

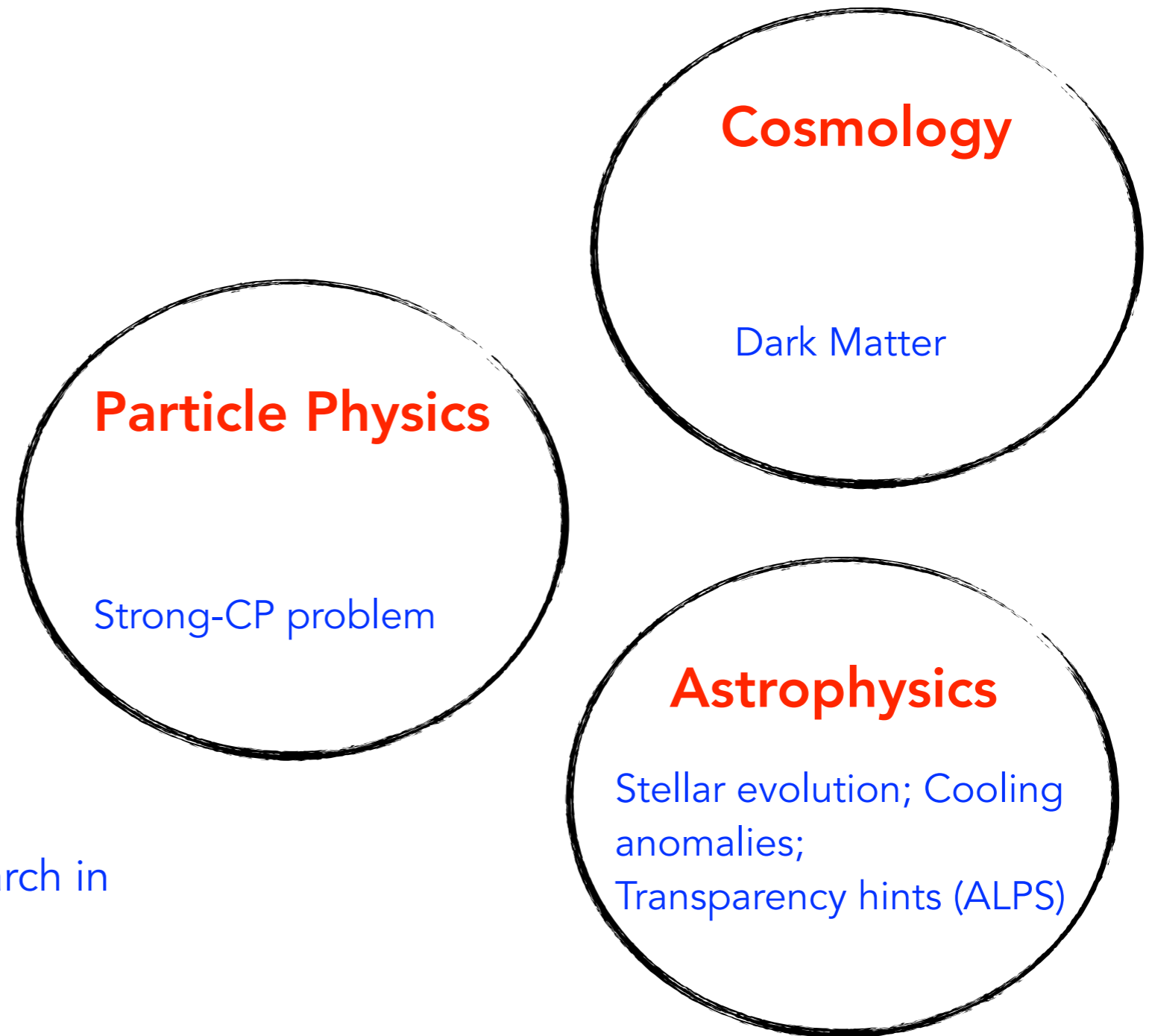
Axions

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TAE, Benasque
September 15, 2023

Axions



We may detect them soon!
Experimental capabilities to search in
well motivated regions of the
parameters ...

World-wide effort to detect it.

Summary

- *Motivations*
- *Axions as a fundamental QCD ingredient*
- *Model independent results*
- *Axion astrophysics/cosmology*
- *The search for axions*

Part 1: Theory

Motivations

- **Strong CP Problem:** Axions may be required to make QCD work properly → QCD axions

QCD (appears to) give wrong predictions for some observables, e.g., the electric **dipole moment of the neutron** (nEDM)

Motivations

- **Strong CP Problem**: Axions may be required to make QCD work properly → QCD axions
- In spite of being light, axions (and ALPs) can be **CDM**, thanks to non-thermal production mechanisms.

Very light DM behaves like waves.

Requires specific technologies. Extremely fruitful research field.

“Discovery of dark matter waves would provide a glimpse into the earliest moments in the origin of the universe and the laws of nature at ultrahigh energies, beyond what can be probed in colliders”

(Kolb et al., <https://doi.org/10.2172/1659757>, 2018)

Motivations

- **Strong CP Problem**: Axions may be required to make QCD work properly → QCD axions
- In spite of being light, axions (and ALPs) can be **CDM**, thanks to non-thermal production mechanisms.
- Example of Feebly Interacting Particles (FIPs), expected as a low energy manifestation of BSM theories → ALPs

See effective field theory approach to FIPs e.g. in [M. Pospelov talk @ FIPs 2022](#)

Very fruitful research in both theory and experiments, since it requires new approaches and technologies

See [FIPs 2022 Report](#)

QCD in a nutshell

See, e.g., Burgess, Ch. 8.1.2

The QCD Lagrangian is

$$L = -\underbrace{\frac{1}{4}G^2}_{\text{Gluon kinetic term}} + \underbrace{\bar{q}(i\gamma^\mu D_\mu - m_q)q}_{\text{Quark kinetic and mass term, plus quark gluon interactions}} + \underbrace{\theta \frac{1}{32\pi^2} G_{\mu\nu}^a \tilde{G}^{a\mu\nu}}_{\theta\text{-term: Violates CP}}$$

For now, let's ignore this term, since it is a total derivative

$$G^{a\mu\nu} \tilde{G}_{\mu\nu}^a = \partial_\mu K^\mu = \partial_\mu \epsilon^{\mu\alpha\beta\gamma} \left(A_\alpha^a G_{\beta\gamma}^a - \frac{g_s}{3} f^{abc} A_\alpha^a A_\beta^b A_\gamma^c \right)$$

Low energy QCD

Burgess, Ch. 8
Schwartz, Ch. 28.2

There are 2 light quarks in QCD, u and d

→ QCD has an approximate symmetry: $U(2)_V \times U(2)_A$

corresponding to transformations

$$q \rightarrow e^{i(\alpha \cdot \tau + \gamma_5 \beta \cdot \tau)} q$$

with $q = (u, d)^T$, $\alpha = (\alpha_0, \vec{\alpha})$ and $\beta = (\beta_0, \vec{\beta})$ are real parameters, and $\tau = (\tau_0, \vec{\tau})$, with $\tau_0 = 1$ the identity and $\vec{\tau}$ the Pauli matrices.

Phenomenologically, we observe that

the $U(2)_V$ part is (approximately) realized, while the $U(2)_A$ must be spontaneously broken.

The Missing Meson Problem

Burgess, Ch. 8
Schwartz, Ch. 28.2

The description of the breaking of $SU(2) \times SU(2) \rightarrow SU(2)_V$ from the condensate

$$\langle 0 | \bar{q}_i q_j | 0 \rangle = \Lambda^3 \delta_{ij} \neq 0$$

is a great success of the theory since it explains the spectrum of the low mass mesons.

However, the meson associated with the breaking of $U(1) \times U(1) \rightarrow U(1)_V$ does not exist. It should be associated with the η' meson. However, as shown by Weinberg in 1975, this meson is too heavy to be the Goldstone associated with the SSB.

This issue is known as the *$U(1)$ problem, or missing meson problem*.

The Missing Meson Problem

The solution of the missing meson problem is to be found in the anomaly of the $U(1)_A$ current.

Quantum corrections → the current associated with the $U(1)_A$ symmetry is not conserved, not even in the chiral limit. For example, the divergence of the current associated with the axial rotation around the up quark is

$$\partial^\mu j_\mu^5 = -2m_u \bar{u} \gamma_5 u + \underbrace{2 \frac{\alpha_s}{8\pi} G_{\mu\nu}^a \widetilde{G}_a^{\mu\nu}}$$

Anomaly (emerges at 1 loop)

Known since 1969 !

1. S. L. Adler, Physical Review 177 (5): 2426–2438 (1969)
2. J. S. Bell, R. Jackiw, Il Nuovo Cimento A. 60 (1): 47–61 (1969).

→ Additional term in the action since the path integral measure is not invariant under anomalous transformations.

The θ -term

Remember what we wrote before. The QCD Lagrangian is

$$L = -\frac{1}{4}G^2 + \bar{q}(i\gamma^\mu D_\mu - m_q)q + \theta \frac{1}{32\pi^2} G_{\mu\nu}^a \tilde{G}^{a\mu\nu}$$

θ -term: Violates CP

Gluon kinetic term

Quark kinetic and mass term, plus quark gluon interactions

The $\theta \frac{\alpha_s}{8\pi} G_{\mu\nu}^a \tilde{G}^{a\mu\nu}$ term is a total derivative. However, there are configurations which contribute to the action integral. These are called **instantons** \Rightarrow this term cannot be thrown away and has phenomenological consequences.

One consequence is to give mass to the η' meson. In fact, all the terms which explicitly break the symmetry (so, both mass term and anomaly term) contribute to the mass of the Goldstone boson.

The θ -term

See, e.g., Schwartz, Ch. 30.5.2

It is hard to calculate the η' mass. One method is lattice.

Veneziano and Witten (1979) showed that the η' mass is related to the topological susceptibility $\chi_t \equiv \langle (G\tilde{G})(G\tilde{G}) \rangle$ as

$$\chi_t = \frac{f_\pi^2}{12} (m_\eta^2 + m_{\eta'}^2 - 2m_K^2)$$

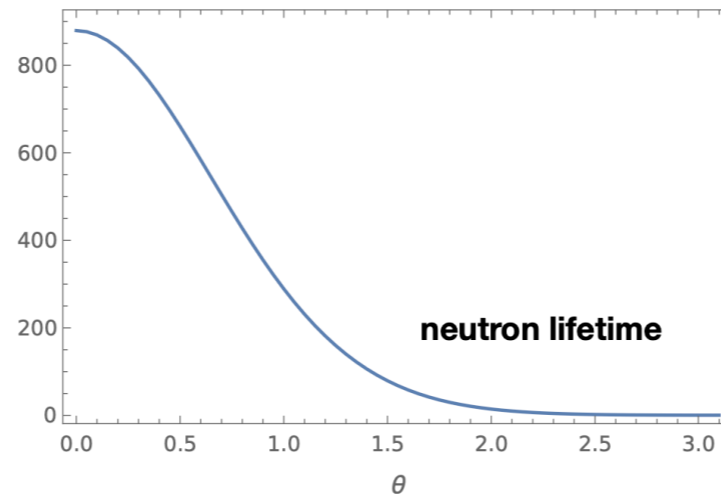
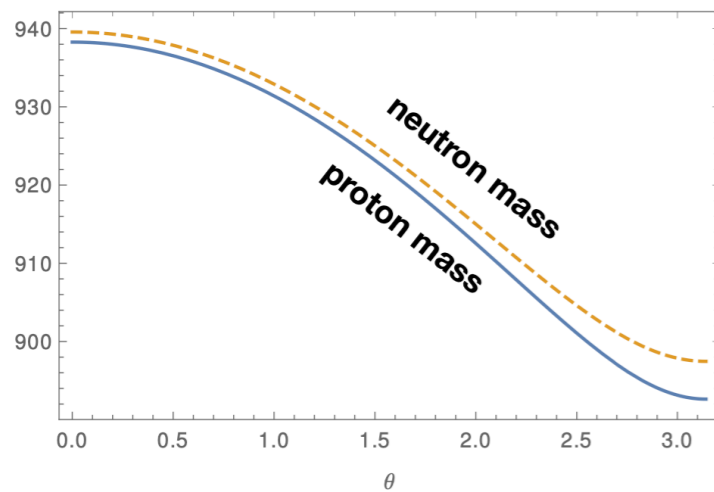
→ if $G\tilde{G}$ had no effect then $\chi_T = 0$ and the η' mass would be small. In particular, in the chiral limit $m_\eta, m_K \rightarrow 0$ one would find also $m_{\eta'} = 0$.

→ The experimental evidence that η' is not a Goldstone boson (it is "heavy") suggests that the instantons do play a role in QCD.

The θ -term

- The vacuum energy depends on θ
It is minimized for $\theta = 0$
- The nucleon masses depend on θ
 \Rightarrow the neutron lifetime \Rightarrow nucleosynthesis depend on θ .

$$m_n - m_p \simeq (1.29 + 0.21 \theta^2 + \mathcal{O}(\theta^4)) \text{ MeV}$$



See Nick Houston talk at
 \rightarrow Axions beyond boundaries, [GGI-2023](#)

C. Vafa, E. Witten, Phys. Rev. Lett. 53 (1984) 535

• L. Ubaldi, Phys. Rev. D81 (2010) 025011

• M. Dine, L. Stephenson Haskins, L. Ubaldi, D. Xu, JHEP 05 (2018) 171

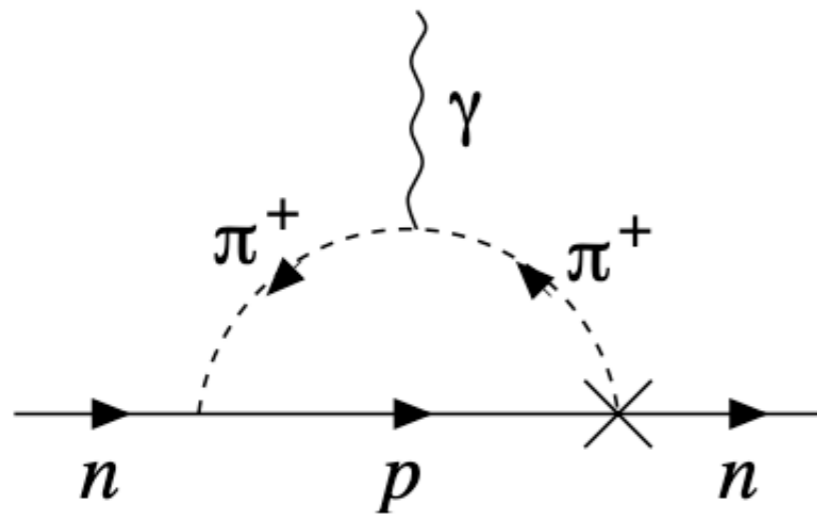
• Lee, Meißner, Olive, Shifman, Vonk, Phys.Rev.Res. 2 (2020) 3, 033392 (2020)

The θ -term and Strong CP

The θ -term generates a neutron EDM

$$\rightarrow d_n \approx 2.4(1.0) \times 10^{-16} \theta e \cdot \text{cm}$$

M. Pospelov, A. Ritz (2020)



This gives the strongest constraint

See PhD dissertation by Drew Backhouse, University of Oxford (2021), [arXiv:2108.04285](https://arxiv.org/abs/2108.04285), for a pedagogical introduction

The latest experimental search found

$$\left| d_n^{\text{exp}} \right| < 1.8 \cdot 10^{-26} e \text{ cm} \quad (90\% \text{ CL}) \quad \Rightarrow \quad \theta \lesssim 10^{-10}$$


Strong CP Problem

Abel et al., Phys.Rev.Lett. 124 (2020) 8, 081803

How can we solve the Strong CP Problem?

$$L = -\frac{1}{4}G^2 + \bar{q}(i\gamma^\mu D_\mu - m_q)q + \theta \frac{1}{32\pi^2} G_{\mu\nu}^a \tilde{G}^{a\mu\nu}$$

Here is the problem



What we need is a *symmetry which is exact except for a shift in the action*

$$S \rightarrow S + \frac{\alpha}{32\pi^2} \int d^4x G\tilde{G}$$

→ This symmetry can be used to rotate away the θ -term,

⇒ the θ -term becomes unphysical (→ no observable can depend on θ)

Massless Quark Solution

Suppose that **one quark** (say, the up) **has zero mass**.

$\Rightarrow u \rightarrow e^{i\alpha\gamma_5}u$ is a symmetry of the action
except for a change in the path integral measure

\Rightarrow use $u \rightarrow e^{i\alpha\gamma_5}u$ to remove the θ -term,
(through the change in the path integral measure)

Note: this symmetry would be spontaneously broken by $\langle \bar{u}u \rangle \neq 0$
 \rightarrow there would be an associated Goldstone boson, the η' .

Clarification: The η' would still be heavy, since it would receive contributions from the $G\tilde{G}$ terms (Witten-Veneziano result). In other words, the $U(1)$ problem is still solved.

However, **the zero quark solution is essentially ruled out!**

→ See some proposals for dedicated tests (R. Kitano) and calibrations (Dine, Draper, Festuccia)

In particular,

→ See [M. Dine Lectures at Axions Beyond Boundaries, \(2023\)](#)

Adding another massless quark *by hand* would not work: it would change dramatically the hadron spectrum

However, **the zero quark solution is essentially ruled out!**

→ See some proposals for dedicated tests (R. Kitano) and calibrations (Dine, Draper, Festuccia)

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→ See M. Dine Lectures at Axions Beyond Boundaries, (2023)

Adding another massless quark *by hand* would not work: it would change dramatically the hadron spectrum

$$L = -\frac{1}{4}G_{\mu\nu}^a G^{a\mu\nu} + \bar{q} (i\gamma^\mu D_\mu - \bar{q} M e^{i\theta_q \gamma_5}) q - \theta \frac{1}{32\pi^2} G_{\mu\nu}^a \tilde{G}^{a\mu\nu}$$

If quarks have masses, then the chiral rotation may eliminate the θ -term but only to move it in the quark mass matrix.

⇒ θ is physical unless at least one quark is massless

$$q \rightarrow e^{i\alpha\gamma_5} q \Rightarrow$$

$$\begin{cases} \theta_q \rightarrow \theta_q + 2\alpha \\ \theta \rightarrow \theta - 2\alpha \end{cases}$$

Peccei-Quinn Solution

Very similar to the $m_u = 0$ solution. However, the **new axial symmetry is added by hand**:

New $U(1)_{PQ}$, spontaneously broken at some energy scale.

The associated Goldstone boson, the axion, has a Lagrangian

$$L = -\frac{1}{4}G_{\mu\nu}^a G^{a\mu\nu} + \bar{q} (i\gamma^\mu D_\mu - \bar{q}M) q - \theta \frac{1}{32\pi^2} G_{\mu\nu}^a \tilde{G}^{a\mu\nu} + \frac{1}{2}(\partial_\mu a)^2 + \frac{a}{f_a} \frac{g_s^2}{32\pi^2} G\tilde{G} + \dots$$

$$\theta \rightarrow \theta + a/f_a$$

θ is promoted to a dynamical field, the axion



Peccei and Quinn solution:

θ is dynamical \rightarrow Settles to 0
(Vafa-Witten theorem)

Peccei, Quinn (1977)

The Axion

$$L = -\frac{1}{4}G_{\mu\nu}^a G^{a\mu\nu} + \bar{q}(i\gamma^\mu D_\mu - \bar{q}M)q - \theta \frac{1}{32\pi^2} G_{\mu\nu}^a \tilde{G}^{a\mu\nu} + \frac{1}{2}(\partial_\mu a)^2 + \frac{a}{f_a} \frac{g_s^2}{32\pi^2} G\tilde{G} + \dots$$

$$\theta \rightarrow \theta + a/f_a$$

Axion

Note the need for a new energy scale, f_a , from a pure dimensional argument.

This is related (but not necessarily equal to) the scale at which $U(1)_{PQ}$ is spontaneously broken.

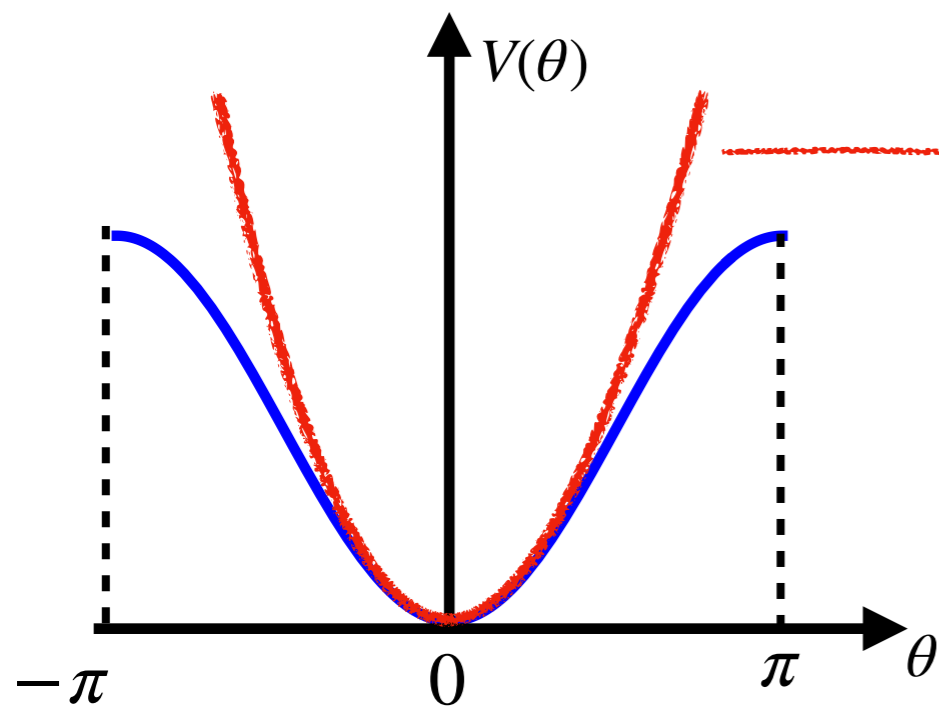
S. Weinberg Phys. Rev. Lett. 40 (1978) 223-226;

F. Wilczek Phys. Rev. Lett. 40 (1978) 279-282



Some facts about QCD Axions

1- The axion potential can be calculated in QCD

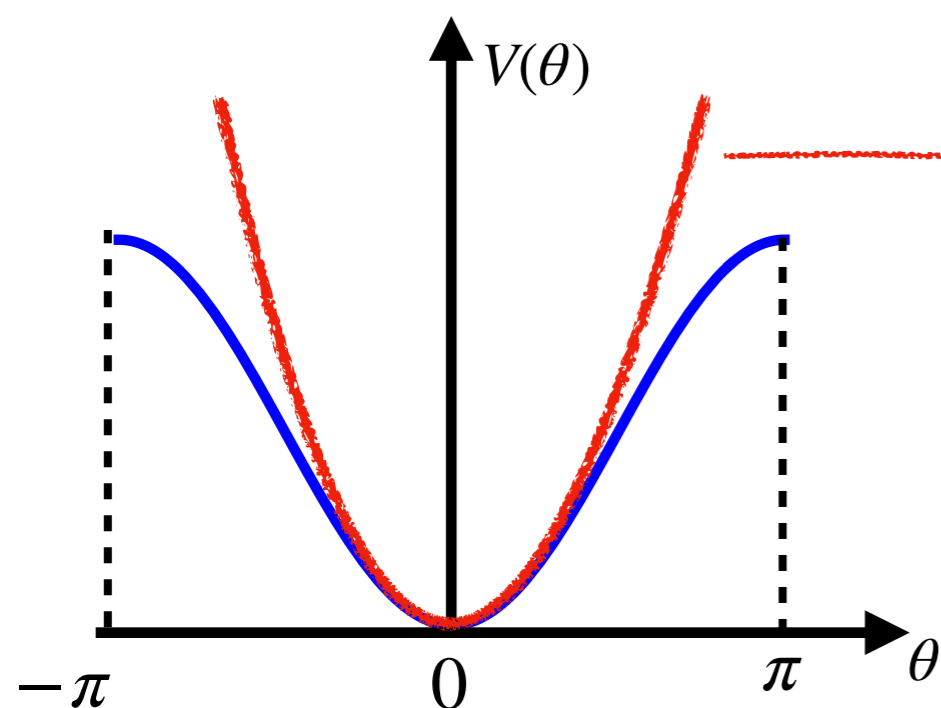


$$m_a \simeq 5.7 \left(\frac{10^{12} \text{ GeV}}{f_a} \right) \mu\text{eV}$$

Grilli di Cortona et al., JHEP 1601
(2016)

Some facts about QCD Axions

1- The axion potential can be calculated in QCD



Axions can get mass only from terms that break explicitly the PQ symmetry. The only thing that does that is the anomaly. So, the axion mass can only come from $G\tilde{G}$.

