Some

experiments Pioneering schemes

Adaptive feedback

Some theory

Quantum optimal control theory QOCT for

many-electron systems

QOCT for hybrid quantum-classical

Quantum optimal control theory for electron dynamics

Alberto Castro

ARAID Foundation and Institute for Biocomputation and Physics of Complex Systems (BIFI), Zaragoza (Spain)

9th Benasque TDDFT School, Benasque (Spain), 18-27.10.2022





Instituto Universitario de Investigación Biocomputación y Física de Sistemas Complejos Universidad Zaragoza



experiments Pioneering schemes Adaptive feedback

Some theory

Some

Quantum optimal control theory QOCT for

many-electron systems

QOCT for hybrid

Control of quantum phenomena: past, present and future

Constantin Brif, Raj Chakrabarti¹ and Herschel Rabitz²

Department of Chemistry, Princeton University, Princeton, NJ 08544, USA E-mail: cbrif@princeton.edu, rchakra@purdue.edu and hrabitz@princeton.edu

New Journal of Physics 12 (2010) 075008 (68pp)

Basic idea, in a cartoon

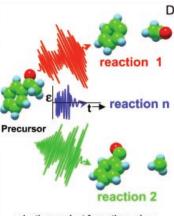
Alberto Castro

Some

Pioneering schemes Adaptive feedback

Some theory

Quantum optimal many-electron systems



selective product formation using optimally-tailored, strong-field laser pulses: ~fs

[R. J. Levis et al, Science 292, 709 (2001)]

Alberto Castro

Some

Pioneering schemes Adaptive feedback

Some theory Quantum optimal

many-electron systems

Some experiments

Pioneering schemes Adaptive feedback control

Some theory

Quantum optimal control theory QOCT for many-electron systems QOCT for hybrid quantum-classical systems

Alberto Castro

experiments Pioneering schemes

Some

Adaptive feedback

Quantum optimal

many-electron systems

Some theory

Some experiments Pioneering schemes Adaptive feedback control

Quantum optimal control theory QOCT for many-electron systems QOCT for hybrid quantum-classical systems

Alberto Castro

Some experiments Pioneering schemes Adaptive feedback

Some theory

Quantum optimal control theory QOCT for many-electron systems

many-electron syste

Some experiments

Pioneering schemes

Adaptive feedback control

Some theory

Quantum optimal control theory

QOCT for many-electron systems

QOCT for hybrid quantum-classical systems

Some experiments Pioneering schemes Adaptive feedback

Some theory

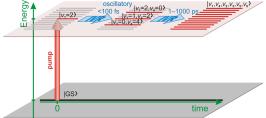
Quantum optimal control theory

QOCT for many-electron systems

QOCT for hybrid quantum-classical systems

Mono-chromatic lasers

- Lasers (coherent, monochromatic, intense light)
 promised to deliver precise control of quantum systems
- ► Initially, the first attempts to control molecules (i.e. "photo-selective chemistry") were based on tuning the laser frequency to specific bonds
- Those attempts were seldom successful, due to "intramolecular vibrational redistribution".



 Analogous problems will appear in other quantum control attempts, beyond molecular photo-chemistry.

Interferences, and the "two pathway" scheeme

Alberto Castro

Some experiments Pioneering schemes Adaptive feedback

Some theory

control theory

QOCT for

many-electron systems

QOCT for hybrid quantum-classica systems [P. Brumer and M. Shapiro, Chem. Phys. Lett. **126**, 541 (1986)]

- Use of two monochromatic lasers with commensurate frequencies for creating quantum interference between two reaction pathways.
- By tuning the phase difference between the two laser fields, it is possible to control the branching ratios of molecular reactions.
- ► It produces modest results, perhaps a modulation of 50% in branching rations of chemical reactions.

Pump and dump

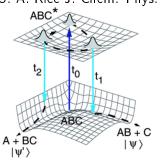
Some

Pioneering schemes Adaptive feedback

Some theory Quantum optimal

many-electron systems

[D. J. Tannor and S. A. Rice J. Chem. Phys. **83**, 5013 (1985)]



Limitations: knowledge of the potential energy surfaces, competing processes.

Alberto Castro

Some experiments Pioneering schemes Adaptive feedback

Some theory

control

Quantum optimal control theory QOCT for many-electron systems

many-electron syste QOCT for hybrid quantum-classical

Some experiments

Pioneering schemes

Adaptive feedback control

Some theory

Quantum optimal control theory

QOCT for many-electron systems

QOCT for hybrid quantum-classical systems

Some experiments

Pioneering schemes Adaptive feedback

Some theory

Quantum optimal control theory

QOCT for many-electron systems

QOCT for hybrid quantum-classical

Adaptive feedback control

VOLUME 68. NUMBER 10

PHYSICAL REVIEW LETTERS

9 MARCH 1992

Teaching Lasers to Control Molecules

Richard S. Judson (a)

Center for Computational Engineering, Sandia National Laboratories, Livermore, California 94551-0969

Herschel Rabitz

Department of Chemistry, Princeton University, Princeton, New Jersey 08544 (Received 26 August 1991)

We simulate a method to teach a laser pulse sequences to excite specified molecular states. We use a learning procedure to direct the production of pulses based on "fitness" information provided by a laboratory measurement device. Over a series of pulses the algorithm learns an optimal sequence. The experimental apparatus, which consists of a laser, a sample of molecules, and a measurement device, acts as an analog computer that solves Schrödinger's equation exactly, in real time. We simulate an apparatus that learns to excite specified rotational states in a diatomic molecule.

