The controllability problem	First steps	Carleman 0000000000	Exact controllability



Exact controllability to the trajectories of the one-phase Stefan problem.

Jon Asier Bárcena-Petisco (University of the Basque Country UPV/EHU)

Joint work with Diego A. Souza and Enrique Fernández Cara.

Talk based on the paper: https://arxiv.org/pdf/2204.04750.pdf.

Jon Asier Bárcena-Petisco (University of the Basque Country UPV/EHU)

The controllability problem ●○○○○	First steps
The controllability problem.	

Carleman

ヘロト 人間ト 人間ト 人間ト

Exact controllability

The controllability problem.

Jon Asier Bárcena-Petisco (University of the Basque Country UPV/EHU)

The controllability problem 00000	First steps	Carleman 0000000000	Exact controllability
The controllability problem.			

Statement of the controllability problem

We study the following Stefan problem:

4

$$\begin{cases} u_t - u_{xx} = 0 & \text{in } Q_\ell, \\ u(0,t) = v(t) & \text{in } (0,T), \\ u(\ell(t),t) = 0 & \text{in } (0,T), \\ \beta \ell_t(t) = -u_x(\ell(t),t) & \text{in } (0,T), \\ \ell(0) = \ell_0, & & \\ u(\cdot,0) = u_0 & \text{in } (0,\ell_0). \end{cases}$$

Here $Q_{\ell} := \{(t, x) : t \in (0, T), x \in (0, \ell(t))\}$, v is the control and $\beta > 0$. Our objective is to control exactly to trajectories with a positivity constraint.

イロト イポト イヨト イヨト

Jon Asier Bárcena-Petisco (University of the Basque Country UPV/EHU)

The controllability problem 00000 The controllability problem. Carleman

イロト 不得 トイヨト イヨト

Phenomena modelled by Stefan equation

- Liquid-solid interfaces.
- Tumour growth.
- Information diffusion in online social networks.

Jon Asier Bárcena-Petisco (University of the Basque Country UPV/EHU)

The controllability problem ○○○●○	First steps	Carleman 0000000000	Exact controllability
The controllability problem.			

Controllability results of the Stefan's problem by E. Fernández-Cara, D.
 A. Souza and their collaborators regarding null controllability.

<ロト < 回ト < 回ト < 回ト

Jon Asier Bárcena-Petisco (University of the Basque Country UPV/EHU)

The controllability problem ○○○●○	First steps	Carleman 0000000000	Exact controllability
The controllability problem.			

Controllability results of the Stefan's problem by E. Fernández-Cara, D.
 A. Souza and their collaborators regarding null controllability.

イロト イポト イヨト イヨト

 Controllability results of M. Kristic and his collaborators regarding stability results with backstepping design controls.

Jon Asier Bárcena-Petisco (University of the Basque Country UPV/EHU)

The controllability problem ○○○●○	First steps	Carleman 0000000000	Exact controllability
The controllability problem.			

- Controllability results of the Stefan's problem by E. Fernández-Cara, D.
 A. Souza and their collaborators regarding null controllability.
- Controllability results of M. Kristic and his collaborators regarding stability results with backstepping design controls.
- Controllability with a positivity constraint, a research line initiated by E. Trélat, E. Zuazua and their collaborators.

イロト イポト イヨト イヨト

Jon Asier Bárcena-Petisco (University of the Basque Country UPV/EHU)

The controllability problem ○○○●○	First steps	Carleman 0000000000	Exact controllability
The controllability problem.			

- Controllability results of the Stefan's problem by E. Fernández-Cara, D.
 A. Souza and their collaborators regarding null controllability.
- Controllability results of M. Kristic and his collaborators regarding stability results with backstepping design controls.
- Controllability with a positivity constraint, a research line initiated by E. Trélat, E. Zuazua and their collaborators.
- Controllability results to constant trajectories of the viscous Burgers equation with one moving endpoint equation by B. Geshkovski and E. Zuazua.

イロト イポト イヨト イヨト

The controllability problem ○○○●○	First steps	Carleman 0000000000	Exact controllability
The controllability problem.			

- Controllability results of the Stefan's problem by E. Fernández-Cara, D.
 A. Souza and their collaborators regarding null controllability.
- Controllability results of M. Kristic and his collaborators regarding stability results with backstepping design controls.
- Controllability with a positivity constraint, a research line initiated by E. Trélat, E. Zuazua and their collaborators.
- Controllability results to constant trajectories of the viscous Burgers equation with one moving endpoint equation by B. Geshkovski and E. Zuazua.
- Controllability of fluid-structure systems, for instance by Fernández-Cara, Takahashi, Tucsnak, etc.

The controllability problem ○○○○●	First steps	Carleman 0000000000	Exact controllability
The controllability problem.			