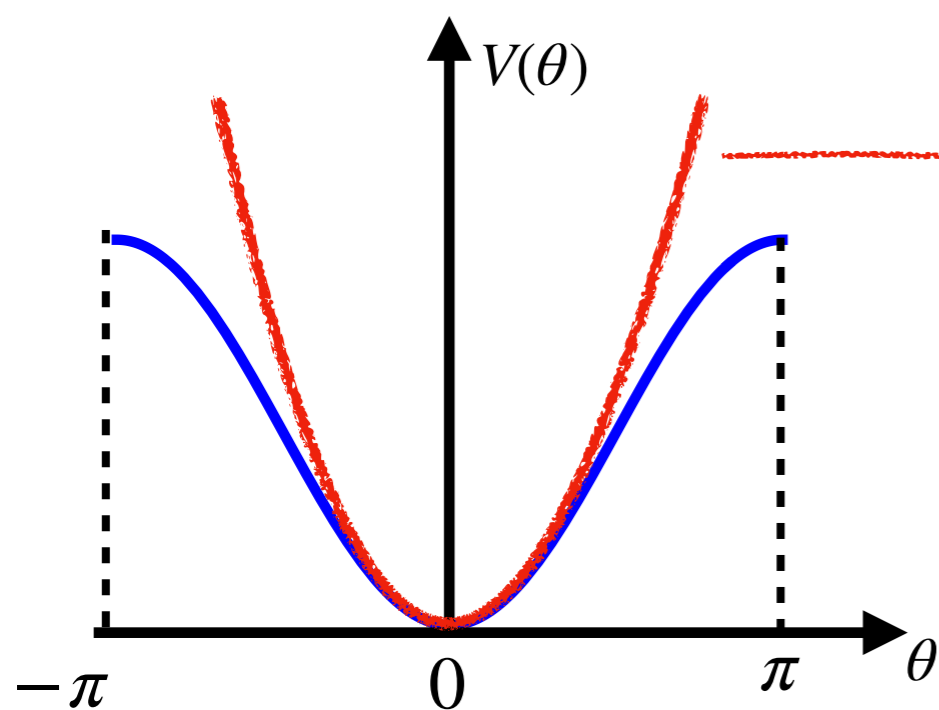
Not surprisingly, the resulting mass term is related to the topological susceptibility χ_T , just like in the case of the η' .

$$m_a \simeq 5.7 \left(\frac{10^{12} \text{ GeV}}{f_a} \right) \mu\text{eV}$$

Grilli di Cortona et al., JHEP 1601 (2016)

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Relaxing the $m_a - f_a$ relation requires substantial changes to QCD, for example the addition of a new QCD sector. This is all theoretically possible. However, here we will disregard this possibility for now. See sec. 6.6.2 of L. Di Luzio, M.G., Nardi, Visinelli, Phys.Rept. 870 (2020) for some options.

$$m_a \simeq 5.7 \left(\frac{10^{12} \text{ GeV}}{f_a} \right) \mu\text{eV}$$

Grilli di Cortona et al., JHEP 1601 (2016)

Some facts about QCD Axions

1- The axion potential can be calculated in QCD

2- The axion couplings are model dependent.

There are 2 sources for the axion couplings:

- The model independent $aG\tilde{G}$ coupling; it generates couplings to quarks and photons. Not to electrons (at tree level)
- Model dependent contributions from the specific UV completion

Some facts about QCD Axions

1- The axion potential can be calculated in QCD

2- The axion couplings are model dependent.

$$L_{int} = C_{af} \frac{\partial_\mu a}{2f_a} \bar{f} \gamma_5 \gamma_\mu f + \frac{1}{4} C_{a\gamma} \frac{\alpha}{2\pi f_a} a F \tilde{F}$$

Fermions (electrons, nucleons) Photons

Spin-density coupling with matter

Two photon coupling of the form $a \mathbf{E} \cdot \mathbf{B}$, from electromagnetic anomaly

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f_a suppression (Remember $m_a \propto 1/f_a$)

In general: light \leftrightarrow weakly coupled

Some facts about QCD Axions

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$$L_{int} = C_{af} \frac{\partial_\mu a}{2f_a} \bar{f} \gamma_5 \gamma_\mu f + \frac{1}{4} C_{a\gamma} \frac{\alpha}{2\pi f_a} a F \tilde{F}$$

QCD contribution to the couplings can be substantially changed according to the particular UV completion...

... in principle, the coupling to fermions can be significantly reduced: $C_{af} \rightarrow 0$.

However, difficult for C_{aN}

- Di Luzio, Mescia, Nardi, Panci, Ziegler, [Phys.Rev.Lett. 120 \(2018\)](#)
- M. Badziak, K. Harigaya, [arXiv:2301.09647 \(2023\)](#);
- F. Takahashi, W. Yin, [arXiv:2301.10757 \(2023\)](#)

Some facts about QCD Axions

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$$L_{int} = C_{af} \frac{\partial_\mu a}{2f_a} \bar{f} \gamma_5 \gamma_\mu f + \frac{1}{4} C_{a\gamma} \frac{\alpha}{2\pi f_a} a F \tilde{F}$$

$$C_{a\gamma} = \frac{E}{N} - \frac{2}{3} \frac{4m_d + m_u}{m_u + m_d} = \frac{E}{N} - 1.92(4)$$

Model dependent contribution

Model independent contribution

... The coupling to photons is also model dependent and can (in principle) be tuned to $C_{a\gamma} \ll 1$

Some facts about QCD Axions

- 1- The axion potential can be calculated in QCD
- 2- The axion couplings are model dependent.
- 3- Required interaction with nEDM

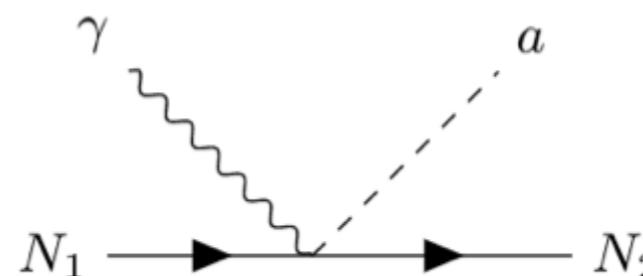
$$L_{int} \supset -\frac{i}{2} g_{dN} a \bar{n} \sigma_{\mu\nu} \gamma_5 n F^{\mu\nu}$$

P. W. Graham, S. Rajendran, [Phys. Rev. D88 \(2013\)](#)

Stellar production (SN bound):

$$\Rightarrow g_{dN} \leq 8 \cdot 10^{-6} \text{ GeV}^{-2}$$

(corresponds to $m_a \lesssim 10 \text{ eV}$)



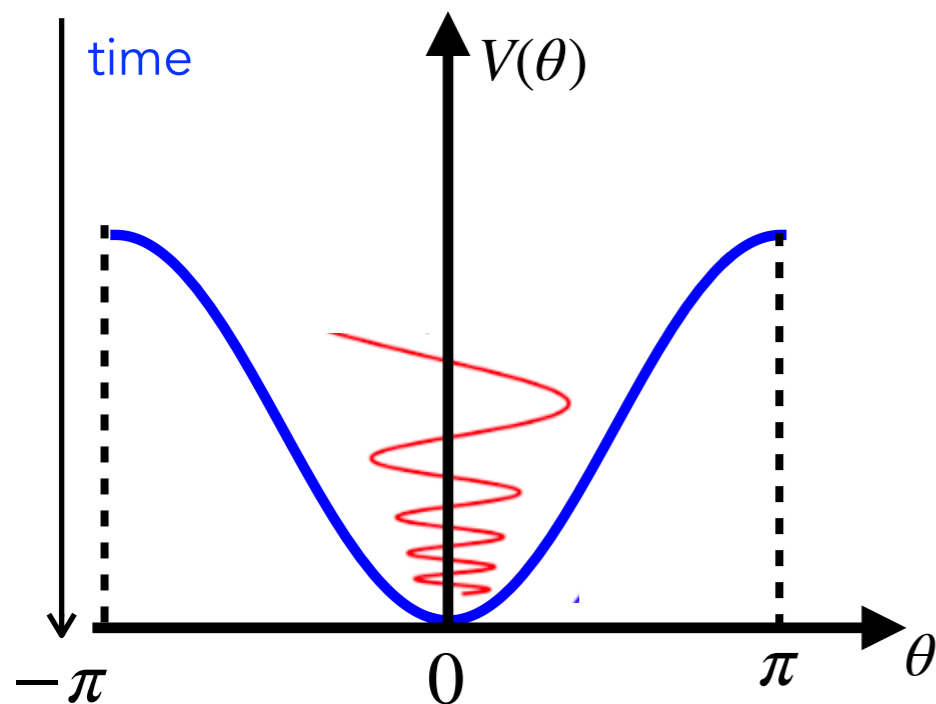
G. Lucente et al., [Phys.Rev.D 105 \(2022\) 12](#)

Some facts about QCD Axions

- 1- The axion potential can be calculated in QCD
- 2- The axion couplings are model dependent.
- 3- Required interaction with nEDM
- 4- Axion contributes to dark matter

- Preskill, Wise and Wilczek (1983)
- Abbott and Sikivie (1983)
- Dine and Fischler (1983)

The PQ solution is dynamical, not instantaneous



The universe has a finite age

The axion field is still oscillating today around the CP-conserving minimum of the potential

→ Axion is CDM

Axion Dark matter

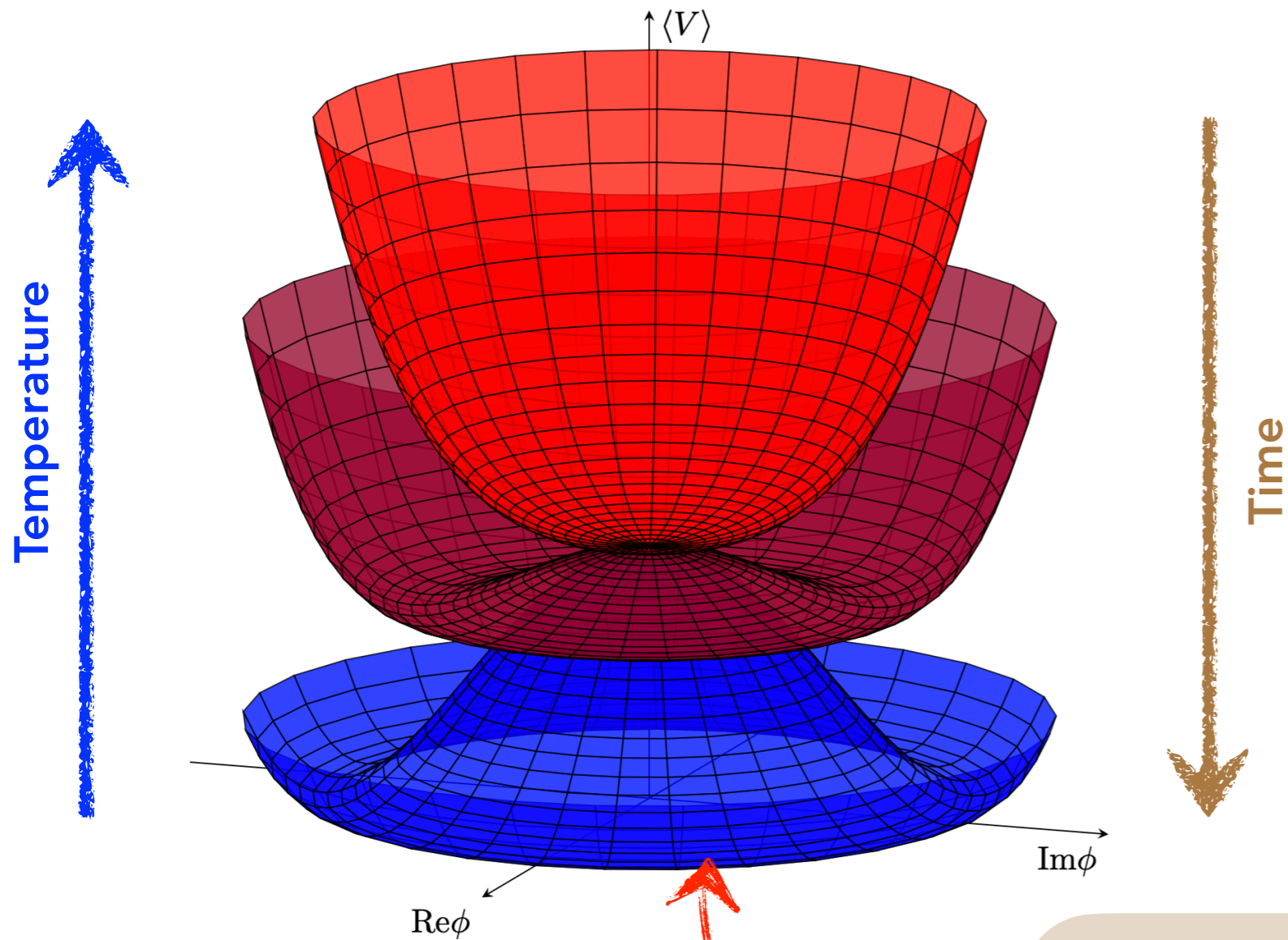


Figure from Francesco Schiavone (unpublished)

At low enough temperature the PQ symmetry is spontaneously broken.

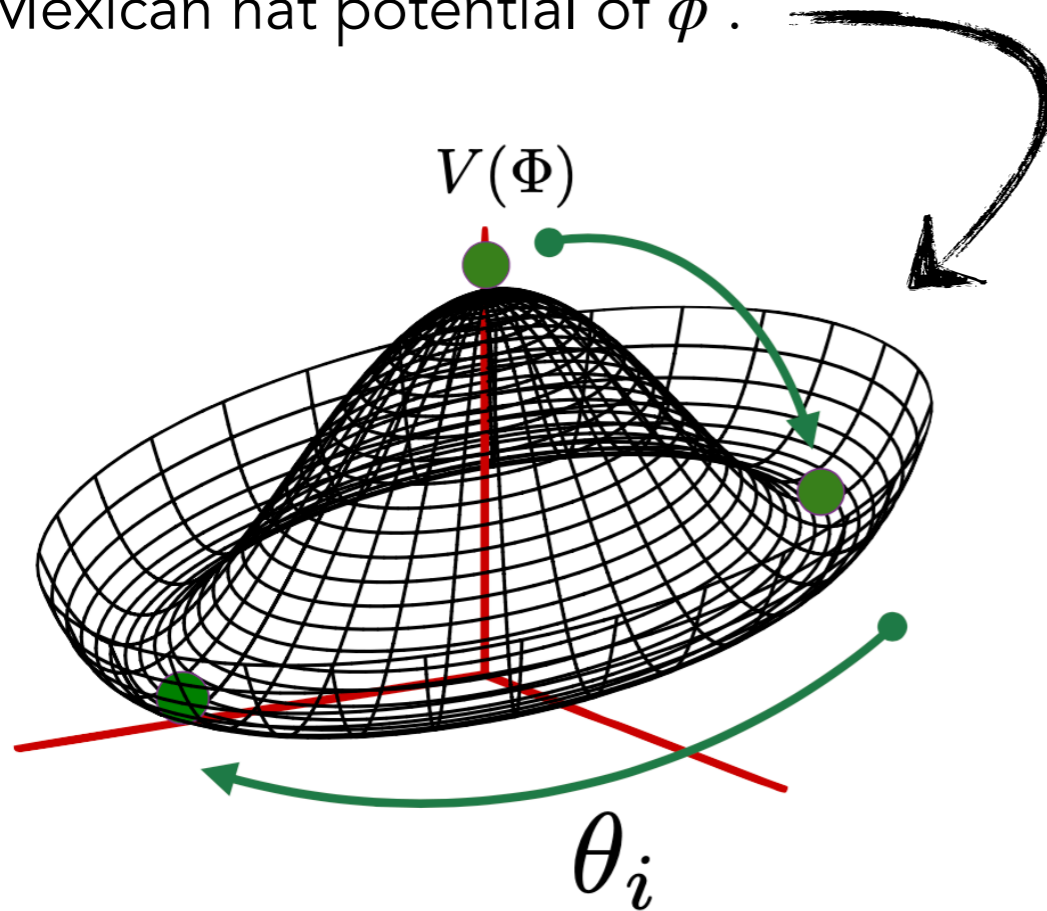
The axion emerges from the phase of the scalar field

$$\phi = \frac{1}{\sqrt{2}} (v_a + \rho) e^{ia/v_a}$$

After PQ is spontaneously broken, the θ angle is randomly selected. The axion is the massless d.o.f. in the flat direction of the potential

Axion Misalignment Production

The QCD axion potential “tilts” the Mexican hat potential of ϕ .



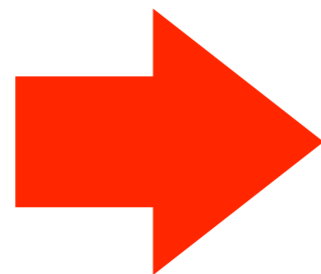
→ Equation of motion

$$\ddot{a} + 3\frac{\dot{R}}{R}\dot{a} - \nabla^2 a + \frac{\partial}{\partial a}V(\theta) = 0$$

Close to the minimum of V

$$V \simeq \frac{1}{2}\chi_T\theta^2 + \dots = \frac{1}{2}m_a^2 a^2 + \dots$$

Figures from [OHare \(2022\)](#)

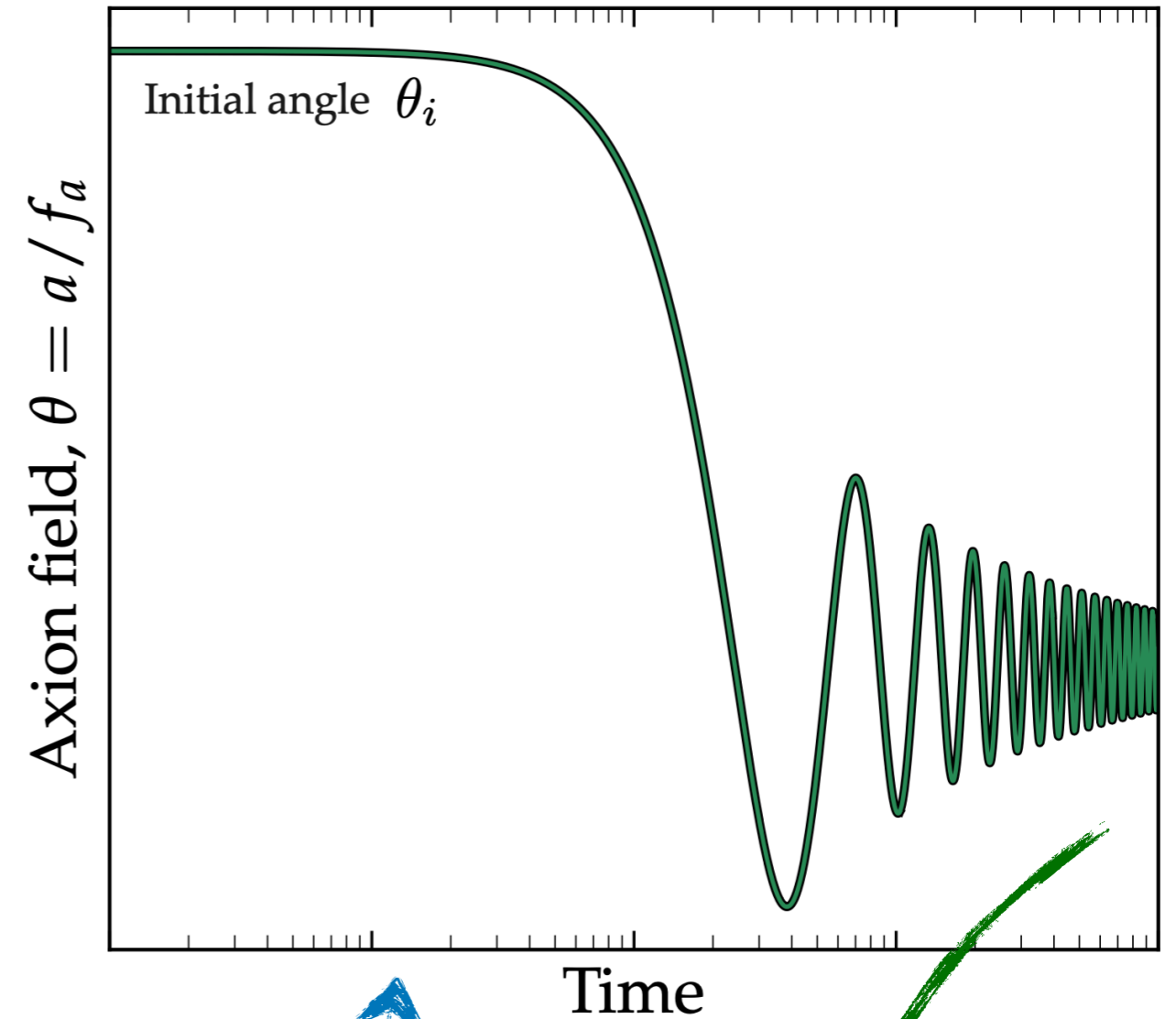
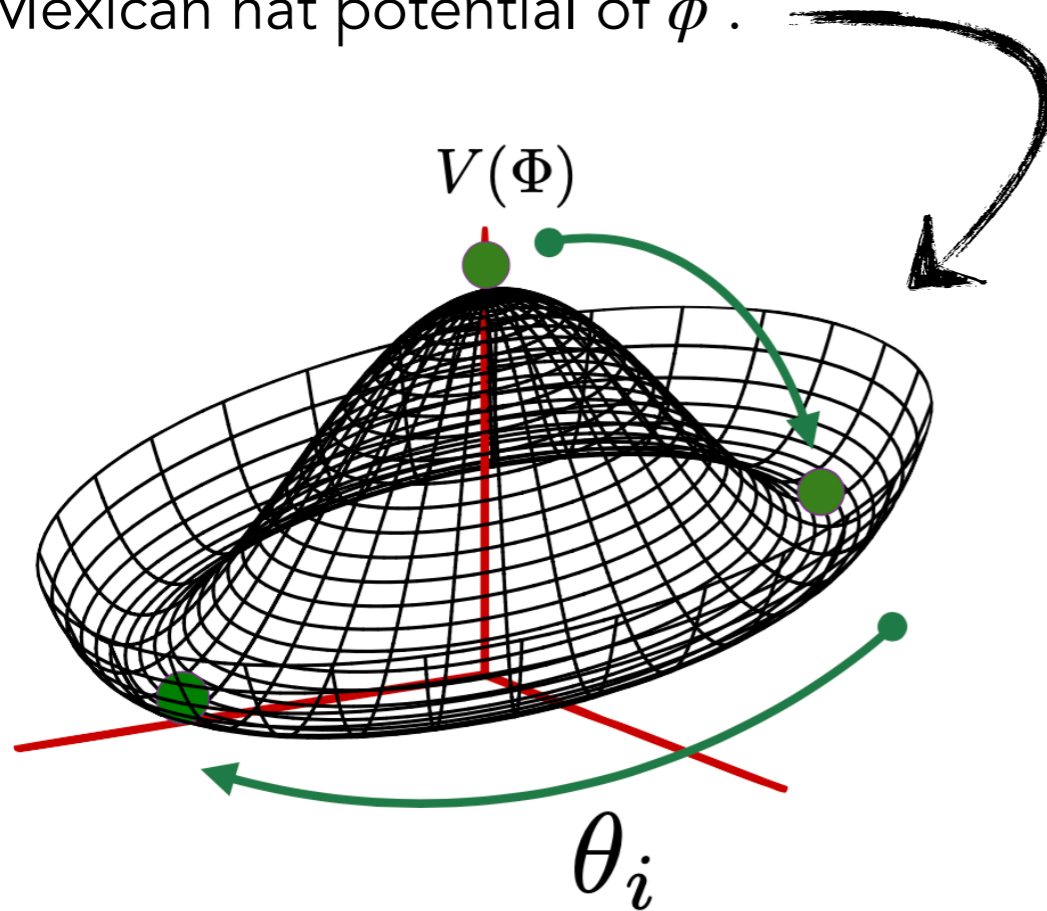


