

# Neutrino Physics Experimental I

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### Why do we study neutrinos?



Credit: Tiffany Bowman, Brookhaven National Laboratory.

#### Neutrinos are abundant, but the most elusive particles.

Enigmatic particles,



not fully understood Provide answers to our understanding of the universe

### Why do we study neutrinos?

- Experimentalists have made enormous progress in measuring neutrino properties → Data-driven field with unexpected results!
- Theorists have been able to explain most of the data with simple models (such as the three-flavor framework to explain neutrino oscillation results).



#### Great experimental prospects to solve neutrino open questions in the next decades



#### NEUTRINOS IN THE STANDARD MODEL



### **Neutrinos in the Standard Model**

#### **Particles of the Standard Model**

- Standard Model leptons
- Neutral charge
- 3 neutrino flavors (at least)
- Weak interaction (and gravitational)
- Strictly massless (not)





#### **Neutrinos beyond the Standard Model**



Experimental evidence of the need for Physics Beyond the Standard Model to explain neutrino oscillations.

Credit: symmetry magazine

# NEUTRINO DISCOVERY

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#### **Neutrino postulation**

1910-1920 – Experiments by Lise Meitner and others on radioactive decay showed  $\beta$ -decay problem: E and momentum conservation.

1930 - Pauli proposed the v as a "desperate remedy" to solve the  $\beta$ -decay problem: a light, spin  $\frac{1}{2}$ , neutral particle.



Expected Number of electrons Observed electron spectrum of energy eneraies Energy Endpoint of spectrum

ogciaal - Plotocopie of PLC 0393 Offener Brief an die Gruppe der Radioaktiven bei Gauvereins-Tagung zu Tubingen. Abschrift Physikalisches Institut der Eidg. Technischen Hochschule Zurich Liebe Radioaktive Damen und Herren, Wie der Ueberbringer dieser Zeilen, den ich huldvollst ansuhören bitte, Ihmen des näheren auseinandersetzen wird, bin ich angesichts der "falschen" Statistik der N- und Li-6 Kerne, sowie des kontinuierlichen beta-Spektrums auf einen versweifelten Ausweg verfallen um den "Wechselsats" (1) der Statistik und den Energiesats su retten. Mämlich die Möglichkeit, es könnten elektrisch neutrale Teilnhen, die ich Neutronen nennen will, in den Kernen existieren, welche den Spin 1/2 haben und das Ausschliessungsprinzip befolgen und ale von Lichtquanten musserden noch dadurch unterscheiden, dass sie

miant mit Lichtgeschwindigkeit laufen. Die Masse der Neutronen jedenfalls nicht grösser als 0,01 Protonenmasse.- Das kontimuierliche bete- Spektrum wäre dann verständlich unter der Annahme, dass beim beta-Zerfall mit dem blektron jeweils noch ein Neutron emittiert wirds derart, dass die Summe der Energien von Neutron und Elektron konstant 1st.

Abschrift/15.12.56 PM

Zürich, 4. Des. 1930 Gloriastrasse

Nun handelt es sich weiter darum, welche Kräfte auf die Neutronen wirken. Das wahrscheinlichste Modell für das Neutron scheint mir aus wellenmechanischen Gründen (näheres weiss der Ueberbringer dieser Zeilen) dieses su sein, dass das ruhende Meutron ein magnetischer Dipol von einem gewissen Moment A ist. Die Experimente verlangen wohl, dass die ionisierende Wirkung eines solchen Neutrons nicht grösser sein kann, els die eines gamma-Strahls und darf dann 44 wohl nicht grösser sein als e • (10<sup>-13</sup> cm).

Ich traue mich vorläufig aber nicht, etwas über diese Idee su publisieren und wende mich erst vertrauensvoll an Euch, liebe Radioaktive, mit der Frage, wie es um den experimentellen Machsets eines zolchen Neutrons stände, wenn dieses ein ebemolches oder etwa Maal grösseres Darohringungsvermögen besitzen wirde, wie ein Strahl.