Contribution of our paper

- From our knowledge, our result is the first one concerning the exact control to non-constant trajectories in the context of a free boundary parabolic system.
- We work with a positivity constraint.
- We prove a Carleman inequality for a system which has a nonlocallity on the boundary condition. This is a novelty in the literature.

The controllability problem	First steps ●000000	Carleman 0000000000	Exact controllability
First steps on the proof of the exact control	lability to trajectories.		

First steps on the proof of the exact controllability to trajectories.

イロト イヨト イヨト イヨト

Jon Asier Bárcena-Petisco (University of the Basque Country UPV/EHU)

Main strategy to solve the controllability problem

- With a change of variables we obtain an equation in a cylindrical domain instead of a free boundary domain.
- Reduce the problem to a distributed control problem.
- Linearize the equation in a neighbourhood of a trajectory.
- Obtain the controllability of the linealized equation with the help of a Carleman inequality.

イロト 不得下 イヨト イヨト

Prove the exact controllability to trajectories with Liusternik-Graves' Inverse Theorem.

Jon Asier Bárcena-Petisco (University of the Basque Country UPV/EHU)

The controllability problem	First steps	Carleman	Exact controllability	
rist steps on the proof of the exact controllability to trajectories.				

The system after changing of variable

Let us consider $p(y, t) = u(y\ell(t), t)$ and $q(t) = \ell(t)^2$. Then, the system is equivalent to:

$$\begin{cases} qp_t - p_{yy} + \frac{y}{\beta} p_y(1, \cdot) p_y = 0 & \text{in} \quad (0, T) \times (0, 1), \\ p(0, \cdot) = v & \text{in} \quad (0, T), \\ p(1, \cdot) = 0 & \text{in} \quad (0, T), \\ p(\cdot, 0) = p_0 & \text{in} \quad (0, 1), \\ \beta q_t + 2p_y(1, \cdot) = 0 & \text{in} \quad (0, T), \\ q(0) = q_0, \end{cases}$$
(1)

<ロト < 回ト < 回ト < 回ト < 回ト -

Jon Asier Bárcena-Petisco (University of the Basque Country UPV/EHU)

The control system in a neighbourhood of a trajectory

Let $z = p - \overline{p}$ and $h = \frac{\beta}{2}(q - \overline{q})$. Then, the control problem in the trajectory (z, h) is given by:

$$\begin{cases} \bar{q}z_t - z_{xx} + \frac{x}{\beta}\bar{p}_x(1,\cdot)z_x + \frac{x}{\beta}\bar{p}_xz_x(1,\cdot) + \frac{2}{\beta}\bar{p}_th + \frac{2}{\beta}hz_t + \frac{x}{\beta}z_x(1,\cdot)z_x = 0 & \text{in} \quad Q_1, \\ z(0,\cdot) = \hat{v} & \text{in} \quad (0,T), \\ z(1,\cdot) = 0 & \text{in} \quad (0,T), \\ z(\cdot,0) = z_0 & \text{in} \quad (0,T), \\ h_t + z_x(1,\cdot) = 0 & \text{in} \quad (0,T), \\ h(0) = h_0, & \text{in} \quad (0,T), \end{cases}$$

<ロト < 回ト < 回ト < 回ト < 回ト = 三日

We can prove the existence and uniqueness of solutions of such system with Galerkin's method. Here $Q_1 := (0, T) \times (0, 1)$.

Jon Asier Bárcena-Petisco (University of the Basque Country UPV/EHU)

 The controllability problem
 First steps
 Carleman
 Exact controllability

 0000000
 00000000
 000000000
 00000000

 First steps on the proof of the exact controllability to trajectories.
 First steps on the proof of the exact controllability to trajectories.

The linearized control system with a control that acts on the interior

When we linearized the previous system and prolong the domain to obtain the boundary control we obtain the following system:

$$\begin{cases} \bar{q}z_t - z_{xx} + \frac{x}{\beta}\bar{p}_x(1,\cdot)z_x + \frac{x}{\beta}\bar{p}_xz_x(1,\cdot) + \frac{2}{\beta}\bar{p}_th = f_1 + w1_\omega & \text{ in } (-1,1) \times (0,T), \\ z(-1,\cdot) = 0 & \text{ in } (0,T), \\ z(1,\cdot) = 0 & \text{ in } (0,T), \\ z(\cdot,0) = z_0 & \text{ in } (-1,1), \\ h_t + z_x(1,\cdot) = f_2 & \text{ in } (0,T), \\ h(0) = h_0, \end{cases}$$

where f_1 and f_2 belong to appropriate spaces of functions that decay exponentially as $t \rightarrow T^-$ and will be made precise below.

イロト 不得 トイヨト イヨト

Jon Asier Bárcena-Petisco (University of the Basque Country UPV/EHU)

The controllability problem	First steps 00000●0	Carleman 0000000000	Exact controllability
First steps on the proof of the exact controllability to trajectories.			