$$\ddot{a} + 3H\dot{a} - \nabla^2 a + m_a^2 a = 0$$

damped harmonic oscillator

Axion Misalignment Production

The QCD axion potential "tilts" the Mexican hat potential of ϕ .



Figures from [OHare \(2022\)](#)

At $T \sim \text{GeV}$, the axion mass overcomes the Hubble rate and the axion field starts oscillating around the minimum of the potential.

$$\text{Amount of DM} \propto \theta_i^2 m_a^{-7/6}$$

So, where are the axioms?

So, where are the axions?

coupling
(e.g., $g_{a\gamma}$)

Axion Parameter Space

mass

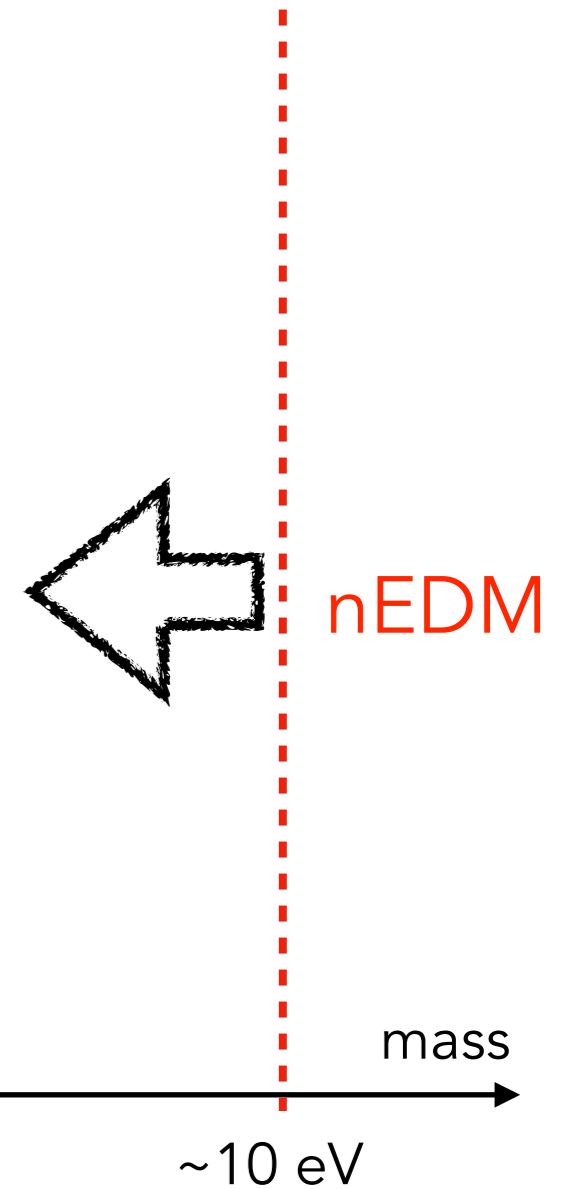
The axion parameter space

coupling
(e.g., $g_{a\gamma}$)

nEDM interaction
required for strong-CP

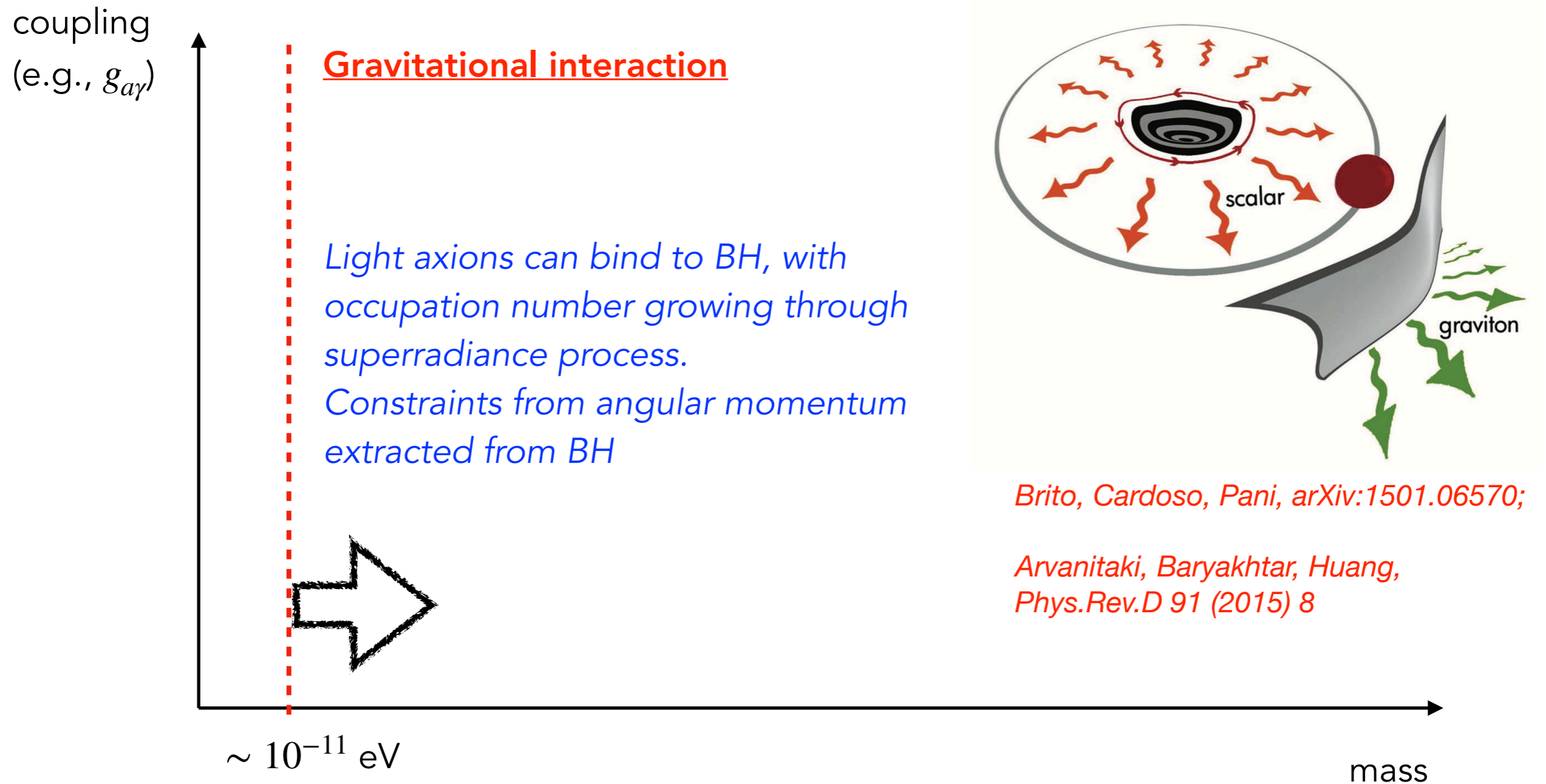
$$L_{int} \supset -\frac{i}{2} g_{dN} a \bar{n} \sigma_{\mu\nu} \gamma_5 n F^{\mu\nu}$$

$$g_{dN} \leq 1.8 \cdot 10^{-7} \text{ GeV}^{-2}$$



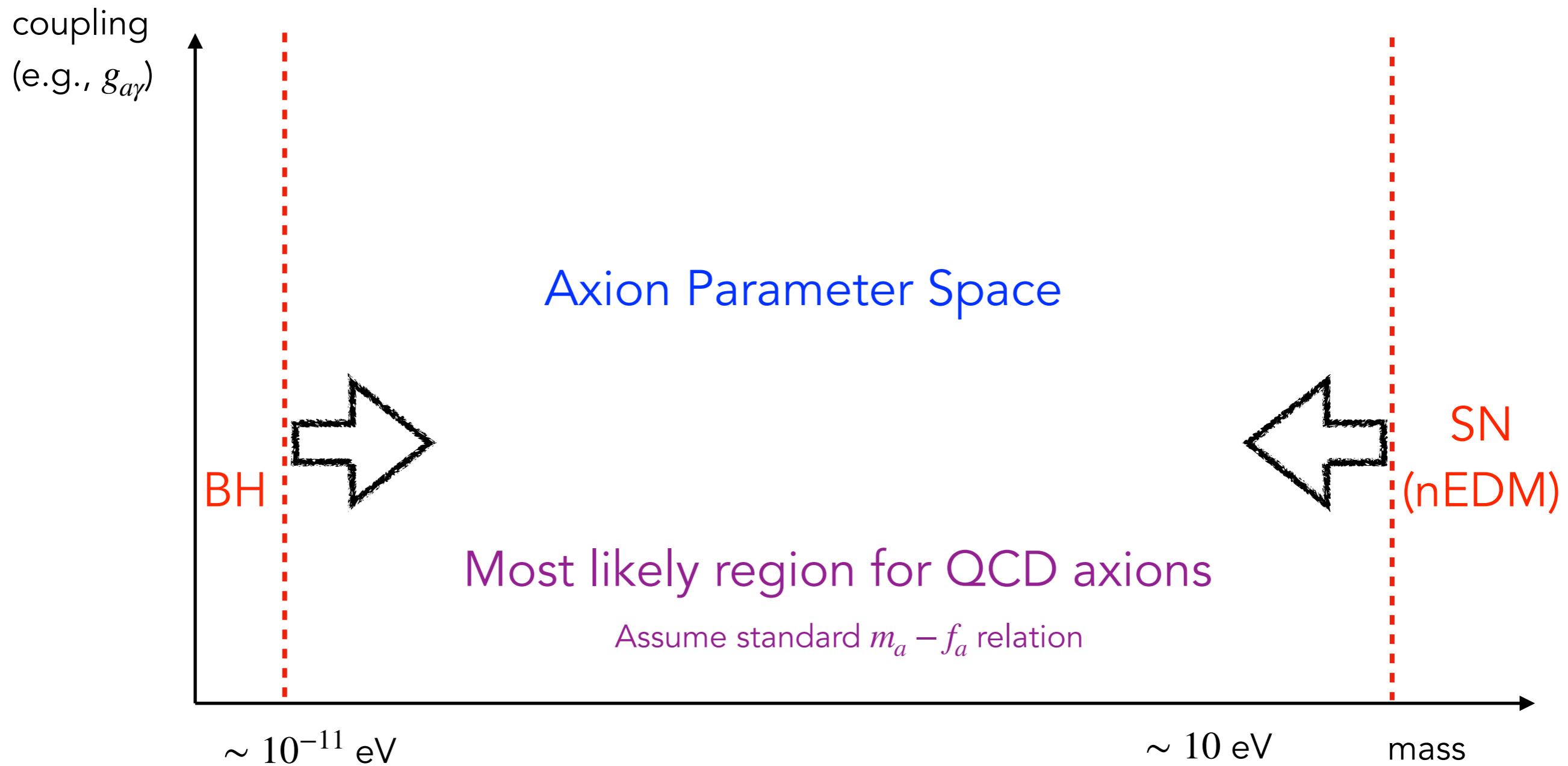
G. Lucente et al., [Phys.Rev.D 105 \(2022\) 12](#)

The axion parameter space

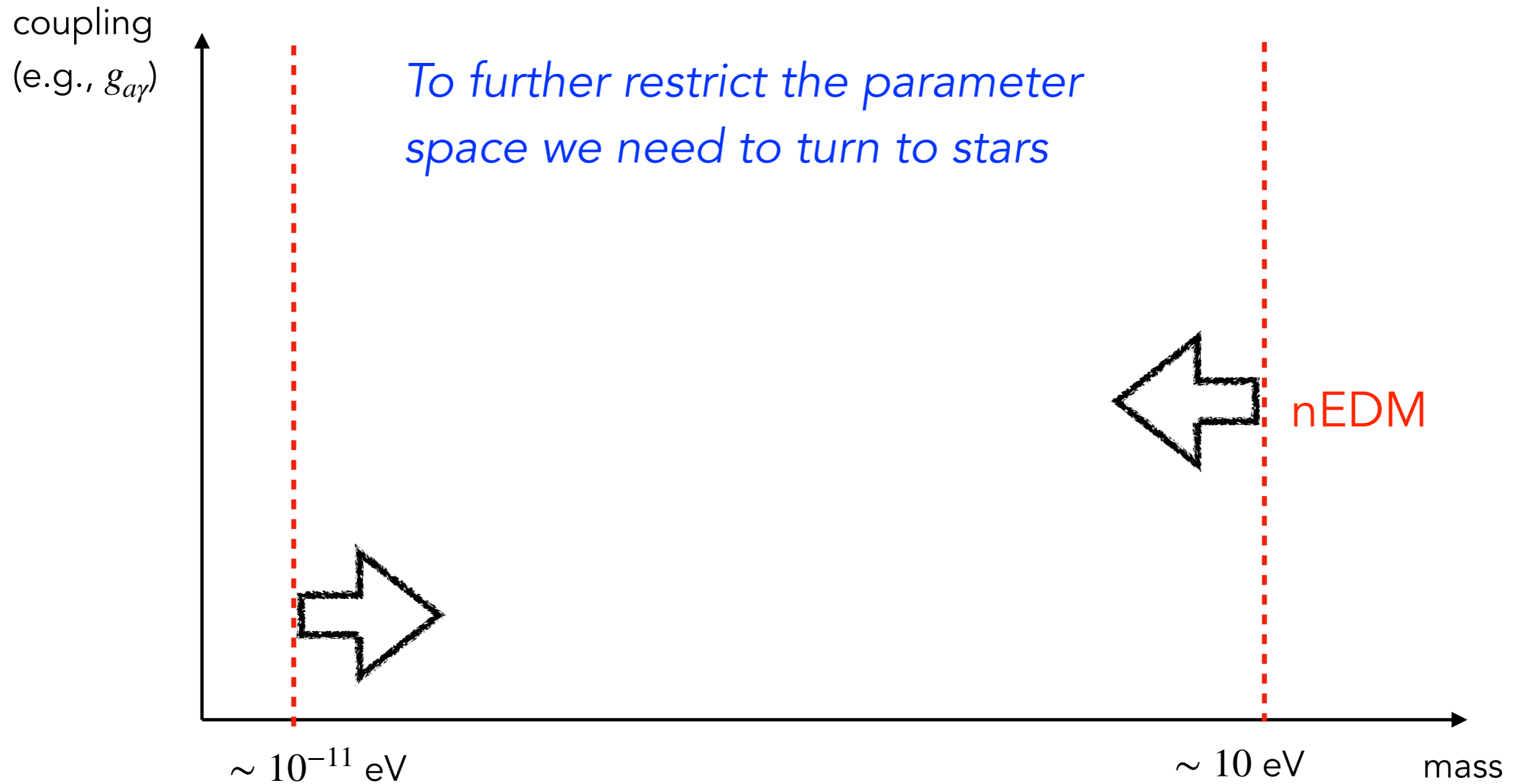


*Black hole superradiance. Potential GW detection.
Very active research field*

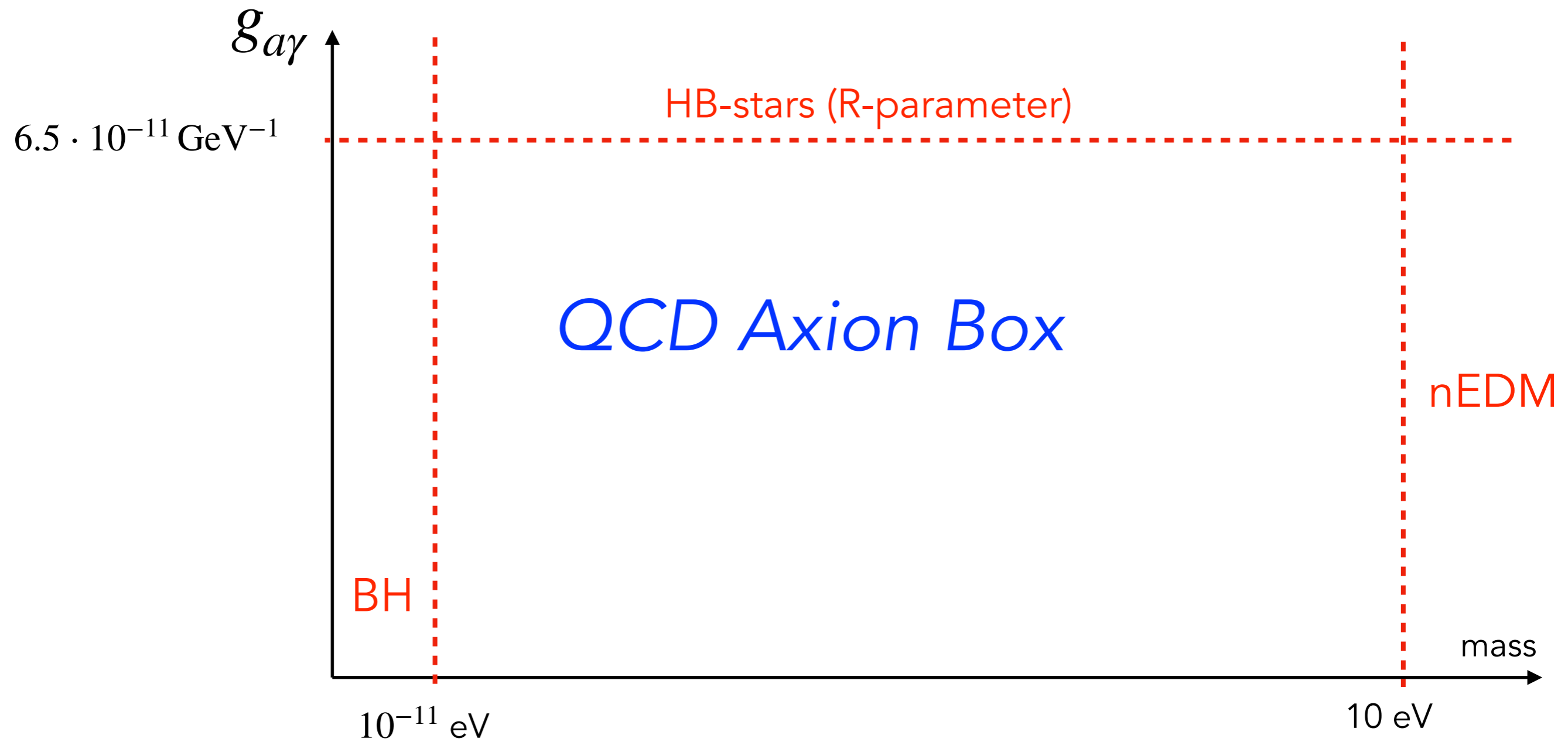
Where are the axions?



Where are the axions?



