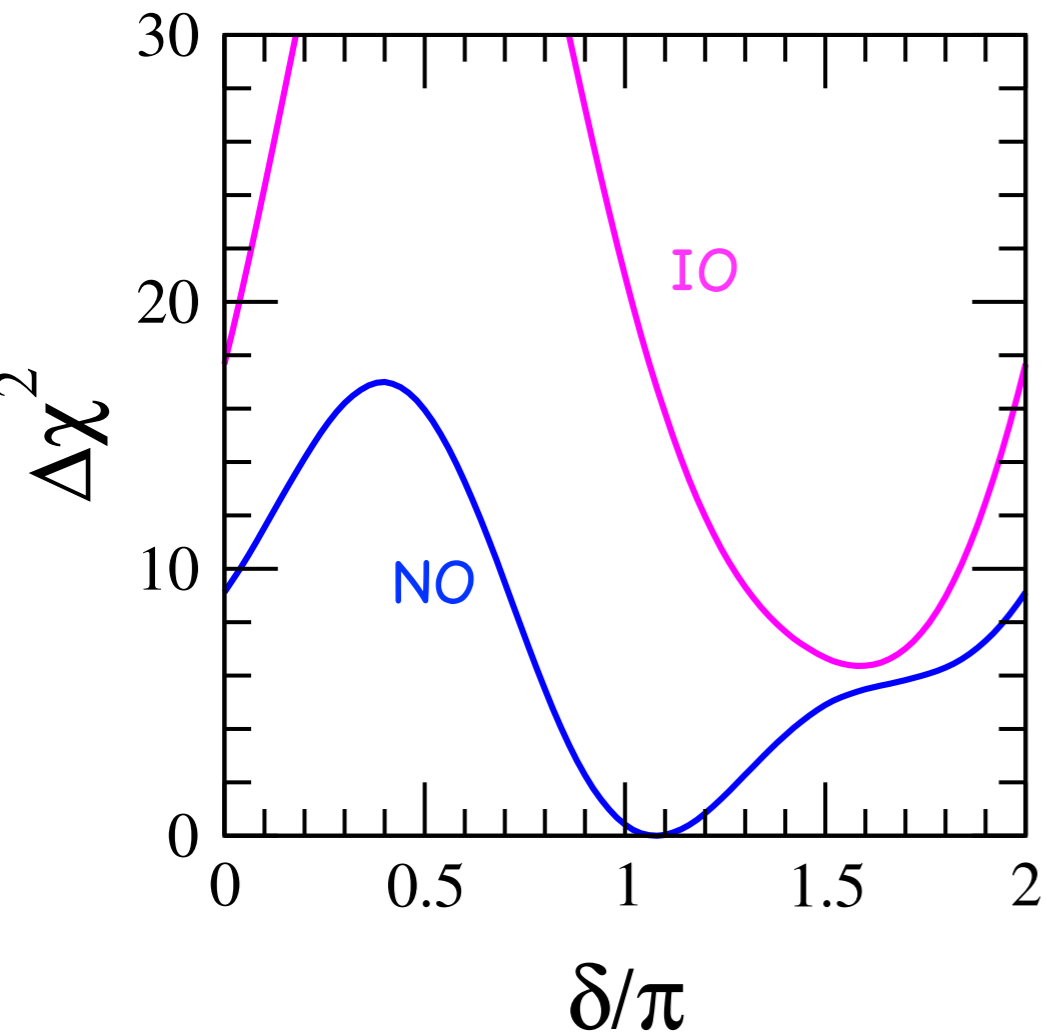


de Salas et al, JHEP 02 (2021) 071



# The mass ordering

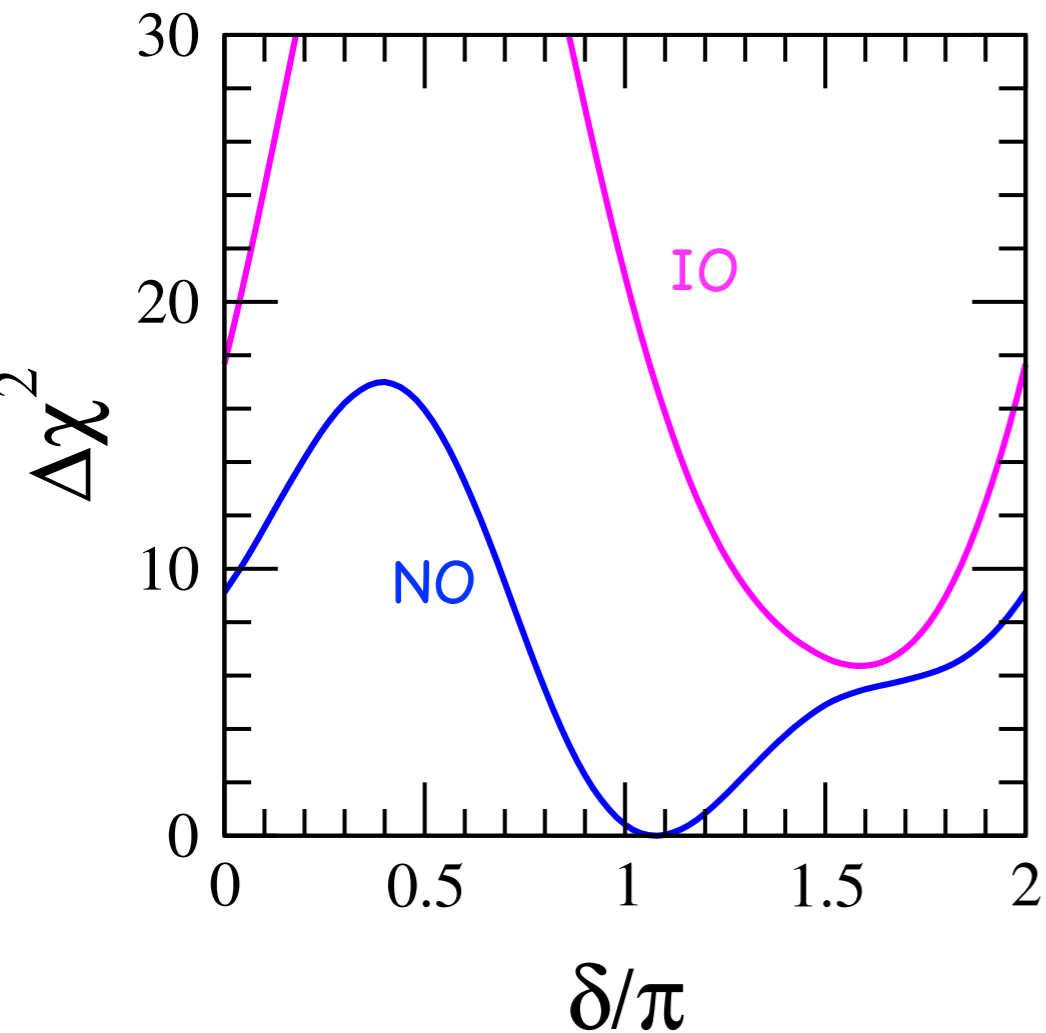
de Salas et al, **JHEP 02 (2021) 071**



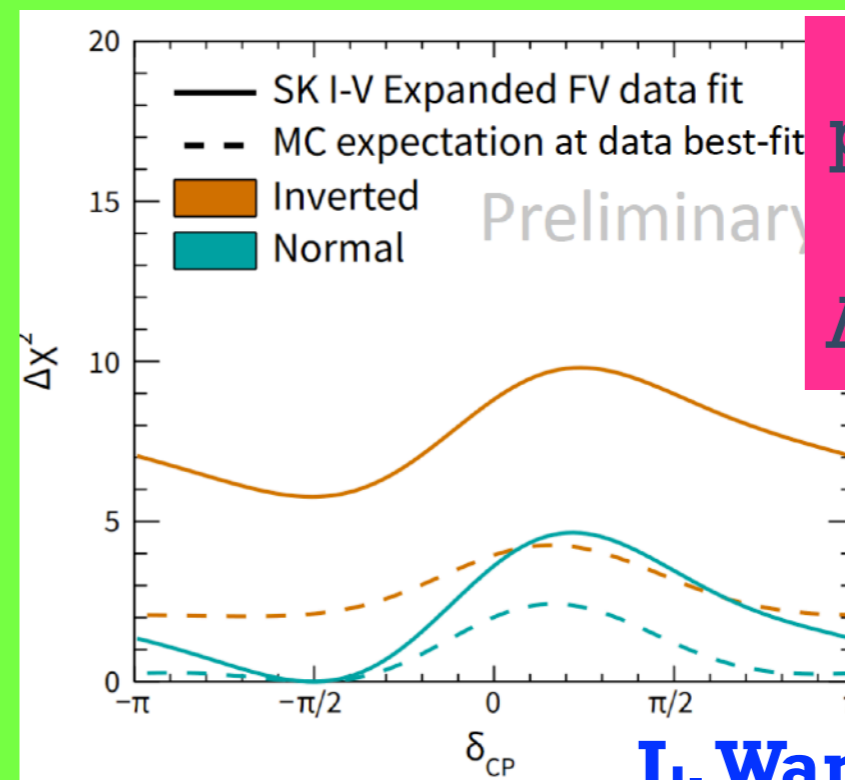
2.5 $\sigma$  preference for NO

# The mass ordering

de Salas et al, JHEP 02 (2021) 071

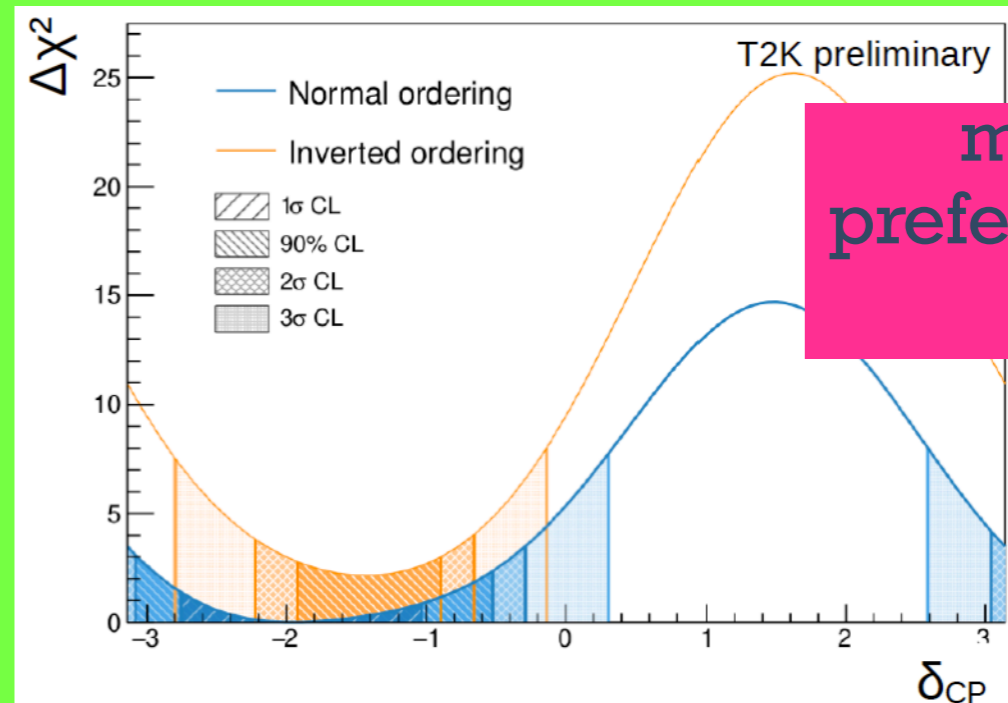


2.5 $\sigma$  preference for NO



higher preference for NO:  
 $\Delta\chi^2 = 3.5 \rightarrow 5.8$

L. Wan, Nu 2022



milder preference for NO

C. Bronner, Nu 2022

# Other inputs for mass ordering?

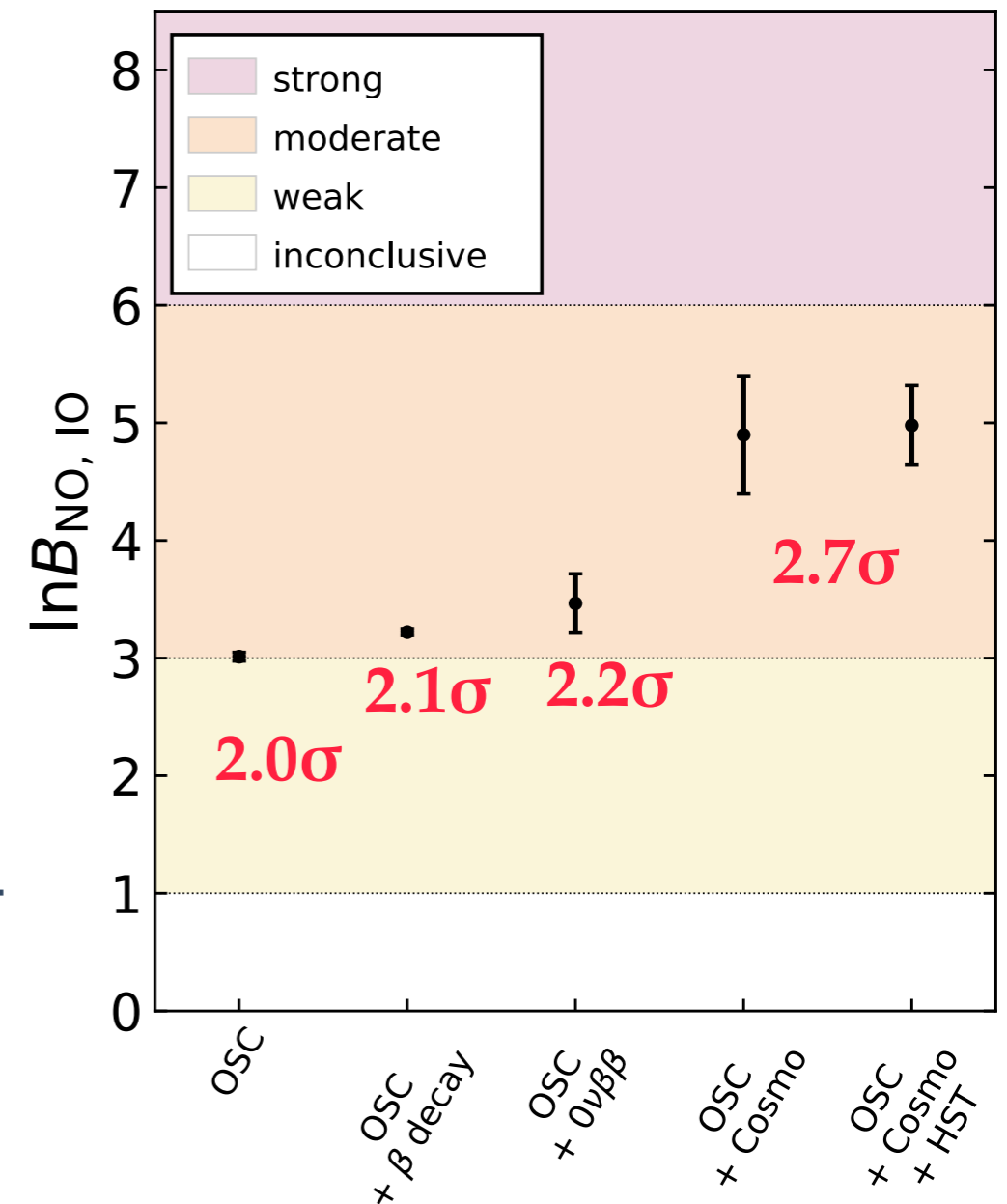
