# Experiments in Deep Learning for RNA Secondary Structure Prediction

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# Data is the stumbling block for deep learning in computational biology

Deep neural networks (DNNs) are data hungry because of the huge number of trainable parameters

- We may not have enough data for training complex DNNs
- Available data are heavily biased towards a few model systems

# Using synthetic data

If we can artificially generate synthetic data we can:

- Generate and train on arbitrarily large unbiased data sets
- Find out what is easy / hard / impossible to learn by DNNs
- Identify which network architectures work best
- Ask how much data is needed for training
- Test how biases in training effect the DNNs ability to generalize
- Pre-train networks before final training on real data

Synthetic data need not be accurate – they only need to reflect the complexity of the real problem!

### RNA secondary structure

Problems with RNA secondary structure prediction by energy minimization:

- Parameters from limited number of experiments
- Ignores pseudo-knots, ignores tertiary structure
- Ignores non-nearest neighbor effects
- Poor treatment of non-canonical base pairs
- Poor performance on long-range base pairs

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Still good enough for tests with synthetic data

If DNNs can not be trained to emulate RNAfold, then they won't be able to solve the "real" RNA structure prediction problem.

# A simplified problem: Predicting pairedness

Try to predict which nucleotides are paired / unpaired

- Much smaller solution space  $\rightarrow$  should be easier
- Similar to protein secondary structure prediction
- Tested several networks:
  - fully connected feed-forward network on a sliding window
  - 1D-convolutional network on sliding window
  - bi-directional long-term short-term memory (BLSTM) network
- train on 80 000 random sequences with RNAfold structures test on 20 000 independent random sequences



# Predicting pairedness

Modeltype	Parameters	Epochs	Accuracy	F1	MCC
BLSTM	1 Layer, 40 Neurons	43	0.667	0.594	0.166
	1 Layer, 80 Neurons	27	0.664	0.589	0.168
	3 Layers, 40 Neurons	38	0.676	0.609	0.207
FCFF	Window 15	89	0.654	0.559	0.120
	Window 35	94	0.659	0.559	0.118
	Window 71	59	0.661	0.569	0.118
1D-CNN	Window 15	67	0.660	0.588	0.156
	Window 35	65	0.666	0.586	0.166
	Window 71	30	0.668	0.580	0.170

BLSTM slightly better, but poor performance by all architectures

Probable reason: Predicting pairedness is not simpler than predicting the full secondary structure right

#### Predicting pair matrices

Approach chosen by most recent structure prediction DNNs

- Represent sequence by an  $n \times n$  matrix of all possible pairs
- Use 2D convolutional networks on these matrices
- We chose the SPOT-RNA architecture <sup>1</sup>
- Minimal post-processing: values > 0.5 represent base pair, greedily remove base triples and pseudo-knots.



<sup>1</sup>Singh et al., Nat. Commun., 2019

# Model Performance



#### Training and validation sets with fixed length n = 70

### What features are easy / hard to learn?

Do the structures predicted by the DNN look statistically similar to the RNAfold ground truth?

Relative frequency of base pair types)							
model / length	GC	CG	AU	UA	GU	UG	NC
VRNA / 70	0.257	0.262	0.169	0.170	0.071	0.071	0.00
DNN / 70	0.258	0.260	0.170	0.172	0.070	0.070	$9.63\cdot10^{-5}$
VRNA / 100	0.262	0.255	0.173	0.170	0.068	0.071	0.00
DNN / 100	0.257	0.252	0.177	0.175	0.068	0.070	$2.30\cdot 10^{-5}$

Learning the base pair frequencies is no problem at all

# What features are easy / hard to learn?

#### How about different loop types?

Frequency of bases in context							
model / length	paired	EL	BL	HL	IL	ML	
VRNA / 70	0.508	0.176	0.033	0.156	0.114	0.014	
DNN / 70	0.445	0.222	0.027	0.161	0.127	0.019	
VRNA / 100	0.541	0.123	0.031	0.143	0.126	0.035	
DNN / 100	0.433	0.185	0.030	0.146	0.152	0.053	
Average number of structural element							
model / length	helix	EL	BL	HL	IL	ML	
VRNA / 70	4.825	0.992	1.112	1.754	1.841	0.118	
DNN / 70	4.354	0.993	0.840	1.730	1.686	0.098	
VRNA / 100	7.132	0.991	1.586	2.314	2.889	0.343	
DNN / 100	6.146	0.991	1.080	2.135	2.632	0.299	

- · Frequency and size of hairpin and interior loops match very well
- Network produces fewer, but larger multi-loops
- Number of base pairs does not match

# Multi loop length



#### Pseudo-knots and base triples

- DNNs have no problem predicting pseudo-knots and base triples should be an advantage
- But can they learn, to predict the right amount?
- Our ground truth has no PKs, no base triples
- At length 100: Almost 50% (975/2000) of structures contain a PK 75% (1512/2000) contain multi-pairs

# What features are easy / hard to learn?



- An RNA structure can contain  $< \frac{n}{2}$  pairs
- DNNs working on pair matrices naturally predict a quadratic growth

## What features are easy / hard to learn?

Convolutional networks always focus on local features of the matrix Therefore:

- local features (e.g. interior loops) are easiest to get right
- larger features (e.g. multi loops) are harder
- global properties (total number of pairs) are hardest to learn

#### Biases in the training set

How do biases in the training set affect performance? Example different length distributions:



Validation	Training					
	1	2	3	4		
1	0.64	0.61	0.64	0.63		
2	0.59	0.58	0.60	0.57		
3	0.61	0.59	0.62	0.59		
4	0.71	0.68	0.70	0.75		
train	0.72	0.66	0.71	0.87		

# The bpRNA dataset

Do we have large enough data sets? Use bpRNA<sup>2</sup>!

- over 100 000 sequence/structure pairs
- Collected from 7 databases
- CRW: SSU, LSU, and 5S rRNAs
- RFAM: 2588 families but 82% rRNA, 9% tRNA



 $\rightarrow$  Many sequences, but low structural diversity!

How does this effect prediction accuracy on RNA not from these families?

<sup>&</sup>lt;sup>2</sup>Danaee et al., NAR 2018

# The bpRNAinv data set

How can we mimic training data with low structural diversity using synthetic data?

- Take all structures from bpRNA
- Remove pseudo-knots, restrict to  $n \leq 120, \leq 6$  pairs in PKs
- For each structure design a sequence using RNAinverse
- Resulting data set has same structure distribution as bpRNA
- Sequences are completely unrelated to each other

After training with these data set, test performance on two test sets:

- A test set produced in the same way by RNAinverse unrelated sequences, but same structure bias
- ② Di-nucleotide shuffling of training sequences same sequence composition, but unrelated structures

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## Performance with the bpRNAinv data set



- Performance on the inverse folded test set almost as good as training set
- Poor performance when structures are dis-similar to training structures

#### Take home lessons

- Synthetic data allow to test the capabilities of DNNs with full control over biases
- DNNs for RNA secondary structure prediction can easily learn about local structure features, but struggle with non-local or global features
- Current architectures generalize well to novel *sequences* as long as structures are covered by the training set
- Poor generalization to RNA with novel *structures*

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