The axion parameter space



Cosmology as a guiding principle in the Axion Box

Unexpected and unavoidable consequence of the PQ mechanism:

If axions exist, they are necessarily a fraction of the cold DM in the universe

Preskill, Wise and Wilczek (1983)

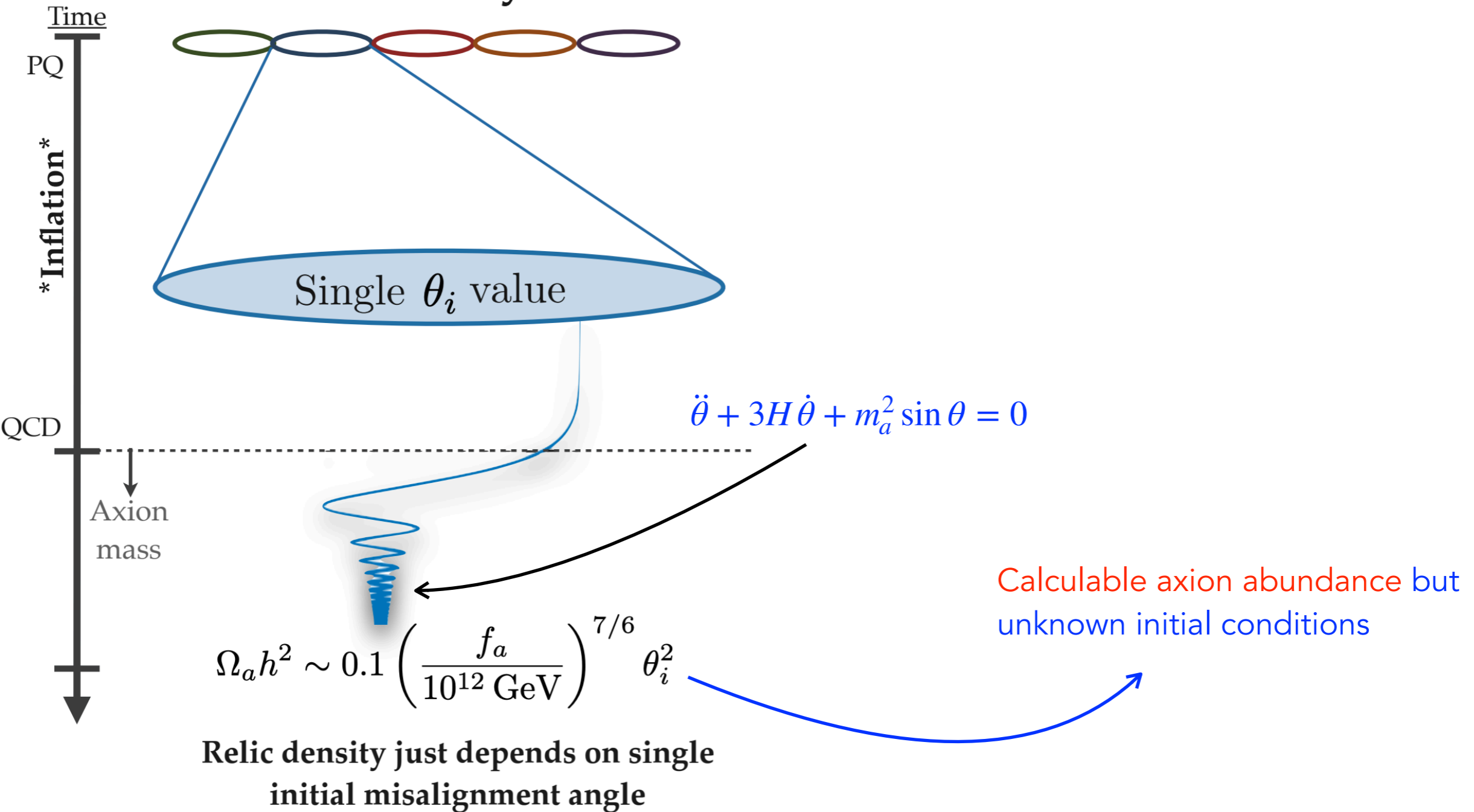
Abbott and Sikivie (1983)

Dine and Fischler (1983)

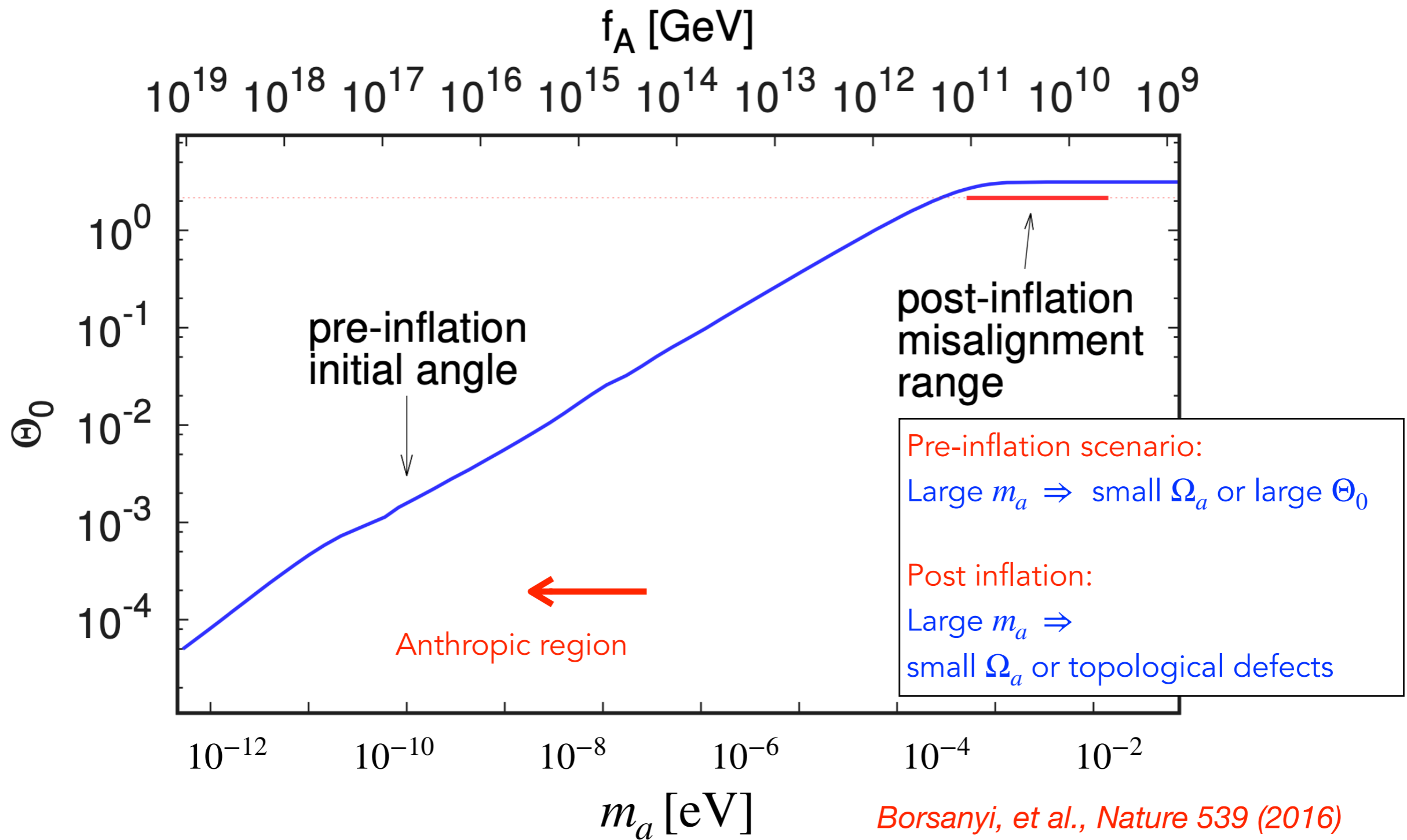
Can cosmology help select motivated sections of the parameter space?

Axion Dark matter: Pre-inflationary scenario

Scenario 1: Pre-inflationary axions



Axion Cosmology



Axion Dark matter: Post-inflationary scenario

Predictable initial angle.

Axion abundance depends also on production from topological defects

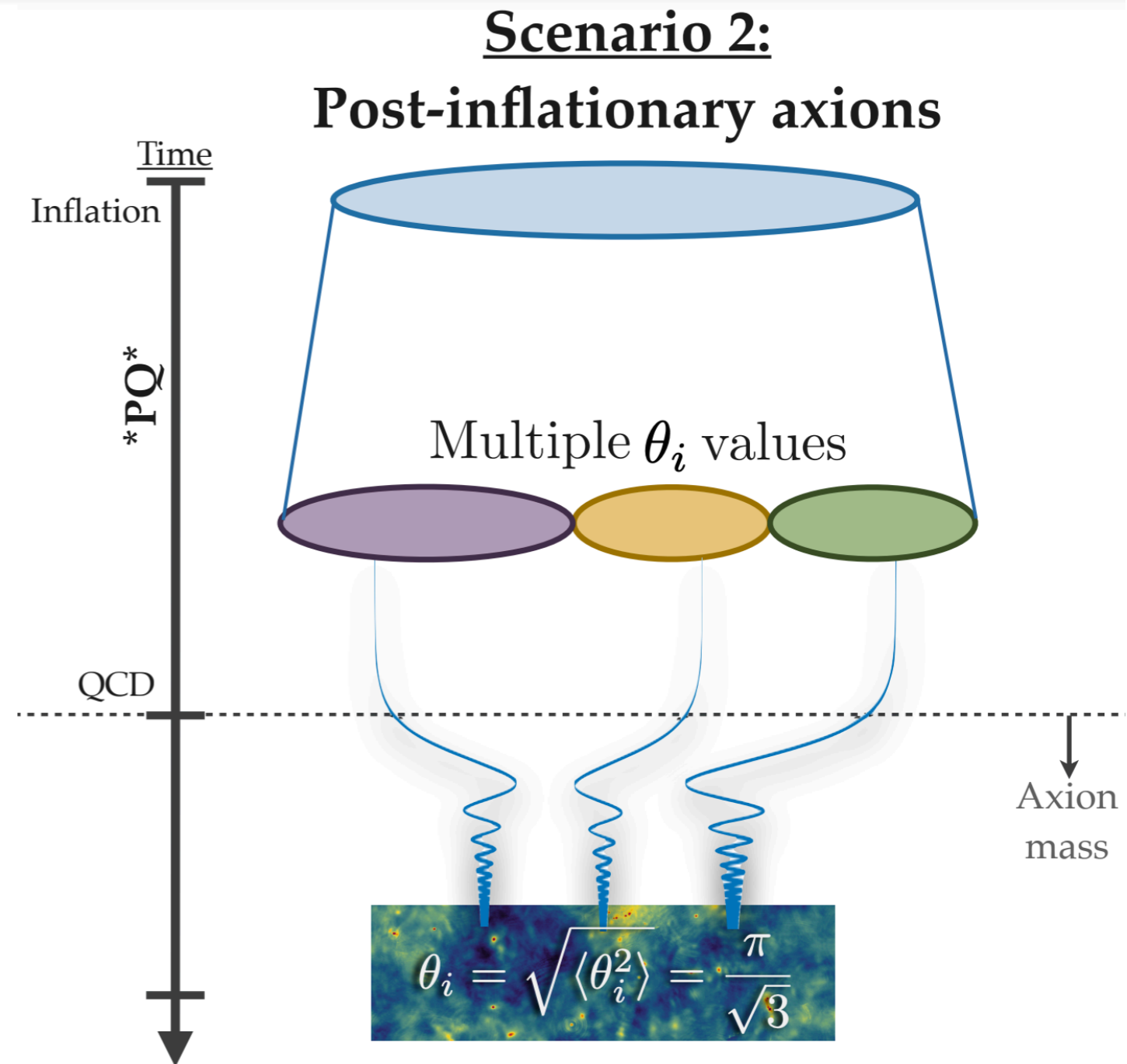
Estimating the axion string contribution from topological defects is very difficult. Numerical simulations still make very different predictions.

Important numerical advances thanks to [Adaptive Mesh Refinement](#)

M. Buschmann et al., *Nature Commun.* 13 (2022) 1, 1049.

Still controversial. More work required.

- M. Gorghetto, E. Hardy, [arXiv:2212.13263](#)
- O'Hare, Pierobon, Redondo, Wong, [Phys.Rev.D 105 \(2022\)](#)
- M. Gorghetto, E. Hardy, G. Villadoro, *SciPost Phys.* 10, 050 (2021)

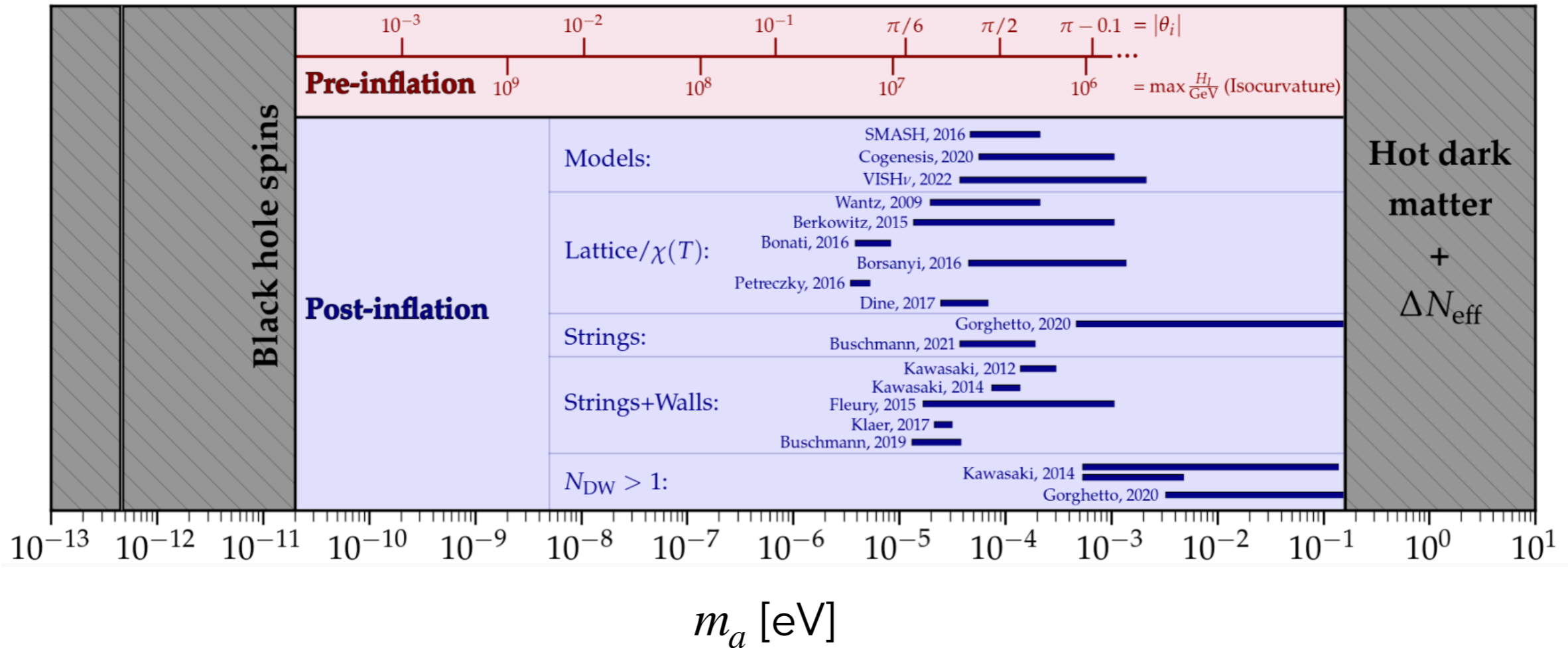


**Ensemble of initial misalignment angles
→ Density set by single stochastic average**

Figure Credits: C. O'Hare (2021)

<https://cajohare.files.wordpress.com/2021/10/axions.pdf>

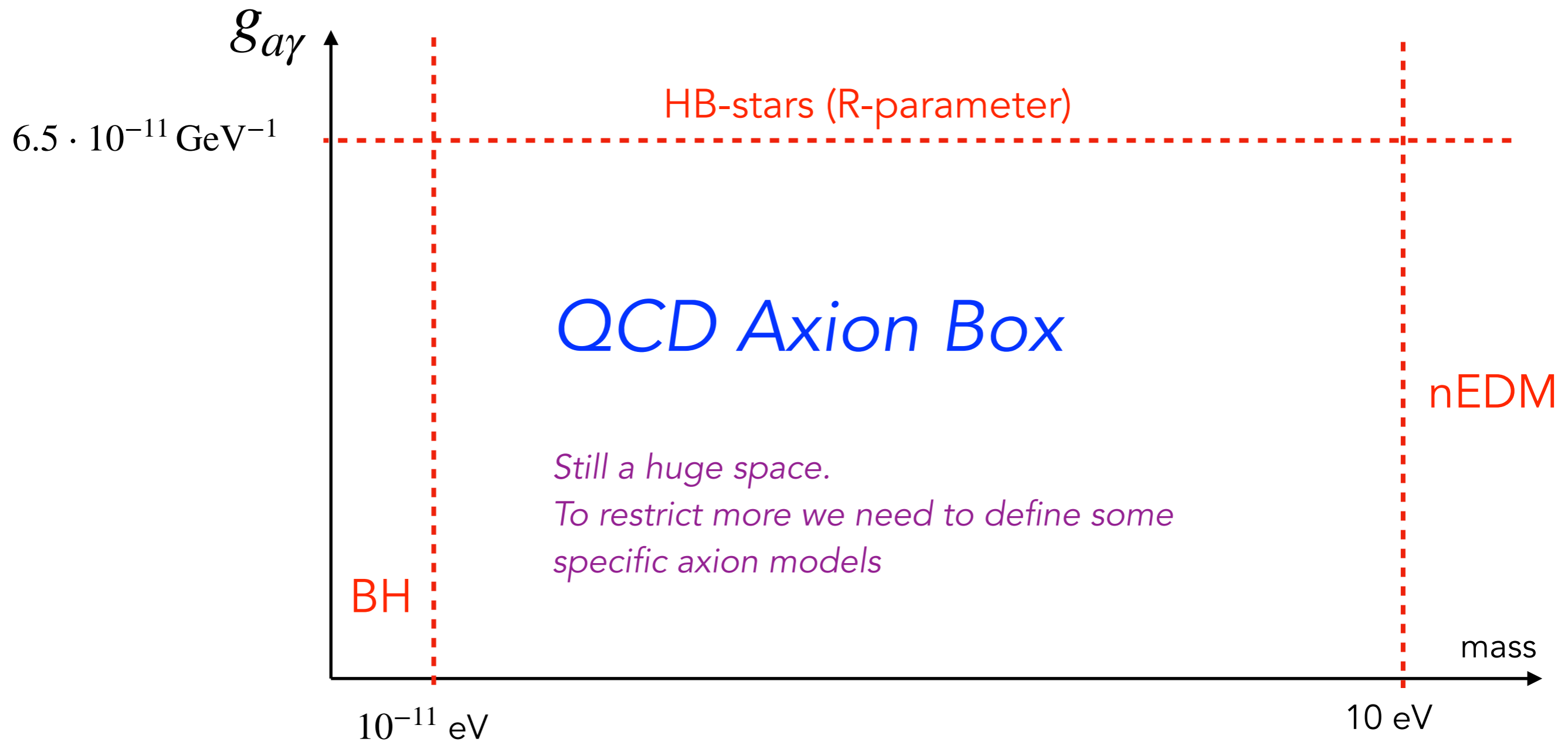
Dark Matter Mass Predictions



From [C. O'Hare](#)

Predictions in post-inflation point at $m_a \gtrsim \mu\text{eV}$

The axion parameter space



Benchmark Axion Models: KSVZ

Ingredients (Beyond SM):

- **Heavy** (colored and PQ charged) **fermion fields** Q
- a **singlet** (PQ charged) **scalar field** Φ

The scalar field has a Mexican Hat potential which features a SSB.

The axion emerges from the phase of the scalar field

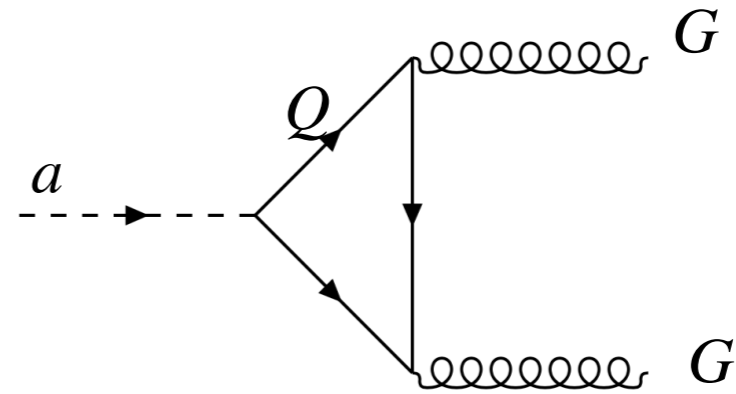
$$\Phi = \frac{1}{\sqrt{2}} (v_a + \rho) e^{ia/v_a}$$

Axion electron coupling emerges only at loop level
→ it is suppressed.

For this reason, it is also called **hadronic axion**.

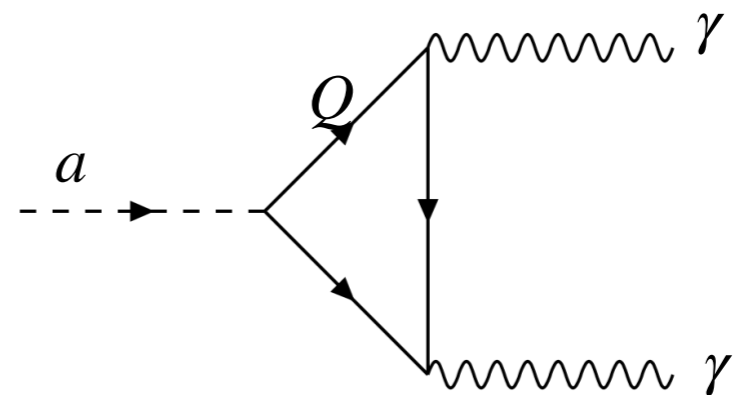
Benchmark Axion Models: KSVZ

The required **coupling to Gluons** (color anomaly) is generated by the heavy quarks

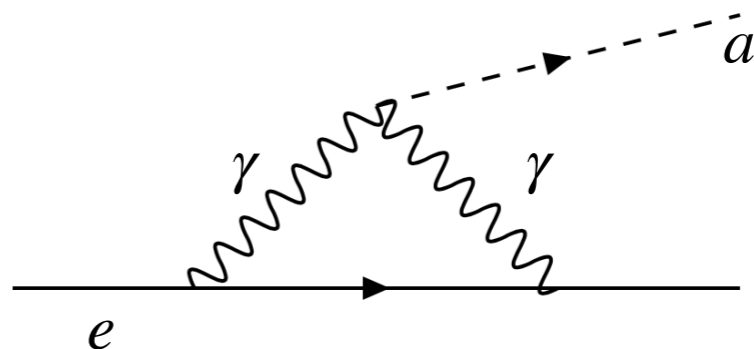


This coupling, in turn, generates a **coupling with the quarks**, and hence to **nucleons**

The **coupling with photons** is generated by the electromagnetic anomaly



There is no tree-level **coupling with leptons**. This coupling can be generated at loop level, though the axion-photon coupling



Benchmark Axion Models: DFSZ

Ingredients (Beyond SM):

- An **additional Higgs field**. Hence, this model features two Higgs fields, with VEV v_u and v_d , with $v_{ew} = \sqrt{v_u^2 + v_d^2}$
- a **singlet** (PQ charged) **scalar field** Φ

The axion emerges from a combination of the phases of the scalar and the two Higgs fields

There are **tree level couplings with photons, hadrons and leptons**.

There is an additional parameter, which plays a significant role:

$$\tan \beta = \frac{v_u}{v_d}$$

The leptons can be getting mass from either Higgs.