Some

experiments Pioneering schemes

Adaptive feedback

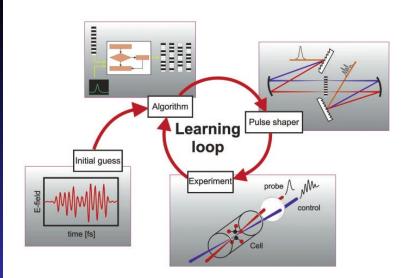
Some theory

control the

QOCT for

many-electron systems

The learning loop



[H. Rabitz et al, Science 288, 824 (2000)]

Laser technology: The road to atto-second physics

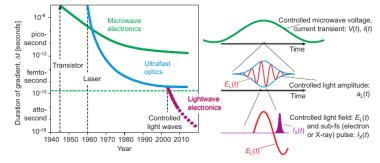
Alberto Castro

Some

Pioneering schemes Adaptive feedback control

Some theory

Quantum optimal control theory
QOCT for many-electron systems
QOCT for hybrid



[Krausz & Ivanov, Rev. Mod. Phys. 81, 169 (2009)]

Laser technology: increase in intensities

Alberto Castro

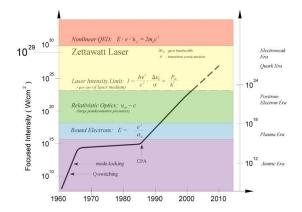
Some experiments Pioneering schemes Adaptive feedback

Some theory

control theory

many-electron systems

QOCT for hybrid quantum-classical systems



Some

Pioneering schemes Adaptive feedback control

Some theory

control theory QOCT for many-electron systems

QOCT for hybrid

Examples of AFC experiments: Photo-dissociation reactions in molecules

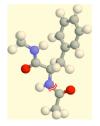
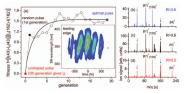


FIG. 1. (Color online) Optimal tailoring of intense femtosecond light can be used to preferentially break peptide bonds, such as the indicated N1–C3 bond in the amino acid complex Ac-Phe-NHMe.



["Coherent control of bond breaking in amino acid complexes with tailored femtosecond pulses", Laarmann et al, J. Chem. Phys. 127, 201101 (2007)]

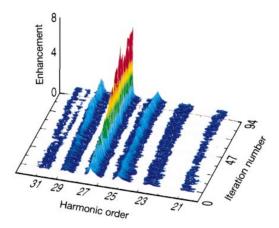
Some

Pioneering schemes Adaptive feedback control

Some theory

Quantum optimal control theory
QOCT for many-electron systems
QOCT for hybrid

Examples of AFC experiments: High harmonic generation



["Shaped-pulse optimization of coherent emission of high-harmonic soft X-rays", R. Bartels, Nature **406**, 164 (2000)]

Examples of AFC experiments: other

Alberto Castro

Some experiments Pioneering schemes Adaptive feedback

Some theory

Quantum optimal control theory QOCT for many-electron systems

QOCT for hybrid quantum-classical

- ► Multi-photon ionization of atoms.
- ► Electronic excitation in molecules (fluorescence is used as the probe to build the merit function).
- Molecular alignment.
- ▶ Photo-induced electron transfer between molecules
- ▶ Photo-isomerization of molecules.
- etc.

What makes experimental "control" possible

Alberto Castro

experiments Pioneering schemes Adaptive feedback control

Some theory

Some

Quantum optimal control theory QOCT for many-electron syste

many-electron systems
QOCT for hybrid
quantum-classical

- Existence of laser sources, since the 1960's.
- Femto-second laser sources, which allow for fast processes (avoiding decoherence), and extending the band-width.
- High-intensities.
- Laser shapers.
- Learning-loops algorithms.

Alberto Castro

Some experiments

Pioneering schemes Adaptive feedback

Some theory

Quantum optimal control theory QOCT for

many-electron systems QOCT for hybrid Some experiments
Pioneering schemes
Adaptive feedback control

Some theory

Quantum optimal control theory QOCT for many-electron systems QOCT for hybrid quantum-classical systems

Some

experiments

Pioneering schemes

Adaptive feedback

Some theory

Quantum optimal control theory

QOCT for many-electron systems

QOCT for hybrid quantum-classical

Some experiments

Pioneering schemes Adaptive feedback control

Some theory

Quantum optimal control theory

QOCT for many-electron systems

QOCT for hybrid quantum-classical systems

Some experiments

Pioneering schemes

Some theory

Quantum optimal control theory QOCT for many-electron systems

QOCT for hybrid quantum-classical

"Classical" optimal control theory

Typical formulation of a (general) optimal control problem:

Dynamical system:

$$\dot{x}(t) = f(x(t), u(t), t)
x(0) = x_0$$

Typically, u=u(t). But it can be a set of parameters whatsoever.

► Minimize the cost functional:

$$F[x, u] = F^{\text{terminal}}[x(T), u] + \int_0^T dt \ L(x(t), u(t))$$

ightharpoonup Since $u \to x[u]$, it amounts to minimizing

$$G[u] = F[x[u], u]$$

Essential theoretical results

Alberto Castro

Some experiments Pioneering schemes Adaptive feedback

Some theory

Quantum optimal
control theory

QOCT for
many-electron systems

QOCT for hybrid
quantum-classical

- Pontryagin's minimum principle (1956) [V.G. Boltyanskii, R.V. Gamkrelidze, and L.S. Pontryagin, "Towards a theory of optimal processes", (Russian), Reports Acad. Sci. USSR 110, 1 (1956)] It provides a *necessary* condition for the minimum in practice, typically, an expression for $\nabla G[u]$ so that the equation $\nabla G[u] = 0$ can be posed.
- ► Hamilton-Jacobi-Bellman equation (1954) (Theory of "dynamic programming", Richard Bellman) [R.E Bellman, "Dynamic Programming and a new formalism in the calculus of variations" Proc. Nat. Acad. Sci. 40, 231 (1954)]

Essential theoretical results

Alberto Castro

Some experiments Pioneering schemes

Pioneering schemes Adaptive feedback control

Some theory

control theory

QOCT for
many-electron systems

QOCT for hybrid

- Simpler approaches: direct or gradient-less algorithms. They only require a means to compute G[u] (i.e. a method to propagate the dynamical equation and compute the resulting cost or target functional).
- ► The most fashionable, the families of *evolutionary* or *genetic* algorithms.

