

# Dark matter

Anne Green

University of Nottingham

[anne.green@nottingham.ac.uk](mailto:anne.green@nottingham.ac.uk)

1. Evidence, properties and distribution
2. WIMPs: production & direct detection
3. **Primordial Black Holes**

# Primordial Black Holes

Motivation/overview

Formation

Constraints

### 3. Recommended further reading/viewing

- Les Houches Dark Matter Summer School 2021: [videos](#) and [lecture notes](#)
  - ‘Primordial Black Holes as DM candidate’, Carr & Kuhnel
- Review papers
  - ‘PBHs as dark matter: recent developments’, Carr & Kuhnel, [arXiv:2006.02838](#)
  - ‘PBHs as a dark matter candidate’, Green & Kavanagh, [arXiv:2007.10722](#)
  - ‘Snomass2001 Cosmic Frontier White Paper: PBH dark matter’, Bird et al., [arXiv:2203.08967](#)
- Bradley Kavanagh’s PBH abundance constraint plotting code  
<https://github.com/bradkav/PBHbounds>

## Motivation/overview

Primordial Black Holes (PBHs) may form from over densities in the early Universe (before nucleosynthesis) and are therefore non-baryonic. [Zel'dovich and Novikov](#); [Hawking](#)

PBHs evaporate ([Hawking radiation](#)), lifetime longer than the age of the Universe for  $M > 10^{15}$  g. [Page](#)



A DM candidate which (unlike WIMPs, axions, sterile neutrinos,...) isn't a new particle, however their formation does usually require Beyond the Standard Model physics, e.g. inflation.

Was realised that PBHs are a cold dark matter (DM) candidate in the 1970s [Hawking; Chapline](#)

Wave of interest in ~Solar mass PBHs as DM in late 1990s, generated by excess of LMC microlensing events in [MACHO collaboration's 2 year data set](#).

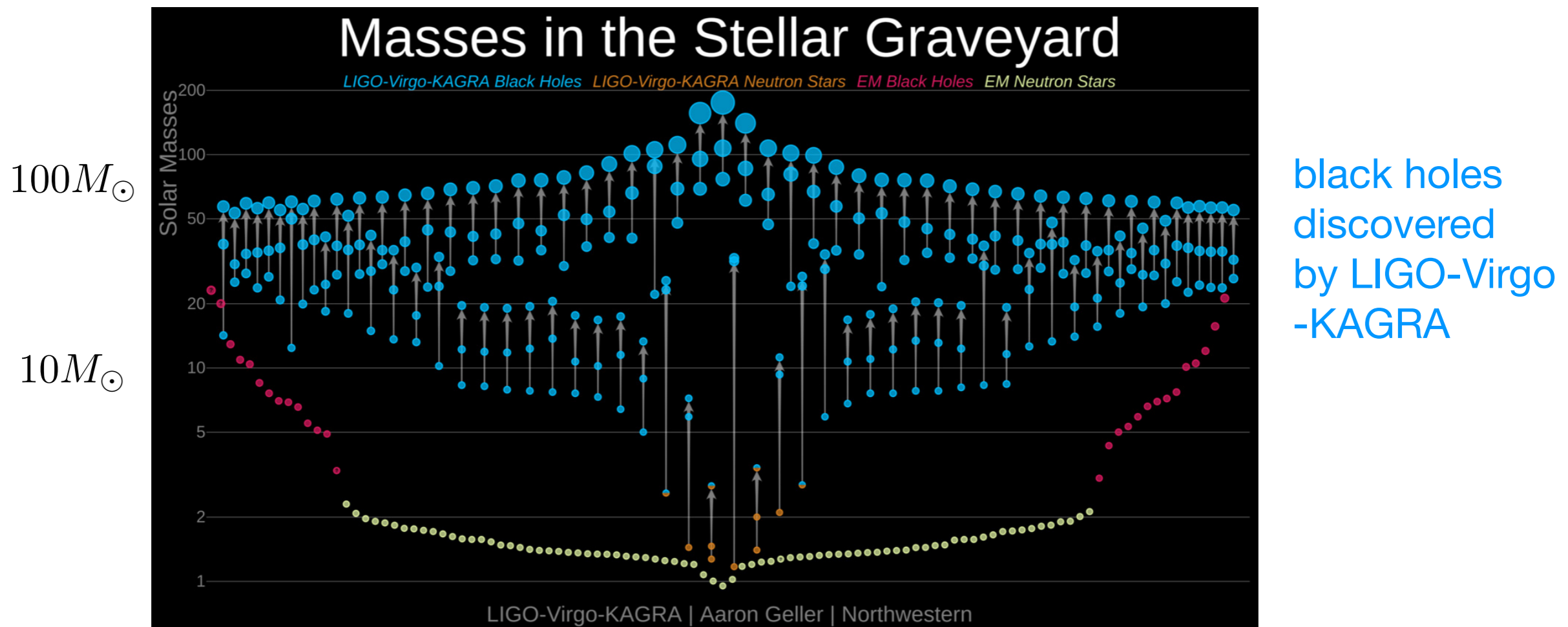
[Nakamura et al. \(1997\)](#): PBHs binaries form in the early Universe and (if they survive to the present day) GWs from their coalescence detectable by LIGO.

Was realised that PBHs are a cold dark matter (DM) candidate in the 1970s [Hawking; Chapline](#)

Wave of interest in  $\sim$ Solar mass PBHs as DM in late 1990s, generated by excess of LMC microlensing events in [MACHO collaboration's 2 year data set](#).

[Nakamura et al. \(1997\)](#): PBHs binaries form in the early Universe and (if they survive to the present day) GWs from their coalescence detectable by LIGO.

Could (some of) the BHs in the LIGO-Virgo BH binaries be primordial? (and also a significant component of the DM?) [Bird et al.](#); [Clesse & Garcia-Bellido](#); [Sasaki et al.](#)



# Formation

Most 'popular' mechanism: collapse of large density perturbations (shortly after horizon entry) during radiation domination. [Zeldovich & Novikov](#); [Hawking](#); [Carr & Hawking](#)

essential analysis: [Carr](#)

threshold for PBH formation:  $\delta \geq \delta_c \sim w = \frac{p}{\rho} = \frac{1}{3}$

$$\delta \equiv \frac{\rho - \bar{\rho}}{\bar{\rho}} \quad \text{density contrast (at horizon crossing)}$$

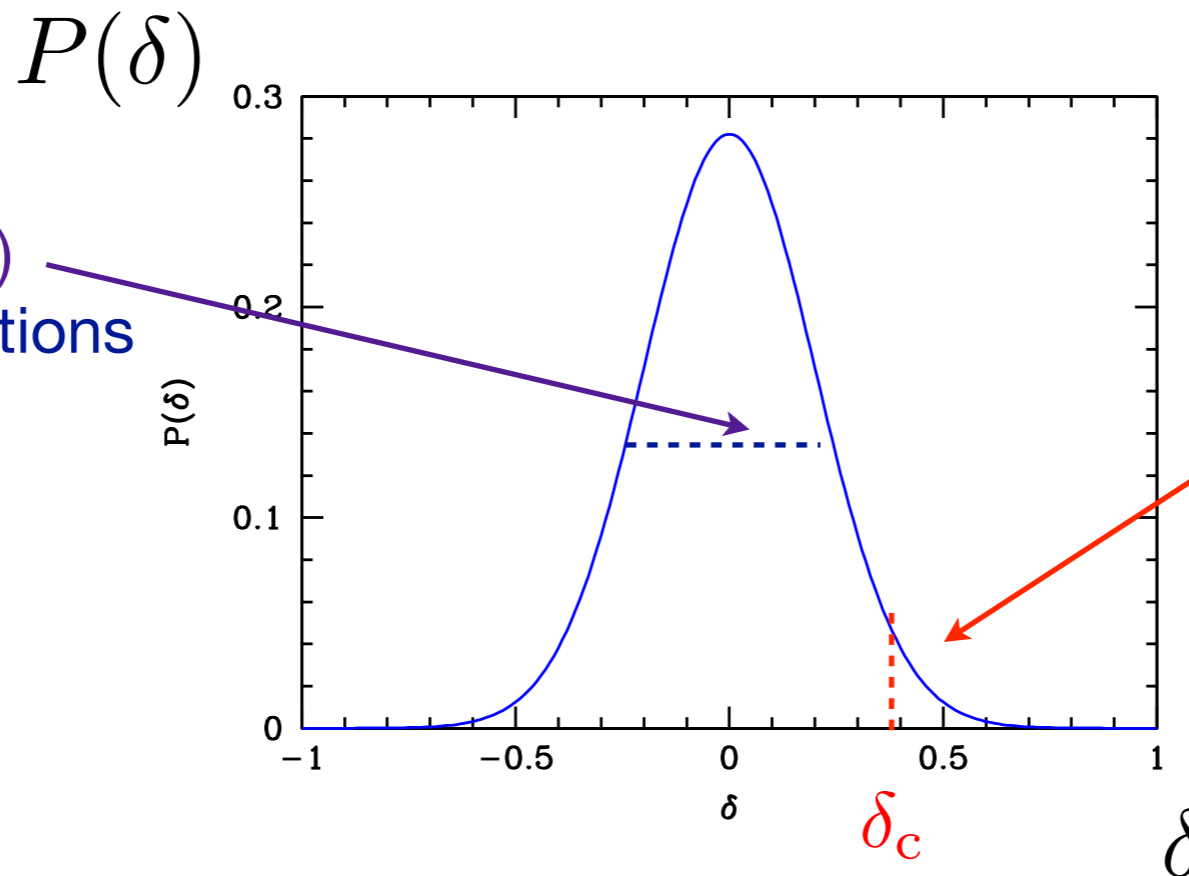
PBH mass roughly equal to horizon mass:

$$M_{\text{PBH}} \sim 10^{15} \text{ g} \left( \frac{t}{10^{-23} \text{ s}} \right) \sim M_{\odot} \left( \frac{t}{10^{-6} \text{ s}} \right)$$

initial PBH mass fraction (fraction of universe in regions dense enough to form PBHs):

$$\beta(M) \sim \int_{\delta_c}^{\infty} P(\delta(M_H)) d\delta(M_H)$$

assuming a gaussian probability distribution:  $\beta(M) = \text{erfc} \left( \frac{\delta_c}{\sqrt{2}\sigma(M_H)} \right)$