This distinguishes two submodules: DFSZ 1 and DFSZ 2.

Minimal Field Axion Model Extension

Hadronic (KSVZ-like):

Heavy fermion + a new scalar field.

Couplings to electrons: NO
Coupling to nucleons: YES
Coupling to Photons: YES

J. E. Kim, Phys. Rev. Lett. 43 (1979) 103

M. A. Shifman, A. I. Vainshtein, V. I. Zakharov, Nucl. Phys. B166 (1980) 493

Non hadronic (DFSZ-like):

2 Higgs fields + a new scalar field.

Couplings to electrons: YES
Coupling to nucleons: YES
Coupling to Photons: YES

A. R. Zhitnitsky, Sov. J. Nucl. Phys. 31 (1980) 260

M. Dine, W. Fischler, M. Srednicki, Phys. Lett. B104 (1981)

L. Di Luzio, F. Mescia, E. Nardi, P. Panci, and R. Ziegler, Phys. Rev. Lett. 120 no. 26, (2018)

F. Bjorkeroth, L. Di Luzio, F. Mescia, and E. Nardi, JHEP 02 (2019) 133

Minimal Field Axion Model Extension

Hadronic (KSVZ):

Heavy fermion + a new scalar field.

Couplings to electrons: NO
Coupling to nucleons: YES

Photons: $0.25 \lesssim C_{a\gamma} \lesssim 12.7$

*Di Luzio, Mescia, Nardi,
Phys.Rev.Lett. 118 (2017),
Phys.Rev. D96 (2017)*

Non hadronic (DFSZ):

2 Higgs fields + a new scalar field.

Couplings to electrons: YES
Coupling to nucleons: YES

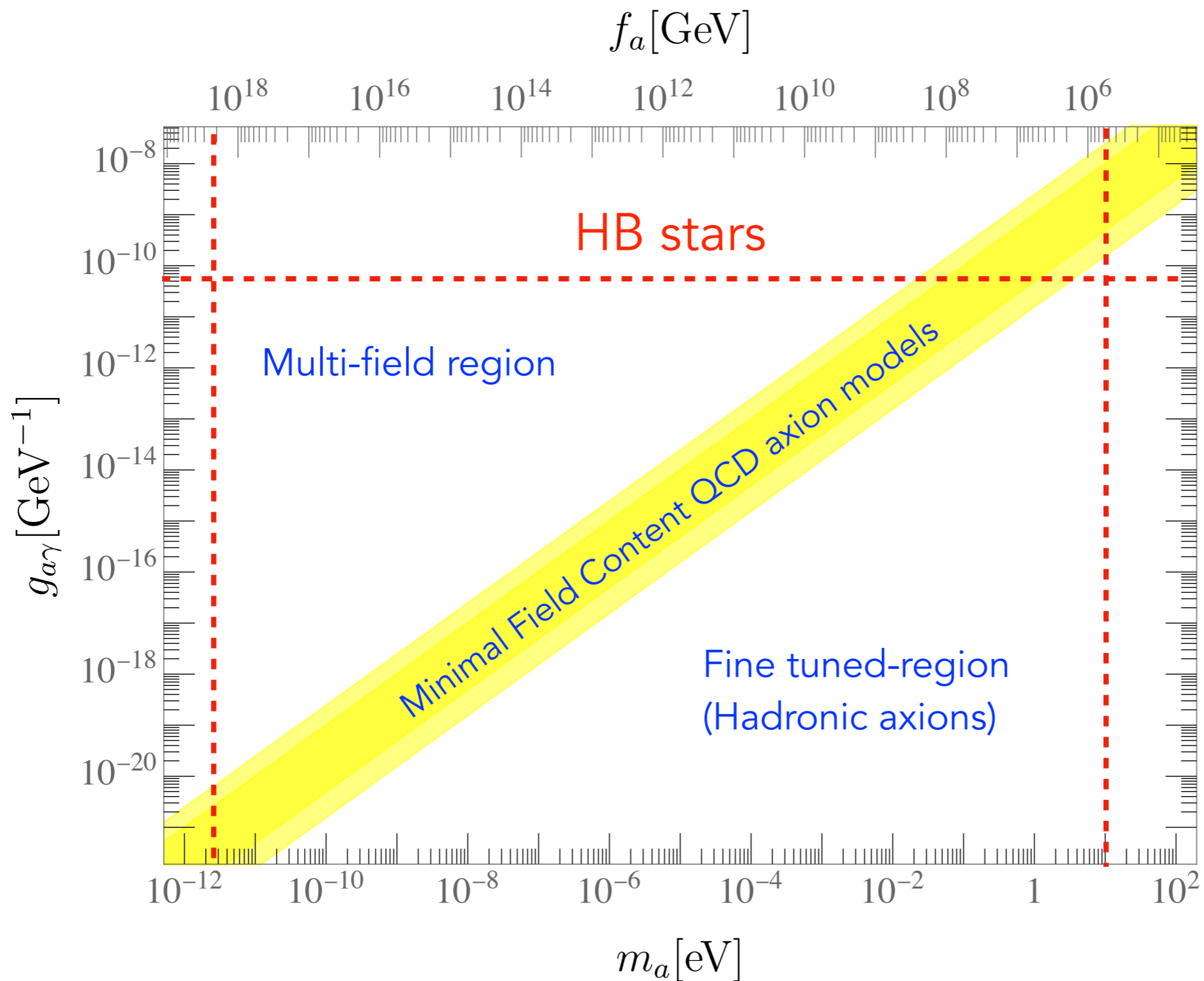
Photons: $0.08 \lesssim C_{a\gamma} \lesssim 5.25$

*Di Luzio, Fedele, M.G., Mescia,
Nardi, arXiv:2109.10368*

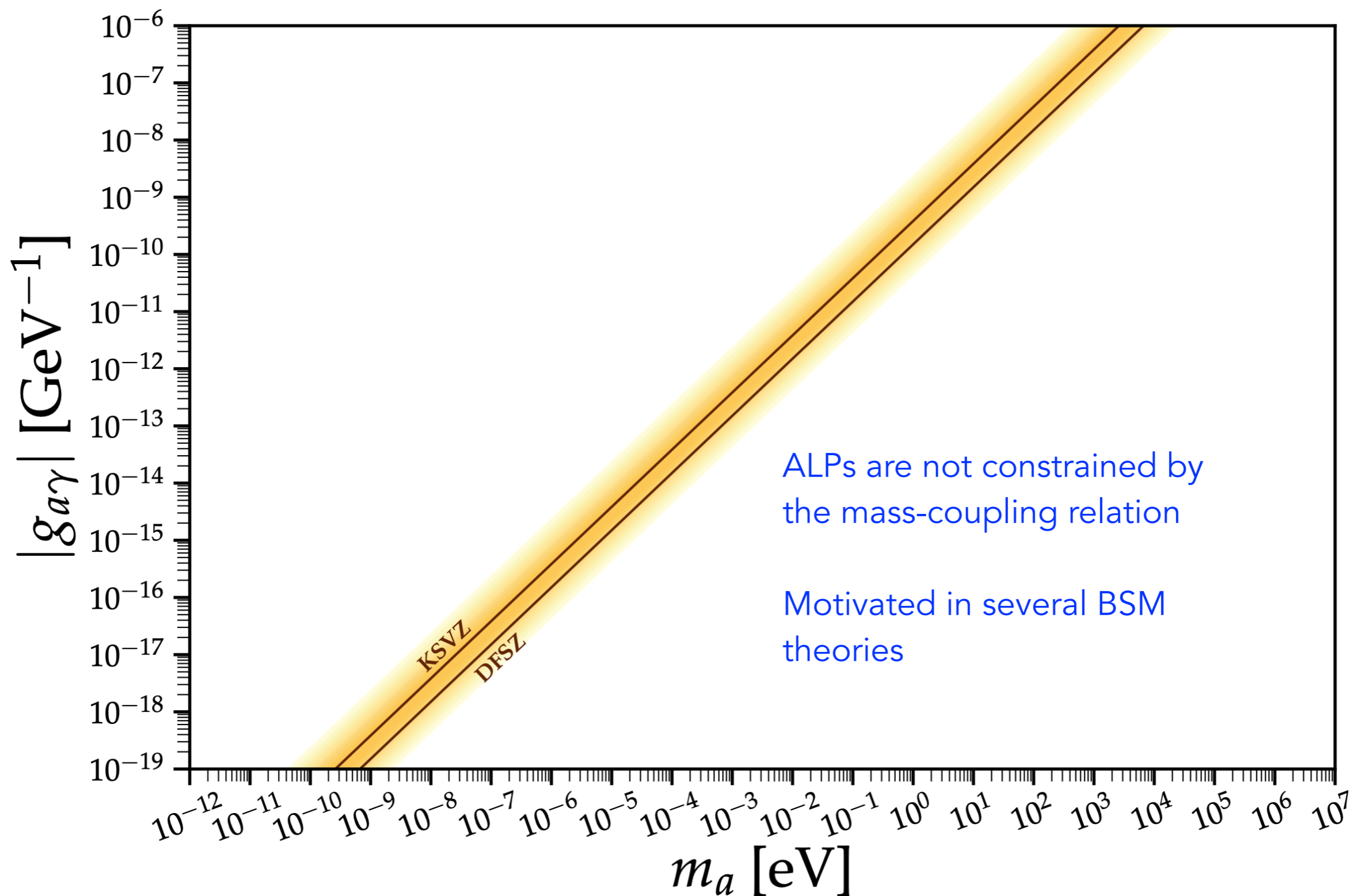
Having couplings outside this region is possible but it requires more complex models, e.g., with several scalar fields (clockwork).

see also V. Plakkot, S. Hoof, Phys.Rev.D 104 (2021) 7

The axion parameter space



Axion-Like Particles (ALPs)

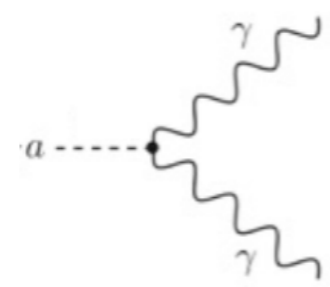
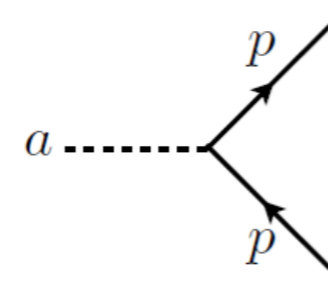
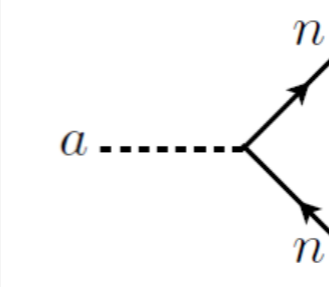
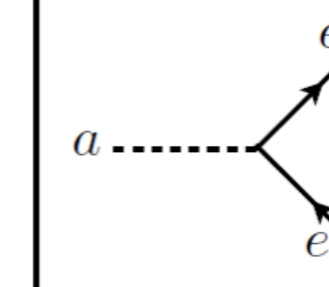


Part 2:
Phenomenology
and Astrophysics

Axions Interactions with SM Fields

Most of what follows apply to both Axions and ALPs.

Axions (ALPs) interact with SM fields. This allow for a rich and interesting phenomenology, and for their possible detection (see part 3)

2 photon	proton	neutron	electron
$\frac{\alpha C_{a\gamma}}{2\pi} \frac{a}{f_a} \frac{F_{\mu\nu} \tilde{F}^{\mu\nu}}{4}$	$C_{ap} m_p \frac{a}{f_a} [i\bar{p}\gamma_5 p]$	$C_{an} m_n \frac{a}{f_a} [i\bar{n}\gamma_5 n]$	$C_{ae} m_e \frac{a}{f_a} [i\bar{e}\gamma_5 e]$
			

$$g_{a\gamma} = \frac{C_{a\gamma}\alpha}{2\pi f_a}$$

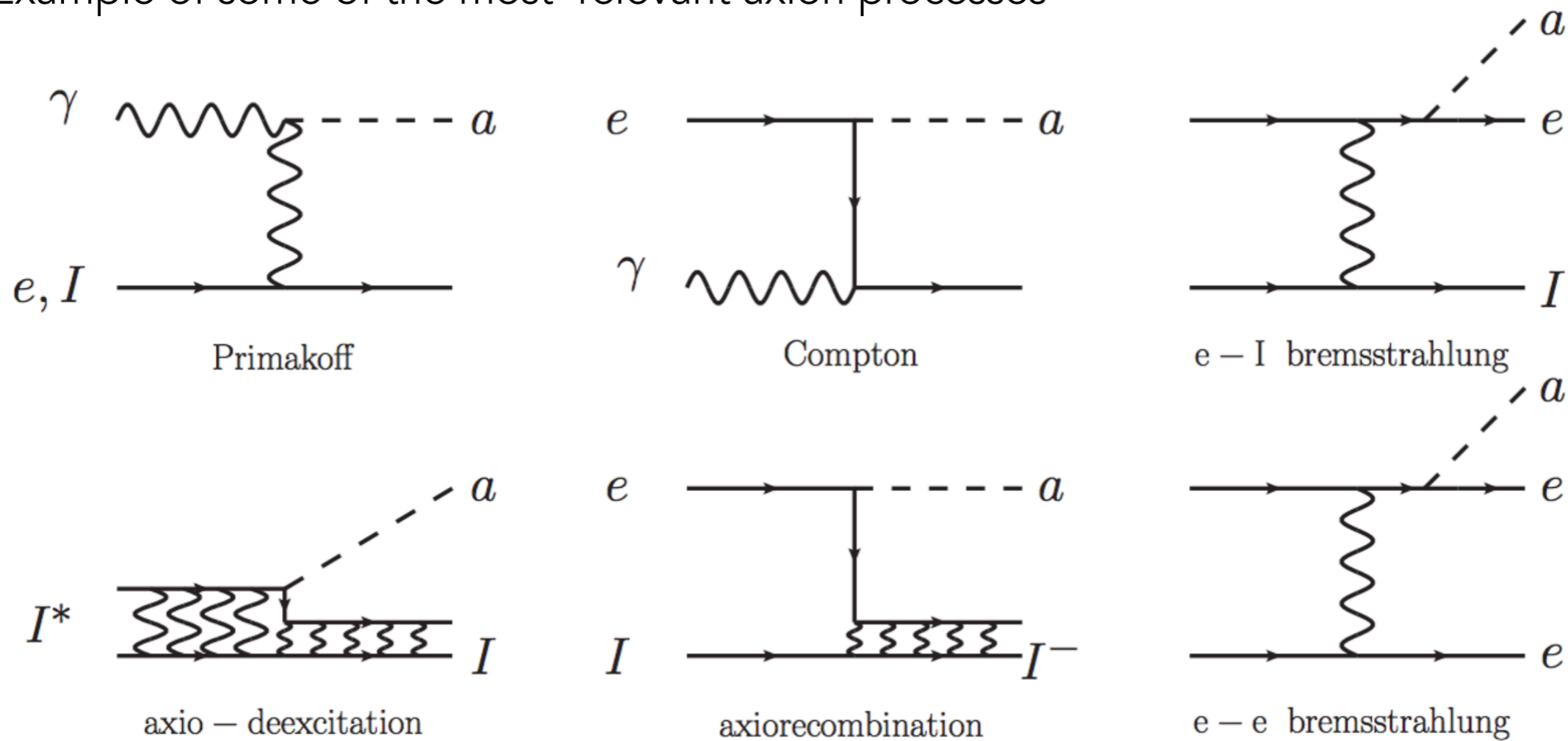
$$g_{ap} = C_{ap} \frac{m_p}{f_a}$$

$$g_{an} = C_{an} \frac{m_n}{f_a}$$

$$g_{ae} = C_{ae} \frac{m_e}{f_a}$$

Axions Interactions with SM Fields

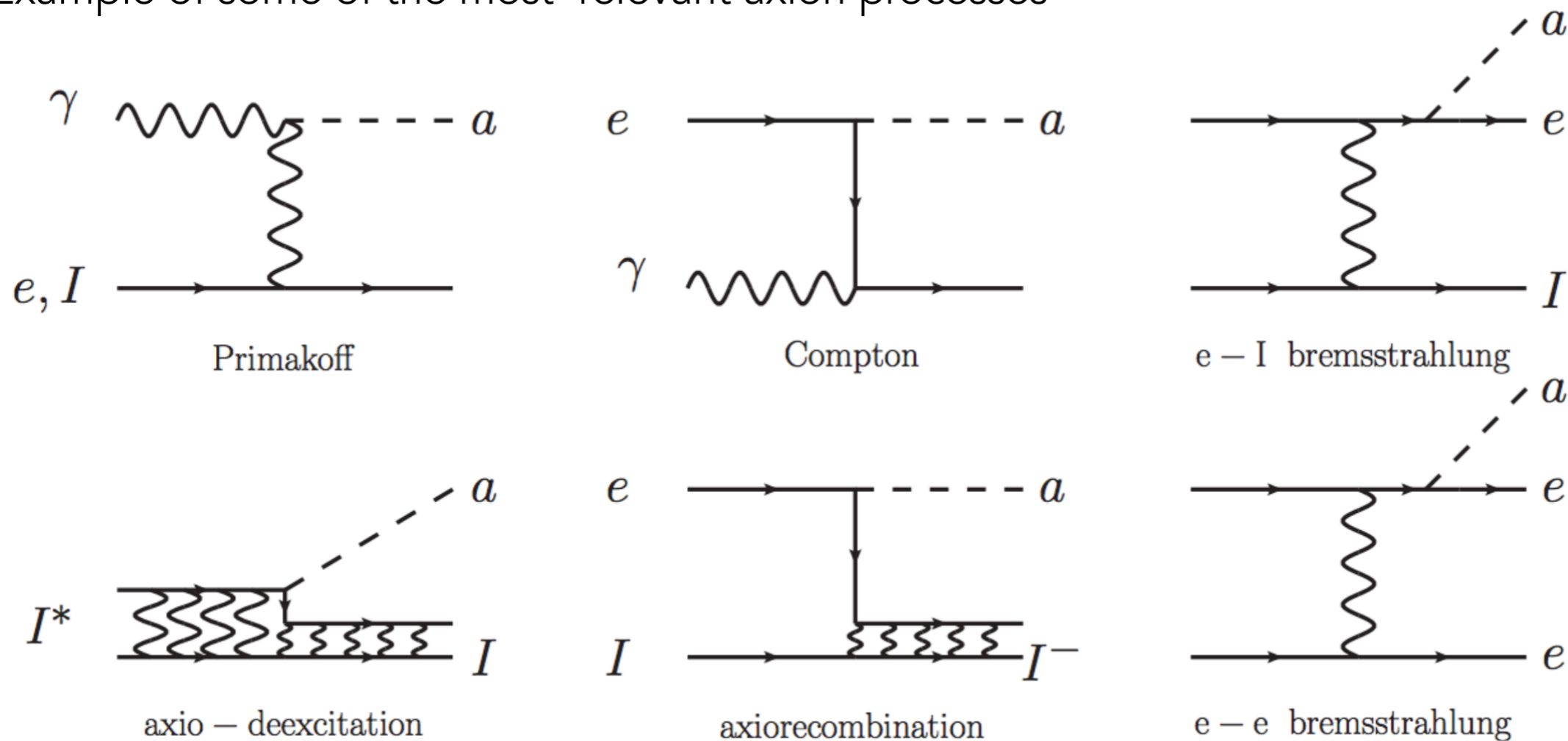
Example of some of the most relevant axion processes



... Plus, interaction with nuclei

Axions Interactions with SM Fields

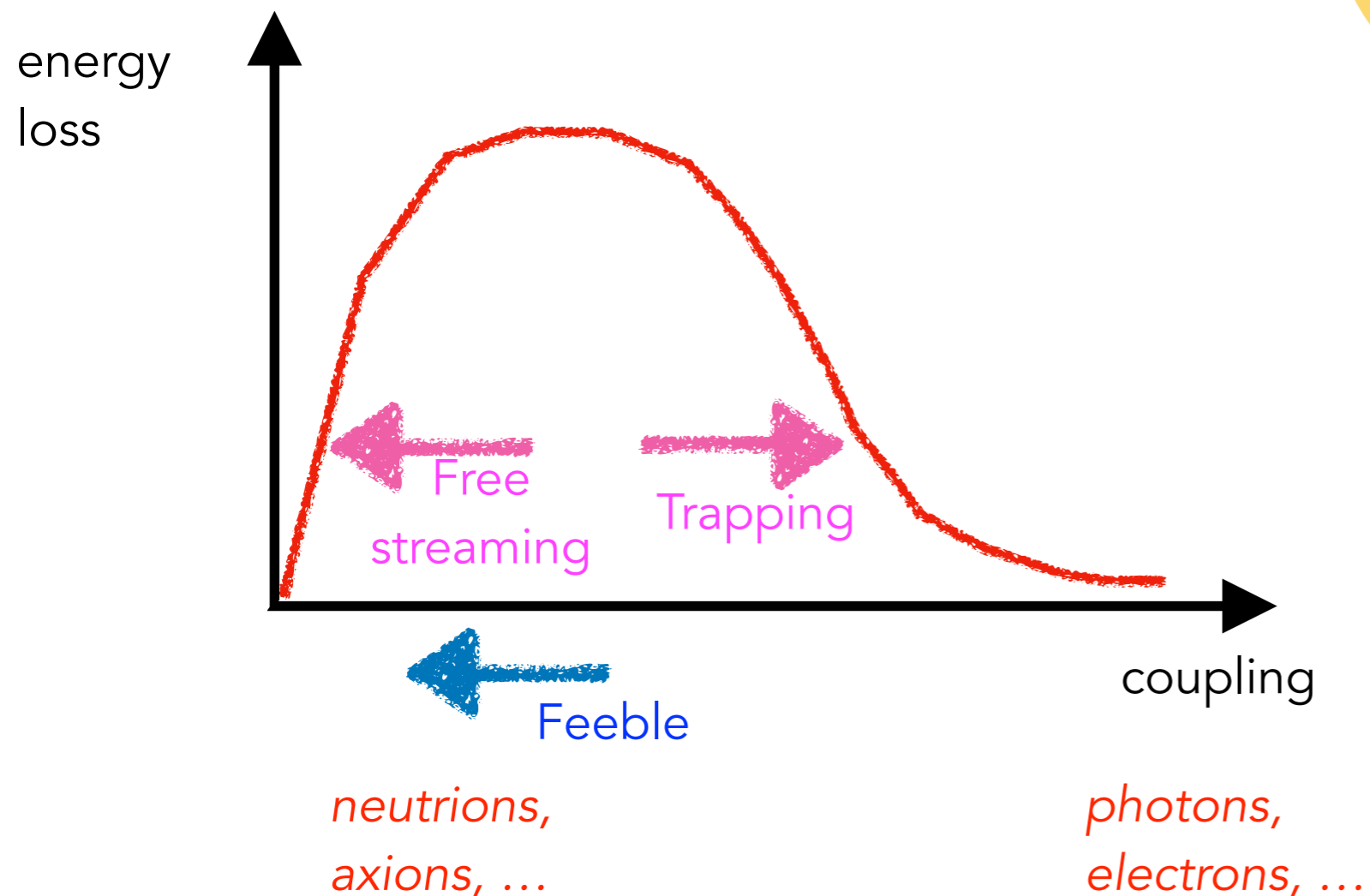
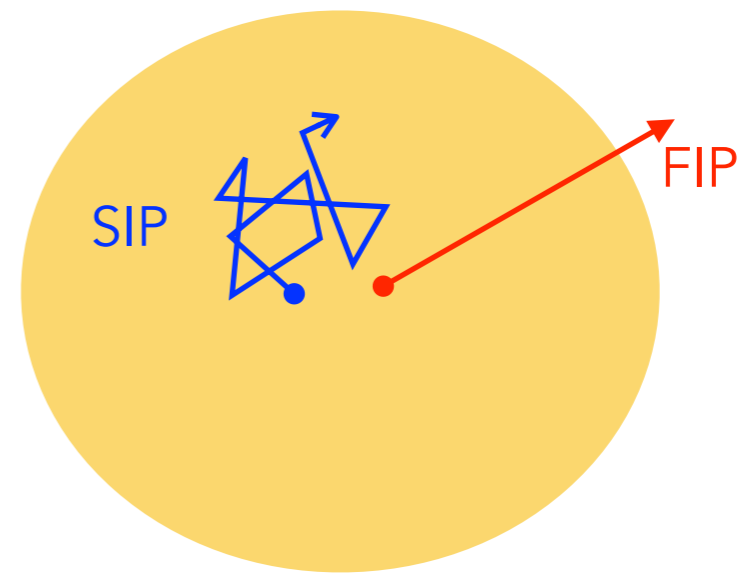
Example of some of the most relevant axion processes