Some theory

Quantum optimal
control theory

QOCT for
many-electron systems

QOCT for hybrid quantum-classica

Pontryagin's minimum principle

If we define the "Hamiltonian"

$$H(\lambda(t),x(t),u(t),t) = \lambda^\dagger(t) f(x(t),u(t),t) + L(x(t),u(t))$$

where λ is the "costate", an object of the same kind of x, the following holds:

1. The optimal control u^0 , trajectory x^0 and costate λ^0 minimize H at all times:

$$H(\lambda^{0}(t), x^{0}(t), u^{0}(t), t) \le H(\lambda(t), x(t), u(t), t)$$

2. The costate verifies the following equation of motion:

$$\dot{\lambda}^{0\dagger}(t) = \lambda^{0\dagger}(t) \frac{\delta f}{\delta x}(x^0(t), u^0(t)) + \frac{\delta L}{\delta x}(x^0(t), u^0(t))$$

$$\lambda^{0\dagger}(T) = \frac{\delta}{\delta x} F^{\text{terminal}}[x^0(T), u^0(T)]$$

Quantum optimal control theory

Alberto Castro

Some

experiments

Pioneering schemes Adaptive feedback control

Some theory

Quantum optimal control theory

QOCT for many-electron systems

QOCT for hybrid

$$\hat{H} = \hat{H}[\mathbf{u_1}, \dots, \mathbf{u_M}; t]$$

$$i \frac{\mathrm{d}}{\mathrm{d}t} |\Psi(t)\rangle = \hat{H}[\mathbf{u}; t] |\Psi(t)\rangle$$

 $|\Psi(t_0)\rangle = |\Psi_0\rangle$

$$\Psi(t_0) \longrightarrow \Psi[\mathbf{u}](t) \longrightarrow \Psi[\mathbf{u}](T)$$

Maximize a quantity

$$F = F[\Psi[\mathbf{u}](t)],$$

that depends on the system evolution, or final state, or both.

Main equations: computation of the gradient

Alberto Castro

Some

experimen

Pioneering schemes

Adaptive feedback control

Some theory

Quantum optimal control theory

QOCT fo

many-electron systems

QOCT for hybrid

quantum-classical

$$F[\Psi, u] = J_1[\Psi(T)] + J_2[u]$$

$$G[u] = F[\Psi[u], u]$$

$$\frac{\partial G}{\partial u_m} = \frac{\partial J_2}{\partial u_m} + 2 \operatorname{Im} \int_0^T dt \langle \chi(t) | \frac{\partial \hat{H}}{\partial u_m} | \Psi(t) \rangle,$$

where the "costate" χ verifies

$$i\frac{\mathrm{d}}{\mathrm{d}t}|\chi(t)\rangle = \hat{H}(t)|\chi(t)\rangle,$$

$$|\chi(T)\rangle = \frac{\delta}{\delta \Psi^*(T)} F[\Psi(T)]$$

Main equations: computation of the gradient

Alberto Castro

Some

Pioneering schemes Adaptive feedback

Some theory

Quantum optimal control theory

many-electron systems

 $F[\Psi, u] = J_1[\Psi(T)] + J_2[u]$

$$F[\Psi, u] = J_1[\Psi(T)] + J_2[u]$$

$$G[u] = F[\Psi[u], u]$$

$$\frac{\partial G}{\partial u_m} = \frac{\partial J_2}{\partial u_m} + 2 \text{Im} \int_0^T \! \mathrm{d}t \langle \chi(t) | \frac{\partial \hat{H}}{\partial u_m} | \Psi(t) \rangle \,,$$

$$i \frac{\mathrm{d}}{\mathrm{d}t} |\chi(t)\rangle = \hat{H}(t) |\chi(t)\rangle,$$

$$|\chi(T)\rangle = \frac{\delta}{\delta \Psi^*(T)} F[\Psi(T)]$$

Main equations: computation of the gradient

Alberto Castro

Some

experiments

Pioneering schemes Adaptive feedback

Some theory

Quantum optimal control theory

many-electron systems

QOCT for hybrid

$$F[\Psi, u] = J_1[\Psi(T)] + J_2[u]$$

$$G[u] = F[\Psi[u], u]$$

$$\frac{\partial G}{\partial u_m} = \frac{\partial J_2}{\partial u_m} + 2 \operatorname{Im} \int_0^T \! \mathrm{d}t \langle \chi(t) | \frac{\partial \hat{H}}{\partial u_m} | \Psi(t) \rangle ,$$

where the "costate" χ verifies:

$$i\frac{\mathrm{d}}{\mathrm{d}t}|\chi(t)\rangle = \hat{H}(t)|\chi(t)\rangle,$$

$$|\chi(T)\rangle = \frac{\delta}{\delta \Psi^*(T)} F[\Psi(T)]$$

Some theory

control theory
QOCT for
many-electron systems
QOCT for hybrid
quantum-classical

Derivation

 ${f 1}$ A system is governed by the Hamiltonian $\hat{H}(t)=\hat{H}_0(t)+f(t)\hat{V}$, so that its evolution is given by:

$$i\frac{\partial}{\partial t}\hat{\rho}(t) = \left[\hat{H}(t), \hat{\rho}(t)\right],$$