## Experimental sensitivity to neutrino masses:

- ◆  $\nu$ -oscillations:  $\Delta m^2_{ij}$
- ◆  $\beta$ -decay:  $m_\beta = f(m_i, \theta_{ij})$
- ◆  $0\nu\beta\beta$ :  $m_{\beta\beta} = f(m_i, \theta_{ij}, \phi_i)$
- ◆ cosmology:  $\Sigma m_i$

## Results from the combined bayesian analysis:

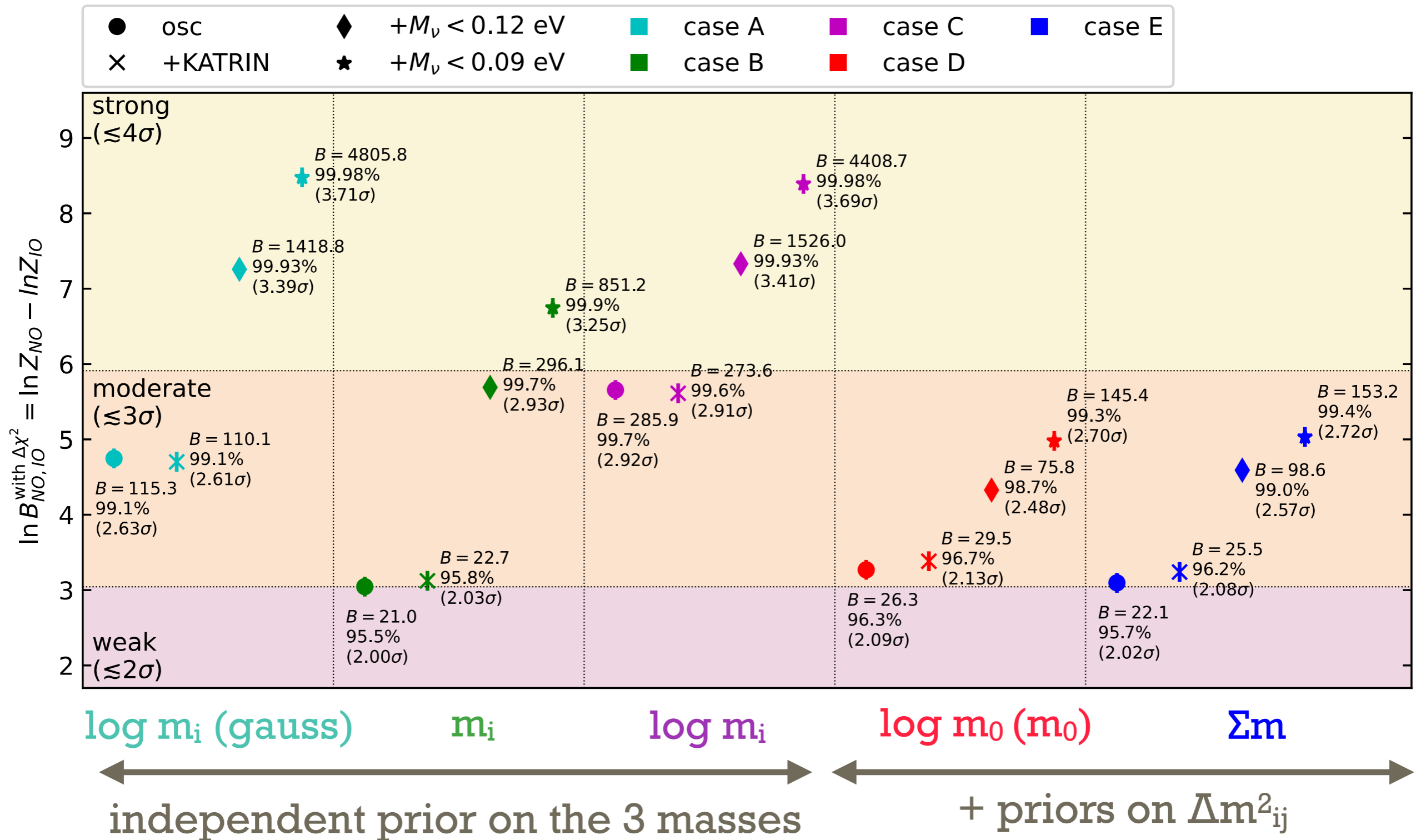
- ⇒ weak/moderate preference for NO driven by oscillation data ( $2.0\sigma$ )
- ⇒  $\beta$ -decay and  $0\nu\beta\beta$  have little impact on MO.
- ⇒ cosmological data enhances the preference for NO from  $2.0\sigma$  to  $2.7\sigma$