Thanks to these processes, axions can be produced in several natural sources. Particularly important are **Stars** and **Labs**

The Role of Axions in Stars

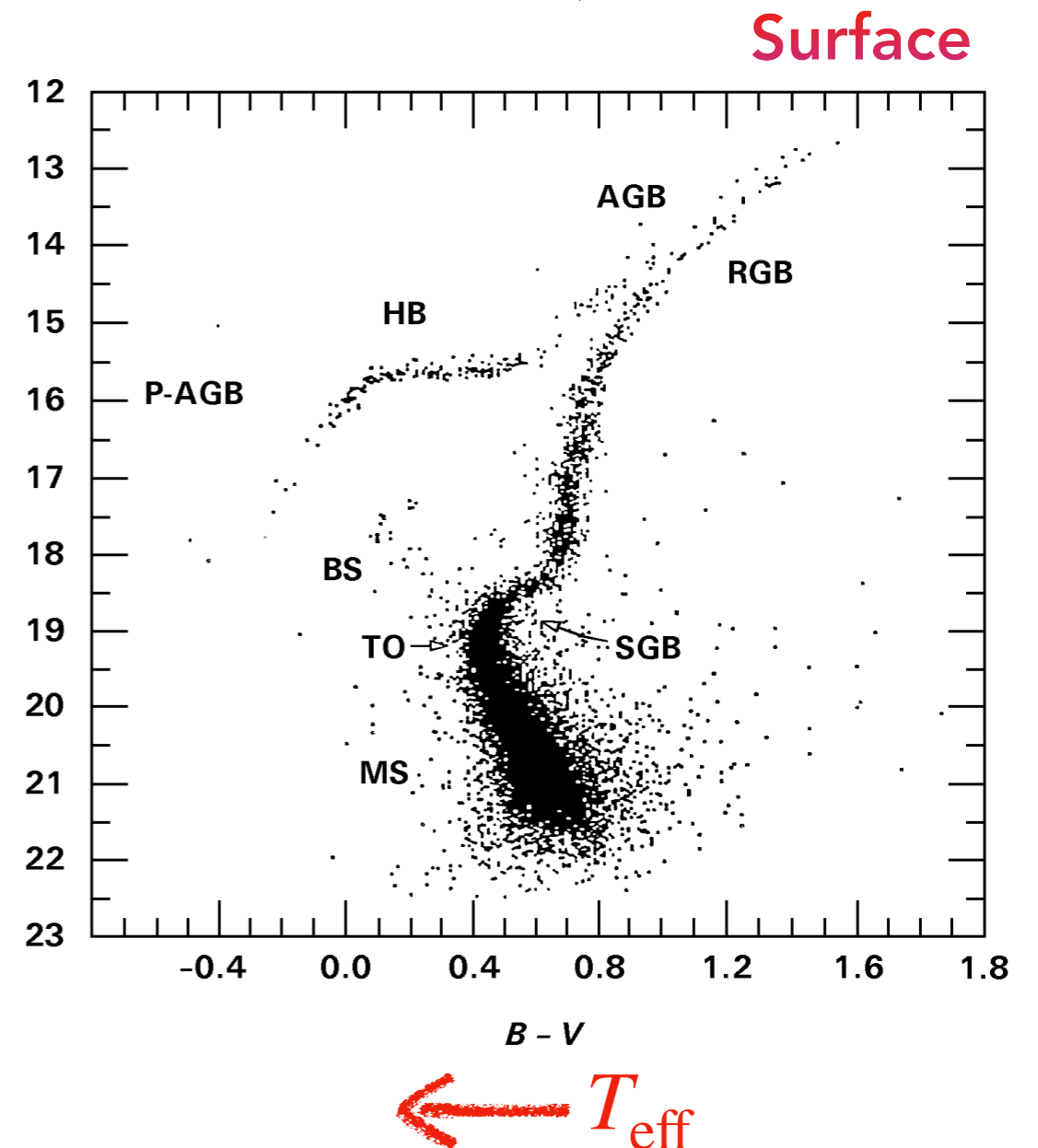
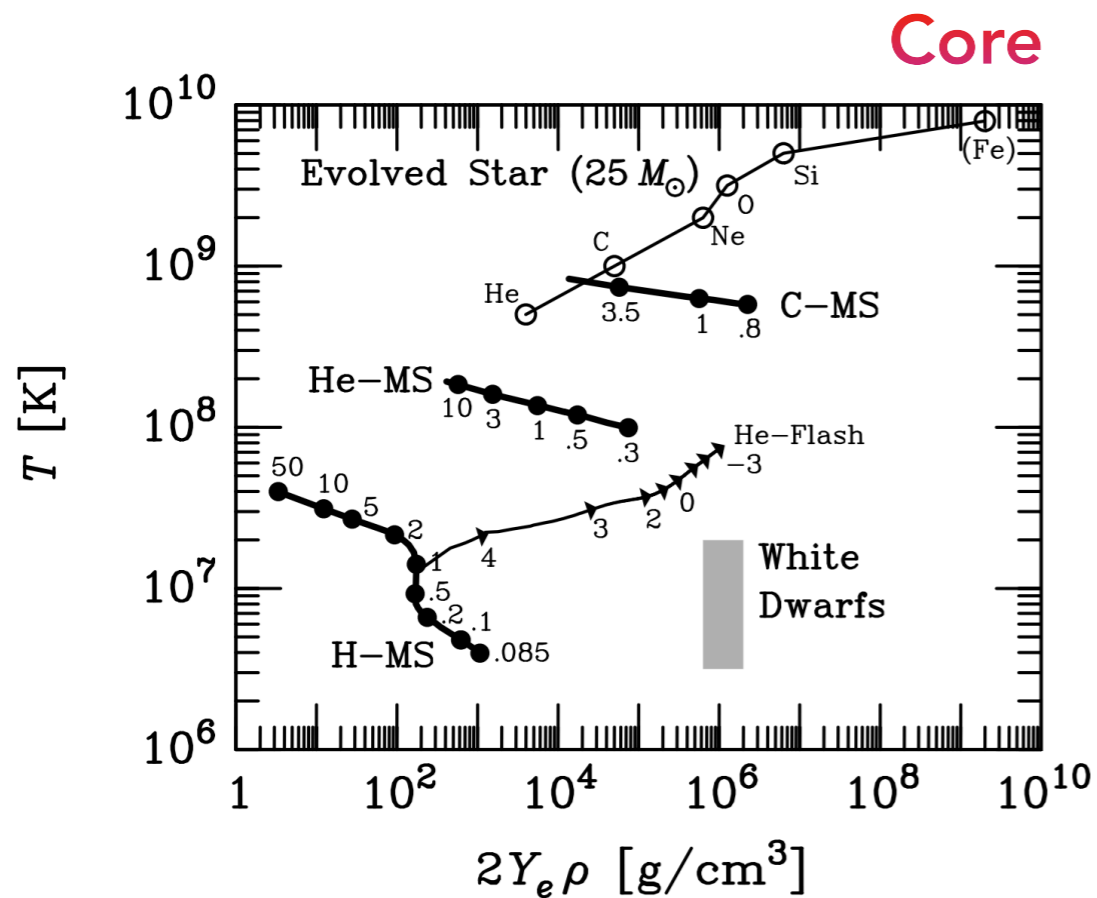
Light particles, $m \lesssim T$, can be efficiently thermally produced in stellar core



Observing the Stars

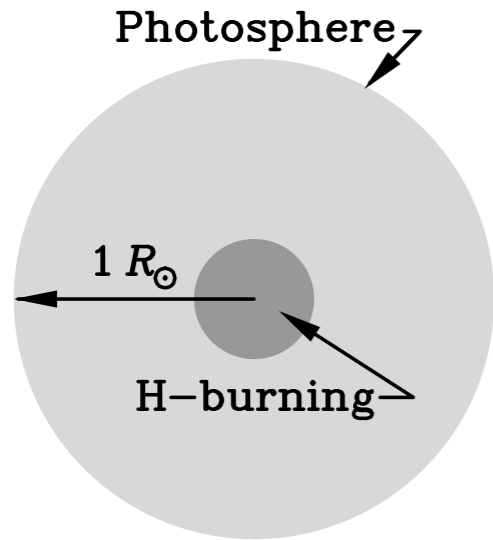
We can observe (almost) only the surface of stars

Numerical codes provide the link with core properties

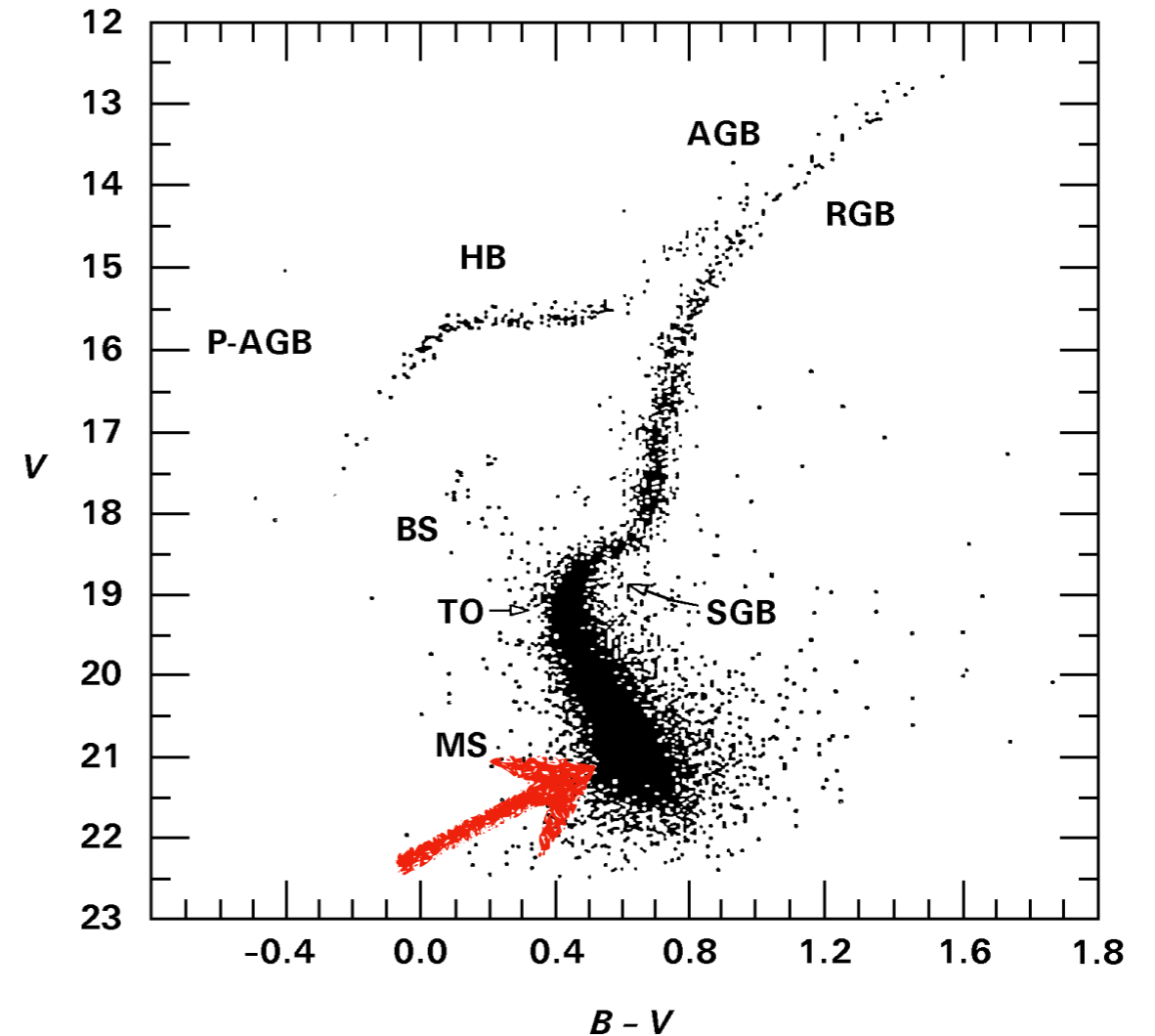
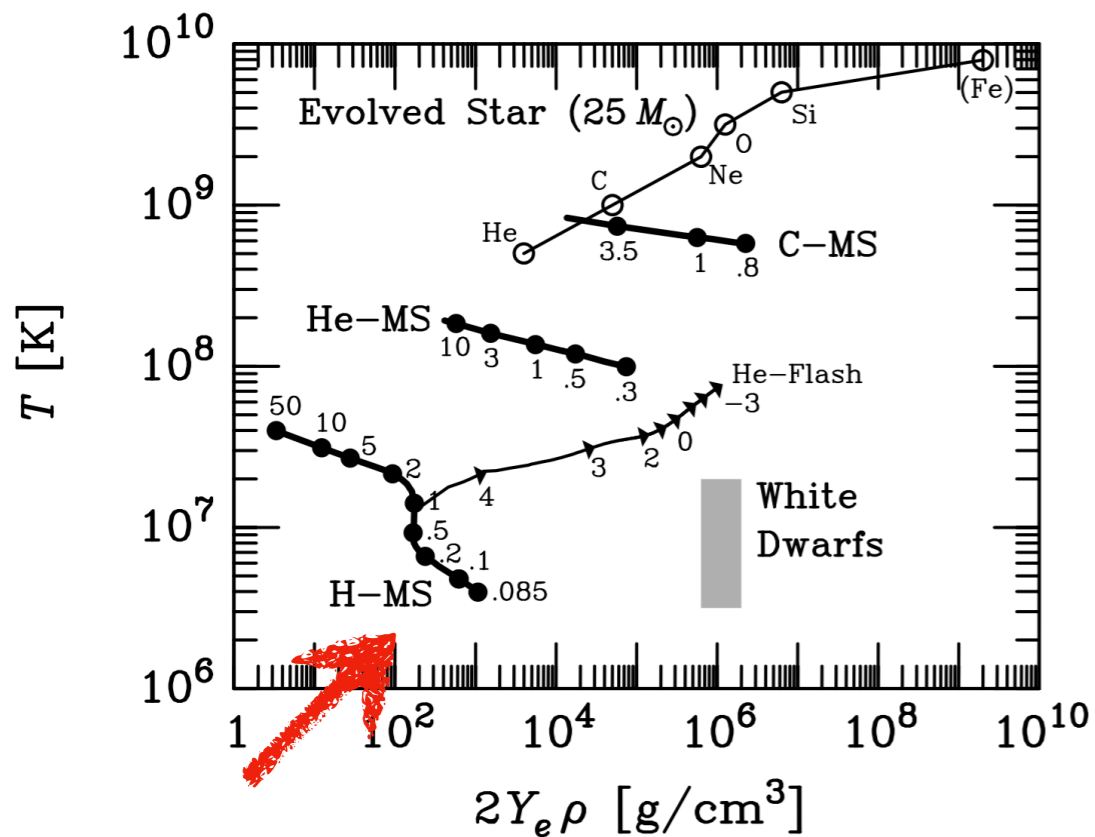


G. Raffelt, Stars as Laboratories (1996).

Stellar Evolution → Main Sequence Stars



Most of the stellar life is spent burning H into He in the core



Solar bounds on axions

The sun is a good (not excellent) lab for axions.

The flux of neutrinos from B8 is extremely sensitive to the temperature $\phi_{B8} \sim T_c^{18}$

$$\rightarrow g_{a\gamma} < 7 \cdot 10^{-10} \text{ GeV}^{-1} \quad (3 \sigma)$$

P. Gondolo and G. Raffelt,
Phys. Rev. D 79 (May, 2009)

A more complete analysis gives

$$\rightarrow g_{a\gamma} < 4.1 \cdot 10^{-10} \text{ GeV}^{-1} \quad (3 \sigma)$$

Vinyoles, Serenelli, Villante, Basu,
Redondo & Isern, [JCAP 10 \(2015\) 015](#)

Solar bounds on axions

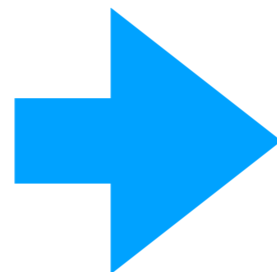
The sun is a good (not excellent) lab for axions.

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$$\rightarrow g_{a\gamma} < 7 \cdot 10^{-10} \text{ GeV}^{-1} \quad (3 \sigma)$$

A more complete analysis gives

$$\rightarrow g_{a\gamma} < 4.1 \cdot 10^{-10} \text{ GeV}^{-1} \quad (3 \sigma)$$



$$\sim 6 \times 10^{12} \text{ axions cm}^{-2} \text{ s}^{-1}$$

on Earth, peaked at \sim keV.

Excluded by direct
experimental searches

Other MS stars?

Other MS have similar properties of the Sun but are much further away.

→ Likely much less interesting.

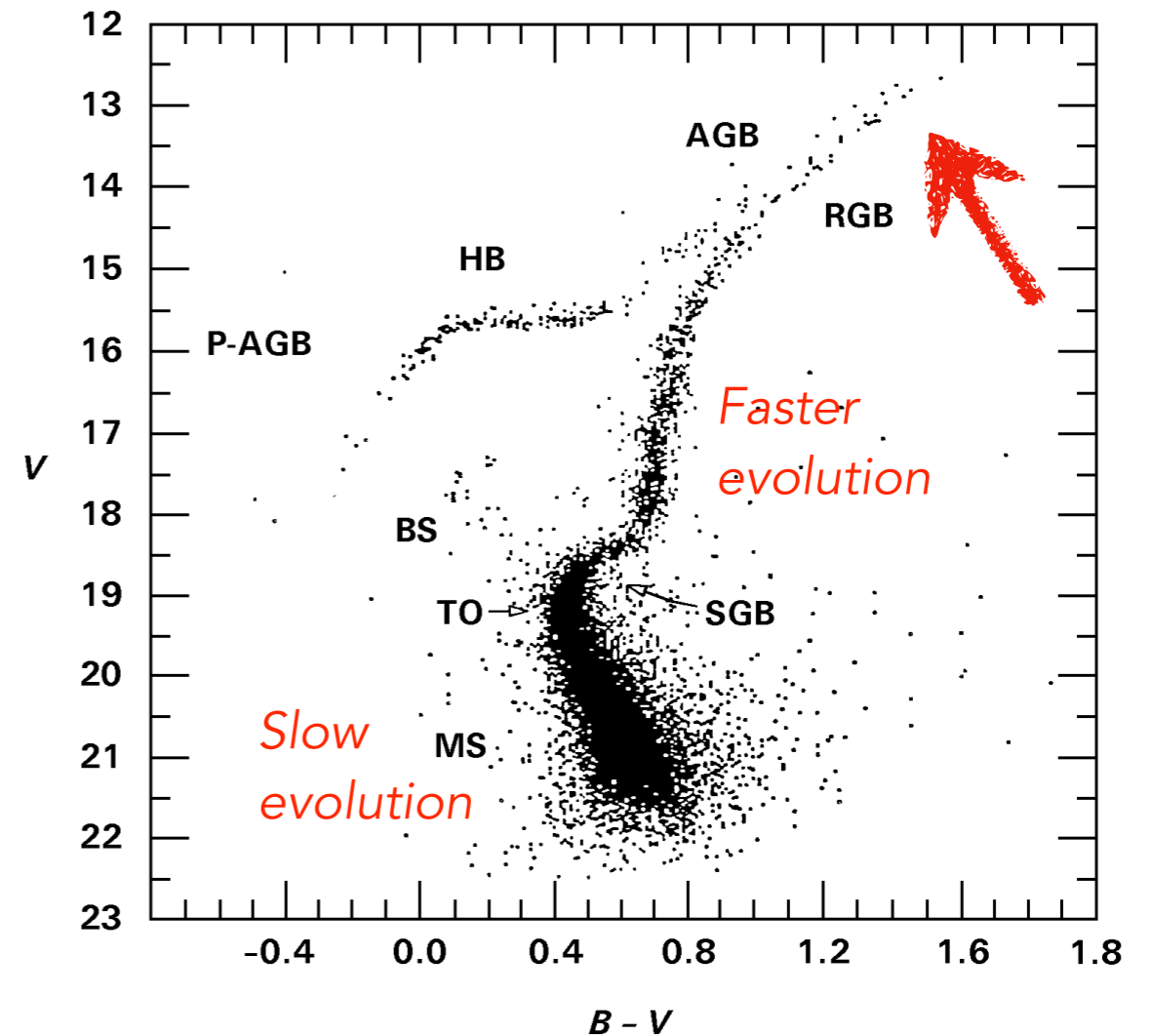
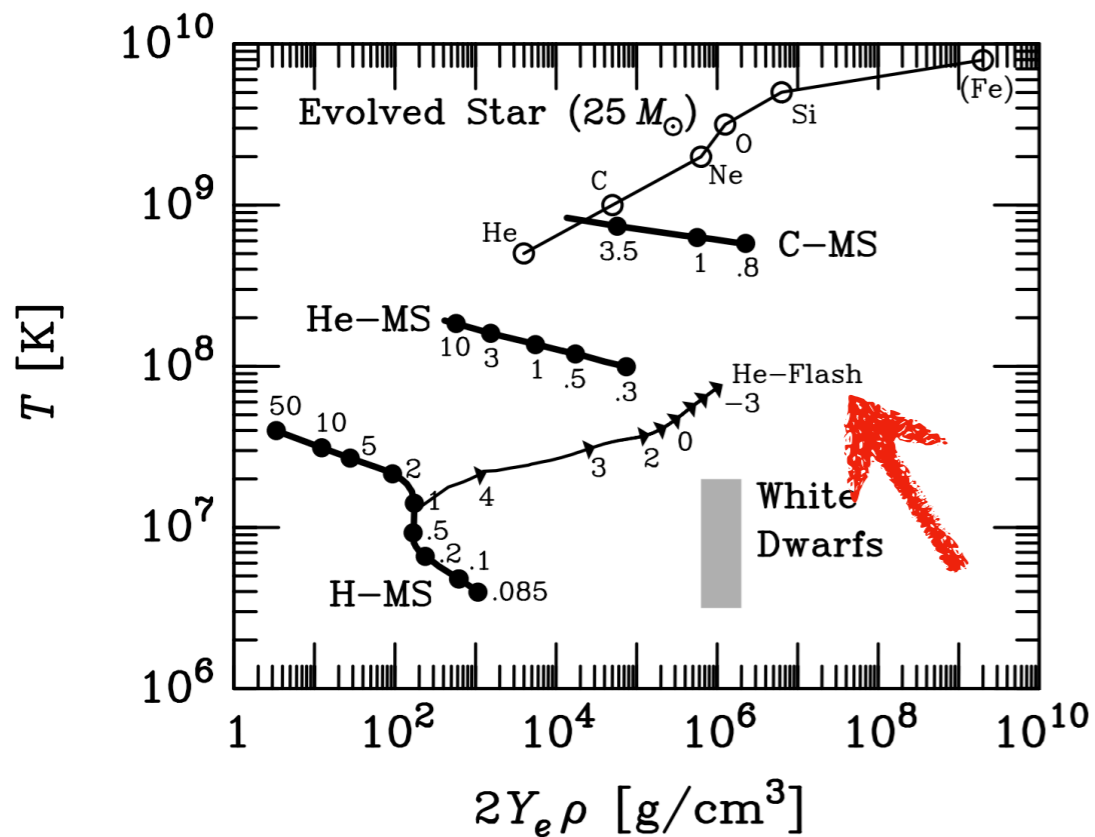
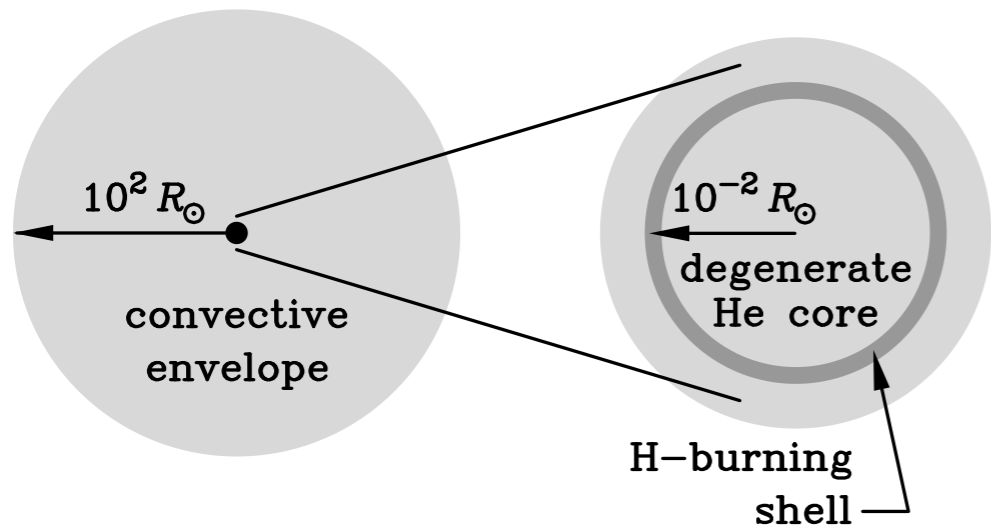
Yet, there are many of them. Diffuse axion flux recently calculated