Show that, to first order in f, the change in the value of the expectation value of some observable \hat{A} due to the presence of the perturbation $f(t)\hat{V}$ is given by:

$$\delta A(t) = \langle \hat{A} \rangle(t) - \langle \hat{A} \rangle_{f=0}(t) = \int_{-\infty}^{\infty} dt' \ f(t') \chi_{\hat{A}, \hat{V}}(t, t') \,,$$

where the linear response function is given by:

$$\chi_{\hat{A},\hat{V}}(t,t') = -\mathrm{i}\theta(t-t')\mathrm{Tr}\{\hat{\rho}(t_0)\left[\hat{A}_H(t),\hat{V}_H(t')\right]\}\,.$$

 $\hat{X}_H(t)=\hat{U}(t_0,t)\hat{X}\hat{U}(t,t_0)$ is the Heisenberg representation of \hat{X} , where $\hat{U}(t,t_0)$ is the evolution operator in the absence of the perturbation.

Some experiments

Pioneering schemes Adaptive feedback

Some theory

Quantum optimal control theory QOCT for

many-electron systems QOCT for hybrid quantum-classical

Derivation

Hints:

1. Expand $\hat{\rho}(t)$ in a power series in f:

$$\hat{\rho}(t) = \sum_{n=0}^{\infty} \hat{\rho}_n(t) \,,$$

where $\hat{\rho}_0$ is the unperturbed solution, $\hat{\rho}_1$ is linear in f, etc.

2. Find the differential equations that verify $\hat{\rho}_0$ and $\hat{\rho}_1$, and verify that they are equivalent to the integral equations:

$$\hat{\rho}_{0}(t) = \hat{U}(t, t_{0}) \hat{\rho}(t_{0}) \hat{U}(t_{0}, t) ,
\hat{\rho}_{1}(t) = -i \int_{t}^{t} dt' \, \hat{U}(t, t') \left[f(t') \hat{V}, \hat{\rho}_{0}(t') \right] \hat{U}(t', t) ,$$

3. To first order in f,

$$\delta A(t) = \text{Tr}\{\hat{\rho}_1(t)\hat{A}\}.$$

Substituting $\hat{\rho}_1(t)$, after some algebra one arrives to the final result.

Quantum optimal control theory

QOCT for many-electron systems

QOCT for hybrid

Derivation

2 A system is governed by the Hamiltonian $\hat{H}[u](t) = \hat{\mathcal{H}} + \epsilon[u](t)\hat{V}$, so that its evolution is given by:

$$\mathrm{i} \frac{\partial}{\partial t} \hat{\rho}[u](t) = \left[\hat{H}[u](t), \hat{\rho}[u](t) \right] \,, \hat{\rho}[u](t_0) = \hat{\rho}_{\mathrm{init}} \,,$$

where u is a real parameter that determine the precise shape of the real function $\epsilon.$

Given the function $G[u] = \text{Tr}\{\hat{\rho}[u](t_f)\hat{A}\}$ (the expectation value of some observable \hat{A} at some final time t_f), show that:

$$\frac{\partial G}{\partial u}[u] = -i \int_{t_0}^{t_f} d\tau \, \frac{\partial \epsilon}{\partial u}[u](\tau) \operatorname{Tr}\{\hat{\rho}[u](\tau) \left[\hat{A}[u](\tau), \hat{V}\right]\}.$$

where $\hat{A}[u]$ is defined as:

$$\frac{\partial}{\partial t} \hat{A}[u](t) = -i \left[\hat{H}[u](t), \hat{A}[u](t) \right],$$

$$\hat{A}[u](t_f) = \hat{A}.$$

These are the "QOCT equations".

Some experiments

Pioneering schemes Adaptive feedback

Some theory

Quantum optimal control theory QOCT for

many-electron systems
QOCT for hybrid
quantum-classical

Derivation

Hints:

- 1. Obviously, $\frac{\partial G}{\partial u}[u] = \lim_{\Delta u \to 0} \Delta u^{-1}(G[u + \Delta u] G[u]).$
- 2. Note that G[u] corresponds to the propagation of the system with the Hamiltonian $\hat{H}[u](t)$, whereas $G[u+\Delta u]$ corresponds to the propagation of the system with:

$$\hat{H}[u + \Delta u](t) = \hat{H}[u](t) + \Delta u \frac{\partial \epsilon}{\partial u}[u]\hat{V}.$$

3. Now we can use directly the LRT result of the previous problem, by making the identifications,

$$\hat{H}_0(t) = \hat{H}[u](t), \quad f(t) = \Delta u \frac{\partial \epsilon}{\partial u}[u](t).$$

and we arrive at:

$$\frac{\partial G}{\partial u}[u] = \int_{t_0}^{\infty} d\tau \, \frac{\partial \epsilon}{\partial u}[u](\tau) \chi_{\hat{A}, \hat{V}}(t_f, \tau) \, .$$

Derivation

Alberto Castro

Some experiments

Pioneering schemes Adaptive feedback control

Some theory

control theory

QOCT for

QOCT for many-electron systems

QOCT for hybrid quantum-classical ${f 3}$ Show that, for pure systems $(\hat
ho[u](t)=|\Psi[u](t)
angle\langle\Psi[u](t)|),$ the previous result is:

$$\frac{\partial G}{\partial u}[u] = 2 \mathrm{Im} \int_{t_0}^{t_f} \!\!\!\! \mathrm{d} \tau \; \frac{\partial \epsilon}{\partial u}[u](\tau) \langle \chi[u](\tau) | \hat{V} | \Psi[u](\tau) \rangle \,.$$

$$\begin{split} \frac{\partial}{\partial t} |\chi(t)\rangle &= -i \hat{H}[u](t) |\chi(t)\rangle \,, \\ |\chi(t_f)\rangle &= \hat{A} |\Psi[u](t_f)\rangle \,, \end{split}$$