de Salas et al, JHEP 02 (2021) 071



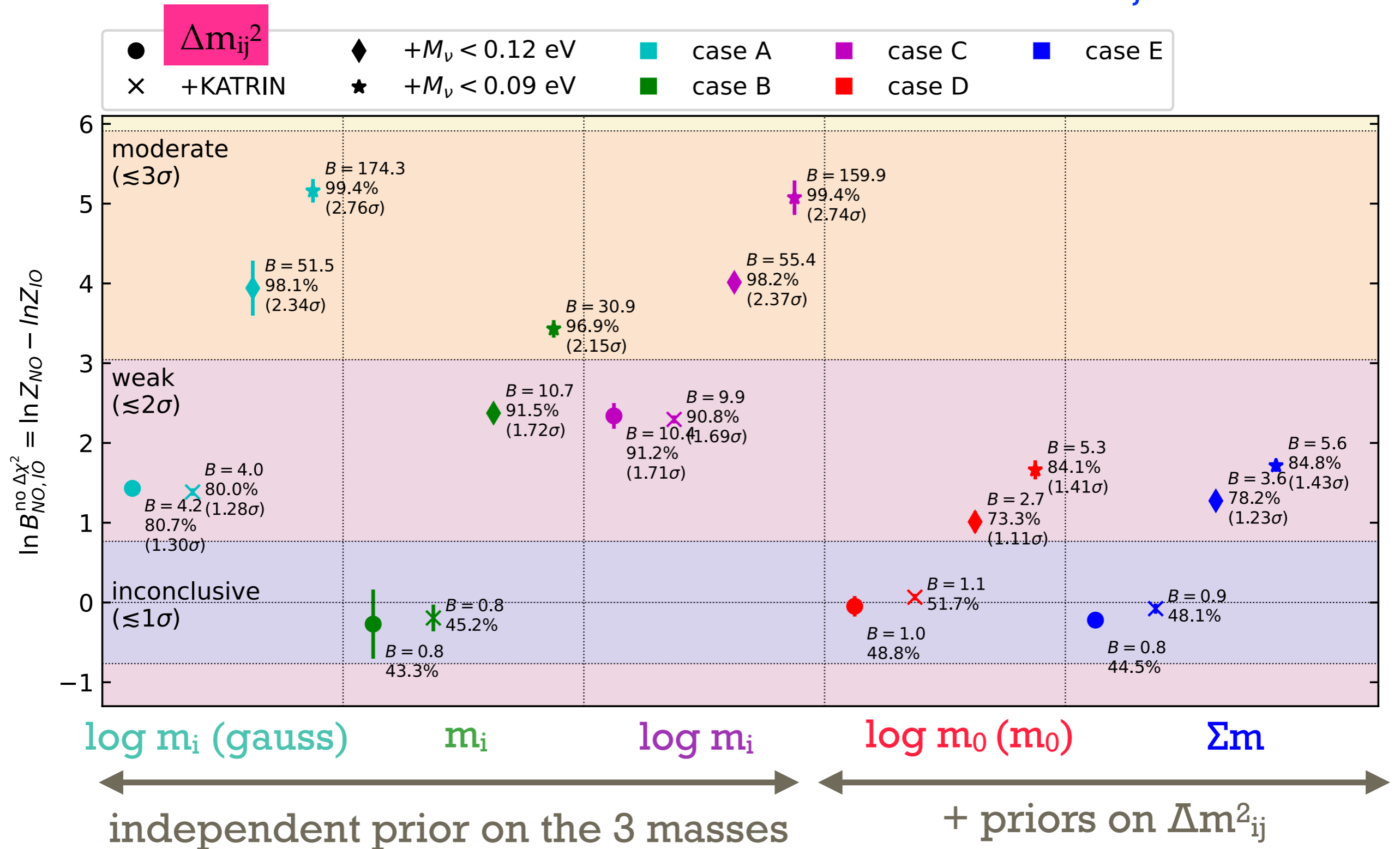
# Preference for NO (with OSC)

Gariazzo et al, 2205.02195



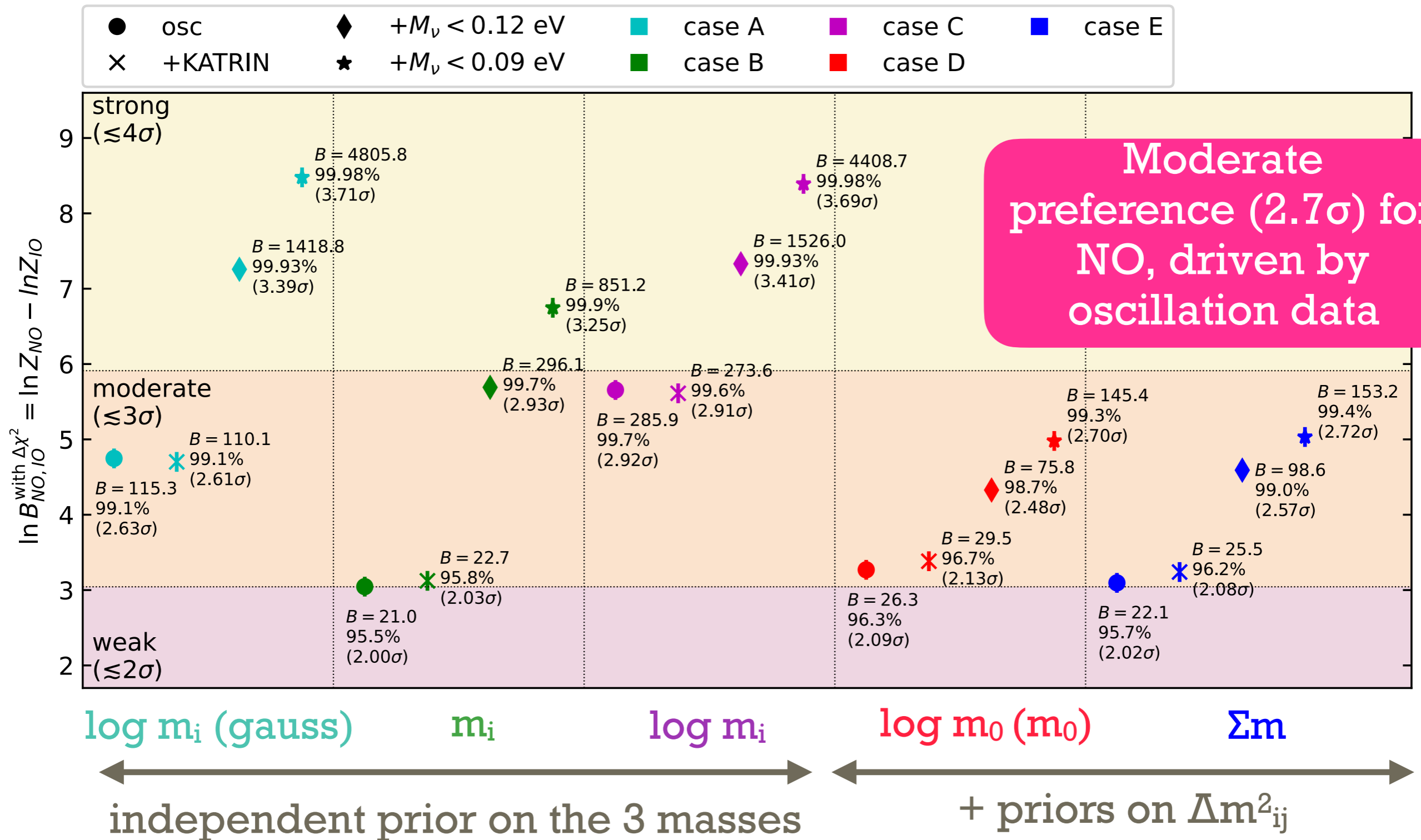
# Preference for NO (without OSC)

Gariazzo et al, 2205.02195



# Preference for NO (with OSC)

Gariazzo et al, 2205.02195

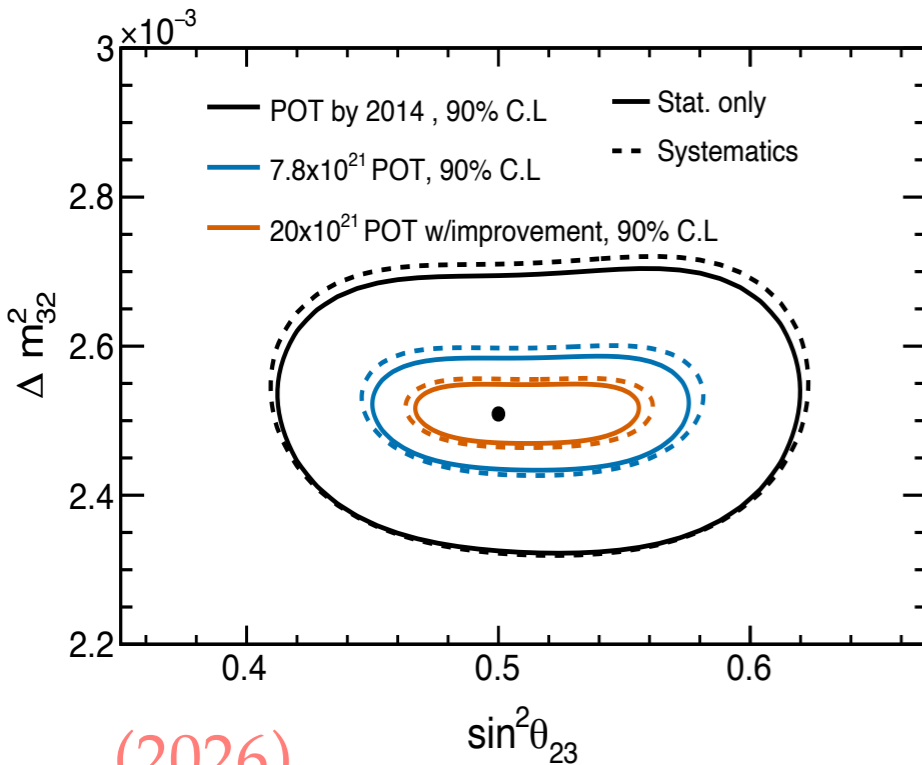


# Future prospects in neutrino oscillations

# Prospects for precision

**T2K**

**Abe et al, 1609.04111**



(2026)