Ich gebe zu, dass mein Ausweg vielleicht von vornherein Wenig wahrscheinlich erscheinen wird, weil man die Neutronen, wenn te existieren, wohl schon Lingst geschen hatte. Aber nur wer wagt, winnt und der Ernst der Situation beim kontinuierliche beta-Spektrum wird durch einen Ausspruch meines verehrten Vorgängers im Ante, Herrn Debye, beleuchtet, der mir Märalich in Brüssel gesagt hat: "O, daran soll man am besten gar micht denken, sowie am die neuen Steuern." Darum soll man jeden Weg sur Nettung ernstlich diskutieren.-Also, liebe Radioaktive, prüfst, und richtst.- Leider kann ich nicht personlich in Tübingen erscheinen, da sch infolge eines in der Nacht vom 6. sum 7 Des. in Zurich stattfindenden Balles hier unabkömmlich bin .- Mit vielen Grüssen an Euch, sowie an Herrn Back, Buer untertanigster Diener

mas, W. Pauli

1933 - Pauli's suggestion was developed into proposed theory for beta

decay by Enrico Fermi who also provided the name "neutrino" s letter outlining his theory at the Tübingen conference in 1930

### Neutrino discovery

**1951** - Cowan and Reines (Los Alamos, USA) initially thought that neutrino bursts from the atomic weapons that were then occurring could provide the required flux.

**1954** - Hanford experiment - insufficient evidence to claim discovery. **1956** - The neutrino ( $v_e$ ) was directly observed by Cowan & Reines.

Nuclear reactor at Savannah River (SC, USA)



 $\bar{\upsilon}_{e}$  produced by  $\beta$ -decay



 $\bar{v}_{e}$  detected by inverse  $\beta$ -decay:  $\bar{v}_{e} + p \rightarrow n + e^{+}$ 



Neutrino detector : 1 m<sup>3</sup> liquid scintillator



Neutrinos cannot be detected by the particle detectors, but they can interact and produce new particles sensitive to electro-magnetic fields and, therefore, perceptible.

## **Types of neutrinos**



1962 - discovery of  $\nu_{\mu}$  using a beam of protons from the Brookhaven's Alternating Gradient Synchrotron.

First detection of accelerator neutrinos!



Lederman, Schwartz y Steinberger - 1988

2000 – detection of  $\nu_{\tau}$  at the DONUT experiment

The neutrino flavour is defined by the charge lepton flavour that goes with in the the charge current interactions.

3 leptons with charge -> 3 neutrinos.



### Number of neutrinos

- Unstable particles have an intrinsic uncertainty (width) in their mass (from Heisenberg's uncertainty principle  $\Delta E \cdot \Delta t > \hbar/2$ ).
- The width is proportional to the number of decay modes and their probability.
- The width of the Z boson is ~2.5 GeV and 20% of the time a Z decays into neutrinos.

1989 - The 4 detectors of LEP (the predecessor of the LHC at CERN) measured the width which is proportional to the number of neutrinos.

 $N = 2.984 \pm 0.008$ .

- (\*) There are 2 options left:
- Very heavy neutrinos ( >  $mZ/2 \approx 45$  GeV).
- Neutrinos that do not couple to Z -> sterile.



# NEUTRINO OSCILLATIONS

redit: symmetry magazine

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#### **Neutrino oscillations**

• V	$\nu_{1}, \nu_{2}, \nu_{3}$	Vu o or t
ν <sub>e</sub>	1 21 3	$\nu$ µ,e or $\tau$

- v's generated in definite **flavour states**  $(v_{\rm f}): v_{\rm e}, v_{\mu}, v_{\tau}$
- Propagate as **mass** states  $(\nu_m)$ :  $\nu_1$ ,  $\nu_2$ ,  $\nu_3$
- Flavour and mass states related by the mixing matrix:  $\nu_m = U \nu_f$



**Experimentally detected in states of definite flavor**: project back onto flavor basis at detector. 3 neutrinos -> Parametrization using 3 angles ( $\theta_{12}$ ,  $\theta_{23}$ ,  $\theta_{13}$ ), 1 CP violation phase ( $\delta$ ).