Continuous control function

Alberto Castro

Some

experiments Pioneering schemes

Adaptive feedback

Some theory

Quantum optimal control theory

many-electron systems OOCT for hybrid

$$\hat{H}(t) = \hat{H}_0 + u(t)\hat{V}$$

$$F[\Psi, u] = J_1[\Psi(T)] + \alpha \int_0^T dt \ u^2(t)$$

$$\Gamma[\Psi, u] = J_1[\Psi(T)] + \alpha \int_0^{\infty} dt \ u^2(t)$$

$$G[u] = F[\Psi[u], u]$$

This is a linear-quadratic problem whose solution verifies:

$$\frac{\delta G}{\delta u(t)} = 2\alpha u(t) \operatorname{Im}\chi(t)|\hat{V}|\Psi(t)\rangle = 0$$

Some of the most succesful algorithms originally developed for QOCT (Krotov, Rabitz) assume this form. Cannot be used for more general target definitions, especially if one wishes to add constraints to the form of u.

Some

Pioneering schemes Adaptive feedback

Some theory

Quantum optimal control theory QOCT for many-electron systems QOCT for hybrid quantum-classical

Femtosecond laser pulse shaping for enhanced ionization

[AC, E. Räsänen, A. Rubio, and E. K. U. Gross, EPL 87, 53001 (2009)]

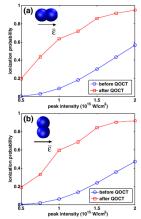


Fig. 1: (Color online) Ionization probability for the initial pulse (circles) and for the optimized pulse (squares) as a function of the peak intensity of the initial pulse. The polarization of the pulse is (a) parallel and (b) perpendicular to the molecule.

- Target: Maximal ionization of H₂⁺ molecule (clamped nuclei).
- $F[\Psi(T)] = \langle \Psi(T) | \Psi(T) \rangle \sum_{\text{bound}} |\langle \Psi | \Psi_I \rangle|^2$
- Use of absorbing boundary conditions
- Use of *direct* optimization algorithm.
- Expansion of control field into a Fourier series ⇒ automatic existence of a frequency constraint.
- Further constraints: total length (5fs) and total fluence.

Some

Pioneering schemes Adaptive feedback control

Some theory

Quantum optimal control theory

QOCT for

QOCT for hybrid quantum-classica

Femtosecond laser pulse shaping for enhanced ionization

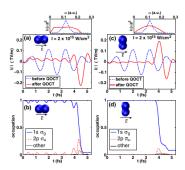


Fig. 2: (Color online) (a) Initial and optimized pulses (parallel polarization) and their power spectra (in arbitrary units) and (b) the occupation of selected single-electron states in the optimized ionization process, when $I = 2 \times 10^{18} \, \mathrm{W/cm^2}$. (c), (d) Same as, (a), (b) but for bernendicular polarization.

- Using a stringent frequency cut-off, the optimization attempts to build a peak with maximum intensity. With short, intense pulses, most ionization occurs during the maximum.
- With parallel orientation, zero carrier envelope phase (half-cycle pulse), and $\pi/2$ with perpendicular orientation

Some

Pioneering schemes Adaptive feedback

Some theory

Quantum optimal
control theory

QOCT for
many-electron systems

QOCT for hybri

Femtosecond laser pulse shaping for enhanced ionization

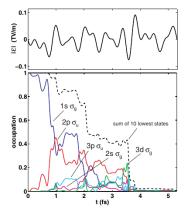


Fig. 4: (Color online) Upper panel: optimized laser pulse for the ionization when the cutoff frequency is $4 \omega_0$ (see text) and the intensity is fixed to $0.5 \times 10^{15} \,\mathrm{W/cm^2}$. Lower panel: occupation of a few lowest states during the nulse interaction.

- Higher cut-off frequency implies more complicated structure for the optimal pulse.
- lonization is not a direct ground-state to continuum step.

Some

experiments

Pioneering schemes

Adaptive feedback

Some theory

Quantum optimal
control theory
QOCT for
many-electron systems
QOCT for hybrid

Optimal Control of Quantum Rings by Teraherz Laser Pulses

[E. Räsänen, AC, J. Werschnik, A. Rubio, and E. K. U. Gross, Phys. Rev. Lett. **98**, 157404 (2007)]

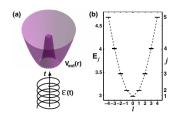


FIG. 1 (color online). (a) Shape of the external confining potential for a quantum ring and an example of a circularly polarized laser field. (b) Energy-level spectrum of a quantum ring. The transitions are allowed along the dashed line so that $\Delta I_E = +1$

- Electron trapped in a ring edged into a 2D semiconductor heterostructure (2D electron gas).
- Levels are coupled in a consecutive fashion, ordered by angular momentum.
- Use of a two-component laser pulse.
- ➤ The target is the population of any of the levels, from any of the other levels (precise control over the electronic current).

Some

Pioneering schemes

Adaptive feedback control

Some theory

control theory

QOCT for many-electron systems

QOCT for hybrid quantum-classical

Optimal Control of Quantum Rings by Teraherz Laser Pulses

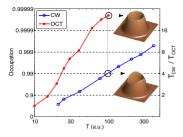


FIG. 3 (color online). Maximum occupation of the target state in transition $|1\rangle - |2\rangle$ as a function of the pulse length. The open (blue) circles correspond to continuous waves and the filled (red) circles to the optimal-control result. The insets show the densities $|\Psi(T=100)|^2$ when the corresponding achieved occupations are 0.99 and 0.9998 for these pulse types, respectively.