If ALPs are sufficiently heavy, their decay produces a (possibly detectable) x-ray diffuse background

See → N. H. Nguyen, E. H. Tanin, M. Kamionkowski [arXiv:2307.11216](https://arxiv.org/abs/2307.11216)

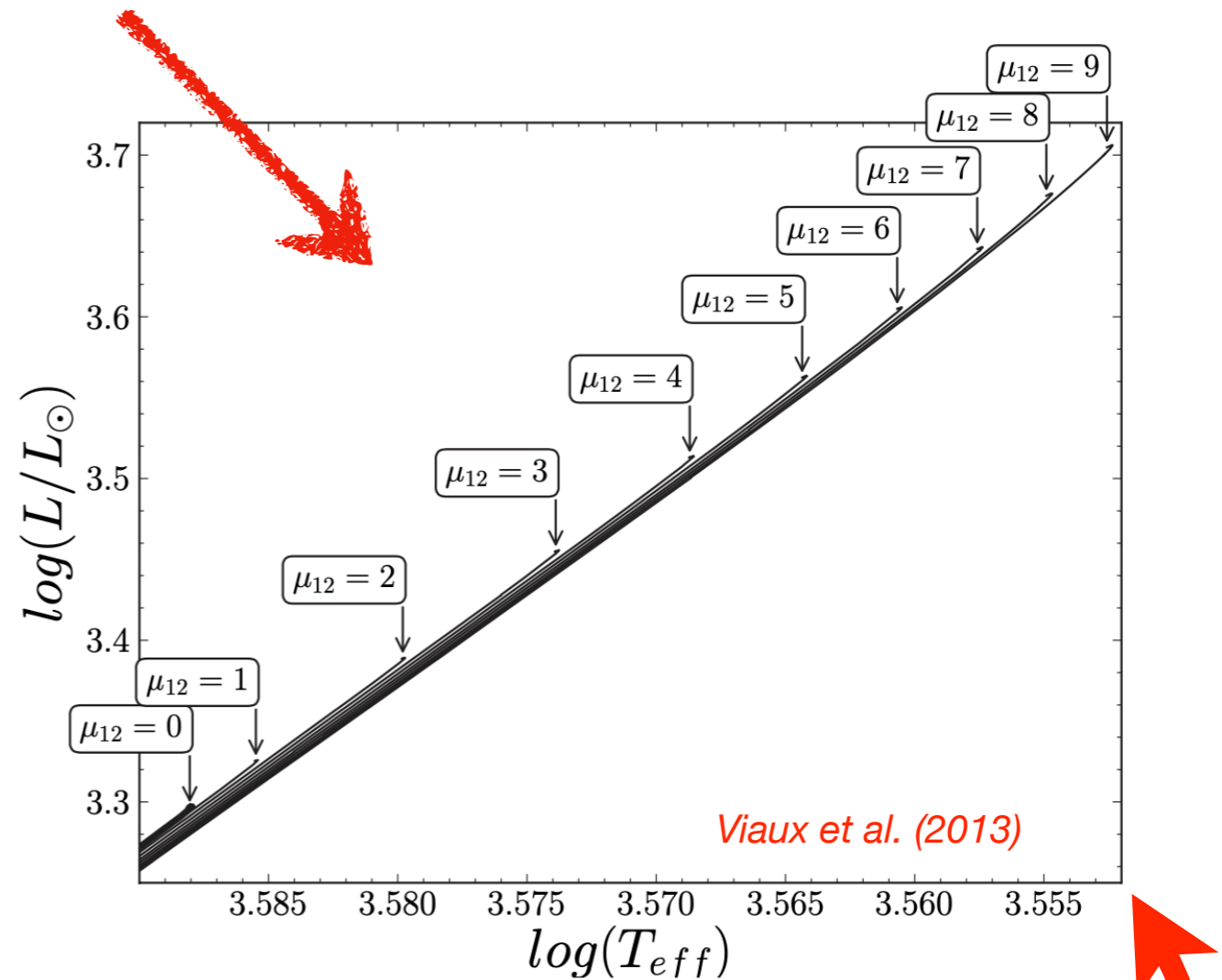
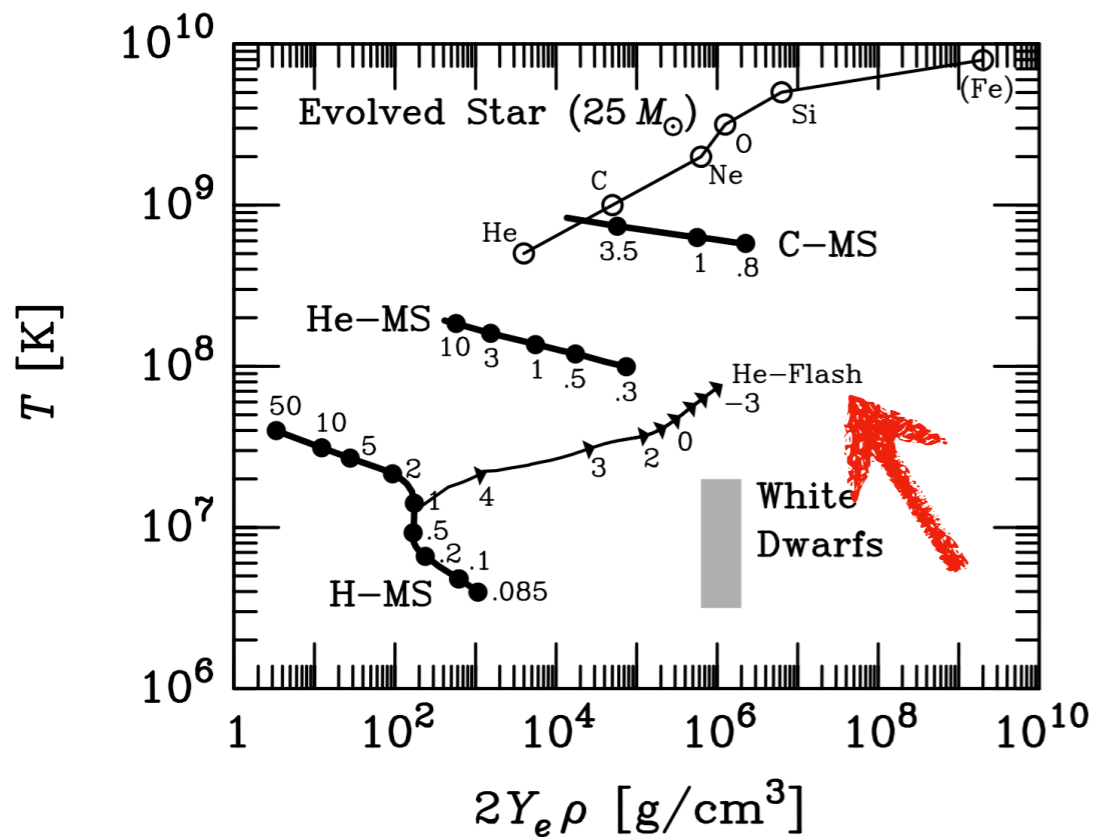
Stellar Evolution → Red Giant Branch

After the H in the core is exhausted, a light star moves in the RGB. The surface luminosity keeps increasing, till the He-flash. That is the *tip of the RGB*



Stellar Evolution → Red Giant Branch

Additional cooling delays the He-ignition, moving the RGBT to higher luminosities



Note. The analysis in this figure is somewhat outdated and should serve only as a qualitative example.

Stellar Evolution → Red Giant Branch

Currently, the RGB Tip analysis provides the strongest bounds on:

Axion-electron coupling:

$$g_{ae} \sim 0.60_{-0.58}^{+0.32} \times 10^{-13},$$

$$g_{ae} \leq 1.48 \times 10^{-13} \quad (95\% \text{ C.L.})$$

- O. Straniero et al., *Astron.Astrophys.* 644 (2020)
- F. Capozzi, G. Raffelt, [Phys.Rev.D 102 \(2020\) 8](#)

Recently, the bounds from RGB have been questioned in two publications

The criticism is that the uncertainties are much larger than those used in the papers which derived the bounds. However, the uncertainties proposed in seem largely inflated.

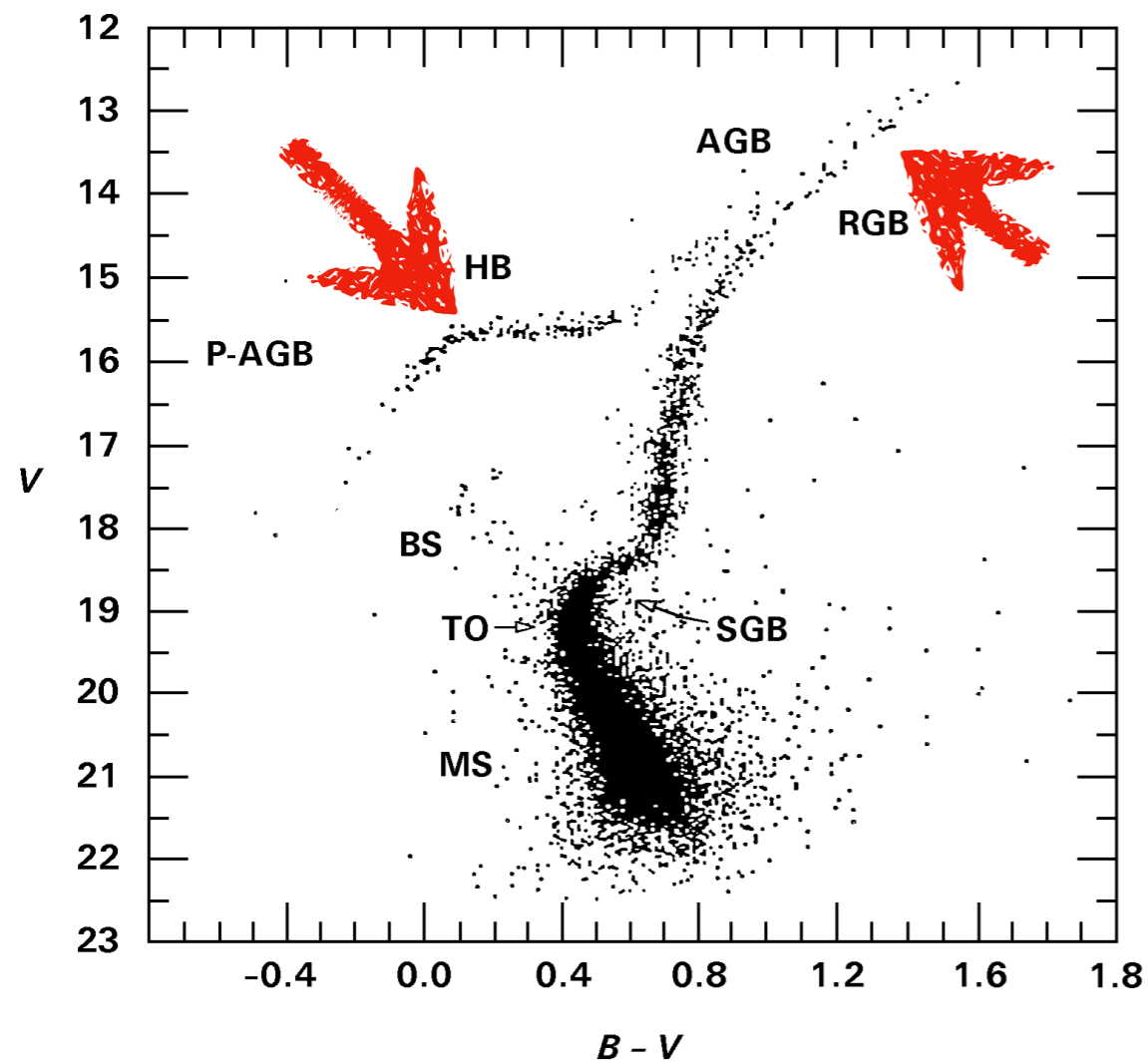
- N Franz, M Dennis, J Sakstein, [arXiv:2307.13050](#)
- M Dennis, J Sakstein, [arXiv:2305.03113](#)

Stellar Evolution → Horizontal Branch

*R-parameter: number ratio of
HB and RGB*

$$R = \frac{N_{\text{HB}}}{N_{\text{RGB}}}$$

Test new physics

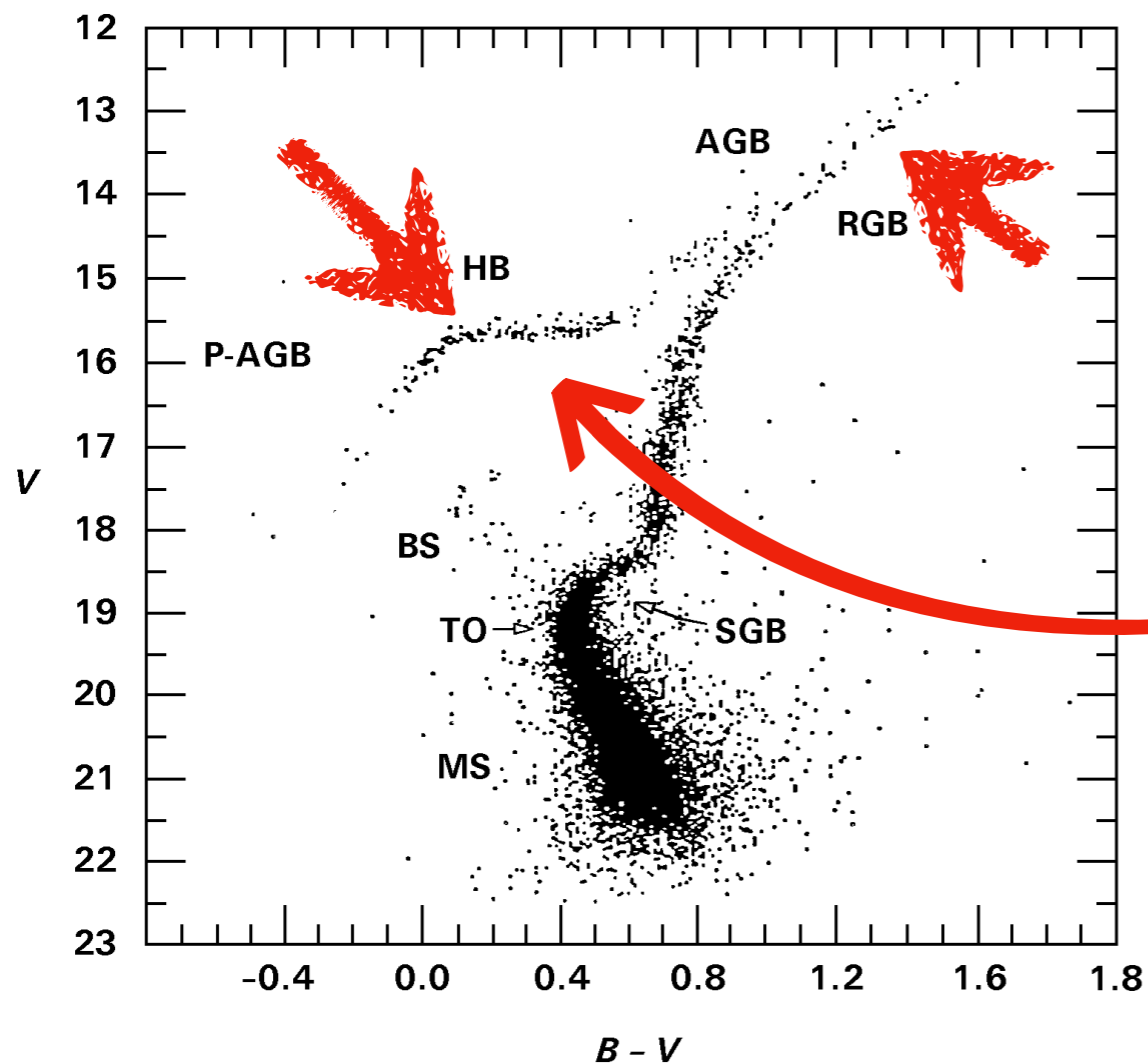


*The ratio depends on the efficiency of the
energy loss in the two evolutionary stages*

Stellar Evolution → Horizontal Branch

*R-parameter: number ratio of
HB and RGB*

$$R = \frac{N_{\text{HB}}}{N_{\text{RGB}}}$$



Primakoff Process



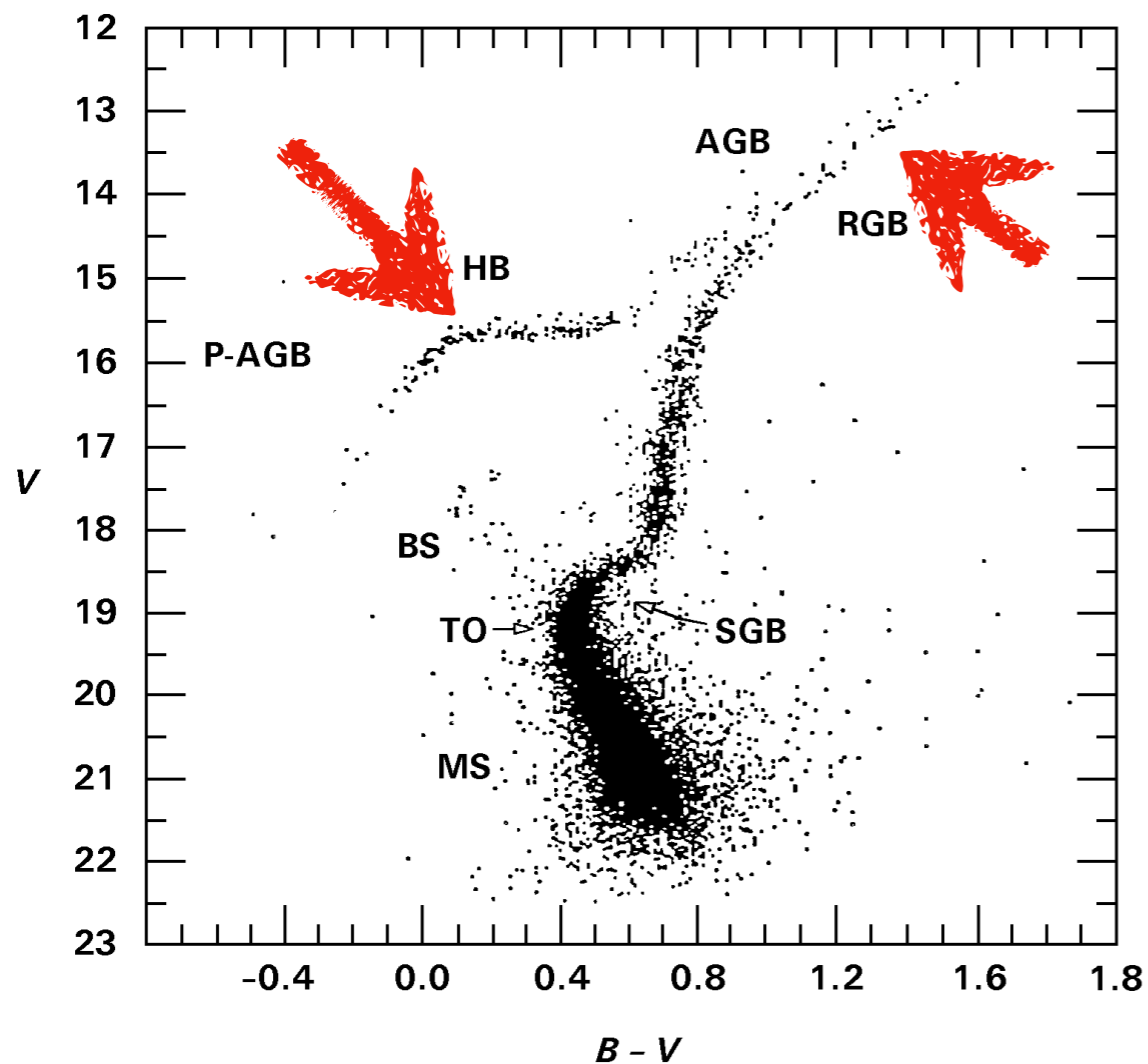
$$g_{a\gamma} \leq 6.5 \cdot 10^{-11} \text{ GeV}^{-1}$$