~1% precision on  $\Delta m^2_{32}$

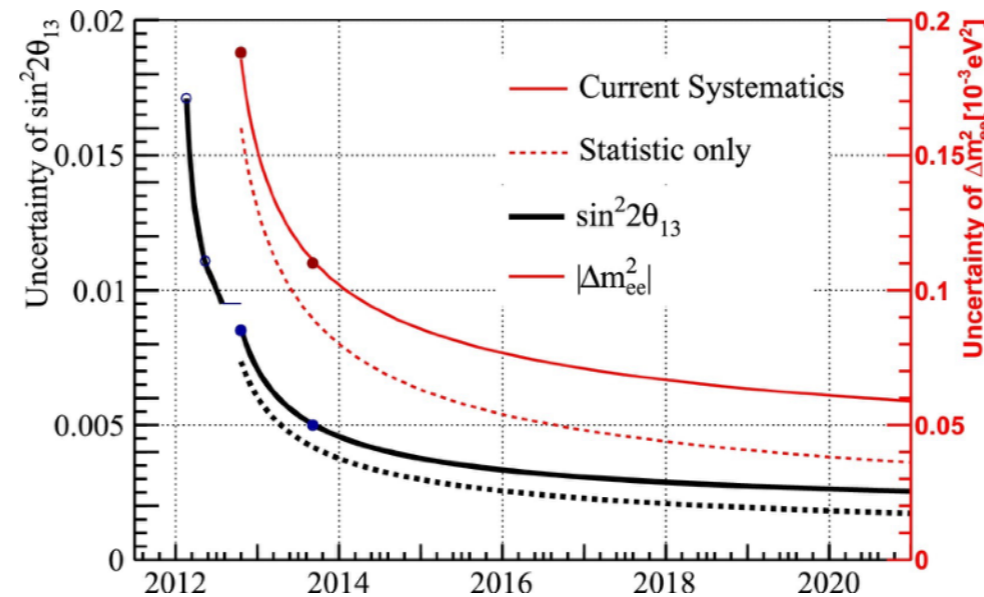
~1-3% precision on  $\sin^2\theta_{23}$

**DayaBay**

**Cao and Luk, 1605.01502**

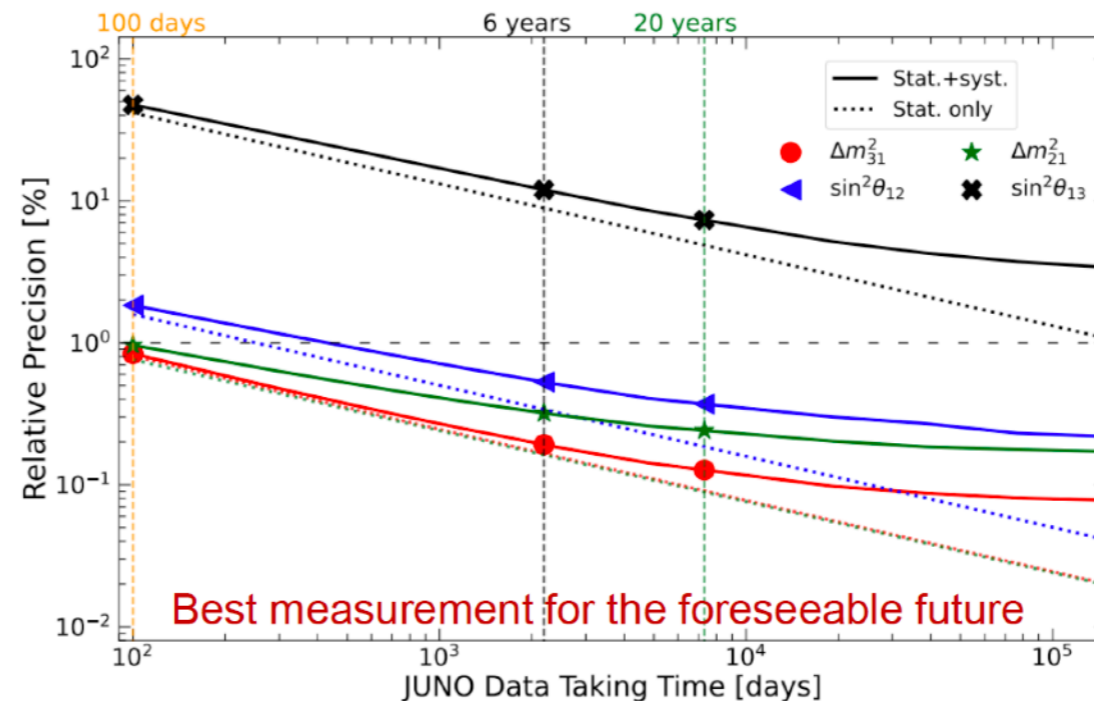
< 3% precision in  $\sin^2 2\theta_{13}$  and  $\Delta m^2_{ee}$

2.7% in  $\sin^2 2\theta_{13}$  [Z, Yu, TAUP'21]



**JUNO**

(also SNO+)



6 years:

< 0.5% precision on  $\sin^2 2\theta_{12}$ ,  $\Delta m^2_{21}$ ,  $|\Delta m^2_{31}|$

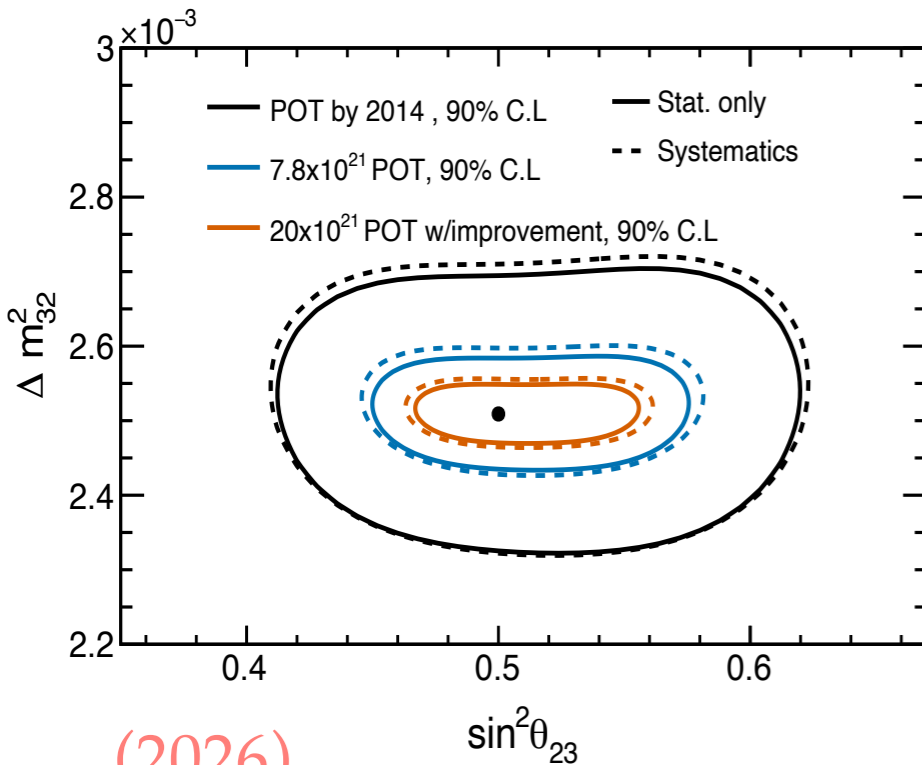
**J. Zhao, Neutrino 2022**



# Prospects for precision

**T2K**

**Abe et al, 1609.04111**



(2026)

~1% precision on  $\Delta m^2_{32}$

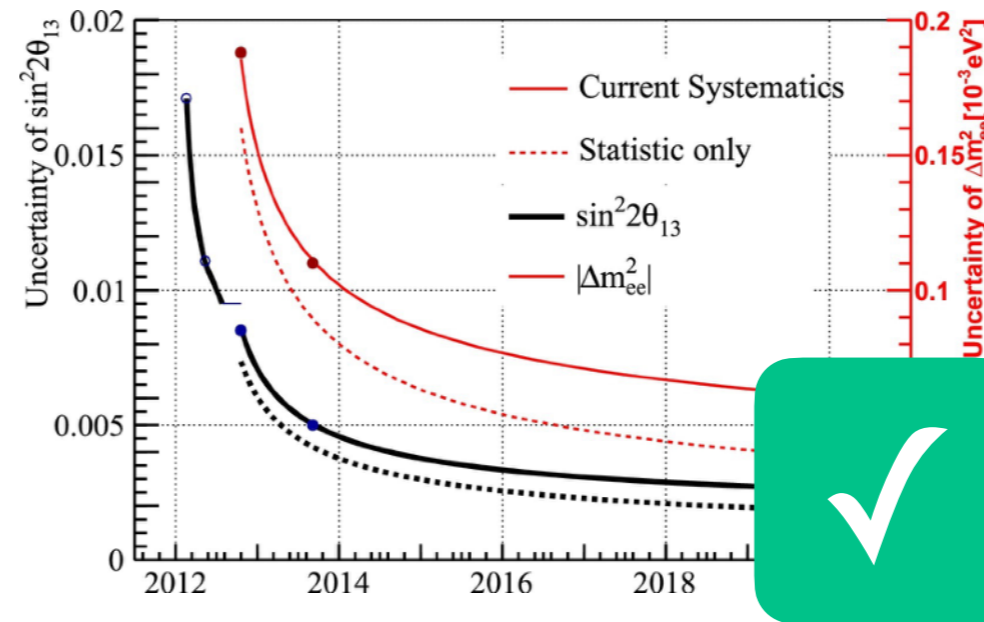
~1-3% precision on  $\sin^2\theta_{23}$

**DayaBay**

**Cao and Luk, 1605.01502**

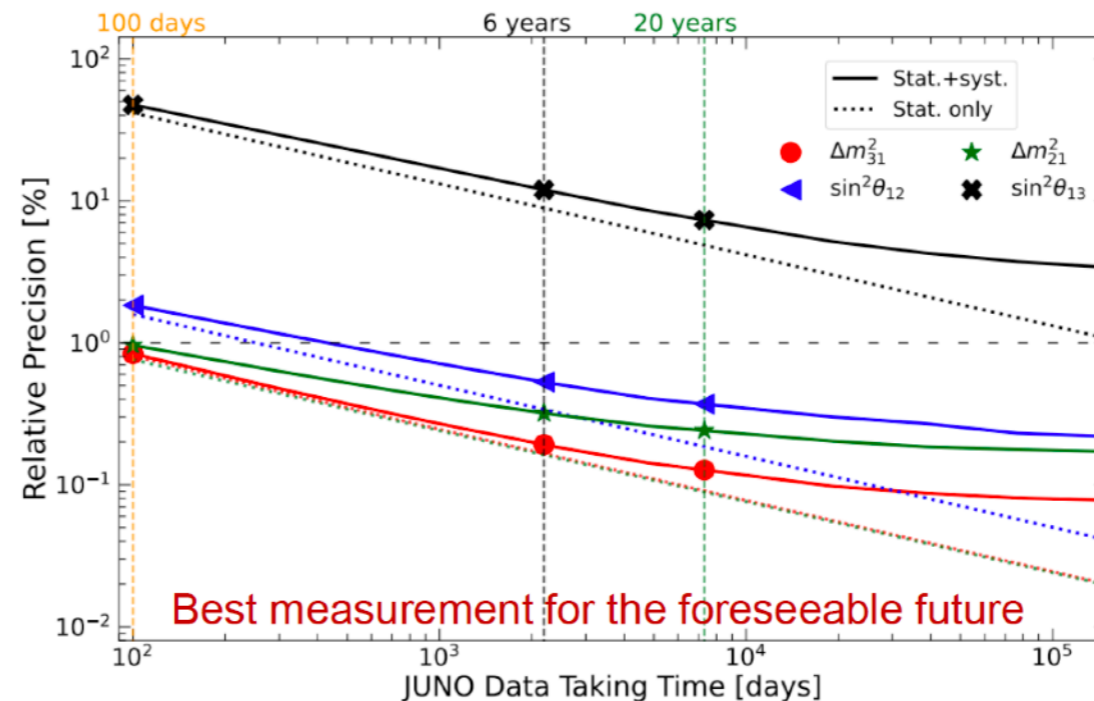
< 3% precision in  $\sin^2 2\theta_{13}$  and  $\Delta m^2_{ee}$