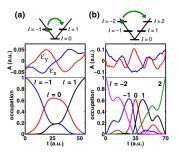


FIG. 4 (color online). Schematic picture of transitions from l = -1 to l = 1 (a) and from l = -2 to l = 2 (b) (upper panel), optimized fields for these transitions (middle panel), and the occupations of the states (lower panel).

Some experiments

Pioneering schemes Adaptive feedback

Some theory

Quantum optimal control theory

QOCT for many-electron systems

QOCT for hybrid

Outline

Some experiments

Pioneering schemes
Adaptive feedback control

Some theory

- Quantum optimal control theory
- QOCT for many-electron systems
- QOCT for hybrid quantum-classical systems

Some experiments

Pioneering schemes Adaptive feedback control

Some theory

control theory

QOCT for many-electron systems

QOCT for hybrid

TDDFT in a nutshell

Propagating the many-electron Schrödinger equation is a computationally demanding task.

$$i\frac{\partial}{\partial t}\Psi(x_1,\ldots,x_N;t)=\hat{H}(t)\Psi(x_1,\ldots,x_N;t).$$

➤ Time-dependent density-functional theory substitutes it by the set of "time-dependent Kohn-Sham equations":

$$\begin{split} i\frac{\partial\varphi_i}{\partial t}(\vec{r},t) & = & \left[-\frac{1}{2}\nabla^2\varphi_i(\vec{r},t) + v_{\mathrm{Hartree}}[n](\vec{r},t) + v_{\mathrm{xc}}[n](\vec{r},t) + v_{\mathrm{ext}}(\vec{r},t; \textcolor{red}{\mathbf{u}})\right]\varphi_i(\vec{r},t) \\ n(\vec{r},t) & = & \sum_{i=1}^{N}2|\varphi_i(\vec{r},t)|^2 \;. \end{split}$$

- ► These are the equations of a non-interacting system of electrons, whose time-dependent density is identical to the real one.
- ► All observables are functionals of the time-dependent one-electron density *n*, even if sometimes the functional definition is unknown.

Some experiments

ne PRL **109**, 153603 (2012)

PHYSICAL REVIEW LETTERS

week ending 12 OCTOBER 2012

Controlling the Dynamics of Many-Electron Systems from First Principles: A Combination of Optimal Control and Time-Dependent Density-Functional Theory

A. Castro, 1 J. Werschnik, 2 and E. K. U. Gross 3

¹ARAID Foundation–Institute for Biocomputation and Physics of Complex Systems (BIFI) and Zaragoza Scientific Center for Advanced Modeling (ZCAM), University of Zaragoza, E-50018 Zaragoza, Spain ²Jenopitik Optical Systems GmbH, Jena, Germany

³Max-Planck-Institut für Mikrostrukturphysik, Weinberg 2, D-06120 Halle, Germany (Received 14 September 2010; revised manuscript received 16 February 2012; published 12 October 2012)

Also in:

AC and E. K. U. Gross, "Quantum Optimal Control", in "Fundamentals of Time-Dependent Density Functional Theory", edited by M.A.L. Marques, N. Maitra, F. Nogueira, E.K.U Gross. and Angel Rubio

(Springer, Berlin, 2012), pages 265-276.

Adaptive feedback

Quantum optimal control theory

QOCT for many-electron systems

QOCT for hybrid quantum-classical

Some

experiments

Pioneering schemes Adaptive feedback control

Some theory

Quantum optimal control theory

Many-electron systems

QOCT for hyb quantum-classi

QOCT + TDDFT

- ▶ We have a system of N electrons, driven by an external potential $v_{\text{ext}}(\vec{r},t,\textbf{\textit{u}})$.
- ► The time-dependent density is therefore determined by u:

$$\mathbf{u} \longrightarrow n[\mathbf{u}](\vec{r},t) = \langle \Psi[\mathbf{u}](t) | \hat{n}(\vec{r}) | \Psi[\mathbf{u}](t) \rangle$$

► The objective is to maximize some function *G* of the *control parameters u*, defined in terms of a functional of the density:

$$G[\mathbf{u}] = \tilde{F}[n[\mathbf{u}], \mathbf{u}].$$

$$F[\underline{\varphi}[u], u] \equiv \tilde{F}[n[u], u], \quad n[u](\vec{r}, t) = \sum_{\substack{u \in \mathcal{V} \\ u \in \mathcal{V}}} |\varphi_i[u](\vec{r}, t)|^2.$$

Some

Pioneering schemes Adaptive feedback

Some theory

Quantum optimal control theory QOCT for

QOCT for many-electron systems

QOCT for hyl quantum-class

QOCT + TDDFT

- We have a system of N electrons, driven by an external potential $v_{\rm ext}(\vec{r},t,\textbf{\textit{u}})$.
- ► The time-dependent density is therefore determined by u:

$$\frac{\mathbf{u}}{} \longrightarrow n[\mathbf{u}](\vec{r},t) = \langle \Psi[\mathbf{u}](t) | \hat{n}(\vec{r}) | \Psi[\mathbf{u}](t) \rangle$$

► The objective is to maximize some function *G* of the *control parameters u*, defined in terms of a functional of the density:

$$G[\mathbf{u}] = \tilde{F}[n[\mathbf{u}], \mathbf{u}].$$

$$F[\underline{\varphi}[u], \underline{u}] \equiv \tilde{F}[n[\underline{u}], \underline{u}], \quad n[\underline{u}](\vec{r}, t) = \sum_{\substack{\bullet \in \mathbb{N} \\ \bullet \in \mathbb{N}}} |\varphi_i[\underline{u}](\vec{r}, t)|^2.$$