$\sigma(M_H)$  (mass variance)  
typical size of fluctuations

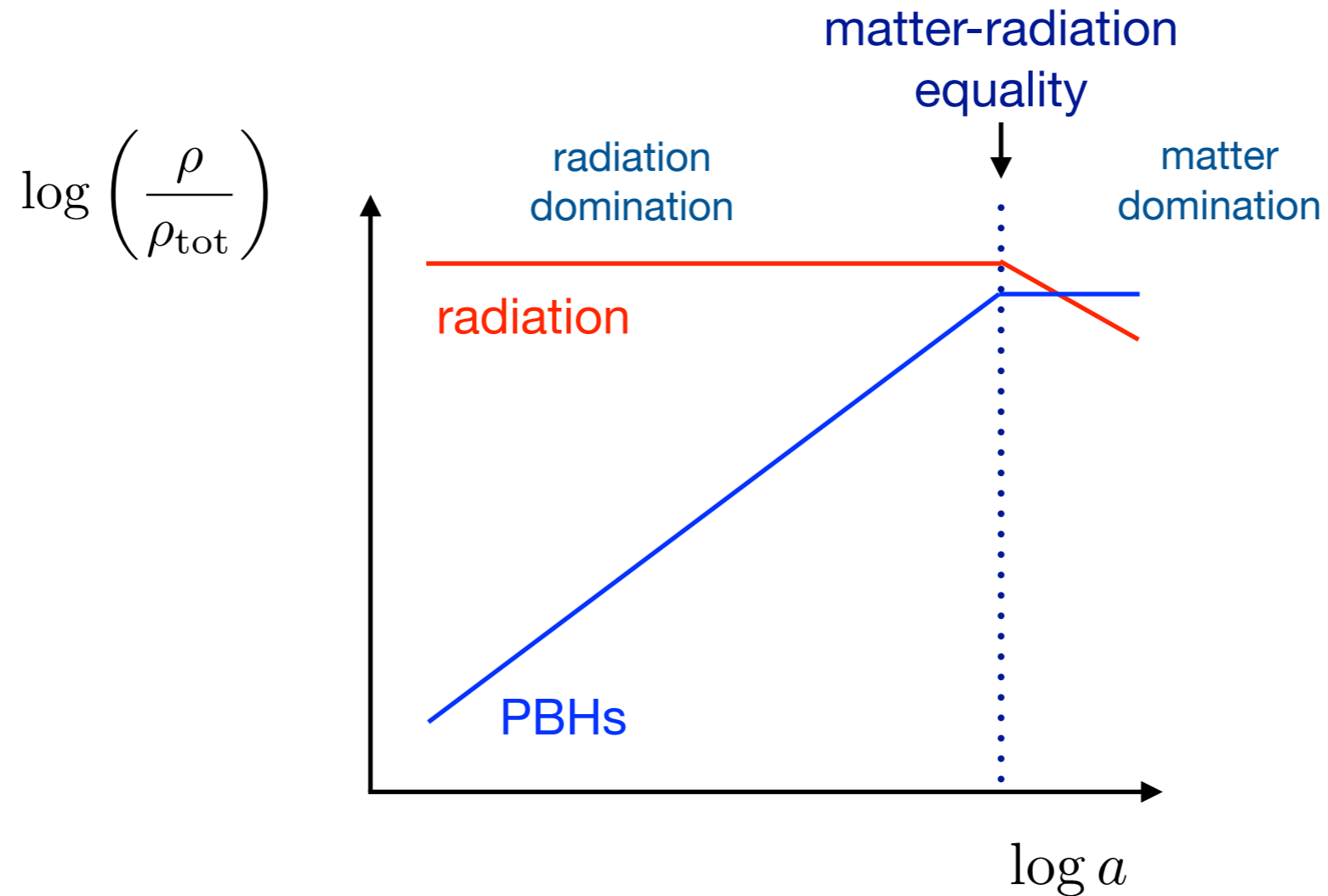
PBH forming  
fluctuations

but in fact  $\beta$  must be small, hence  $\sigma \ll \delta_c$  and  $\beta(M) \sim \sigma(M_H) \exp \left( -\frac{\delta_c^2}{2\sigma^2(M_H)} \right)$



Since PBHs are matter, during radiation domination the fraction of energy in PBHs grows with time:

$$\frac{\rho_{\text{PBH}}}{\rho_{\text{rad}}} \propto \frac{a^{-3}}{a^{-4}} \propto a$$



Relationship between **PBH initial mass fraction,  $\beta$** , and **fraction of DM in form of PBHs,  $f$** :

$$\beta(M) \sim 10^{-9} f \left( \frac{M}{M_{\odot}} \right)^{1/2}$$

i.e. initial mass fraction must be small, but non-negligible.

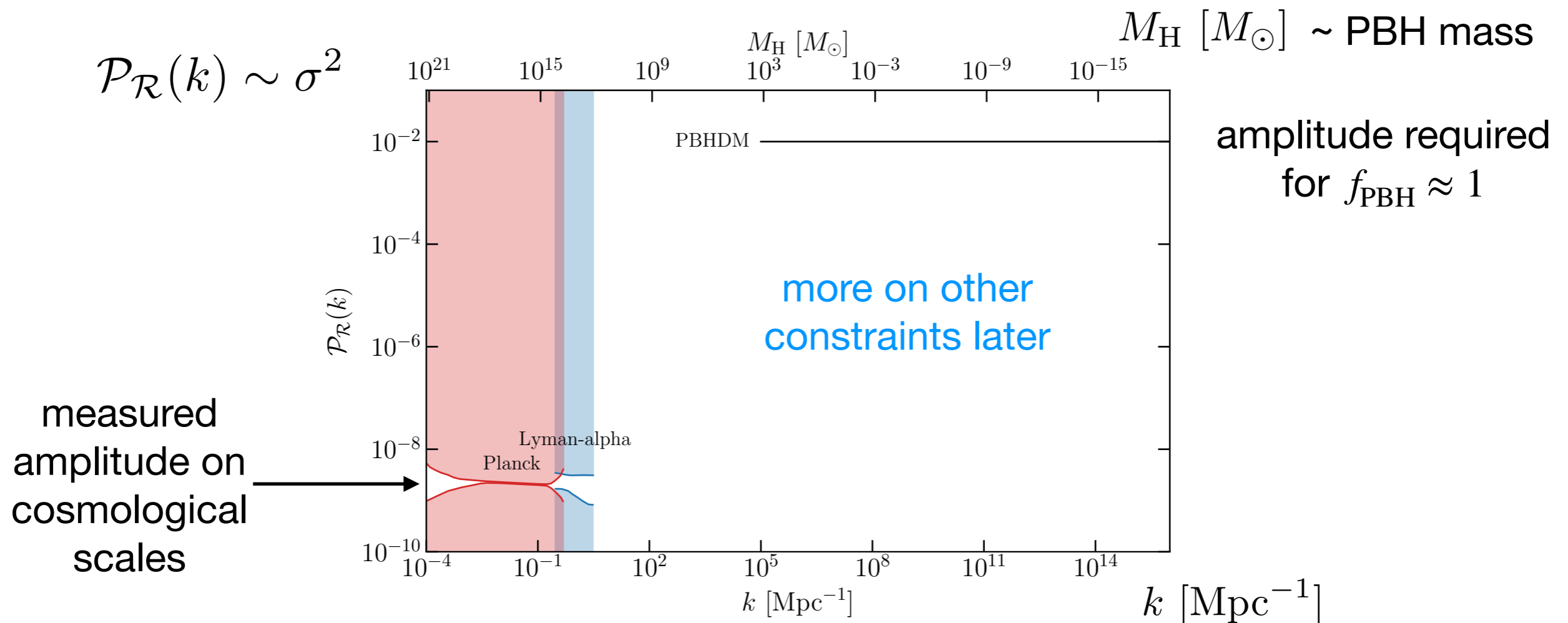
On CMB scales the primordial perturbations have amplitude  $\sigma(M_H) \sim 10^{-5}$

If the primordial perturbations are very close to scale-invariant the number of PBHs formed will be completely negligible:

$$\beta(M) = \text{erfc} \left( \frac{\delta_c}{\sqrt{2}\sigma(M_H)} \right)$$

$$\beta(M) \sim \text{erfc}(10^5) \sim \exp(-10^{10})$$

To form an interesting number of PBHs the primordial perturbations must be significantly larger ( $\sigma^2(M_H) \sim 0.01$ ) on small scales than on cosmological scales.



## Caveats/complications

Threshold for PBH formation:

- i) depends on shape of perturbation (which depends on primordial power spectrum). [Harada, Yoo & Kohri](#); [Germani & Musco](#); [Musco](#); [Escriv, Germani & Sheth](#)
- ii) is reduced (so PBH abundance increased) at phase transitions e.g. the QCD phase transition when the horizon mass is  $\sim$ Solar mass. [Jedamzik](#); [Byrnes et al.](#)

Critical collapse: BH mass depends on size of fluctuation it forms from (so even if PBHs all form at the same time/scale, mass function isn't a delta function). [Niemeyer & Jedamzik](#); [Musco, Miller & Polnarev](#)

Non-gaussianity: large density perturbations are inevitably non-gaussian [Kawasaki & Nakatsuka](#); [De Luca et al.](#); [Young, Musco & Byrnes](#)

changes in the shape of the tail of the probability distribution significantly affect the PBH abundance. [Bullock & Primack](#); [Ivanov](#);... [Francolini et al.](#)

## Inflation: a brief crash course

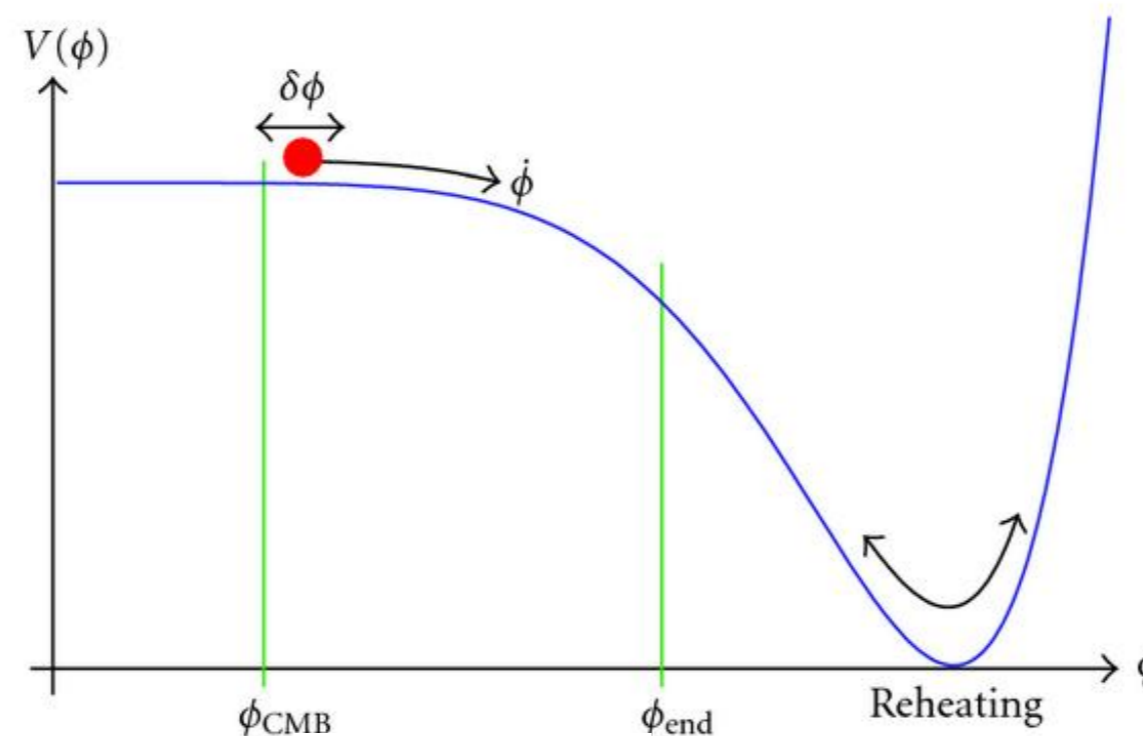
A postulated period of accelerated expansion in the early Universe, proposed to solve various problems with the Big Bang (flatness, horizon & monopole).