The adjoint system

The adjoint system is given by the following equations:

$$\begin{aligned} & -\bar{q}\varphi_t - \varphi_{xx} - \frac{x}{\beta}\bar{p}_x(1,\cdot)\varphi_x + \frac{1}{\beta}\bar{p}_x(1,\cdot)\varphi = g_1 & \text{in} \quad (0,T) \times (-1,1), \\ & \varphi(-1,\cdot) = 0 & \text{in} \quad (0,T), \\ & \varphi(1,\cdot) = \gamma + \int_{-1}^1 \frac{x}{\beta}\bar{p}_x(x,\cdot)\varphi(x,\cdot)\,dx & \text{in} \quad (0,T), \\ & \varphi(\cdot,T) = \varphi_T & \text{in} \quad (-1,1), \\ & \gamma_t = \int_{-1}^1 \frac{2}{\beta}\bar{p}_t(x,\cdot)\varphi(x,\cdot)\,dx + g_2 & \text{in} \quad (0,T), \\ & \gamma(T) = \gamma_T. \end{aligned}$$

The proof of existence relies on Leray-Schauder Fixed Point Principle, and the uniqueness on regularity estimates.

Jon Asier Bárcena-Petisco (University of the Basque Country UPV/EHU) Exact controllability to the trajectories of the one-phase Stefan problem. Exact controllability

イロト 不得下 イヨト イヨト

An important property of the adjoint system

When we multiply the solutions of such system by a cut-off function $\kappa(t)$ just depending on the time variable, we get a similar system, so regularity estimates apply. This implies that we can estimate the L^2 -norm of the system at a time t = 0 with the L^2 -norm of (T/4, 3T/4).

Jon Asier Bárcena-Petisco (University of the Basque Country UPV/EHU)

The controllability problem	First steps	Carleman ●○○○○○○○○○	Exact controllabil
The Carlaman inequality			

The Carleman inequality.

イロン 不聞 とくほとくほう

Jon Asier Bárcena-Petisco (University of the Basque Country UPV/EHU)

The controllability problem	First steps	Carleman o●ooooooooo	Exact controllability
The Carlaman inequality			

Auxiliary weights

Let ω_0 be a non-empty open set, with $\omega_0 \subset \subset \omega$ and let be a function η in $C^2([-1,1])$ satisfying

$$\eta > 0 \quad \text{in} \quad [-1,1], \quad \min_{x \in [-1,1] \setminus \omega_0} |\eta_x(x)| > 0, \quad \eta(-1) = \eta(1) = \min_{x \in [-1,1]} \eta(x).$$

Let us introduce the following associated weights:

$$\begin{split} \alpha(x,t) &:= \frac{e^{2\lambda m \|\eta\|_{\infty}} - e^{\lambda(m\|\eta\|_{\infty} + \eta(x))}}{t(T-t)} & \forall (x,t) \in (0,T) \times (-1,1), \\ \xi(x,t) &:= \frac{e^{\lambda(m\|\eta\|_{\infty} + \eta(x))}}{t(T-t)} & \forall (t,x) \in (0,T) \times (-1,1), \\ \widehat{\alpha}(t) &:= \max_{x \in [-1,1]} \alpha(x,t) = \alpha(1,t) = \alpha(-1,t) & \forall t \in (0,T), \\ \widehat{\xi}(t) &:= \min_{x \in [-1,1]} \xi(x,t) = \xi(1,t) = \xi(-1,t) & \forall t \in (0,T), \end{split}$$

where $\lambda > 0$ is a sufficiently large constant (to be chosen later) and m > 1.

Jon Asier Bárcena-Petisco (University of the Basque Country UPV/EHU)

The controllability problem	First steps	Carleman ○○●○○○○○○○	Exact controllability
The Carlaman inequality			

The Carleman inequality

Let us assume that $R \in L^{\infty}(0, T; L^2(-1, 1))$, $N \in W^{1,\infty}(0, T; L^2(-1, 1))$ and $d \in C^1([0, T])$ with $d(t) > d_* > 0$ for all $t \in [0, T]$. There exist constants $\lambda_0 \ge 1$, $s_0 \ge 1$ and $C_0 > 0$ such that, for any $\lambda \ge \lambda_0$, any $s \ge s_0(T + T^2)$, any $(\psi_T, \gamma_T) \in H^1(-1, 1) \times \mathbb{R}$ satisfying $\varphi_T(-1) = 0$ and $\varphi_T(1) = \gamma_T + (N(\cdot, T), \varphi_T)_2$ and any source terms $f \in L^2(Q)$ and $g \in L^2(0, T)$, the strong solution to:

$$\begin{array}{ll} \psi_t + d(t)\psi_{xx} = f & \text{in} & (0, T) \times (-1, 1), \\ \psi(-1, \cdot) = 0 & \text{in} & (0, T), \\ \psi(1, t) = \gamma(t) + (N(\cdot, t), \psi(\cdot, t))_2 & \text{in} & (0, T), \\ \psi(\cdot, T) = \psi_T & \text{in} & (-1, 1) \\ \gamma_t(t) - (R(\cdot, t), \psi(\cdot, t))_2 = g & \text{in} & (0, T), \\ \gamma(T) = \gamma_T \end{array}$$

satisfies:

$$\begin{split} &\iint_{Q} \left[(s\xi)^{-1} (|\psi_{xx}|^{2} + |\psi_{t}|^{2}) + \lambda^{2} (s\xi) |\psi_{x}|^{2} + \lambda^{4} (s\xi)^{3} |\psi|^{2} \right] e^{-2s\alpha} \, dx \, dt \\ &+ \int_{0}^{T} \left[\lambda^{3} (s\widehat{\xi})^{3} (|\psi(1,t)|^{2} + |\gamma|^{2}) + \lambda (s\widehat{\xi}) (|\psi_{x}(-1,t)|^{2} + |\psi_{x}(1,t)|^{2}) \right] e^{-2s\widehat{\alpha}} \, dt \\ &\leq C_{0} \left(s^{3} \lambda^{4} \iint_{(0,T) \times \omega} \xi^{3} |\psi|^{2} e^{-2s\alpha} \, dx \, dt + \iint_{Q} |f|^{2} e^{-2s\alpha} \, dx \, dt + \int_{0}^{T} |g|^{2} e^{-2s\widehat{\alpha}} \, dt \right). \end{split}$$

Jon Asier Bárcena-Petisco (University of the Basque Country UPV/EHU)

イロト 不得 トイラト イラト 二日

Steps of the proof of the Carleman inequality

- Start the proof from scratch; that is, from the equation satisfied by $w = e^{-s\alpha}\psi$.
- Replicate the steps of the heat equation for the terms in the interior and for the boundary terms of w and w_x .
- When considering the boundary terms there is a term given by $2\int_0^T dw_t w_x$. For that, we must consider that:

$$w_t = -s\alpha_t w + e^{-s\alpha}\psi_t,$$

so with Cauchy-Schwarz inequality we leave a boundary term with ψ_t on the right-hand side.

- We perform the Carleman estimate as usual, though leaving ψ_t on the right-hand side. We also revert the change of variables.
- $\psi_t(1, t)$ can be written in terms of ψ and on the integral of ψ_t , and with that the boundary term is absorbed.
- We add γ as $\gamma = \psi(1, t) (N(\cdot, t), \psi(\cdot, t))_2$.

Jon Asier Bárcena-Petisco (University of the Basque Country UPV/EHU)

The controllability problem	First steps	Carleman ○○○○●○○○○○○	Exact controllability
The Carlaman inequality			

Step 1: starting from scratch.

Let
$$w = e^{-s\alpha}\psi$$
. Then,

$$e^{-s\alpha}f - sd\alpha_{xx}w = [dw_{xx} + (s\alpha_t + s^2d\alpha_x^2)w] + [w_t + 2sd\alpha_x w_x].$$

<ロト < 回ト < 回ト < 回ト

Jon Asier Bárcena-Petisco (University of the Basque Country UPV/EHU)

The controllability problem	First steps	Carleman ○○○○○●○○○○○	Exact controllability
The Carlaman inequality			

Step 2: integrating by parts

After integrating by parts before using the boundary conditions we get that:

$$2(dw_{xx} + (s\alpha_t + s^2 d\alpha_x^2)w, w_t + 2sd\alpha_x w_x) = \iint_Q (-2sd^2\alpha_{xx} + d_t)|w_x|^2 + \iint_Q (-s\alpha_{tt} - s^2(d\alpha_x^2)_t - 2s^2d(\alpha_x\alpha_t)_x - 6s^3d^2\alpha_x^2\alpha_{xx})|w|^2 + \int_0^T [2dw_tw_x + 2sd^2\alpha_x|w_x|^2 + 2s^2d\alpha_x(\alpha_t + sd\alpha_x^2)|w|^2]_{x=-1}^{x=-1}.$$

Jon Asier Bárcena-Petisco (University of the Basque Country UPV/EHU)

The controllability problem	First steps	Carleman ○○○○○●○○○○○	Exact controllability
The Carlaman inequality			

Step 2: integrating by parts

After integrating by parts before using the boundary conditions we get that:

$$2(dw_{xx} + (s\alpha_t + s^2 d\alpha_x^2)w, w_t + 2sd\alpha_x w_x) = \iint_Q (-2sd^2\alpha_{xx} + d_t)|w_x|^2 \\ + \iint_Q (-s\alpha_{tt} - s^2(d\alpha_x^2)_t - 2s^2d(\alpha_x\alpha_t)_x - 6s^3d^2\alpha_x^2\alpha_{xx})|w|^2 \\ + \int_0^T [2dw_tw_x + 2sd^2\alpha_x|w_x|^2 + 2s^2d\alpha_x(\alpha_t + sd\alpha_x^2)|w|^2]_{x=-1}^{x=-1}.$$

On the boundary

$$\alpha_{x}\cdot n=-\partial_{n}\eta\xi>0,$$

イロト イ部ト イヨト イヨト 三臣

so the only boundary term which is not positive is $\int_0^T 2dw_t w_x$.