Some experiments

Pioneering schemes Adaptive feedback

Some theory

Quantum optimal control theory

QOCT for many-electron systems

QOCT for hyb

QOCT + TDDFT

- ▶ We have a system of N electrons, driven by an external potential $v_{\text{ext}}(\vec{r}, t, \mathbf{u})$.
- ► The time-dependent density is therefore determined by *u*:

$$\frac{\mathbf{u}}{} \longrightarrow n[\mathbf{u}](\vec{r},t) = \langle \Psi[\mathbf{u}](t) | \hat{n}(\vec{r}) | \Psi[\mathbf{u}](t) \rangle$$

► The objective is to maximize some function *G* of the *control parameters u*, defined in terms of a functional of the density:

$$G[{\color{red} {\bf u}}] = \tilde{F}[n[{\color{red} {\bf u}}], {\color{red} {\bf u}}] \,.$$

$$F[\underline{\varphi}[u], u] \equiv \tilde{F}[n[u], u], \quad n[u](\vec{r}, t) = \sum_{\substack{\bullet \in \mathbb{N} \\ \bullet \in \mathbb{N}}} |\varphi_i[u](\vec{r}, t)|^2.$$

Some experiments

Pioneering schemes Adaptive feedback control

Some theory

Quantum optimal control theory QOCT for many-electron systems

QOCT for hybrid

QOCT + TDDFT

- We have a system of N electrons, driven by an external potential $v_{\rm ext}(\vec{r},t,\textbf{\textit{u}})$.
- ► The time-dependent density is therefore determined by u:

$$\frac{\mathbf{u}}{} \longrightarrow n[\mathbf{u}](\vec{r},t) = \langle \Psi[\mathbf{u}](t) | \hat{n}(\vec{r}) | \Psi[\mathbf{u}](t) \rangle$$

► The objective is to maximize some function *G* of the *control parameters u*, defined in terms of a functional of the density:

$$G[{\color{red} {\bf u}}] = \tilde{F}[n[{\color{red} {\bf u}}], {\color{red} {\bf u}}] \,.$$

$$F[\underline{\varphi}[\mathbf{u}],\mathbf{u}] \equiv \tilde{F}[n[\mathbf{u}],\mathbf{u}] \,, \quad n[\mathbf{u}](\vec{r},t) = \sum |\varphi_i[\mathbf{u}](\vec{r},t)|^2 \,.$$

Some

experiments

Pioneering schemes Adaptive feedback

Some theory

control theory

QOCT for

many-electron systems

QOCT for hybrid quantum-classica Optimal control theory equations for TDDFT (terminal target only):

$$\nabla_{u}G[u] = \nabla_{u}F[\underline{\varphi}[u], u] + 2\operatorname{Im}\left[\sum_{i=1}^{N} \int_{0}^{T} dt \langle \lambda_{i}[u](t)|\nabla_{u}\hat{H}[n[u](t), u, t]|\underline{\varphi_{i}}[u](t)\rangle\right]$$

$$\begin{array}{lcl} & \underline{\dot{\varphi}}[u](t) & = & -i\underline{\hat{H}}[n(t),u,t]\underline{\varphi}[u](t)\,, \\ & \underline{\varphi}_u(0) & = & \underline{\varphi}_0\,, \\ & \underline{\dot{\lambda}}[u](t) & = & -i\left[\underline{\hat{H}}[n(t),u,t] + \underline{\hat{K}}[\underline{\varphi}[u](t)]\right]\underline{\lambda}[u](t)\,, \\ & \underline{\lambda}[u](T) & = & \frac{\delta F}{\delta \varphi^*}[\underline{\varphi}[u](T),u]\,. \end{array}$$

Some

experiments

Adaptive feedback control

Some theory

Quantum optimal control theory

QOCT for

many-electron systems

QOCT for hybrid quantum-classica

$$\underline{\dot{\lambda}}[u](t) {=} {-}i \left[\underline{\underline{\hat{H}}}^{\dagger}[n[u](t),u,t] + \underline{\underline{\hat{K}}}[\underline{\varphi}[u](t)]\right]\underline{\lambda}[u](t)\,,$$

$$\dot{\lambda}_i[u](t) = -i\hat{H}^{\dagger}[n[u](t), u, t]\lambda_i[u](t) - i\sum_{j=1}^N \hat{K}_{ij}[\underline{\varphi}[u](t)]\lambda_j[u](t)$$

$$\langle \vec{r} | \hat{K}_{ij} [\underline{\varphi}[u](t)] | \lambda_j[u](t) \rangle =$$

$$-2i\varphi_i[u](\vec{r},t) \operatorname{Im} \left[\int d^3r' \lambda_j[u]^*(\vec{r}',t) f_{\operatorname{Hxc}}[n[u](t)](\vec{r},\vec{r}') \varphi_j[u](\vec{r}',t) \right]$$

$$f_{\operatorname{Hxc}}[n[u](t)](\vec{r},\vec{r}') = \frac{1}{|\vec{r}-\vec{r}'|} + f_{\operatorname{xc}}[n[u](t)](\vec{r},\vec{r}')$$

QOCT + TDDFT

Alberto Castro

Some

exper iment s

Pioneering schemes Adaptive feedback control

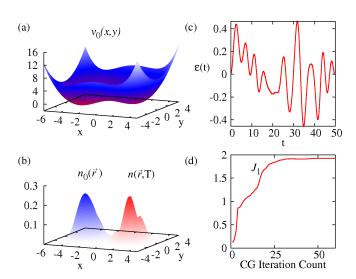
me theor

Quantum opti control theory

QOCT for

many-electron systems

QOCT for hybrid quantum-classical systems



Some

Pioneering schemes Adaptive feedback

Some theory

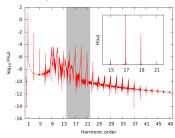
control the

QOCT for many-electron systems

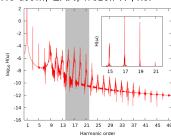
QOCT for hyb quantum-classi

Optimal laser control of the harmonic generation

He atom, EXX:



He atom, EXX, frozen H+xc:



Target: selective enhancement or quenching of harmonics:

$$F[\varphi] = \sum_k \alpha_k \max_{\omega \approx k\omega_0} \{\log_{10} H[\varphi](\omega)\}$$

$$H(\omega) = |\int_0^T \!\! \mathrm{d}t \; \frac{\mathrm{d}^2}{\mathrm{d}t^2} \langle \hat{\vec{\mu}} \rangle(t) e^{-\mathrm{i}\omega t}|^2$$

- Time-dependent target, it depends on the full evolution of the system.
- "TDDFT-friendly" target: it only depends on the time-dependent density.