Driven by a 'slowly rolling' scalar field.

Quantum fluctuations in scalar field generate density perturbations.

Scale dependence of primordial perturbations depends on shape of potential:

Yadav & Wandelt



in slow-roll approx

$$\sigma^2(M_H) \propto \frac{V^3}{(V')^2}$$

Scales probed by:



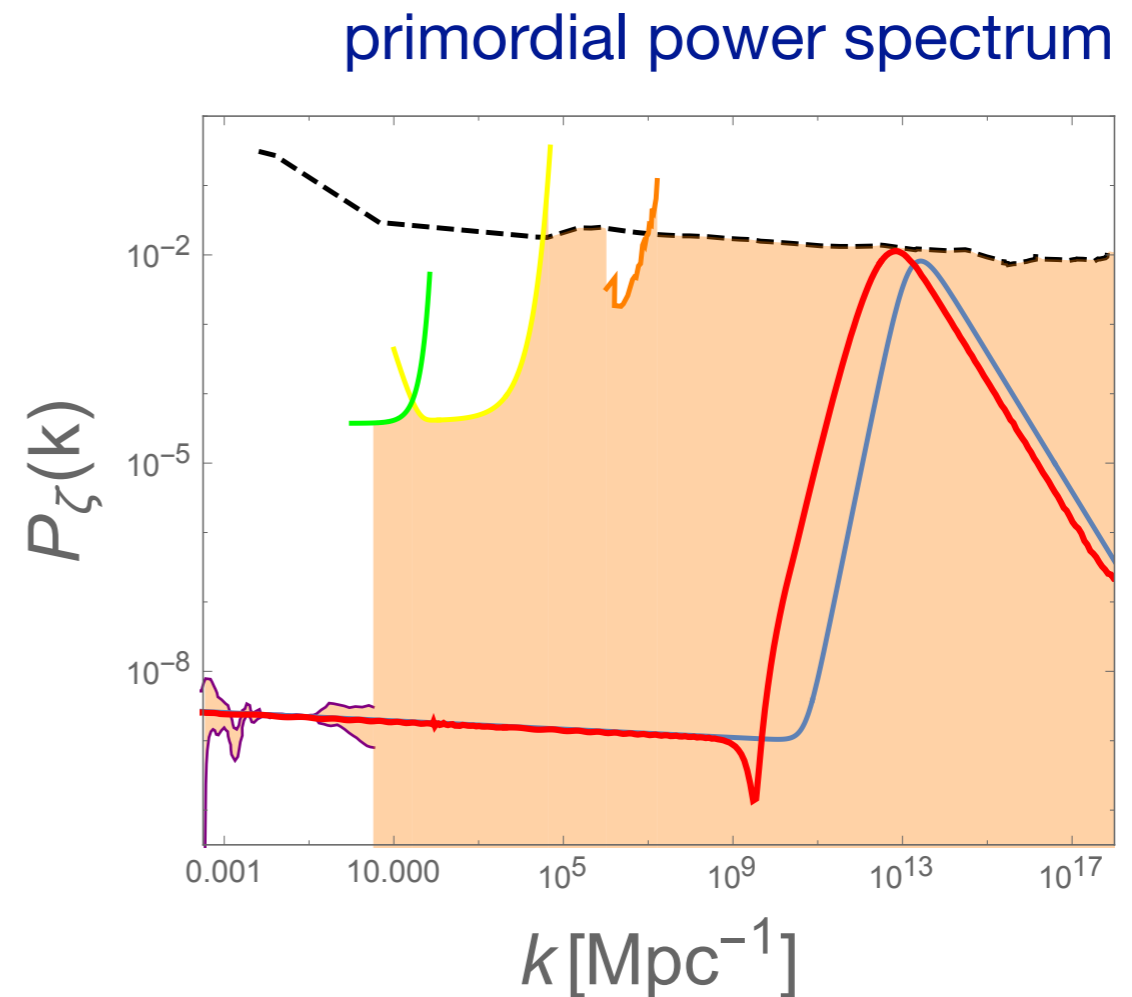
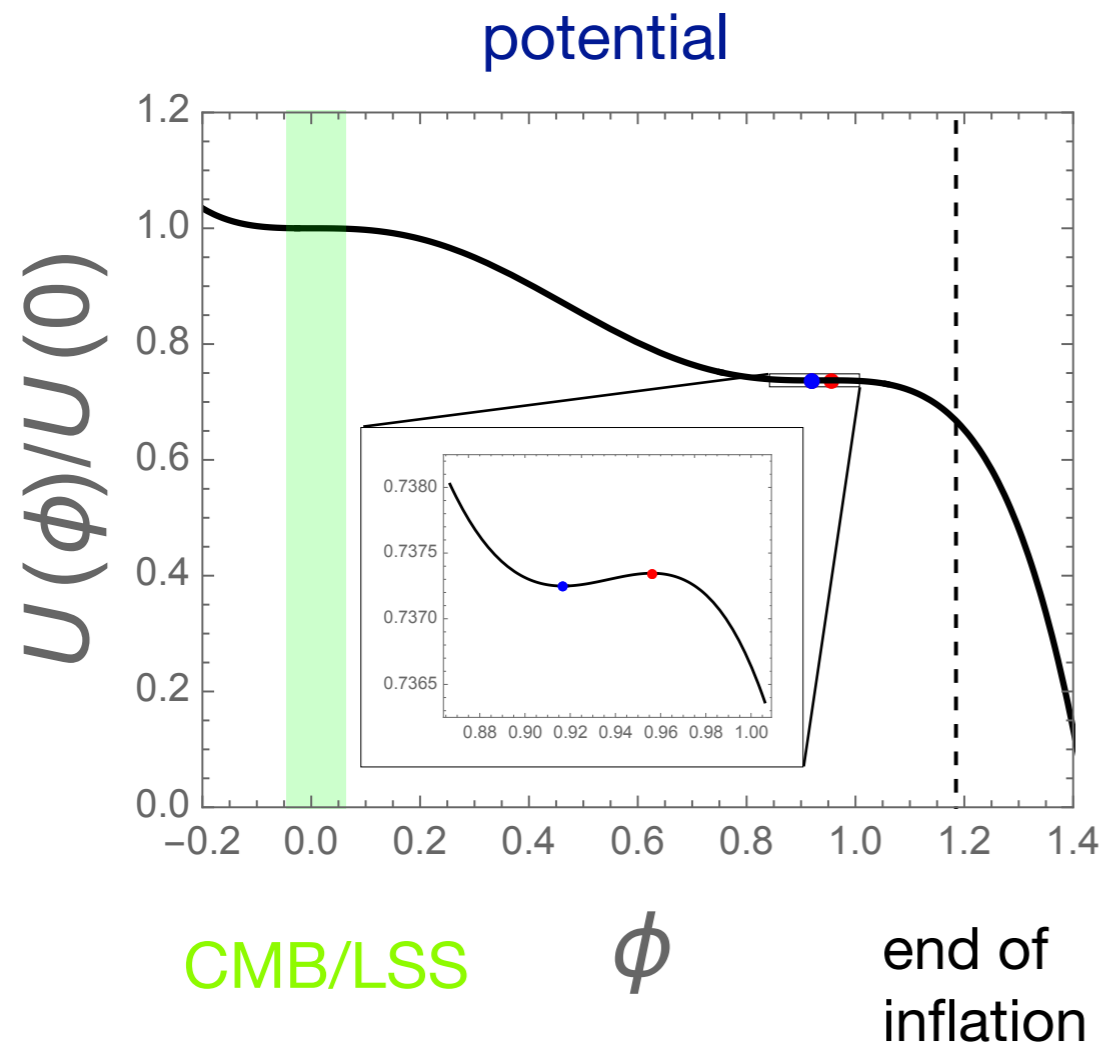
# inflation models that produce large perturbations

In slow-roll approx:  $\sigma \propto V^{3/2}/V'$ , but this expression isn't valid in 'ultra-slow-roll' limit,  $V' \rightarrow 0$  (and USR also affects probability distribution of fluctuations - more later).