Jon Asier Bárcena-Petisco (University of the Basque Country UPV/EHU)

Fhe controllability problem	First steps	Carleman ○○○○○●○○○○	Exact controllability
The Carlaman inequality			

Step 3: dealing with the boundary term

First, because of the Dirichlet boundary condition on the left hand-side $w_t(-1, \cdot) = 0$ on (0, T). As for the boundary condition on x = 1, considering that $w_t = -s\alpha_t w + e^{-s\alpha}\psi_t$:

$$\int_{0}^{T} 2dw_{t}w_{x}\big|_{x=1} \ge 2\int_{0}^{T} d\psi_{t}w_{x}e^{-s\widehat{\alpha}}\big|_{x=1} - Cs^{3}\int_{0}^{T}\widehat{\xi}^{3}|w|^{2}\big|_{x=1} - Cs\int_{0}^{T}\widehat{\xi}|w_{x}|^{2}\big|_{x=1}$$

The second and third term can be absorbed for λ large enough. As for the first term, we use a weighted Cauchy-Schwartz to absorb w_x and leave ψ_t for the moment.

イロト 不得 トイラト イラト 二日

Jon Asier Bárcena-Petisco (University of the Basque Country UPV/EHU)

イロト 不得下 イヨト イヨト

Step 4: adding the higher order derivatives

After absorbing the terms, we may add the higher order derivatives as usual. In fact, we have:

$$\begin{split} &\iint_{Q} s^{-1} \xi^{-1} (|w_{t}|^{2} + |w_{xx}|^{2}) + \iint_{Q} s\lambda^{2} \xi |w_{x}|^{2} + s^{3}\lambda^{4} \iint_{Q} \xi^{3} |w|^{2} \\ &+ s\lambda \int_{0}^{T} \widehat{\xi} |w_{x}|^{2} |_{x=-1} + \int_{0}^{T} \left(s^{3}\lambda^{3} \widehat{\xi}^{3} |w|^{2} + s\lambda \widehat{\xi} |w_{x}|^{2} \right) |_{x=1} \\ &\leq C \left(\left\| e^{-s\alpha} f \right\|_{L^{2}(Q)}^{2} + s^{3}\lambda^{4} \int_{0}^{T} \xi^{3} |w|^{2} + s^{-1}\lambda^{-1} \int_{0}^{T} \xi^{-1} e^{-2s\alpha} |\psi_{t}|^{2} |_{x=1} \right). \end{split}$$

Jon Asier Bárcena-Petisco (University of the Basque Country UPV/EHU)

The controllability problem	First steps	Carleman ○○○○○○○●○○	Exact controllability
The Carlaman inequality			

Step 5: coming back to the variable

Let us consider $\psi = e^{-s\alpha}w$. With some easy absorptions, we obtain that:

$$\begin{split} I(s,\lambda,\psi) &\leq C \bigg(\iint_{Q} e^{-2s\alpha} |f|^2 + s^3 \lambda^4 \int_0^T e^{-2s\alpha} \xi^3 |\psi|^2 \\ &+ s^{-1} \lambda^{-1} \int_0^T \widehat{\xi}^{-1} e^{-2s\widehat{\alpha}} |\psi_t|^2 \big|_{x=1} \bigg), \end{split}$$

where we have set:

$$\begin{split} I(s,\lambda,\psi) &:= \iint_{Q} e^{-2s\alpha} \left[(s\xi)^{-1} (|\psi_{t}|^{2} + |\psi_{xx}|^{2}) + s\lambda^{2}\xi |\psi_{x}|^{2} + s^{3}\lambda^{4}\xi^{3} |\psi|^{2} \right] \\ &+ s^{3}\lambda^{3} \int_{0}^{T} e^{-2s\widehat{\alpha}}\widehat{\xi}^{3} |\psi|^{2} |_{x=1} \\ &+ s\lambda \int_{0}^{T} e^{-2s\widehat{\alpha}}\widehat{\xi} |\psi_{x}|^{2} |_{x=-1} + s\lambda \int_{0}^{T} e^{-2s\widehat{\alpha}}\widehat{\xi} |\psi_{x}|^{2} |_{x=1}. \end{split}$$

イロト イポト イモト イモト 一日

Jon Asier Bárcena-Petisco (University of the Basque Country UPV/EHU)