2.7% in  $\sin^2 2\theta_{13}$  [Z, Yu, TAUP'21]



**JUNO**

(also SNO+)



6 years:

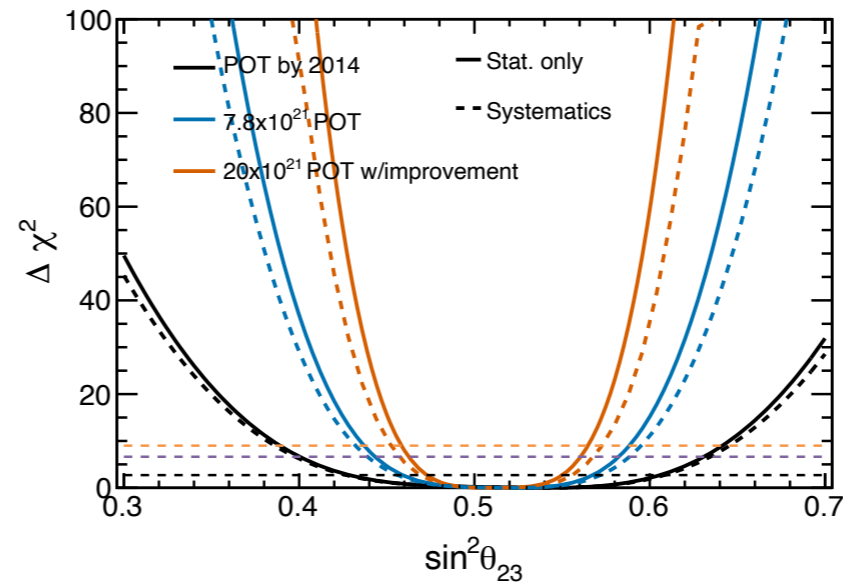
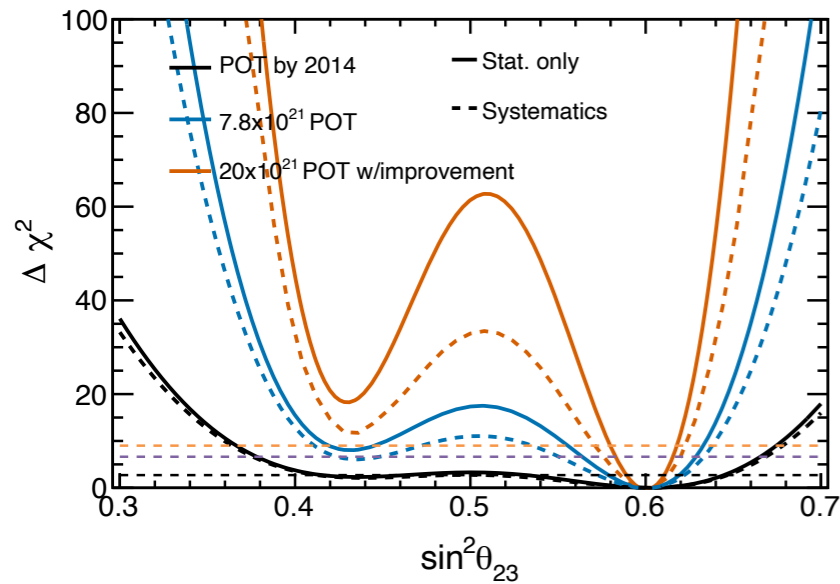
< 0.5% precision on  $\sin^2 2\theta_{12}$ ,  $\Delta m^2_{21}$ ,  $|\Delta m^2_{31}|$

**J. Zhao, Neutrino 2022**

# Prospects for atmospheric octant

**T2K**

**Abe et al, 1609.04111**

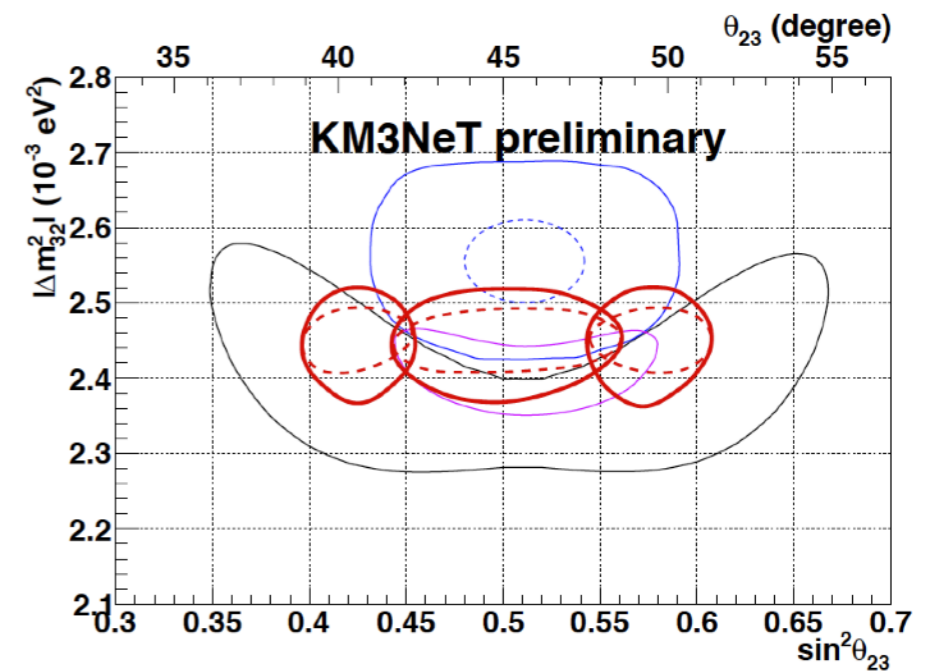
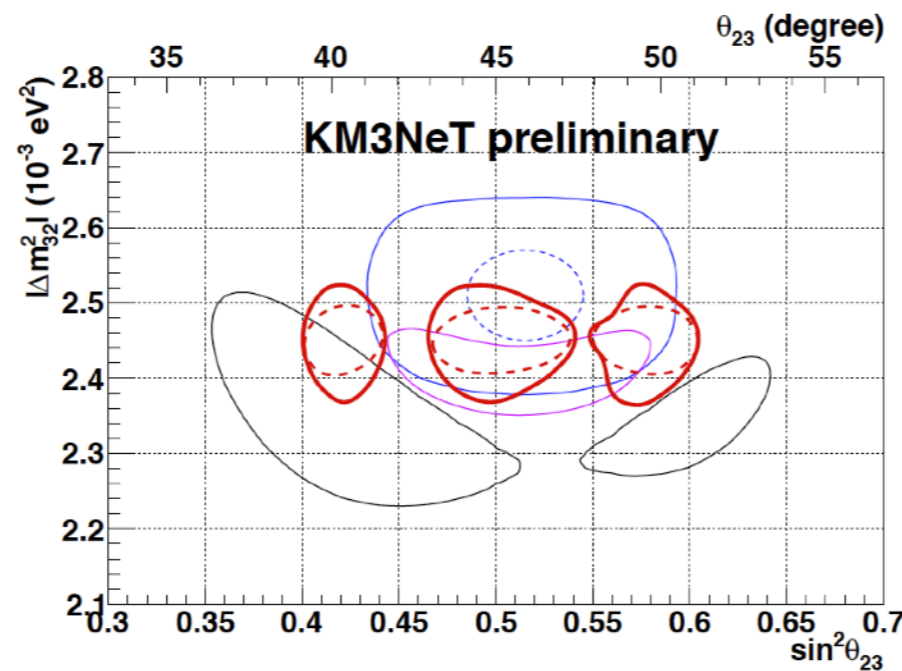


octant degeneracy  
can be resolved at  
 $\approx 3\sigma$  for  
 $\sin^2\theta_{23} = 0.60, 0.43$

**ORCA**

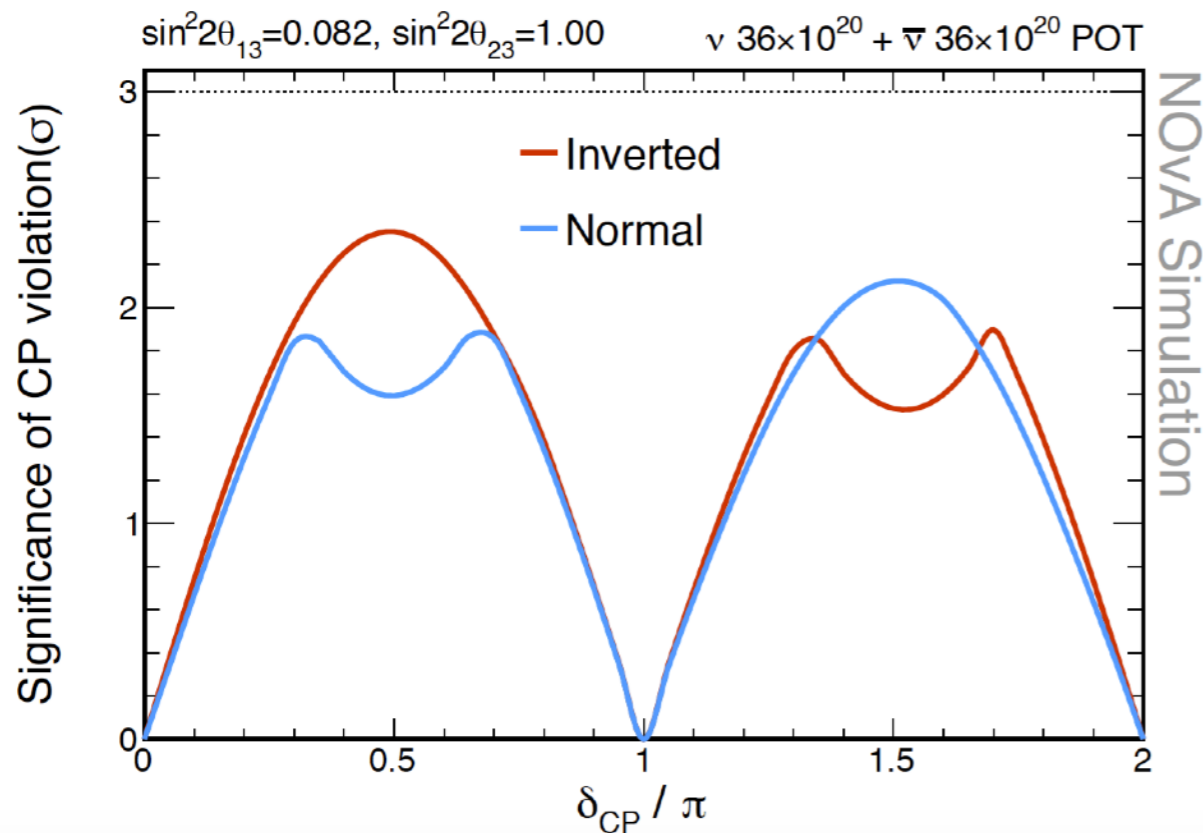
**Adrian-  
Martinez et al,  
1601.07459**