#### Neutrino oscillation probability

Oscillation probability:

$$P_{\nu_{\alpha} \to \nu_{\beta}}(L,E) = \delta_{\alpha\beta} - 4\sum_{i>j} Re\left[U_{\alpha i}^{*}U_{\beta i}U_{\alpha j}U_{\beta j}^{*}\right]\sin^{2}\left(\frac{\Delta m_{ij}^{2}L}{4E}\right) - 2\sum_{i>j} Im\left[U_{\alpha i}^{*}U_{\beta i}U_{\alpha j}U_{\beta j}^{*}\right]\sin\left(\frac{\Delta m_{ij}^{2}L}{2E}\right)$$

Different experimental sensitivities (varying L&E) to measure the 6 oscillation parameters:

$$heta_{12}, heta_{23}, heta_{13}, \Delta m^2_{12}$$
 ,  $\Delta m^2_{31}$  ,  $\delta_{ ext{CP}}$ 

 $\delta_{\text{CP}}$  only possible if all 3 angles are not o -> need to measure all of them

Experimental approaches:

- Appearance: search for neutrinos from a flavour not present in the source.
- **Disappearance:** search for the missing neutrinos from a flavour present in the source.



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### **Neutrino oscillation parameters**

#### Evolution of experimental measurements



From P. Denton, Neutrino22

- ✓ Experimentally measured parameters:  $\theta_{12}$ ,  $\Delta m_{12}^2$ ,  $\theta_{23}$ ,  $\theta_{13}$ ,  $\Delta m_{31}^2$
- Unknown parameters: mass ordering (sing of  $\Delta m_{31}^2$ ),  $\delta_{CP}$ ,  $\theta_{23}$  octant ?

## NEUTRINO SOURCES

π

π

RAYS

H٩

e+

GEONEUTRINOS 🗸

SOLAR NEUTRINOS

COSMIC



.....

#### 65x109 neutrinos/cm²/s



billions of neutrinos in 10 seconds





### Where are neutrinos coming from?

#### Neutrino sources



Reactor





Terrestrial



Atmospheric

galactic & extragalactic



Supernova



**Big Bang** 

### Where are neutrinos coming from?



Many neutrino sources with covering a broad energy range!

Rev. Mod. Phys. 92 (2020) 045006

#### Neutrino interaction cross-section



## SOLAR NEUTRINOS



NEUTRINO

redit: symmetry magazine

### Solar neutrinos

pp chain (98.4% fusion energy)



#### **CNO chain** (1.6% fusion energy)



#### Both chains produce $\nu_{e}$

Neutrinos are the sole direct probes of the Sun's core

#### Solar neutrinos

Energy spectrum



#### Homestake experiment

1968 - Solar v detection by Davis  $\rightarrow v_e$  rate smaller tan expected.

- Goal: Measurement of the flux of solar neutrinos (1970-1994)
- Detection mode: based on the inverse beta reaction:  ${}^{37}Cl + v_e \rightarrow {}^{37}Ar + e^{-1}$
- Results: The flux measured is 1/3 of the solar model predictions "the solar neutrino problem"
- Possible causes: theory and/or experiment were wrong
- 1957 Pontecorvo postulated oscillation theory of  $v \rightarrow \bar{v}$ .
- 1962 Maki, Nakagawa y Sakata proposed a two-flavor mixing theory and latter built a general model.

Flavor oscillations imply that neutrinos are massive → **Physics Beyond the Standard Model** 





### Kamiokande and Super-K experiments



1983 – KamiokaNDE: Water Cherenkov detector. Mostly  $v_e$  detected by the reaction:

 $\nu_l + e^- \rightarrow \nu_l + e^-$ 



1996 – Super-KamiokaNDE

Both confirmed deficit of solar neutrinos (0.4 expected neutrinos)



Charged particles propagating in a medium with a speed exceeding that of light in that medium emit Cerenkov radiation. Detected as a ring by large area photo-multipliers.

### Sun "neutrinography"



Sun "neutrinography" taken by Super-Kamiokande 1 km underground. 500 days exposure time.