The controllability problem	First steps	Carleman ○○○○○○○○●○	Exact controllability
The Carlaman inequality			

Step 6: absorption of the boundary term

We must absorb the term:

$$s^{-1}\lambda^{-1}\int_0^T \xi^{-1} e^{-2s\alpha} |\psi_t|^2 \big|_{x=1}$$

with

$$\iint_{Q} e^{-2s\alpha} \left[(s\xi)^{-1} |\psi_t|^2 + s^3 \lambda^4 \xi^3 |\psi|^2 \right].$$

For that, it suffices to use:

$$\psi_t = g + (R(\cdot, t), \psi(\cdot, t))_2 + \partial_t (N(\cdot, t), \psi(\cdot, t))_2$$

イロト イヨト イヨト イヨト

and recall that the minimum of the weights is obtained on the boundary.

Jon Asier Bárcena-Petisco (University of the Basque Country UPV/EHU)

The controllability problem	First steps	Carleman ○○○○○○○○●	Exact controllability
The Carlaman inequality			

Step 7: addition of γ

Since $\gamma = \psi(1, t) - (N(\cdot, t), \psi(\cdot, t))_2$, we have that:

$$egin{aligned} &s^3\lambda^3\int_0^T e^{-2s\widehatlpha}\widehat{\xi}^3|\gamma|^2\ &\leq C\left(s^3\lambda^3\int_0^T e^{-2s\widehatlpha}\widehat{\xi}^3|\psi|^2ig|_{x=1}+s^3\lambda^4\iint_Q e^{-2slpha}\xi^3|\psi|^2,
ight) \end{aligned}$$

イロト 不問 トイヨト 不良ト 二度

so we can add that term on the left-hand side.

Jon Asier Bárcena-Petisco (University of the Basque Country UPV/EHU)

The controllability problem	First steps	Carleman 0000000000	Exact controllability
Exact controllability to trajectories of the no	n-linear system		

Exact controllability to trajectories of the non-linear system.

イロン 不聞 とくほとくほう

Jon Asier Bárcena-Petisco (University of the Basque Country UPV/EHU)

The controllability problem

First steps

Exact controllability

イロト 不得 トイラト イラト 二日

Exact controllability to trajectories of the non-linear system

A Carleman inequality for our system

Corollary

Assume that (\bar{p}, \bar{q}) belong to the space $[W^{1,\infty}(0, T; H^1(-1, 1)) \cap H_0^{1,2}(Q)] \times W^{1,\infty}(0, T)$ with $\bar{q}(t) \in (q_*, +\infty)$ for all $t \in [0, T]$. There exist constants $\lambda_0 \ge 1$, $s_0 \ge 1$ and $C_0 > 0$ such that, for any $\lambda \ge \lambda_0$, any $s \ge s_0(T + T^2)$, any $\varphi_T \in H^1(-1, 1)$ any $\gamma_T \in \mathbb{R}$ with

$$\varphi_T(-1) = 0$$
 and $\varphi_T(1) = 2\gamma_T + \frac{1}{\beta} \int_{-1}^1 \bar{p}_x(x, T) x \varphi_T(x) dx$

and any right hand sides $g_1 \in L^2(Q)$ and $g_2 \in L^2(0, T)$, the strong solution to the adjoint system satisfies:

$$\begin{split} &\iint_{Q} \left[(s\xi)^{-1} (|\varphi_{t}|^{2} + |\varphi_{xx}|^{2}) + \lambda^{2} (s\xi) |\varphi_{x}|^{2} + \lambda^{4} (s\xi)^{3} |\varphi|^{2} \right] e^{-2s\alpha} \, dx \, dt \\ &+ \int_{0}^{T} \left[|\gamma_{t}|^{2} + \lambda (s\widehat{\xi}) \left(|\varphi_{x}(-1,t)|^{2} + |\varphi_{x}(1,t)|^{2} \right) + \lambda^{3} (s\widehat{\xi})^{3} \left(|\varphi(1,t)|^{2} + |\gamma|^{2} \right) \right] e^{-2s\widehat{\alpha}} \, dt \\ &\leq C_{0} \left(\iint_{Q} |g_{1}|^{2} e^{-2s\alpha} \, dx \, dt + \int_{0}^{T} |g_{2}|^{2} e^{-2s\widehat{\alpha}} \, dt + s^{3} \lambda^{4} \int_{(0,T) \times \omega} \xi^{3} |\varphi|^{2} e^{-2s\alpha} \, dx \, dt \right). \end{split}$$

Jon Asier Bárcena-Petisco (University of the Basque Country UPV/EHU)

The controllability problem	First steps	Carleman 0000000000	Exact controllability
Exact controllability to trajectories of the no	n-linear system		

Sketch of the proof

Proof.