## single field

Potential fine-tuned so that field goes past local min, but with reduced speed

[Ballesteros & Taoso](#); [Herzberg & Yamada](#)

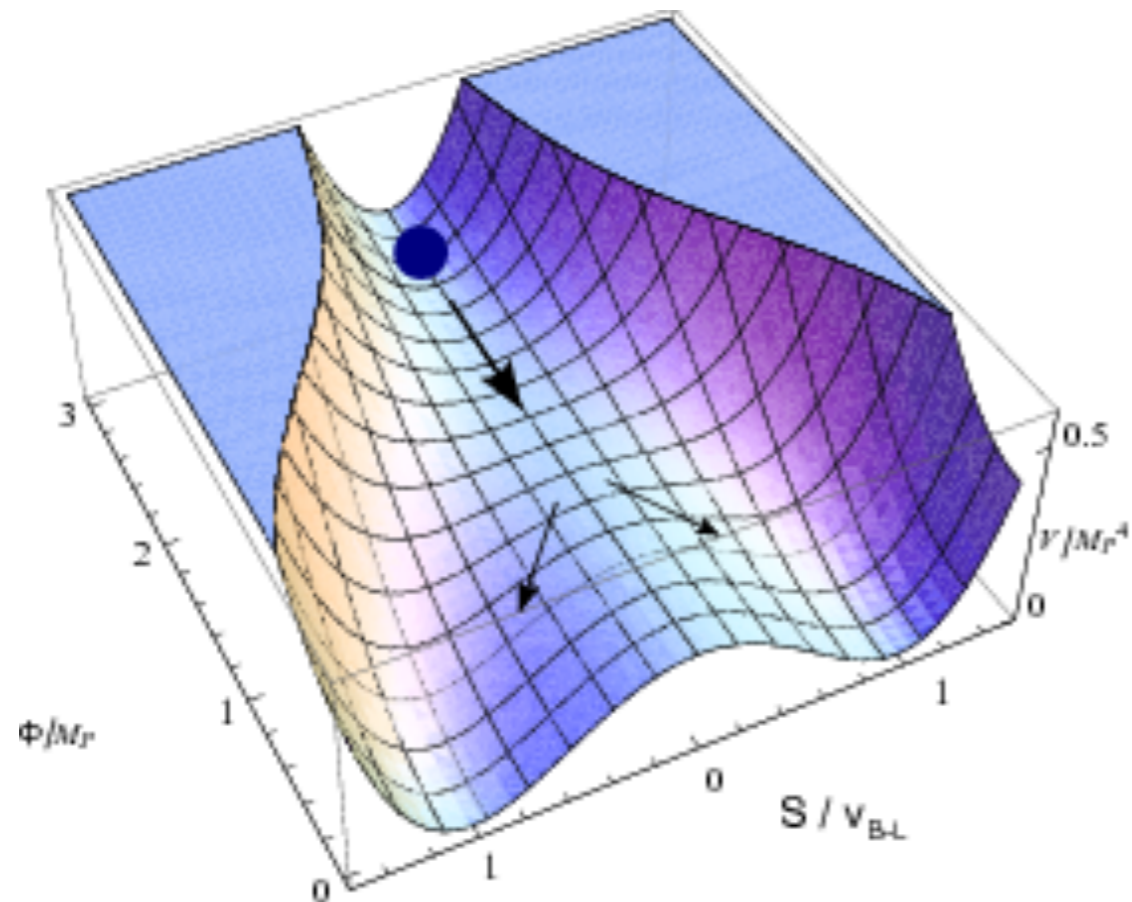


Steepest possible growth  $\sim k^4$  [Byrnes, Cole & Patil](#); [Carrillo, Malik & Mulryne](#)

## multi-field models

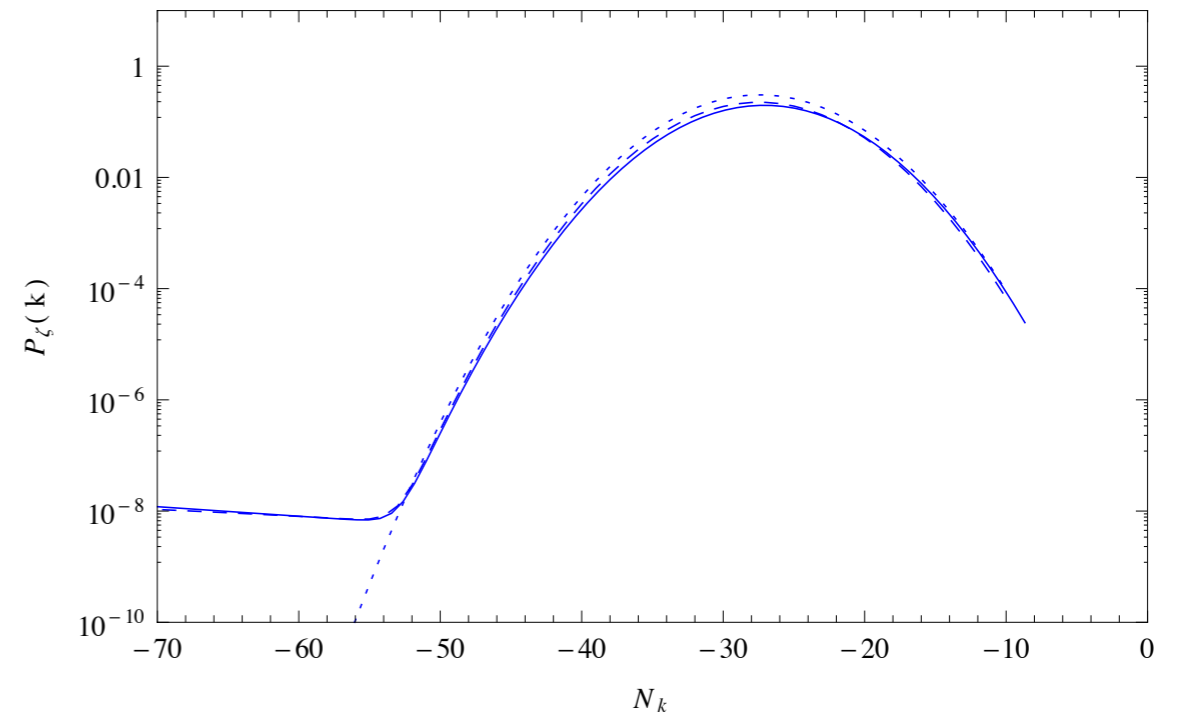
e.g. hybrid inflation with a mild waterfall transition [Garcia-Bellido, Linde & Wands](#)

potential



[Buchmuller](#)

primordial power spectrum



[Clesse & Garcia-Bellido](#)

## various others

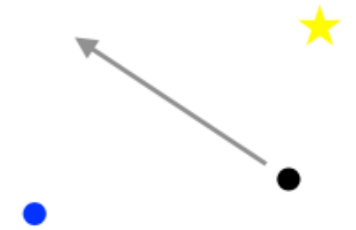
running mass, double inflation, axion-like curvaton, multi-field models with rapid turns in field space,...

# Constraints

Initially assuming a delta-function PBH mass function

# microlensing

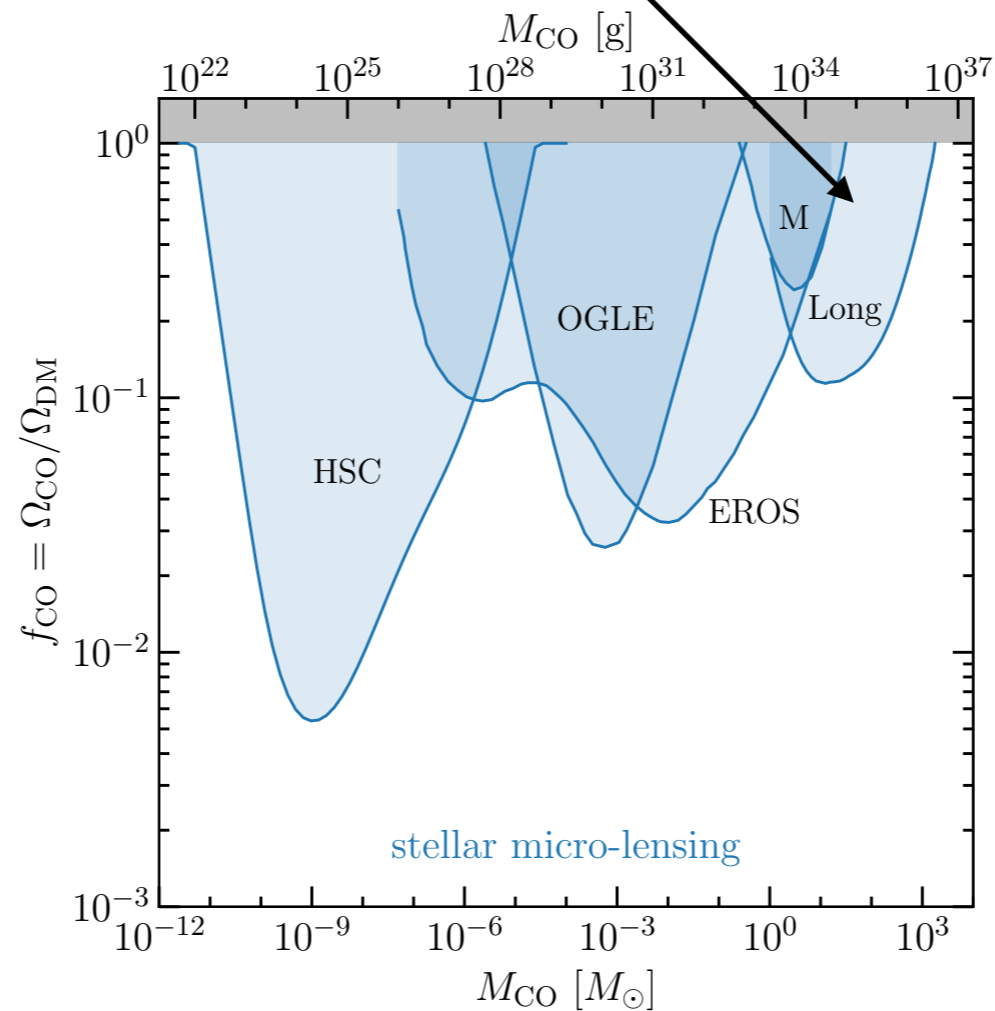
Gravitational lensing where separation of images is micro-arcsecond, too small to resolve, but can detect variations in magnification.



stars: temporarily brightened when compact object ('CO') crosses line of sight  
LMC/SMC ([MACHO](#), [EROS](#), [OGLE](#), [combined long duration](#)), Galactic bulge ([OGLE](#)),  
M31 ([HSC](#), [Croon et al.](#)).

fraction of dark matter  
in form of compact objects

$$f_{\text{CO}} = \frac{\Omega_{\text{CO}}}{\Omega_{\text{DM}}}$$



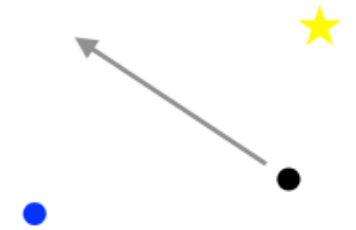
mass in grams

mass in Solar masses



# microlensing

Gravitational lensing where separation of images is micro-arcsecond, too small to resolve, but can detect variations in magnification.