### **SNO experiment**

- 2001: SNO detected solar neutrino oscillation measuring the total neutrino flux.
- Detection methods:
- Elastic scattering: mostly  $\nu_e$  $\nu_l + e^- \rightarrow \nu_l + e^-$  (ES)
- Charged current: only  $\nu_{\rm e}$

 $\nu_e + \mathbf{D} \to e^- + p + p \quad (CC)$ 

Neutral current: all flavours

 $\nu_l + \mathbf{D} \to \nu_l + p + n \quad (NC)$ 



1 kton D<sub>2</sub>O contained by a 12 m acrylic vessel 9600 PMT's mounted in a geodesic support structure



McDonald, Kajita -2015

#### **SNO experiment**

2001: SNO detected solar neutrino oscillation measuring the total neutrino flux

$$\Phi_{\rm SNO}^{\nu_e} + r^{\rm ES} \Phi_{\rm SNO}^{\nu_{\mu,\tau}} = \Phi_{\rm SNO}^{\rm ES}$$
$$\Phi_{\rm SNO}^{\nu_e} = \Phi_{\rm SNO}^{\rm CC}$$
$$\Phi_{\rm SNO}^{\nu_e} + \Phi_{\rm SNO}^{\nu_{\mu,\tau}} = \Phi_{\rm SNO}^{\rm NC}$$

$$\frac{\Phi_{\rm SNO}^{\rm ES}}{\Phi_{\rm SSM}} = 0.406 \pm 0.046$$
$$\frac{\Phi_{\rm SNO}^{\rm CC}}{\Phi_{\rm SSM}} = 0.290 \pm 0.017$$
$$\frac{\Phi_{\rm SNO}^{\rm NC}}{\Phi_{\rm SSM}} = 0.853 \pm 0.075$$

Flavor oscillations imply that neutrinos are massive → **Physics Beyond the Standard Model** 



McDonald, Kajita -2015



#### **KamLAND** experiment

#### Same oscillation using $\bar{\nu}_{\rm e}$ from all nuclear power plants of Japan



#### Measurements of $\theta_{12}$



The combination of experiments determines:

θ<sub>12</sub> ~ 33°

KamLAND measures a mass difference:

 $\Delta m_{21}^2 \sim 7.5 \cdot 10^{-5} \, eV^2$ 

The propagation states in dense media are different than in vacuum. Using "matter effects" in the Sun, we know  $m_2 > m_1$ .

## ATMOSPHERIC NEUTRINOS

Credit: ASPERA/Novapix/L. Bret

#### **Atmospheric neutrinos**



Cosmic rays collide with nuclei in the atmosphere, creating hadronic cascades (pions) that disintegrate into muons and muon neutrinos.

Muons disintegrate producing muon and electron neutrinos.

The energies of these neutrinos are in the GeV range.

### Super-Kamiokande experiment

Another problem: multiple experiments observed less  $\nu_{\mu}$  than expected.

**1998** - the Super-Kamiokande experiment solved the problem: the  $v_{\mu}$  were oscillating at  $v_{\tau}$ .

**NEUTRINOS HAVE MASS!** 

The  $\nu_{\mu}$  coming from above hardly change.

Many  $\nu_{\mu}$  that cross the earth become  $\nu_{\tau}$ .





#### **IceCube experiment**

The IceCube experiment at the South Pole detects atmospheric neutrinos using 1 km<sup>3</sup> of ice as a Cherenkov detector.



## ACCELERATOR NEUTRINOS

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#### **Accelerator neutrinos**

- Artificial muon neutrino beams can be created using protons from an accelerator.
- The protons collide with a fixed target, producing mesons (π, K...).
- The positively (or negatively) charged mesons are focused using magnetic horns and directed into a tube where they decay into muons and muonic neutrinos. The muons are absorbed in the rock at the end of the tube. The neutrinos continue their way.



#### **Accelerator neutrinos**

- Long-distance oscillations (> 100 km).
- A detector close to the accelerator measures the flux of neutrinos before they can oscillate.
- A far detector measures the flux after traveling a distance. Many of the  $\nu_{\mu}$  vanish (oscillate to  $\nu_{\tau}$ ).