QOCT for electron dynamics

Alberto Castro

Some

experiments

Pioneering schemes

Adaptive feedback

Some theory

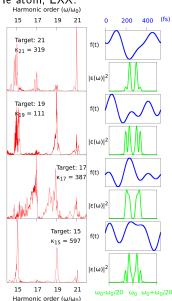
Quantum optimal control theory

QOCT for many-electron systems

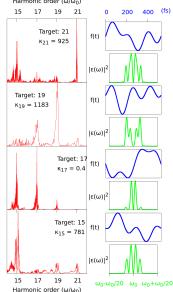
QOCT for hybrid

Optimal laser control of the harmonic generation





He atom, froze H+xc



Some

Pioneering schemes Adaptive feedback

Some theory Quantum optimal

many-electron systems QOCT for hybrid

quantum-classical

Outline

Adaptive feedback control

Some theory

Quantum optimal control theory

QOCT for many-electron systems

QOCT for hybrid quantum-classical systems

The Ehrenfest model for molecular dynamics

Alberto Castro

Some experiments

experiments

Pioneering schemes

Adaptive feedback

Some theory

Quantum optimal control theory

QOCT for many-electron systems

QOCT for hybrid quantum-classical

$$\hat{H}[q,p,u,t] = H_{\rm clas}[q,p,u,t] \hat{I} + \hat{H}_{\rm quantum}[q,p,u,t] \,. \label{eq:Hamiltonian}$$

$$\begin{split} \dot{q}_a(t) &= \frac{\partial H_{\rm clas}}{\partial p_a}[q(t),p(t),u,t] \\ &+ \langle \Psi(t)| \frac{\partial \hat{H}_{\rm quantum}}{\partial p_a}[q(t),p(t),u,t] |\Psi(t)\rangle \\ \dot{p}_a(t) &= -\frac{\partial \hat{H}_{\rm clas}}{\partial q_a}[q(t),p(t),u,t] \\ &- \langle \Psi(t)| \frac{\partial \hat{H}_{\rm quantum}}{\partial q_a}[q(t),p(t),u,t] |\Psi(t)\rangle \\ \dot{\Psi}(x,t) &= -\mathrm{i} \hat{H}_{\rm quantum}[q(t),p(t),u,t] \Psi(x,t) \,, \end{split}$$

Some

experiments

Pioneering schemes Adaptive feedback control

Some theory

control th

QOCT fo

many-electron systems

QOCT for hybrid

quantum-classical

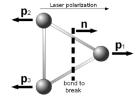
Selective photo-dissociation: H_3^+

$$F[n,q,p] = (\vec{p}_1(T) - \vec{p}_2(T))^2 + (\vec{p}_1(T) - \vec{p}_3(T))^2 - (\vec{p}_2(T) - \vec{p}_3(T))^2$$

$$T_{laser} \approx 7 \text{ fs}$$

 $I_{\rm peak} \approx 1.8 \ 10^{13} \mathrm{W/cm}^2$

 $E_{\rm cutoff} = 2.0 \text{ a.u.}$ (100 degrees of freedom)





Some experiments

Pioneering schemes
Adaptive feedback

Some theory

systems

Quantum optimal control theory
QOCT for many-electron systems
QOCT for hybrid quantum-classical

How fast can we (Coulomb) explode a metal cluster

- Coulomb explosion: fast strong ionization, followed by fast disintegration of the system.
- ▶ It can be helped with resonantly enhanced multi-photon ionization: tuning of the laser pulse to some excitation (the surface plasmon in the case of a cluster)
- But:
 - As the electrons disappear, the resonance frequency blue-shifts.
 - As the nuclei separate, the resonance frequency red-shifts.
- ▶ The topic was explored with the EMD-TDDFT model:

Impact of Ionic Motion on Ionization of Metal Clusters under Intense Laser Pulses

E. Suraud1 and P.G. Reinhard2

¹Laboratoire Physique Quantique, Université P. Sabatier, 118 Route de Narbonne, 31062 Toulouse, cedex, France ²Institut für Theoretische Physik, Universität Erlangen, Staudstrasse 7, D-91058 Erlangen, Germany (Received 28 October 1999)

We discuss the impact of ionic motion on ionization of metal clusters subject to intense laser pulses in a microscopic approach. We show that for long enough pulses, ionic expansion can drive the system into resonance with the electronic plasmon resonance, which leads to a strongly enhanced ionization.



How fast can we (Coulomb) explode a cluster

Alberto Castro

Some

experiments

Pioneering schemes Adaptive feedback

Some theory

Quantum optimal control theory

QOCT for

many-electron systems

QOCT for hybrid quantum-classical

$$\begin{split} F[n,q,p] &= -\int\!\mathrm{d}^3r\; n(\vec{r},T) & T_{\mathrm{laser}} \approx 16 \text{ fs} \\ I_{\mathrm{peak}} &\approx 1.0 \;\; 10^{12} \mathrm{W/cm}^2 & E_{\mathrm{cutoff}} = 0.5 \; \mathrm{a.u.} \end{split}$$