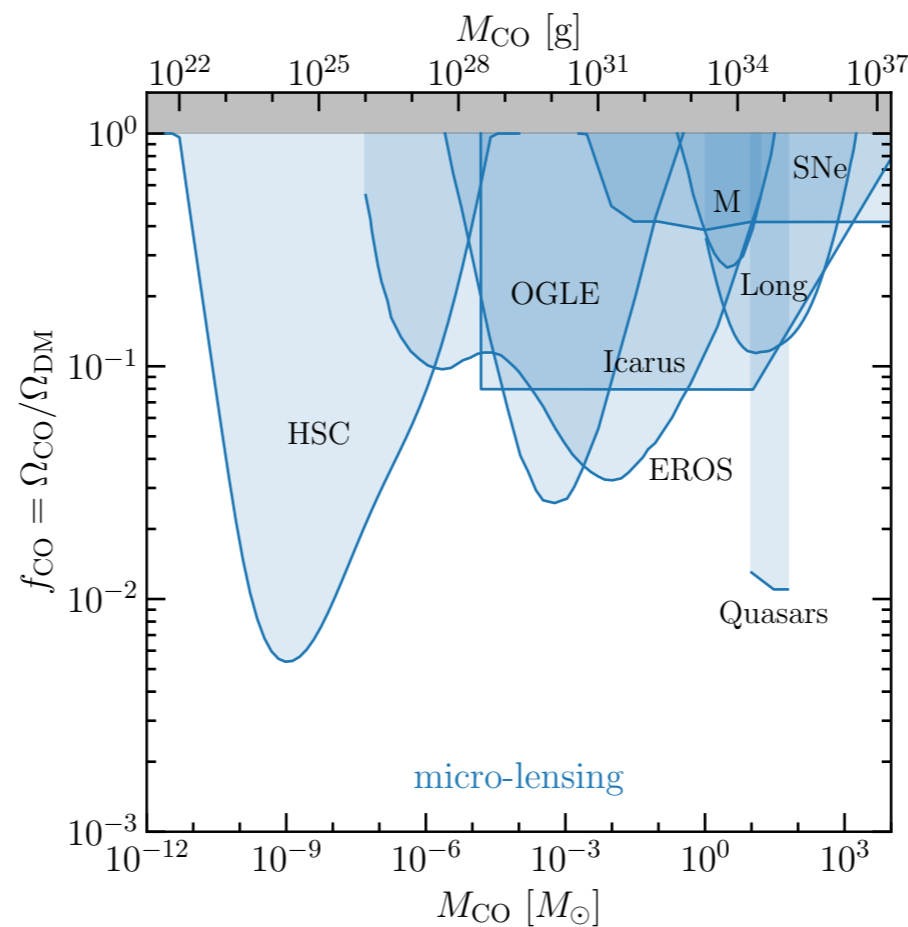
supernovae: magnification distribution changed [Zumalacarregui & Seljak](#).

Icarus: caustic crossing event [Oguri et al.](#)

quasars: flux ratios of multiply-lensed systems [Esteban-Gutierrez et al.](#)

fraction of dark matter  
in form of compact objects

$$f_{\text{CO}} = \frac{\Omega_{\text{CO}}}{\Omega_{\text{DM}}}$$



mass in grams

mass in Solar masses

# gravitational waves from PBH-PBH binary mergers

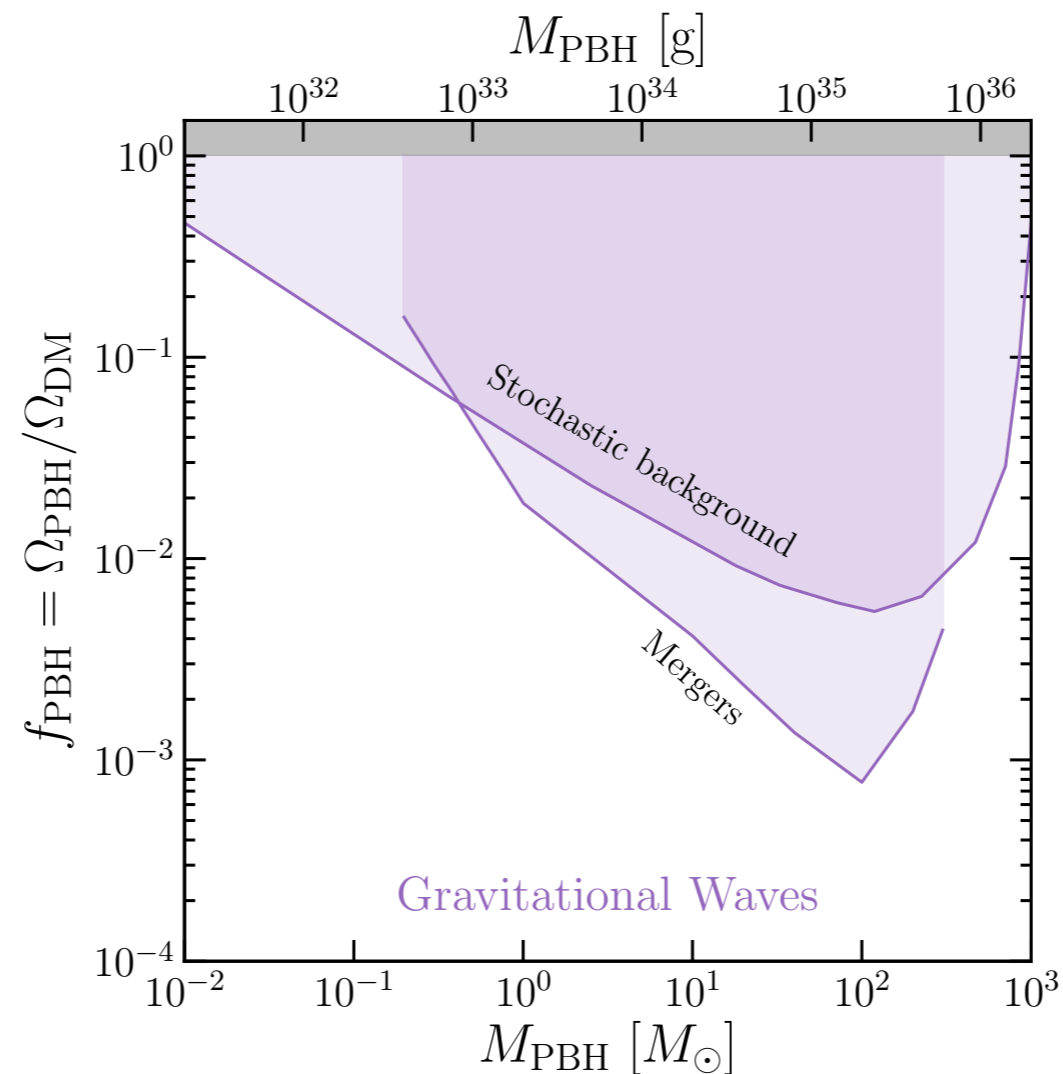


PBH binaries can form in the early Universe (from chance proximity). [Nakamura et al.](#)

If orbits aren't significantly perturbed subsequently, then their mergers are orders of magnitude larger than the merger rate measured by LIGO. [Ali-Haïmoud, Kovetz & Kamionkowski](#)

Also comparable constraints from stochastic GW from mergers. [Wang et al.](#)

$$f_{\text{PBH}} = \frac{\Omega_{\text{PBH}}}{\Omega_{\text{DM}}}$$



# dynamical effects

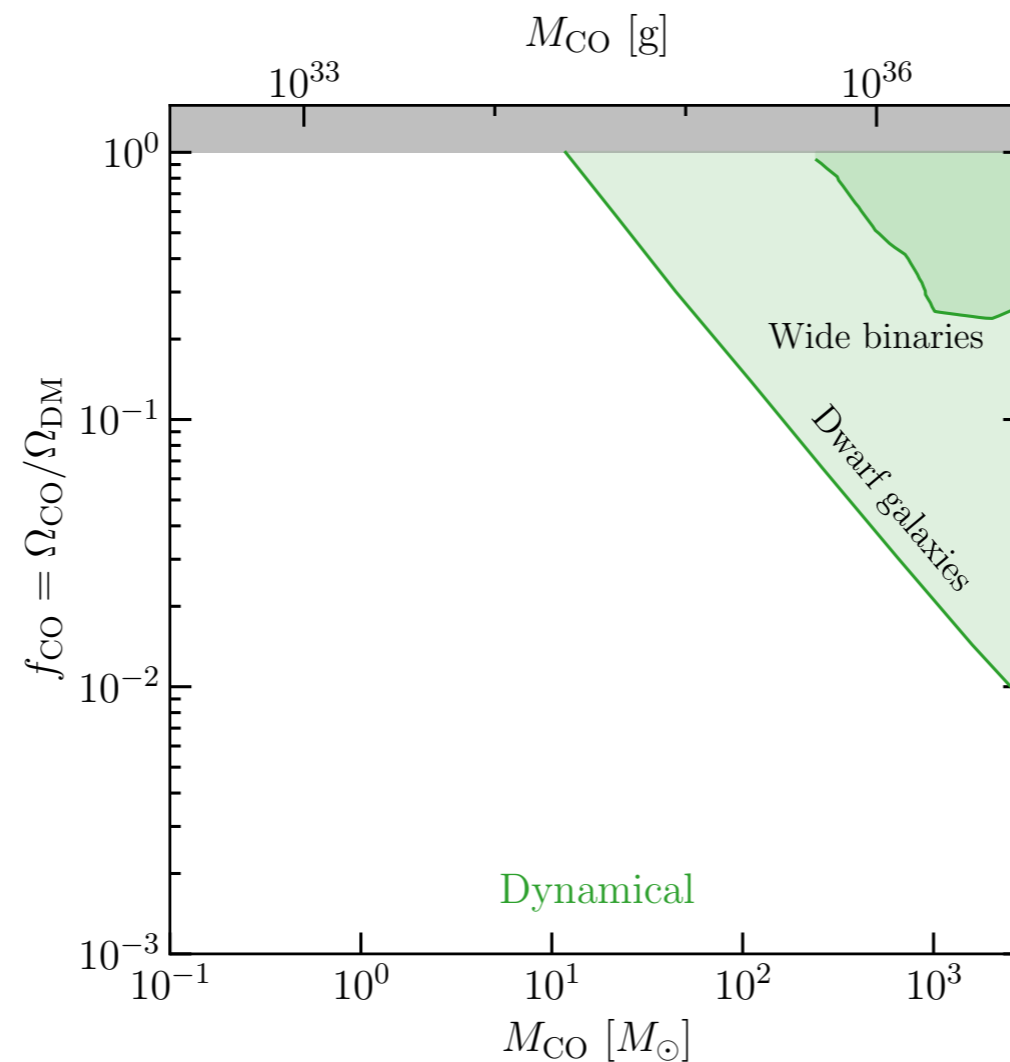


dwarf galaxies: stars are dynamically heated and size of stellar component increased

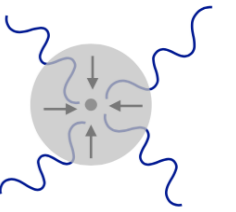
[Brandt](#); [Koushiappas & Loeb](#); [Zhu et al.](#); [Stegmann et al.](#)

wide binaries: dynamically heated, separations increased, and widest binaries

disrupted. [Yoo, Chaname & Gould](#); ... [Monroy-Rodriguez & Allen](#); [Tyler, Green & Goodwin](#)