Let us apply the proven Carleman with the following data:

$$d = \frac{1}{\bar{q}}, \quad f = -\frac{1}{\bar{q}} \left[g_1 + \frac{\bar{p}_x(1, \cdot)}{\beta} (x\varphi_x - \varphi) \right],$$
$$N(x, t) = \frac{x}{\beta} \bar{p}_x(x, t), \quad R = \frac{2}{\beta} \bar{p}_t \text{ and } g = g_2.$$

<ロト < 回ト < 回ト < 回ト

Jon Asier Bárcena-Petisco (University of the Basque Country UPV/EHU)

The controllability problem	First steps	Carleman 0000000000	Exact controllability
Exact controllability to trajectories of the non-linear system			

Some additional weights

Let us define some additional weights: let the function r = r(t) be given by

$$r(t) = \begin{cases} T^2/4 & \text{in } [0, T/2], \\ t(T-t) & \text{in } [T/2, T] \end{cases}$$

and let us set $D_1=(-1,1) imes (0,{\mathcal T}/2),~D_2=(-1,1) imes ({\mathcal T}/2,{\mathcal T}),$

$$\zeta(x,t):=\frac{e^{2\lambda m\|\eta\|_{\infty}}-e^{\lambda(m\|\eta\|_{\infty}+\eta(x))}}{r(t)}\quad\text{and}\quad \mu(x,t):=\frac{e^{\lambda(m\|\eta\|_{\infty}+\eta(x))}}{r(t)}\quad\forall(x,t)\in Q,$$

for η defined previously. Let us also introduce the notation:

$$\widehat{\zeta}(t) := \max_{x \in [-1,1]} \zeta(x,t), \ \widehat{\mu}(t) := \min_{x \in [-1,1]} \mu(x,t), \quad \forall t \in (0,T),$$

$$\zeta^*(t) := \min_{x \in [-1,1]} \zeta(x,t), \ \mu^*(t) := \max_{x \in [-1,1]} \mu(x,t), \quad \forall t \in (0,T).$$

イロト イポト イミト イミト 二日

Jon Asier Bárcena-Petisco (University of the Basque Country UPV/EHU)

The controllability problem	First steps	Carleman 0000000000	Exact controllability
Exact controllability to trajectories of the non-linear system			

Estimate of the time t = 0

Proposition

Under the conditions in Corollary 1, the unique strong solution to the adjoint system satisfies:

$$\begin{split} &+ \int_0^T \left[|\gamma_t|^2 + \widehat{\mu} \left(|\varphi_x(-1,t)|^2 + |\varphi_x(1,t)|^2 \right) + \widehat{\mu}^3 \left(|\gamma|^2 + |\varphi(1,t)|^2 \right) \right] e^{-2s\widehat{\zeta}} dt \\ &+ \iint_Q \left[\mu^{-1} (|\varphi_t|^2 + |\varphi_{xx}|^2) + \mu |\varphi_x|^2 + \mu^3 |\varphi|^2 \right] e^{-2s\zeta} dx dt + \|\varphi(\cdot,0)\|_{H^1(-1,1)}^2 + |\gamma(0)|^2 \\ &\leq C_2 \left(\iint_Q |g_1|^2 e^{-2s\zeta^*} dx dt + \int_0^T |g_2|^2 e^{-2s\widehat{\zeta}} dt + \iint_{(0,T)\times\omega} (\mu^*)^3 |\varphi|^2 e^{-2s\zeta^*} dx dt \right), \end{split}$$

for a positive constant C_2 depending on T, s and λ , with s and λ as in Corollary 1.

< □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □

Jon Asier Bárcena-Petisco (University of the Basque Country UPV/EHU)

The controllability problem	First steps	Carleman 0000000000	Exact controllability
Exact controllability to trajectories of the non-linear system			

Estimate of the time t = 0

Proposition

Under the conditions in Corollary 1, the unique strong solution to the adjoint system satisfies:

$$\begin{split} &+ \int_0^T \left[|\gamma_t|^2 + \widehat{\mu} \left(|\varphi_x(-1,t)|^2 + |\varphi_x(1,t)|^2 \right) + \widehat{\mu}^3 \left(|\gamma|^2 + |\varphi(1,t)|^2 \right) \right] e^{-2s\widehat{\zeta}} dt \\ &+ \iint_Q \left[\mu^{-1} (|\varphi_t|^2 + |\varphi_{xx}|^2) + \mu |\varphi_x|^2 + \mu^3 |\varphi|^2 \right] e^{-2s\zeta} dx dt + \|\varphi(\cdot,0)\|_{H^1(-1,1)}^2 + |\gamma(0)|^2 \\ &\leq C_2 \left(\iint_Q |g_1|^2 e^{-2s\zeta^*} dx dt + \int_0^T |g_2|^2 e^{-2s\widehat{\zeta}} dt + \iint_{(0,T)\times\omega} (\mu^*)^3 |\varphi|^2 e^{-2s\zeta^*} dx dt \right), \end{split}$$

for a positive constant C_2 depending on T, s and λ , with s and λ as in Corollary 1.