3 years of data  
 $1\sigma$  contours

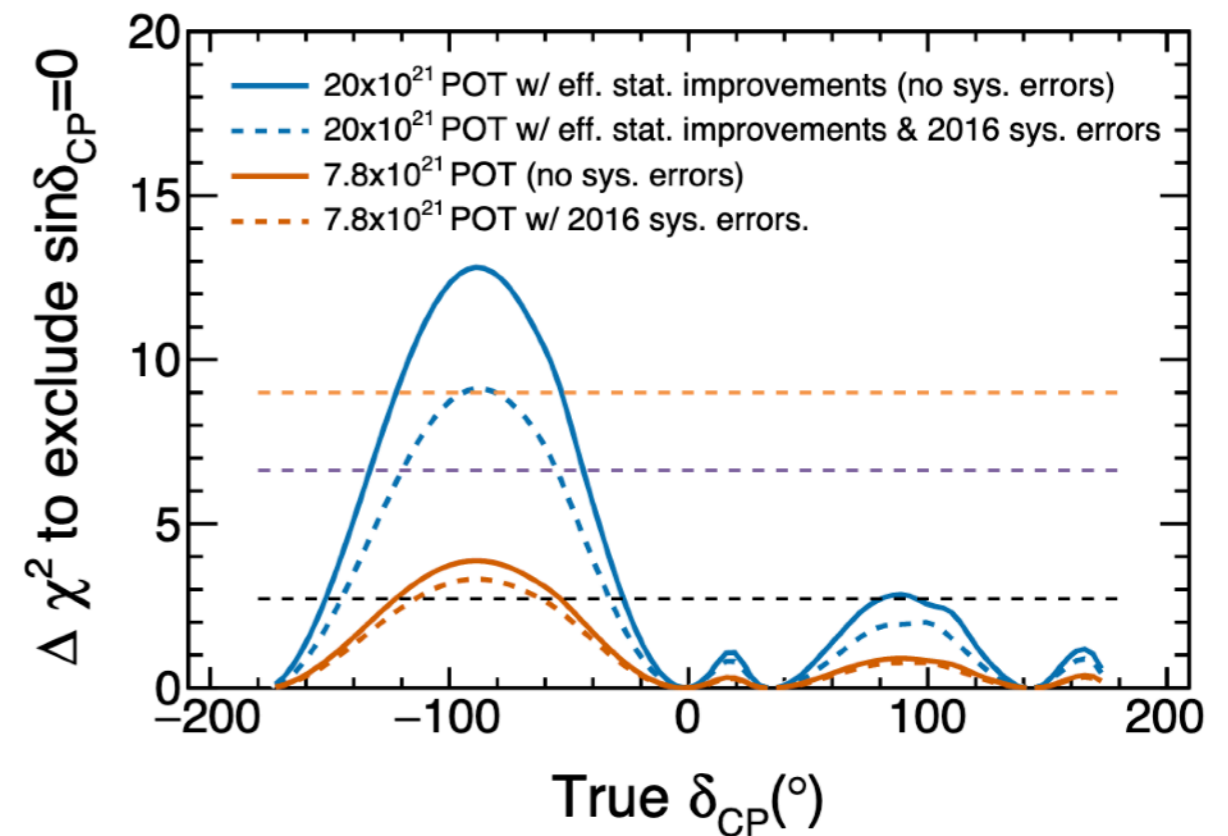


# Prospects for CP violation

**NOvA** M. Sánchez, Neutrino'18  
P. Vahle, TAUP'21



**T2K** Abe et al, 1609.04111

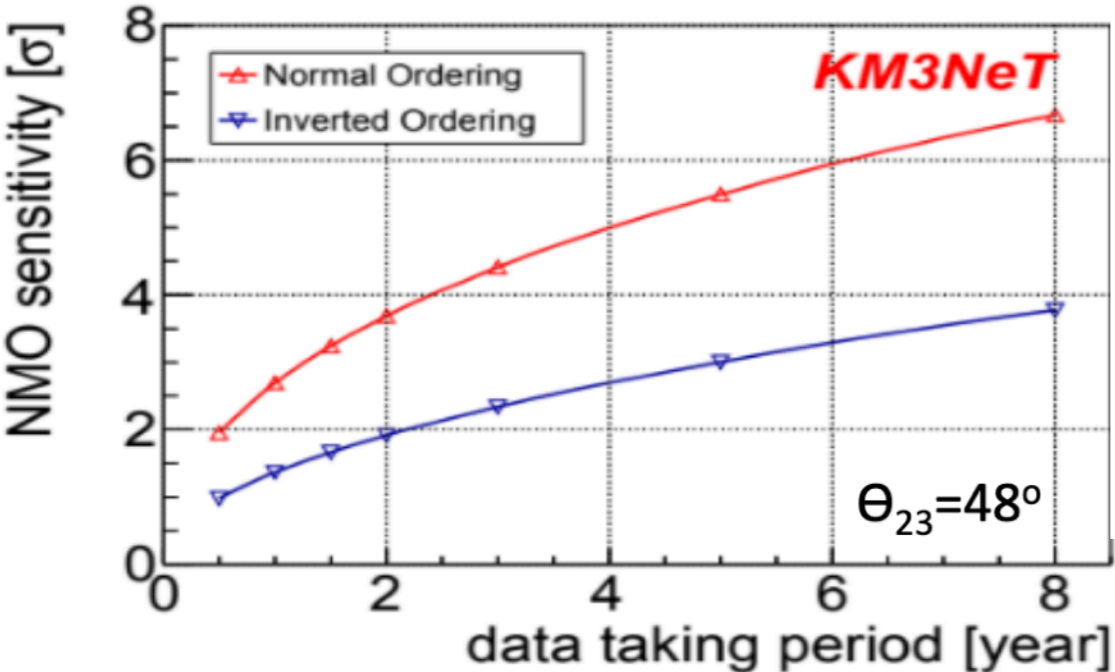


◆ by 2026 (60-70  $\times 10^{20}$  POT):  
~  $2\sigma$  sensitivity on CP violation at  
max CP violation ( $\pi/2$  &  $3\pi/2$ )

◆ by 2026 ( $20 \times 10^{21}$  POT):  
>  $3\sigma$  sensitivity on CP violation  
for  $3\pi/2$