# accretion



Radiation emitted due to gas accretion onto PBHs can modify the recombination history of the universe, constrained by

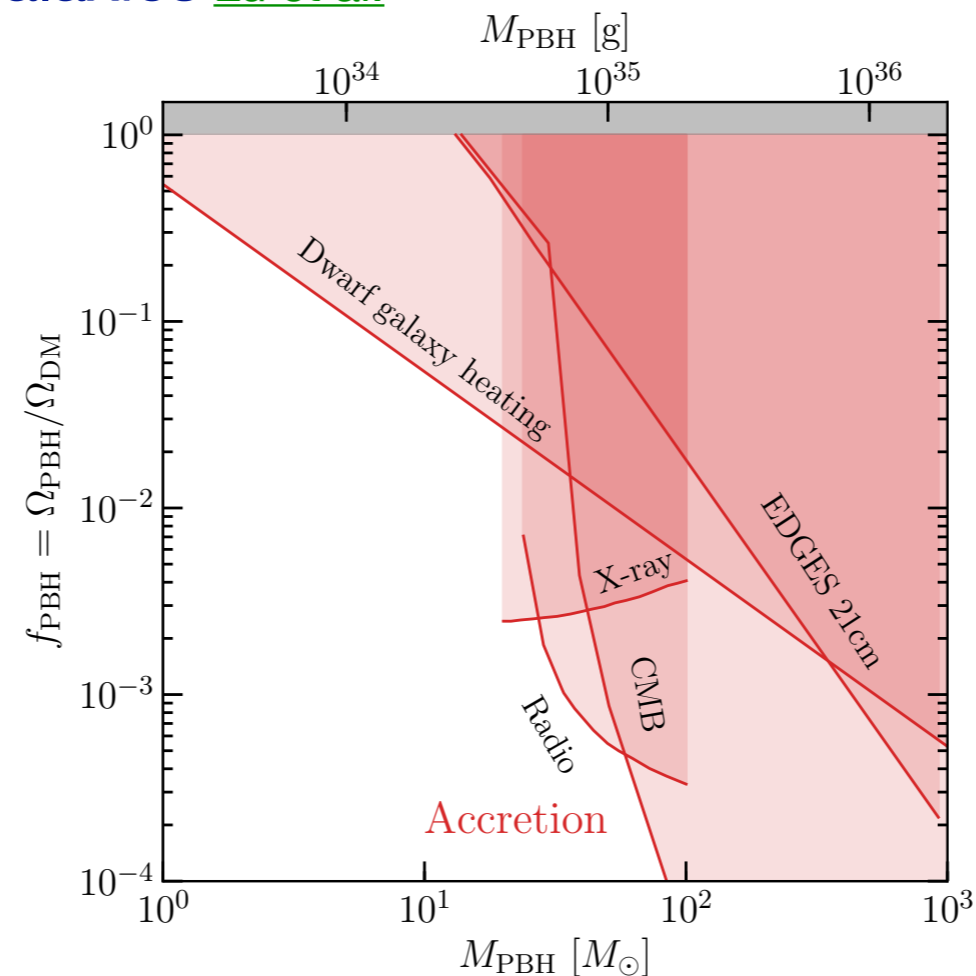
distortion of CMB anisotropies [Ricotti et al](#); [Ali-Haïmoud & Kamionkowski](#); ... [Poulin et al....](#)

EDGES 21cm measurements [Hektor et al.](#);

Accretion onto PBHs today constrained by

X-ray and radio emission in MW [Gaggero et al](#); [Inoue & Kusenko](#); [Manshanden et al.](#)

gas-heating in dwarf galaxies [Lu et al.](#)



## constraints on asteroid mass PBHs from interactions with stars



Stars can capture asteroid mass PBHs through dynamical friction, accretion onto PBH can then destroy the star. [Capela, Pshirkov & Tinyakov](#); [Pani & Loeb](#); [Montero-Camacho et al.](#)

Transit of asteroid mass PBH through white dwarf heats it, due to dynamical friction, causing it to explode. [Graham, Rajendran & Varela](#)

[Montero-Camacho et al.](#) **No current constraints**, but potential future constraints from

i) survival of neutron stars in globular cluster **if** it has DM halo (need high DM density, low velocity-dispersion environment)

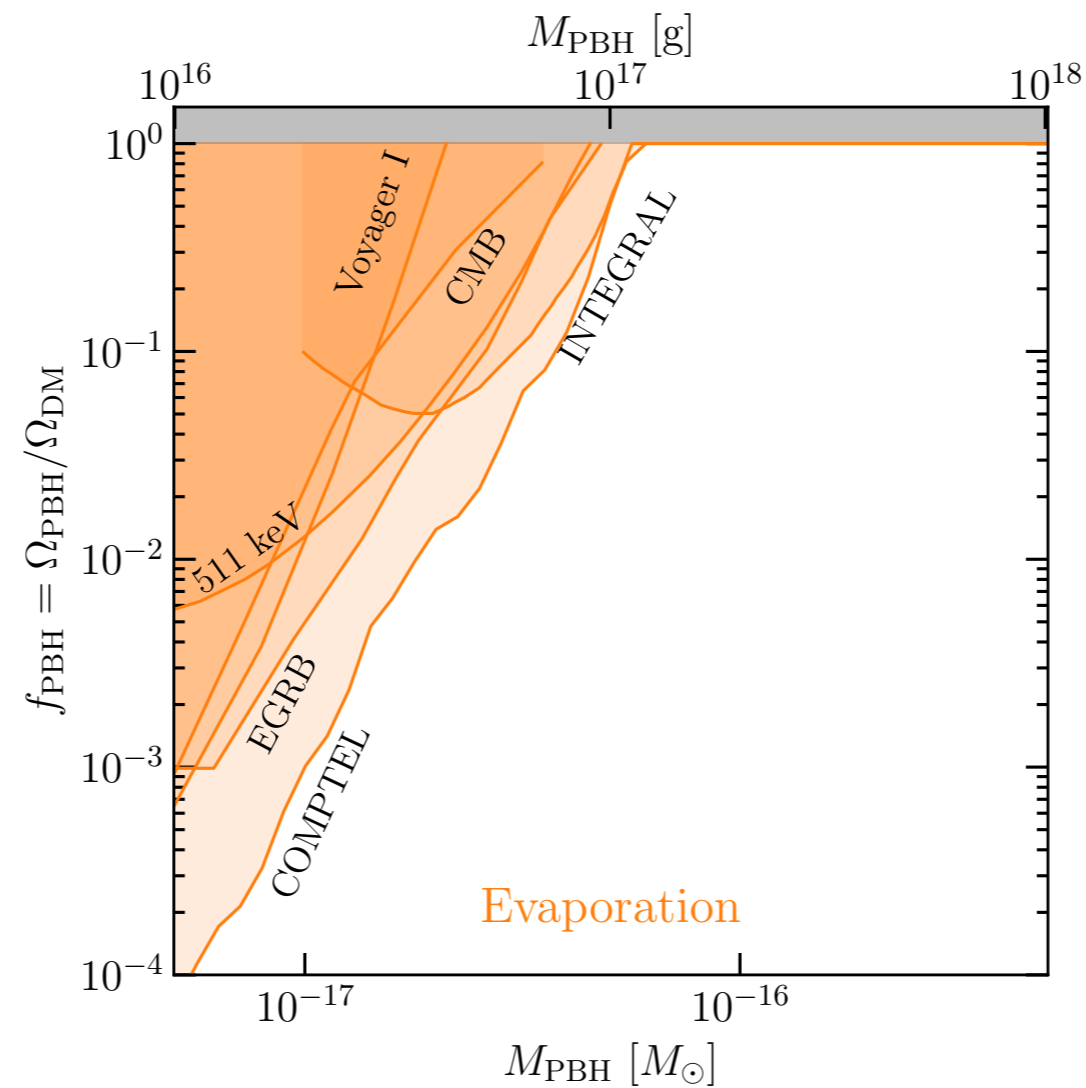
ii) signatures of star being destroyed

[Esser & Tinyakov](#) potential constraints from disruption of main sequence stars in dwarf galaxies, due to PBH capture during star formation.

# constraints on light PBHs from evaporation products

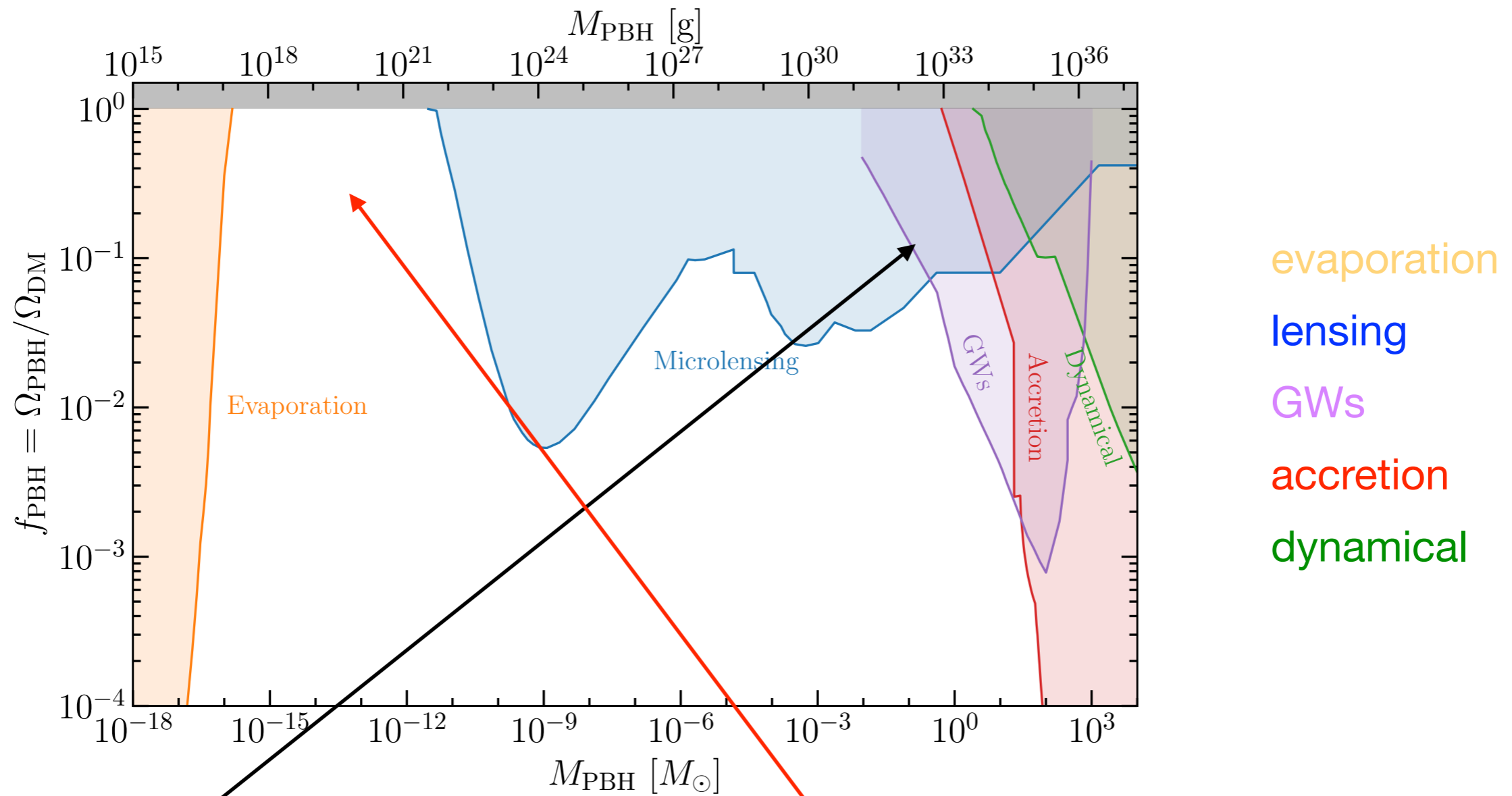


Evaporation products (gamma rays,  $e^\pm$ , ...) from PBHs reaching the end of their lifetime would be detectable/have observable consequences.