Proof.

The proof relies on the previous Carleman inequality and regularity estimates for the adjoint system.

イロト イボト イヨト イヨト

Jon Asier Bárcena-Petisco (University of the Basque Country UPV/EHU)

Additional weights and operators

Let us consider the weights:

$$\rho_0(t) := e^{s\zeta^*(t)}, \ \rho_1(t) := e^{s\widehat{\zeta}(t)}, \ \rho_2(t) := \mu^{*-3/2}(t)e^{s\zeta^*(t)} \quad \forall t \in (0, T),$$

$$ho_3(t):=e^{s\widehat{\zeta}(t)}\widehat{\mu}^{-3/2}(t),\
ho_4(t):=
ho_3^{1/2}(t)\quad \forall t\in(0,T).$$

Let us introduce the linear operators

$$\mathcal{L}_1(z,h) := \bar{q}z_t - z_{xx} + \frac{x}{\beta}\bar{p}_x(1,\cdot)z_x + \frac{x}{\beta}\bar{p}_xz_x(1,\cdot) + \frac{2}{\beta}\bar{p}_th \quad \text{and} \quad \mathcal{L}_2(z,h) := h_t + z_x(1,\cdot)$$

and the space E, given by

$$\begin{split} & \mathcal{E} := \big\{ (z,h,w) \in L^2_{\rho_0}(\mathcal{Q}) \times L^2_{\rho_1}(0,\mathcal{T}) \times L^2_{\rho_2}(\omega \times (0,\mathcal{T})) : \\ & \mathcal{L}_1(z,h) - w \mathbf{1}_\omega \in L^2_{\rho_3}(\mathcal{Q}), \mathcal{L}_2(z,h) \in L^2_{\rho_3}(0,\mathcal{T}), \ h \in H^1_{\rho_4}(0,\mathcal{T}) \text{ and } z \in H^{1,2}_{0,\rho_4}(\mathcal{Q}) \big\}. \end{split}$$

イロト イボト イヨト イヨト

Jon Asier Bárcena-Petisco (University of the Basque Country UPV/EHU)

The	controllability	problem

First steps

Carleman

イロト 不得下 イヨト イヨト

Exact controllability

Exact controllability to trajectories of the non-linear system

Null controllability of the linearized system around a trajectory

Proposition

Assume that $(f_1, f_2) \in L^2_{\rho_3}(Q) \times L^2_{\rho_3}(0, T)$ and that $(z_0, h_0) \in H^1_0(-1, 1) \times \mathbb{R}$. Then, there exists a solution to the linearized system around a trajectory satisfying $(z, h) \in E$.

Jon Asier Bárcena-Petisco (University of the Basque Country UPV/EHU)

The	controllability	problem

Carleman

イロト 不得下 イヨト イヨト

Exact controllability

Exact controllability to trajectories of the non-linear system

Null controllability of the linearized system around a trajectory

Proposition

Assume that $(f_1, f_2) \in L^2_{\rho_3}(Q) \times L^2_{\rho_3}(0, T)$ and that $(z_0, h_0) \in H^1_0(-1, 1) \times \mathbb{R}$. Then, there exists a solution to the linearized system around a trajectory satisfying $(z, h) \in E$.

The proof is based on duality and regularity estimates to ensure that the solutions belong to the the stated spaces.

Jon Asier Bárcena-Petisco (University of the Basque Country UPV/EHU)

 The controllability problem
 First steps
 Carleman
 Exact controllability

 00000
 00000000
 000000000
 000000000

Exact controllability to trajectories of the non-linear system

Controllability of the non-linear system

We shall apply Liusternik-Graves' Inverse Function Theorem with $B_1 = E$, $B_2 = F_1 \times F_2$ and

$$\Lambda(z,h,w) = \left(\mathcal{L}_1(z,h) - w\mathbf{1}_\omega + \frac{2}{\beta}hz_t + \frac{x}{\beta}z_x(1,\cdot)z_x, \\ \mathcal{L}_2(z,h), z(\cdot,0), h(0)\right)$$
(2)

イロト 不得 トイラト イラト・ラ

for every $(z, h, w) \in E$. Here, we have introduced the Hilbert spaces $F_1 := L^2_{\rho_3}(Q) \times L^2_{\rho_3}(0, T)$ for the right hand sides and $F_2 := H^0_0(-1, 1) \times \mathbb{R}$ for the initial conditions.

Jon Asier Bárcena-Petisco (University of the Basque Country UPV/EHU)

Exact controllability ○○○○○○○●

イロト イボト イヨト イヨト

Thank you for your attention! Is there any question?

Jon Asier Bárcena-Petisco (University of the Basque Country UPV/EHU)