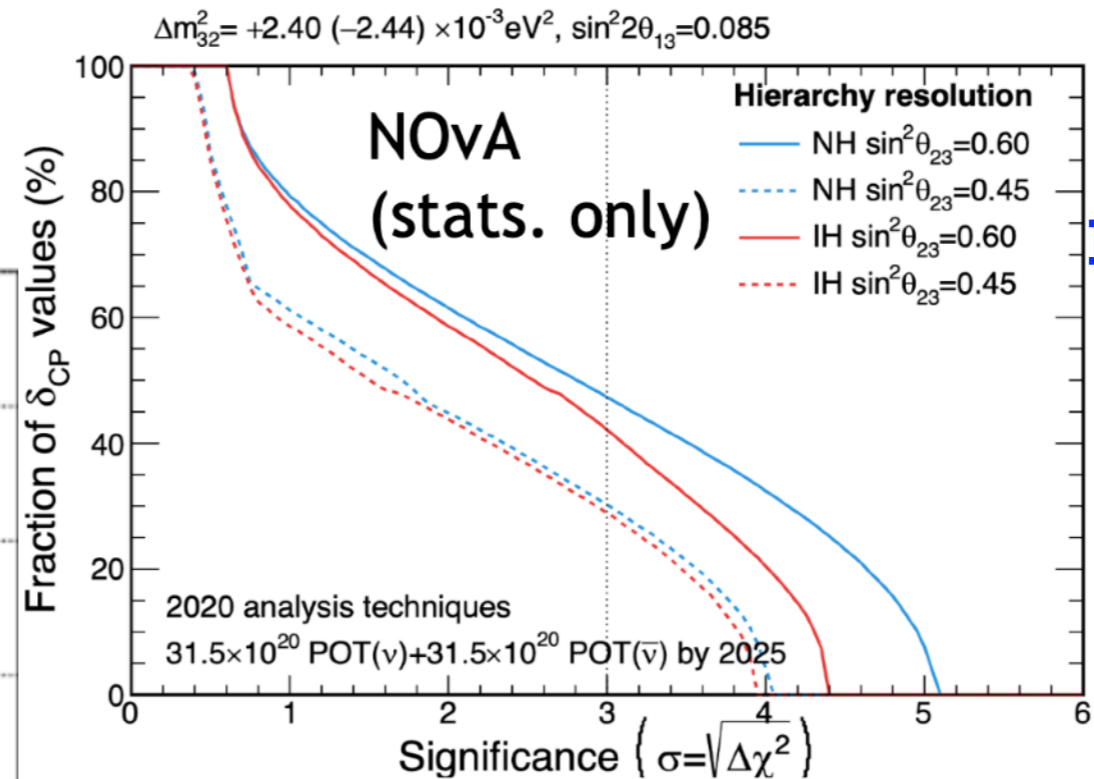
# Prospects for mass ordering

**ORCA**



◆  $3\sigma$  determination of MO in 4-5 yr

**A. Heijboer, Neutrino 2022**



**NOvA**

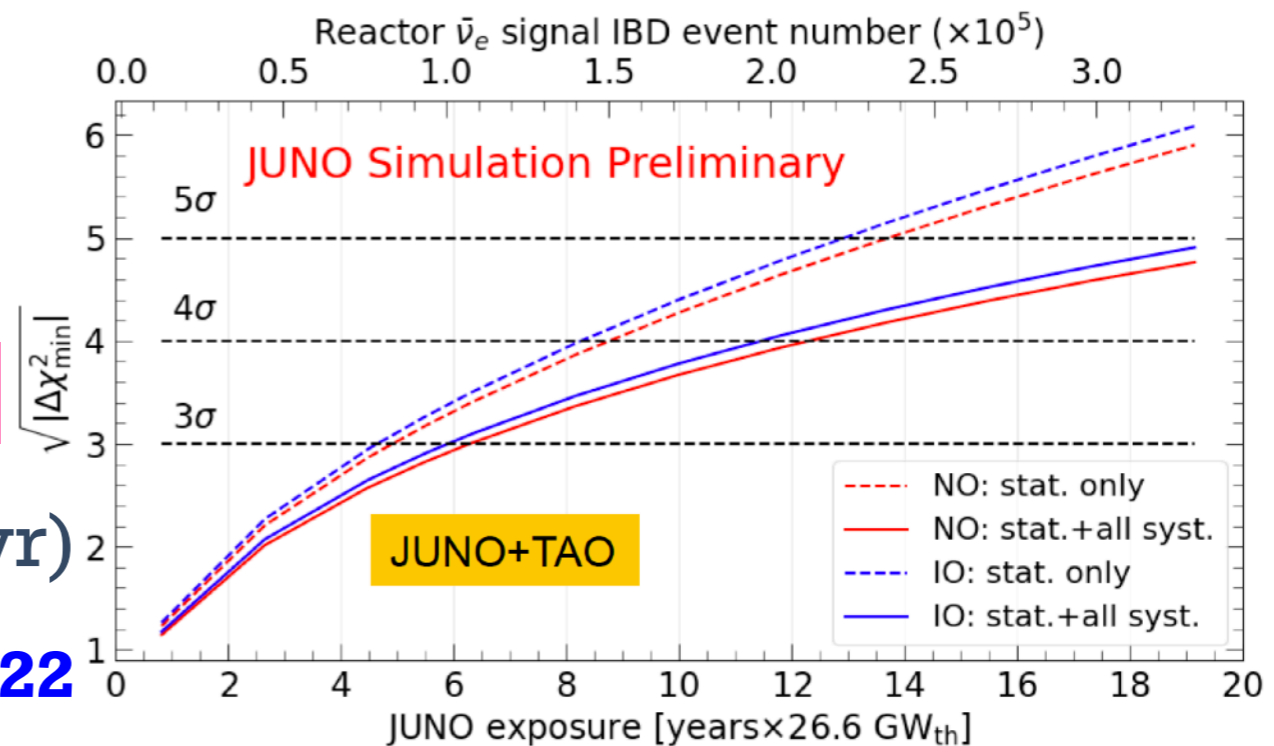
**P. Vahle, TAUP'21**

◆ 2026:  $3\sigma$  sensitivity for 30-50% of  $\delta$

**JUNO**

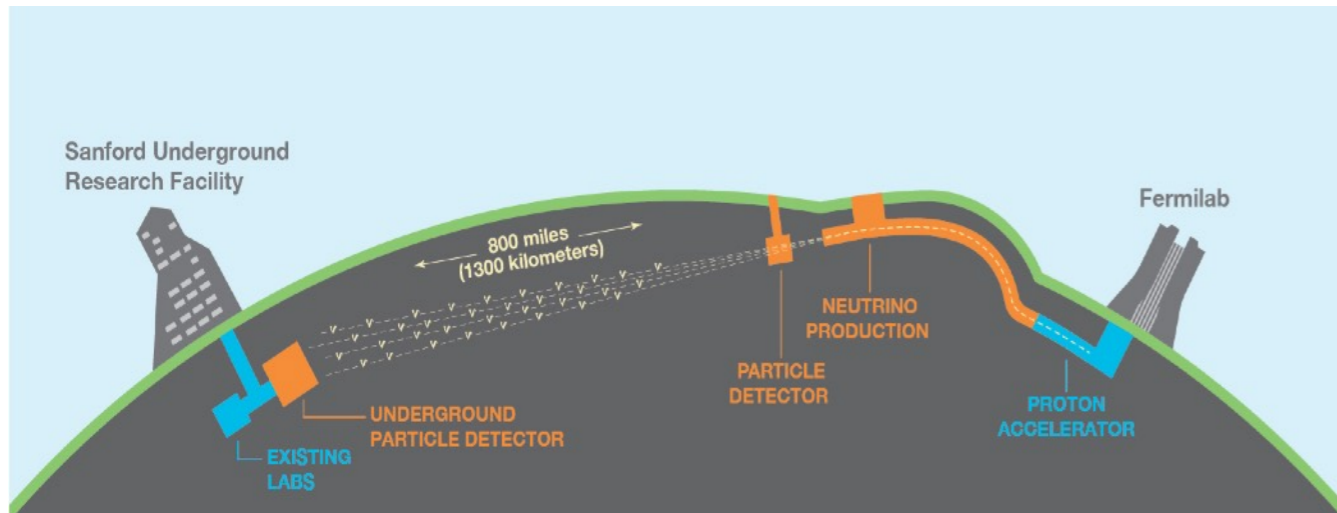
◆  $3\sigma$  sensitivity (6 yr)

**J. Zhao, Neutrino 2022**



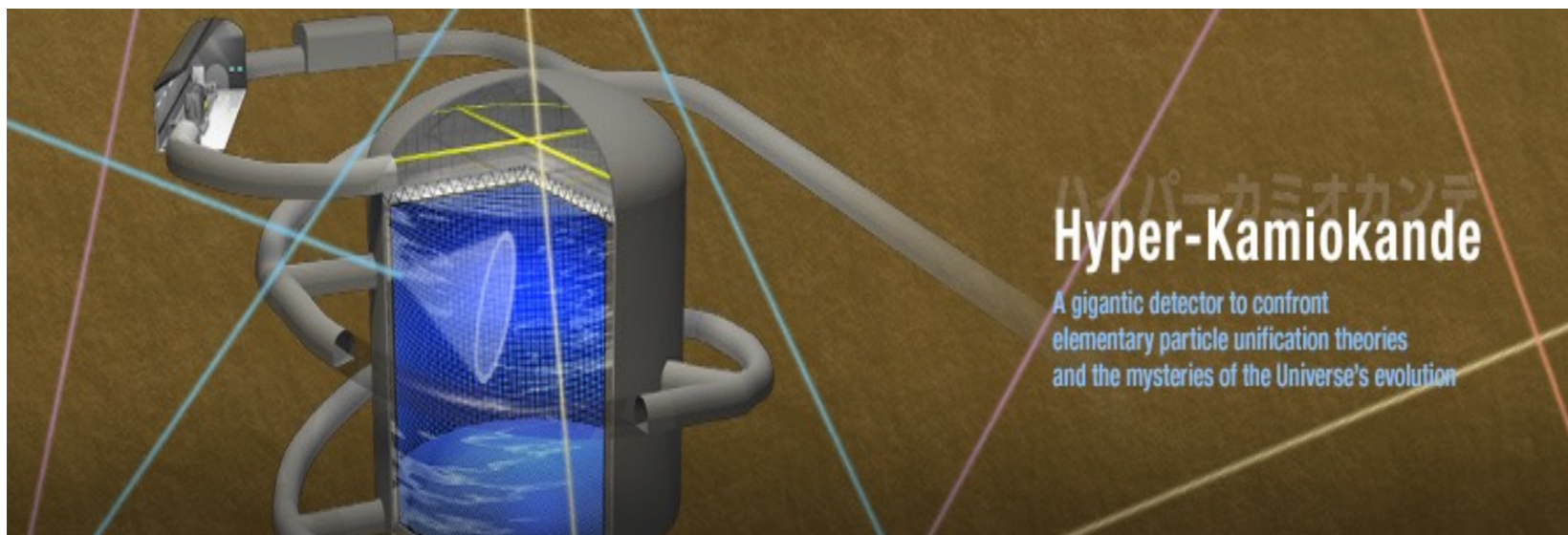
# Next generation of $\nu$ experiments

## DUNE



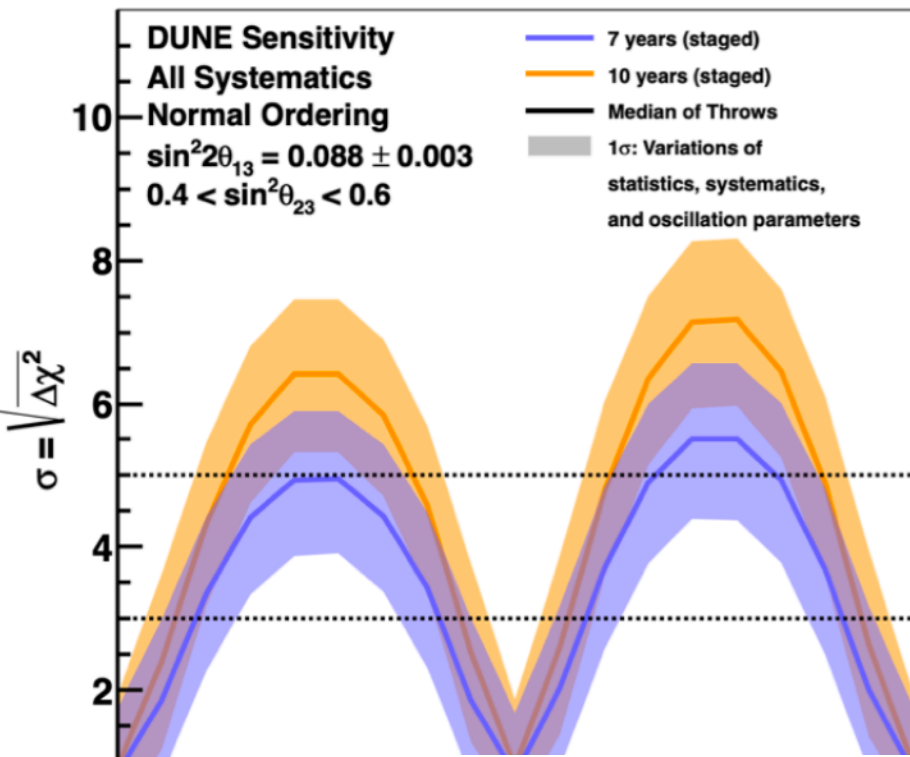
- ◆ 1.2 MW wide-band beam from FNAL to SURF (1300km)
- ◆ 4x10 kt Liquid Argon TPCs
- ◆ capability to probe 2nd oscillation max
- ◆ great sensitivity to mass ordering