See also [Aufinger](#) review.

# Compilation of tightest constraints



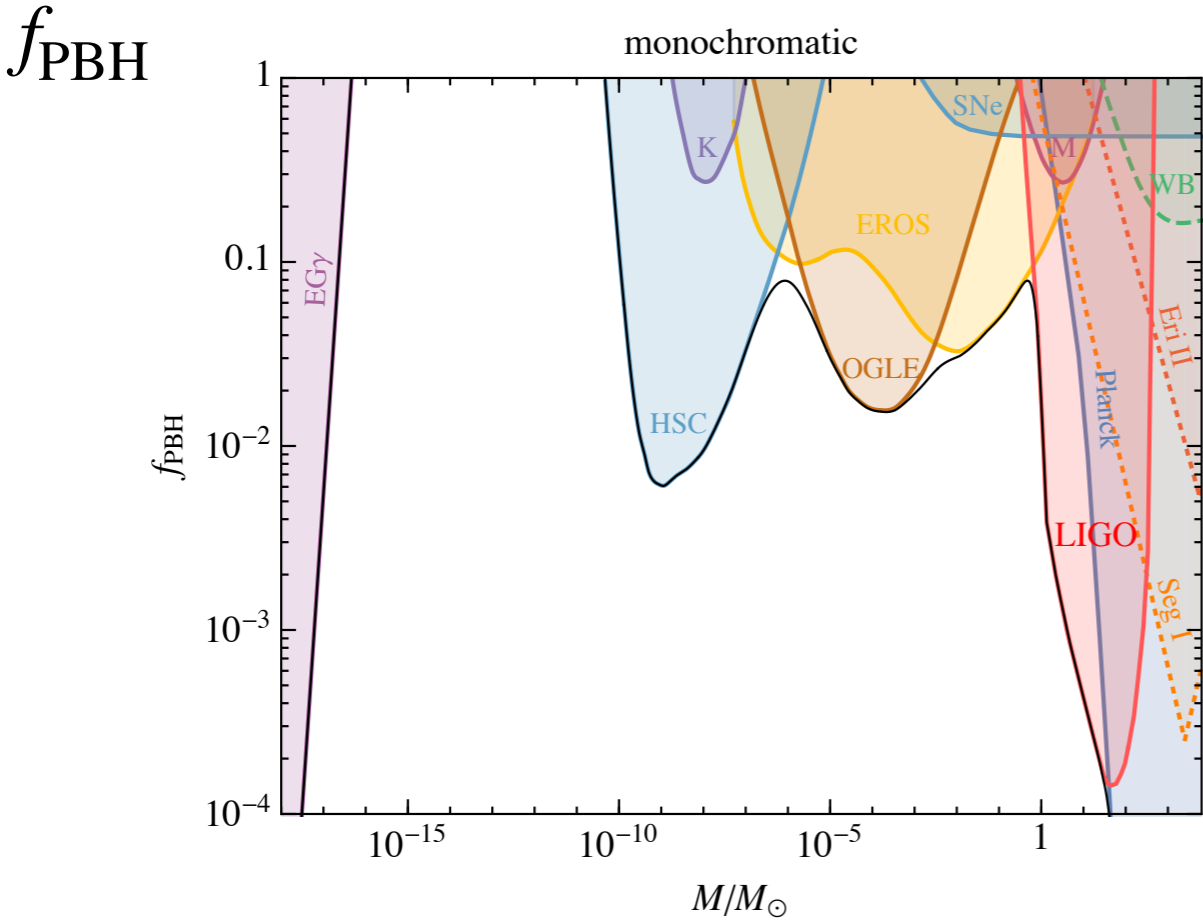
multi-Solar mass Primordial Black Holes making up all of the DM appears to be excluded.

However there is a hard to probe, open window for very light (asteroid mass) PBHs.

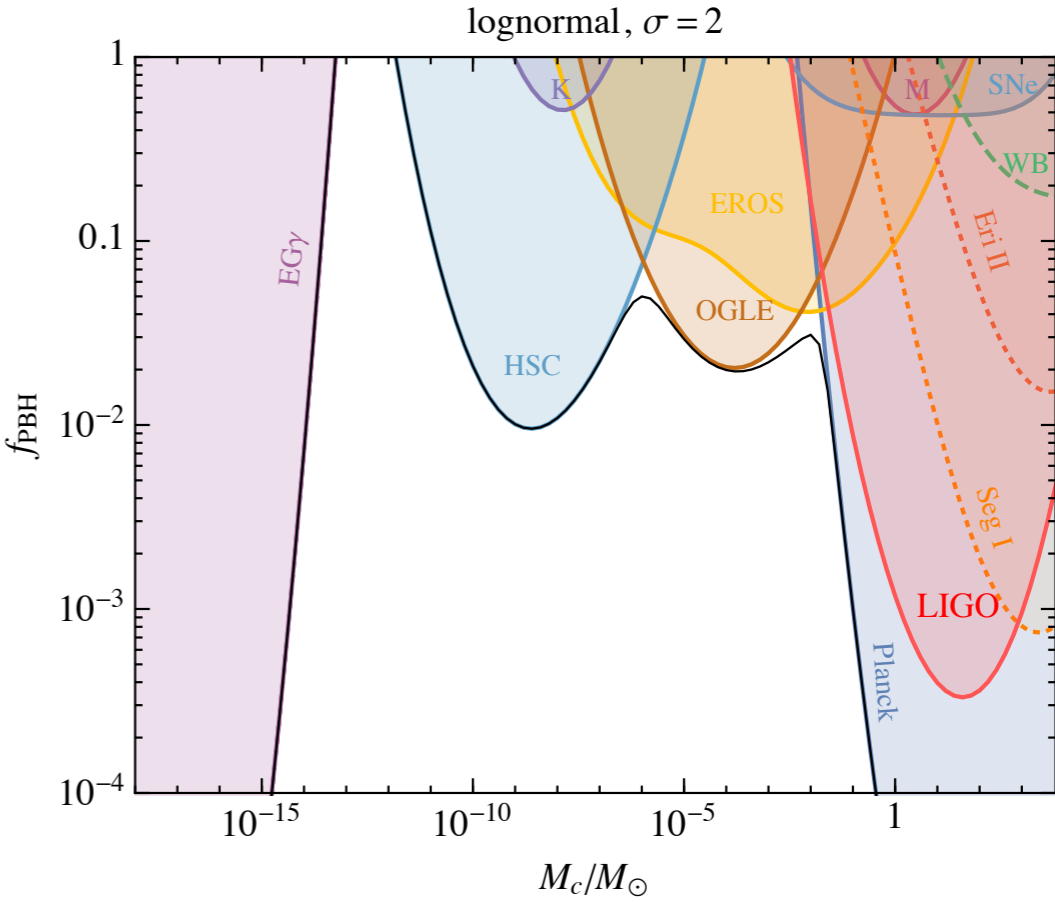
For extended mass functions, constraints on  $f$  are smeared out, and gaps between constraints are 'filled in':

[Green; Carr et al.](#); see also [Bellomo et al.](#)

monochromatic



log-normal  
(fixed width)



[Carr et al.](#)

$$\frac{M_c}{M_\odot}$$

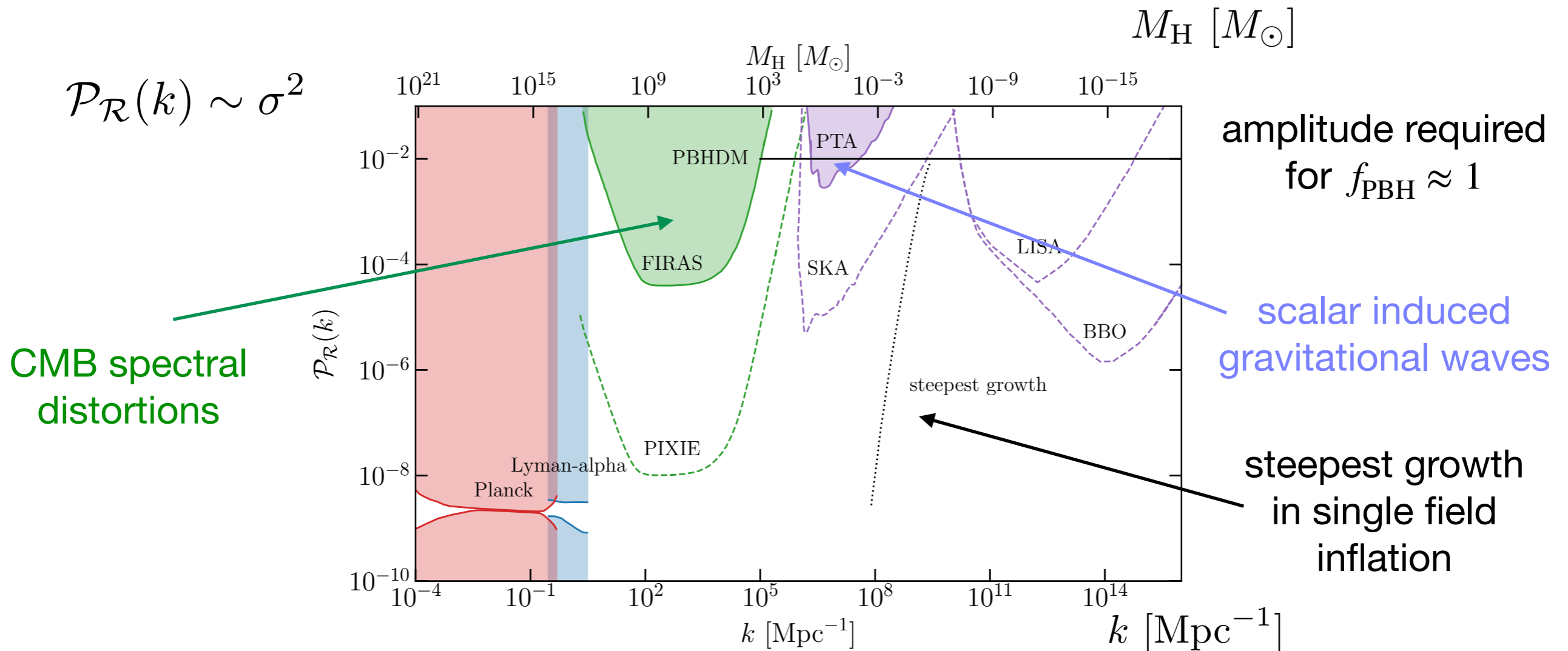


# Indirect constraints on PBHs formed from large density perturbations

Large curvature perturbations act as 2nd order source of gravitational waves ('scalar induced gravitational waves'). [Ananda, Clarkson & Wands](#)