#### **MINOS**





50

NoVA

Data

T<sub>2</sub>k

#### **MINOS far detector**





#### **Tracking detector**: Reconstruction of charged leptons tracks

Magnetized steel and plastic scintillators ~700 m underground.  $\nu_{\mu}\,$  produces  $\mu:$  bended track depending on  $\mu$  charge

#### T2K far detector (Super Kamiokande)



Water Cherenkov 1km underground at 295km from the target  $\nu_{\mu}$  produces a  $\mu$ : Cherenkov radiation ring

#### **NoVA far detector**





Plastic scintillator at surface: 14-kton detector are made up of 344000 cells of PVC filled with liquid scintillator.

 $\nu_{\mu}$  produces a  $\mu$ : long track + other particles



Side view

Color denotes

deposited charge

### Measurements of $\theta_{23}$



The combination of the experimental results determines:  $\theta_{23} \sim 49^{\circ}$ (... but 45° still possible) Flavor symmetry?

Mass difference x100 bigger than  $\Delta m_{21}^2$  $|\Delta m_{23}^2| \sim 2.5 \cdot 10^{-3} \text{ eV}^2$ 

We don't know if  $m_3 > m_2$ .

### **Mass ordering**

3 mass states -> 2 mass differences:

$$\Delta m^2_{32} + \Delta m^2_{21} = \Delta m^2_{31}$$

Matter effects at the Sun probe that  $m_2 > m_1$ .

Which is the lightest neutrino? Two possibilities for mass ordering



# REACTOR NEUTRINOS

#### Nuclear reactor neutrinos

Fission of nuclear fuel (<sup>235</sup>U, <sup>238</sup>U, <sup>239</sup>Pu, <sup>241</sup>Pu) generates radioactive fission products with excess neutrons, which undergo  $\beta^{-}$  disintegrations.

$$_{Z}^{A}X \rightarrow_{Z+1}^{A}Y + e^{-} + \overline{\nu}_{e}$$

On average, 200 MeV and 6 antineutrinos are released per fission.





#### **Nuclear reactor neutrinos**





Experiments with two identical detectors:

- Near Detector near the reactors measures the flux of antineutrinos before they oscillate.
- Far Detector measures the flux near the oscillation maximum.

Systematic uncertainties on reactor flux and antineutrino detection are drastically reduced by using identical near and far detectors.

#### Double Chooz, Daya Bay & RENO results



### Measurements of $\theta_{13}$



The experimental combination determines  $\theta_{13} \sim 8.6^{\circ}$  Much smaller than the other angles.

3 mixing angles are not zero.

Measuring CP violation at the leptonic sector is possible!



#### **Neutrino oscillation parameters**

#### Evolution of experimental measurements



From P. Denton, Neutrino22

- ✓ Experimentally measured parameters:  $\theta_{12}$ ,  $\Delta m_{12}^2$ ,  $\theta_{23}$ ,  $\theta_{13}$ ,  $\Delta m_{31}^2$
- ? Unknown parameters: mass ordering (sing of  $\Delta m_{31}^2$ ),  $\delta_{CP}$ ,  $\theta_{23}$  octant



### Conclusions

- Neutrinos are the most abundant matter particles of the Standard Model.
- Experimental evidence of the need for Physics Beyond the Standard Model to explain neutrino oscillations.
- Neutrino oscillations discovered experimentally, and neutrino oscillation parameters measured experimentally using different neutrino sources (solar, atmospheric, accelerator and reactor neutrinos) and detector technologies.



Still neutrino unknown properties! ... to be continued (tomorrow)



Credit: Tiffany Bowman, Brookhaven National Laboratory.



## Neutrino unknowns



1. Neutrino mixing

Is the CP symmetry violated in the neutrino sector? CP-violation is one of the 'Sakharov conditions' to explain the matter-antimatter asymmetry in the Universe.

#### 2. Neutrino mass

How are the three neutrino mass states ordered from lightest to heaviest (neutrino "mass ordering")? What is the absolute scale of neutrino masses?

#### 3. Neutrino nature

Is the neutrino its own antiparticle? Are neutrinos Majorana particles?

#### 4. Neutrino species

Are there sterile neutrino species in addition to the three active ones participating in the weak interactions?

Powerful experimental program to answer these questions!



Credit: symmetry magazine