## Hyper-Kamiokande

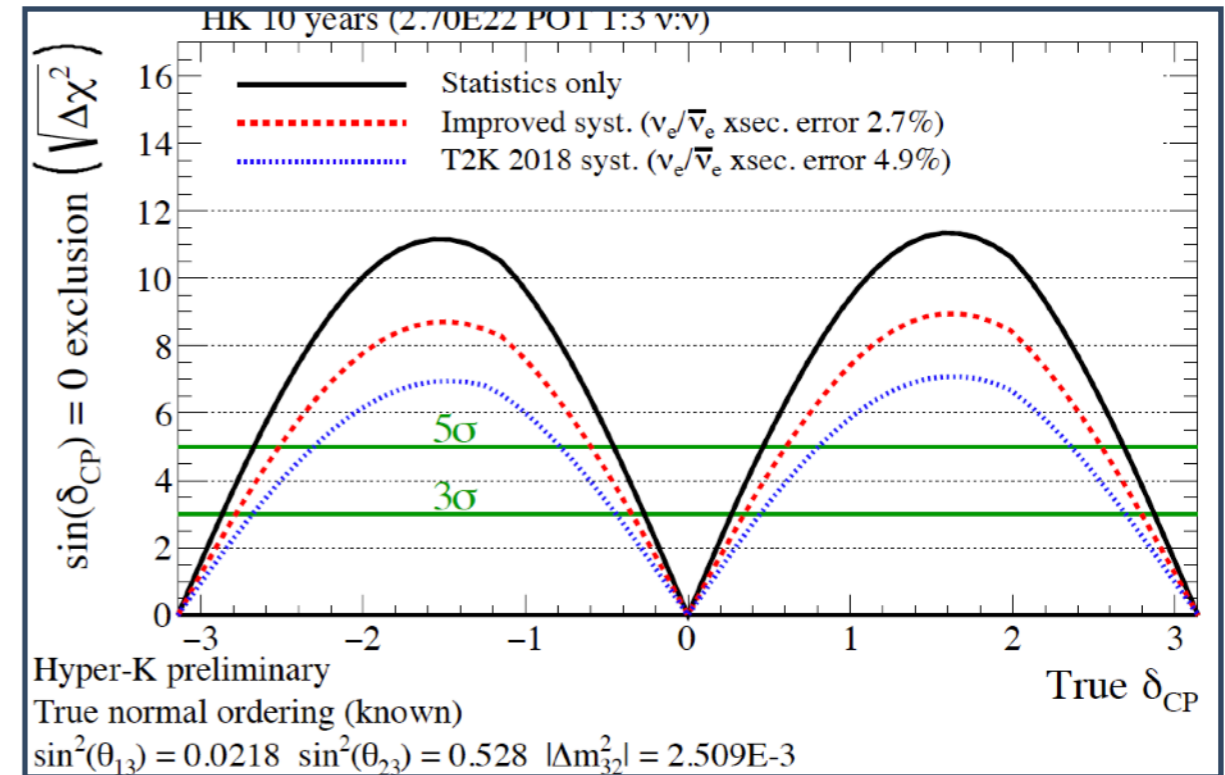


- ◆ 188 kton water Cherenkov
- ◆ T2HK: great sensitivity to  $\delta_{CP}$
- ◆ T2HKK (1100km) will have similar sensitivities as DUNE

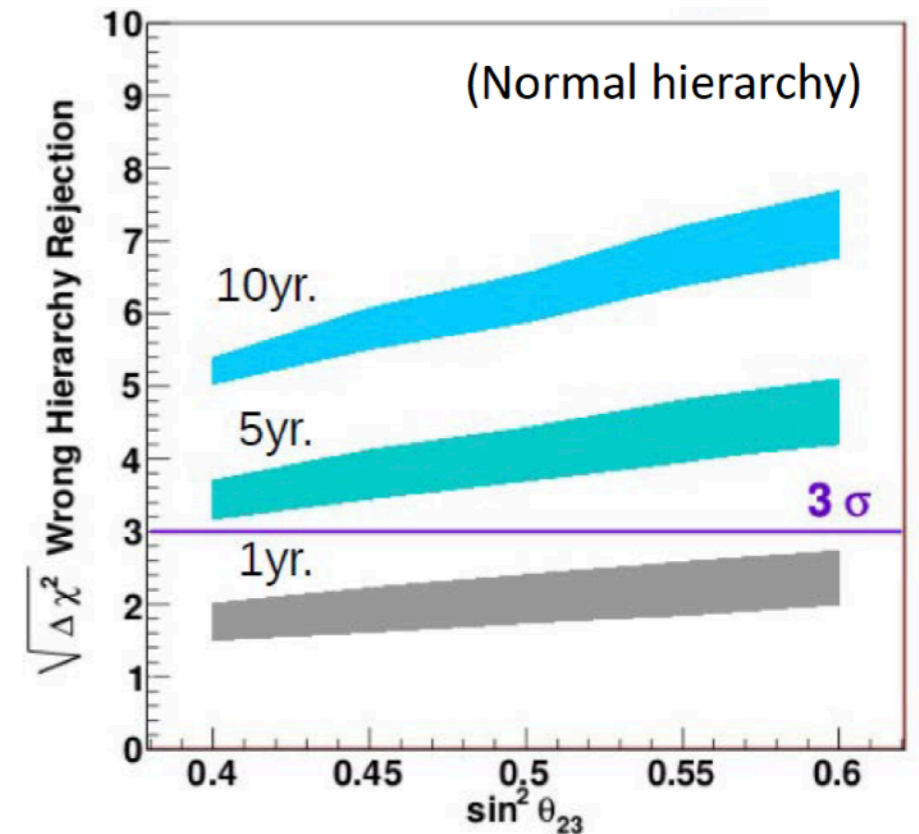
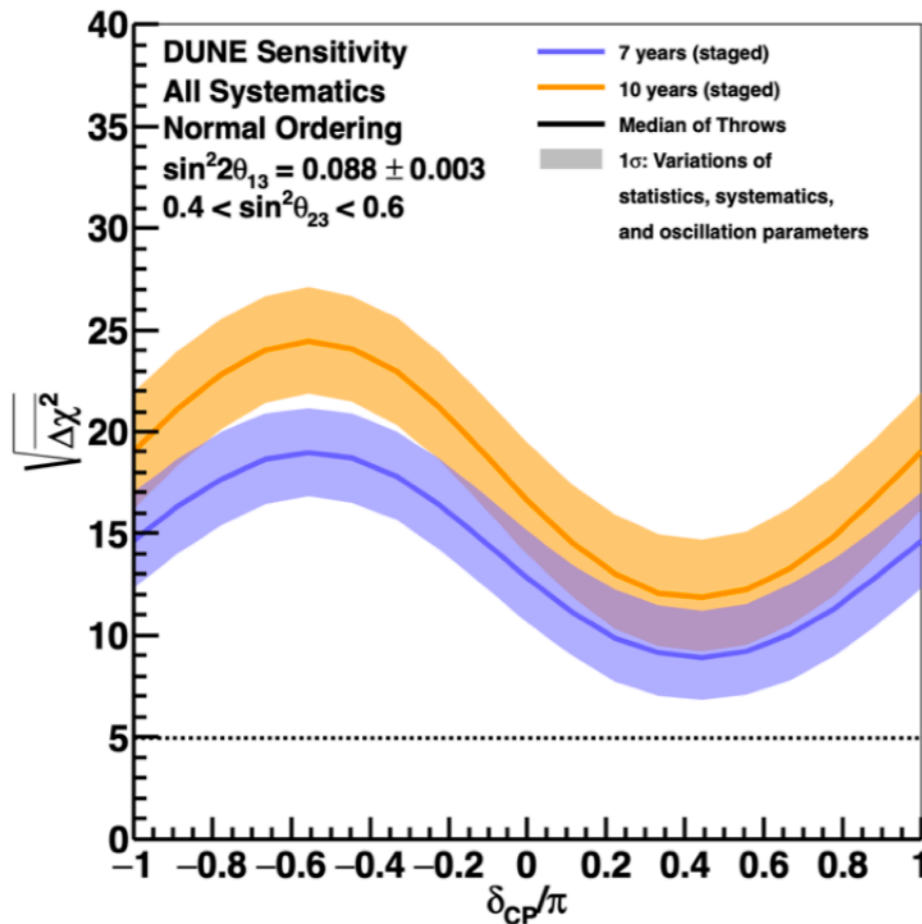
# Next generation of $\nu$ experiments



Hyper-K



DUNE



# Summary (Part I)

◆ **Neutrino oscillations** is a well-established phenomenon, observed in atmospheric, solar, reactor and accelerator neutrino experiments.

◆ **Current status** of three-neutrino oscillation parameters:

- ✓ very precise and robust determinations for most of them (1.3-10%)
- ✓ preference for  $\theta_{23} > 45^\circ$ , 1st octant value disfavoured with  $\Delta\chi^2 \geq 5.8$  (6.4)
- ✓  $\delta_{\text{BF}} = 1.08\pi$  (1.58 $\pi$ ) for NO (IO) ;  $\delta = \pi/2$  **disfavored** at  $4.0\sigma$  ( $6.2\sigma$ )
- ✓ **2.5 $\sigma$**  hint for **normal ordering** from atmospheric, LBL and reactor data
- ✓ sensitivity on mass ordering driven by oscillation data so far.

◆ **New results presented in Neutrino 2022:**

- ✓ Daya Bay achieved expected final sensitivity on  $\sin^2 2\theta_{13}$
- ✓ Small changes expected in CP violation, atmospheric octant and mass ordering

◆ **By 2025/2026:**

- ✓ oscillation parameters will be measured with 0.6-3% precision
- ✓  $\theta_{23}$  octant can be resolved at more than  $3\sigma$  (for some values)
- ✓ 2-3 $\sigma$  sensitivity to CP violation at NOvA and T2K
- ✓ 3 $\sigma$  sensitivity to MO from reactor, accelerator and nu-telescopes

⇒ sensitivities above  $3\sigma$  from a single experiment: DUNE, Hyper-Kamiokande