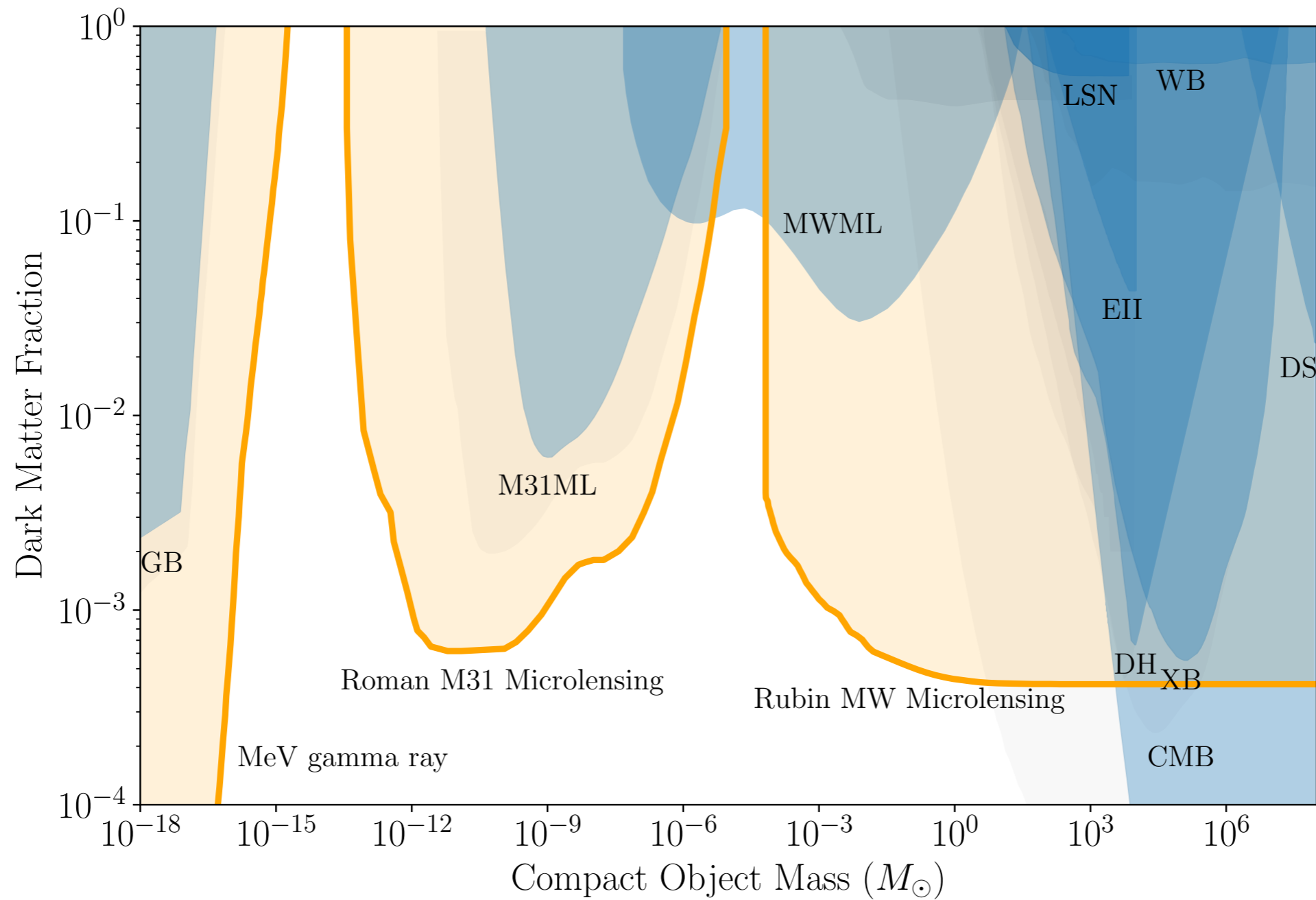
Resulting constraints on amplitude of primordial perturbations therefore constrain abundance of PBHs formed via collapse of large density perturbations. [Saito & Yokoyama](#); [Byrnes et al.](#); [Inomata et al.](#)

Massive PBHs similarly constrained by CMB spectral distortions. [Carr & Lidsey](#); [Kohri, Nakama & Suyama](#)



# Future constraints

Projected improvement in microlensing and evaporation constraints:



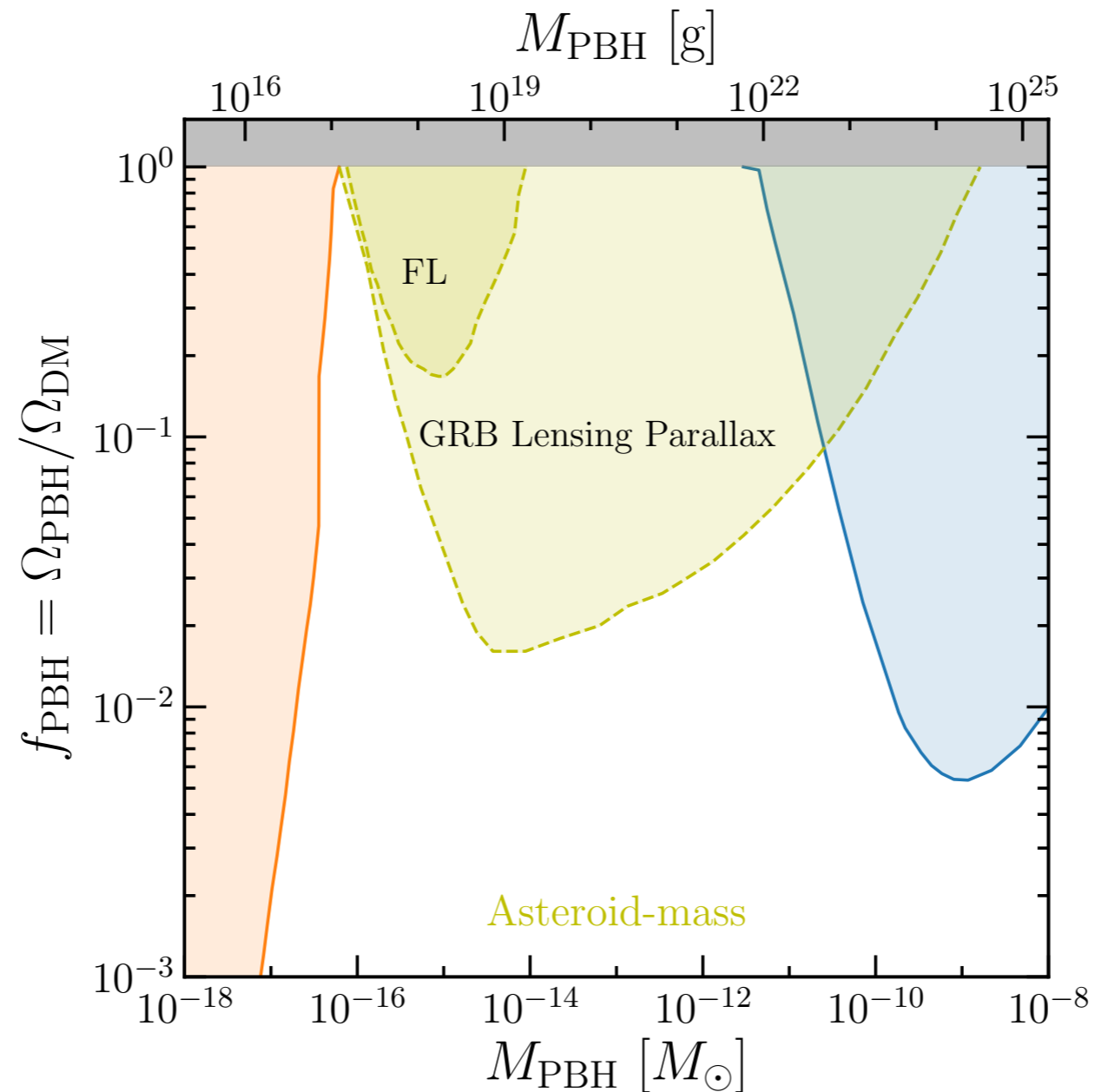
Bird et al.

how to probe asteroid mass PBHs?

femtolensing of GRBs [Gould](#) need small GRBs [Katz et al.](#)

GRB lensing parallax [Nemiroff & Gould](#); [Jung & Kim](#)

disruption of main sequence stars in dwarf galaxies during star formation [Esser & Tinyakov](#)



## Summary: 3 Primordial Black Holes

Primordial Black Holes can form in the early Universe, for instance from the collapse of large density perturbations during radiation domination.

- To produce an interesting number of PBHs, amplitude of perturbations must be  $\sim 3$  orders of magnitude larger on small scales than on cosmological scales.
- This can be achieved in inflation models (e.g. with a feature in the potential or multiple fields). However it's not natural/generic.

There are numerous constraints on the abundance of PBHs from gravitational lensing, their evaporation, dynamical effects, accretion and other astrophysical processes.

- Solar mass PBHs can't make up all of the dark matter, but lighter,  $(10^{17}-10^{22})g$ , PBHs could.

Open questions: how to probe light PBHs, perturbations in ultra-slow roll inflation, clustering...