*Ayala, Dominguez, M.G., Mirizzi, Straniero,
PRL 113 (2014)*

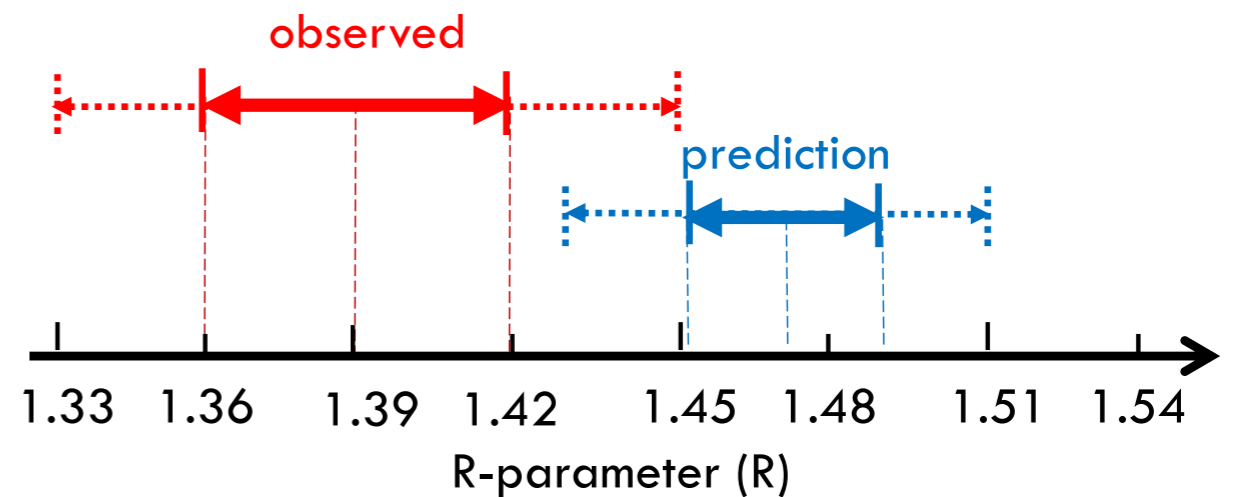
Stellar Evolution → Horizontal Branch

The number ratio of HB and RGB is the *R*-parameter

$$R = \frac{N_{\text{HB}}}{N_{\text{RGB}}}$$



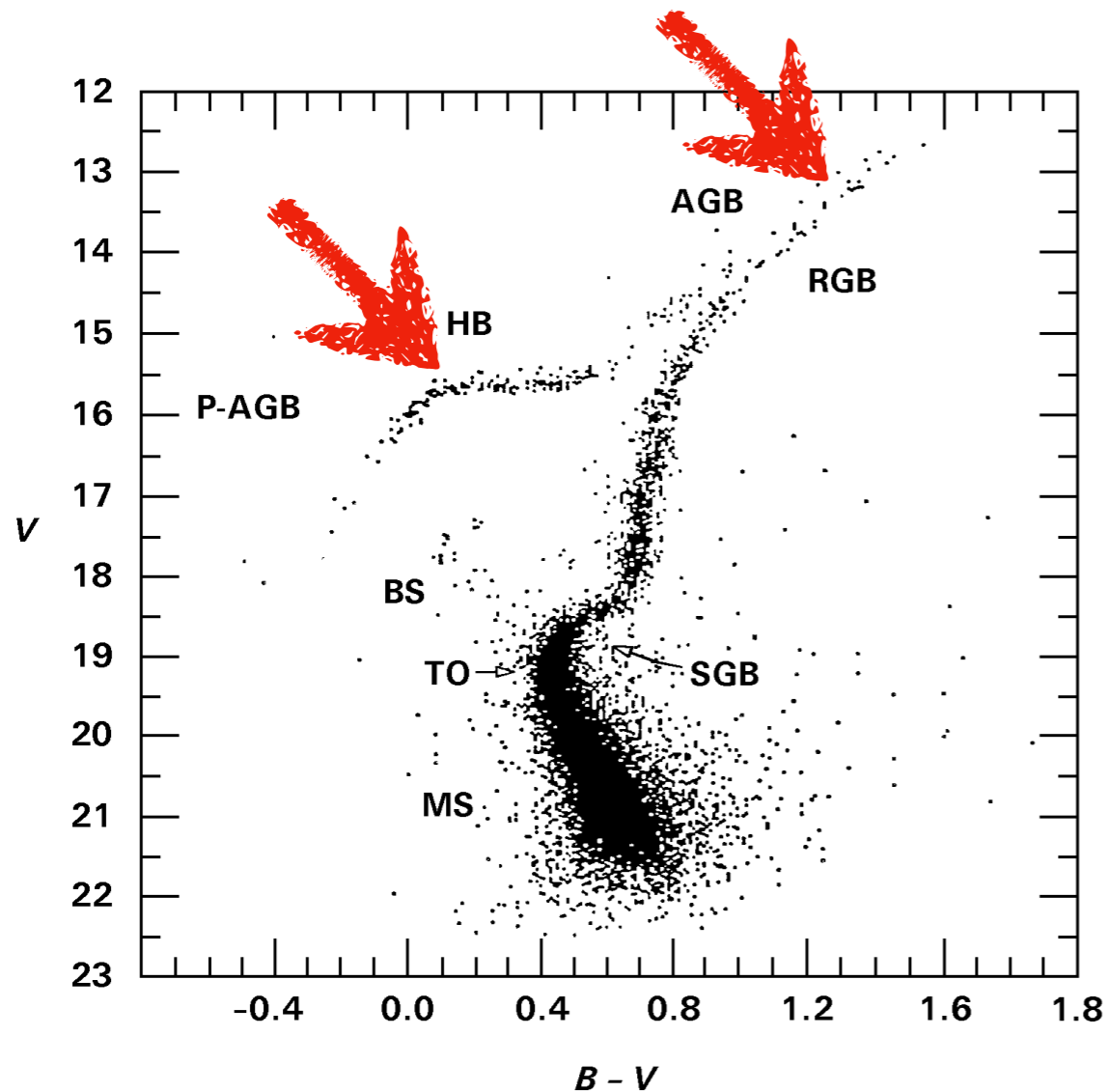
The analysis showed a slight discrepancy between the predicted and observed *R*-parameter.



Stellar Evolution → AGB and R2

The number ratio of AGB over HB is the R_2 -parameter

$$R_2 = \frac{N_{\text{AGB}}}{N_{\text{HB}}}$$



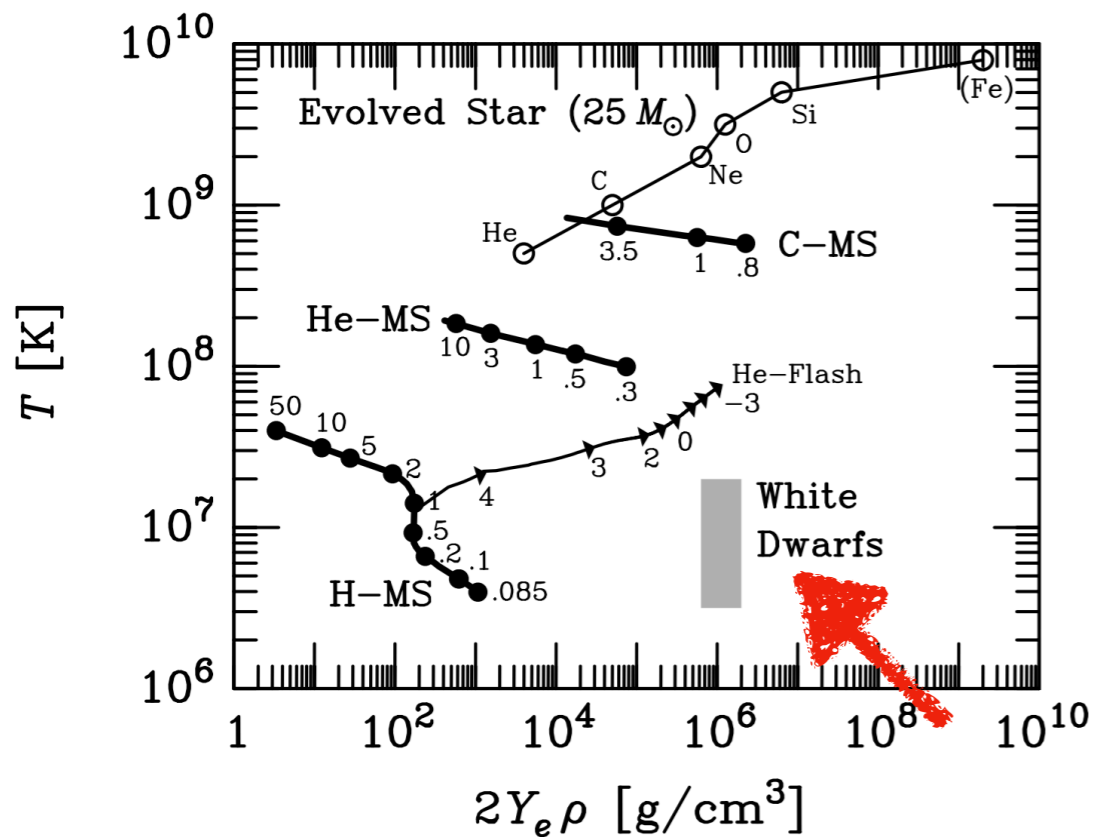
Recent bound using measurements of R_2 from Hubble Space Telescope photometry of 48 globular clusters

$$g_{ay} \leq 4.7 \cdot 10^{-11} \text{ GeV}^{-1}$$

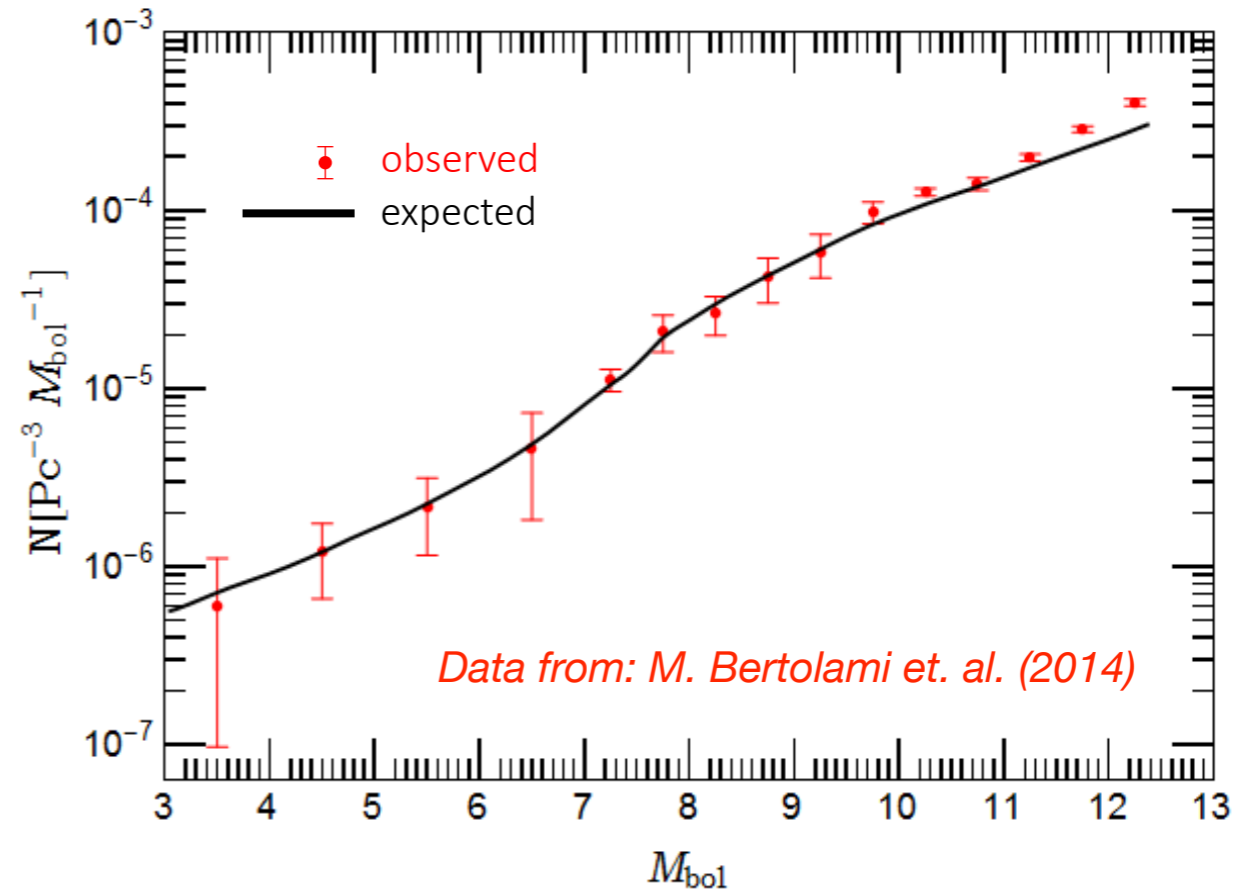
Dolan, Hiskens, Volkas, [JCAP 10 \(2022\)](#)

Stellar Evolution → White Dwarfs

Lighter stars go to the AGB at the end of the central He, and end up as CO White Dwarfs.



G. Raffelt, Stars as Laboratories (1996).



The WDLF is a powerful way to measure the cooling efficiency

$$\frac{dN_{\text{WD}}}{dV dL} \propto \frac{1}{L_{\gamma} + L_{\nu} + L_x}$$

Stellar Evolution: WD

WD Variables (WDV)

Measures of the period change rate in WD variables offer a way to test the cooling of WDs

$$\dot{P}/P \propto \dot{T}/T$$

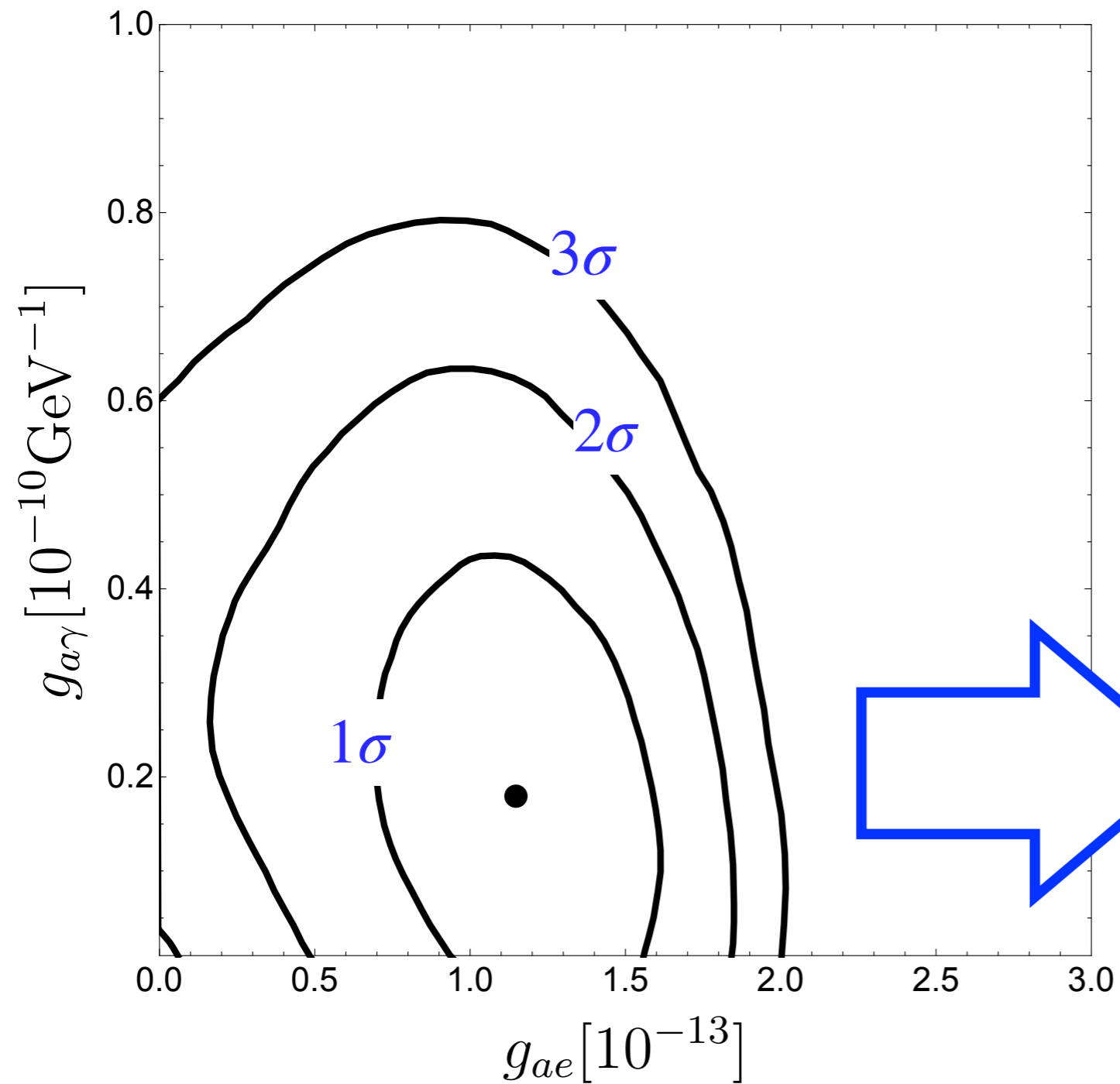
Star	$P(s)$	$\dot{P}_{\text{obs}}(s/s)$	$\dot{P}_{\text{th}}(s/s)$
G117 - B15A	215	$(4.2 \pm 0.7) \times 10^{-15}$	$(1.25 \pm 0.09) \times 10^{-15}$
R548	213	$(3.3 \pm 1.1) \times 10^{-15}$	$(1.1 \pm 0.09) \times 10^{-15}$
PG 1351+489	489	$(2.0 \pm 0.9) \times 10^{-13}$	$(0.81 \pm 0.5) \times 10^{-13}$
L 19-2 (113)	113	$(3.0 \pm 0.6) \times 10^{-15}$	$(1.42 \pm 0.85) \times 10^{-15}$
L 19-2 (192)	192	$(3.0 \pm 0.6) \times 10^{-15}$	$(2.41 \pm 1.45) \times 10^{-15}$

L. Di Luzio, M.G., E. Nardi, L. Visinelli, Phys.Rept. 870 (2020)

Observations over the past ~30 yr showed consistently $\dot{P}_{\text{obs}} > \dot{P}_{\text{th}}$, which seems to imply an overly efficient cooling.

Many works starting from Isern, Hernanz, Garcia-Berro (1992)

Global Fits



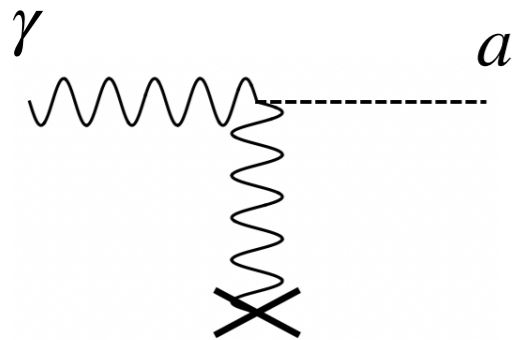
Many recent results from stars

Global analysis of WDs, RGB and HB stars shows a **preference for finite axion couplings** with electrons and photons

For QCD models →
meV to ~100 meV mass region

Supernova axions

Extreme environment $\rho \sim 3 \times 10^{14} \text{ g cm}^{-3}$, $T \sim 30 \text{ MeV}$.

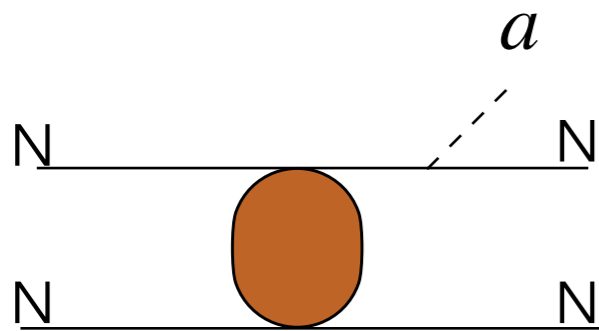


Primakoff requires $\propto g_{a\gamma}^2$

J. Brockway, E. Carlson, G. Raffelt, Phys. Lett. B 383, 439 (1996);

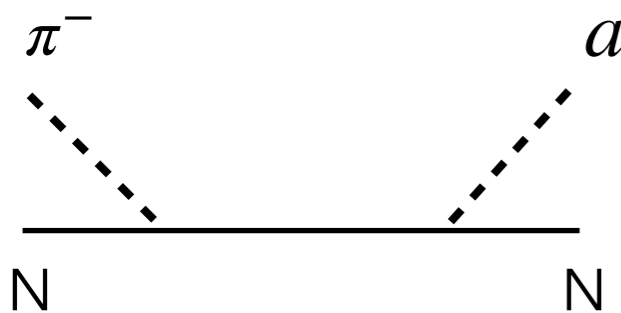
J. Grifols, E. Masso, R. Toldra, Phys. Rev. Lett. 77, 2372 (1996)

A. Payez, C. Evoli, T. Fischer, M. G., A. Mirizzi, A. Ringwald, JCAP 1502 (2015).



Bremsstrahlung $\propto g_{aN}^2$

P. Carenza et al., JCAP 10 (2019) 10, 016



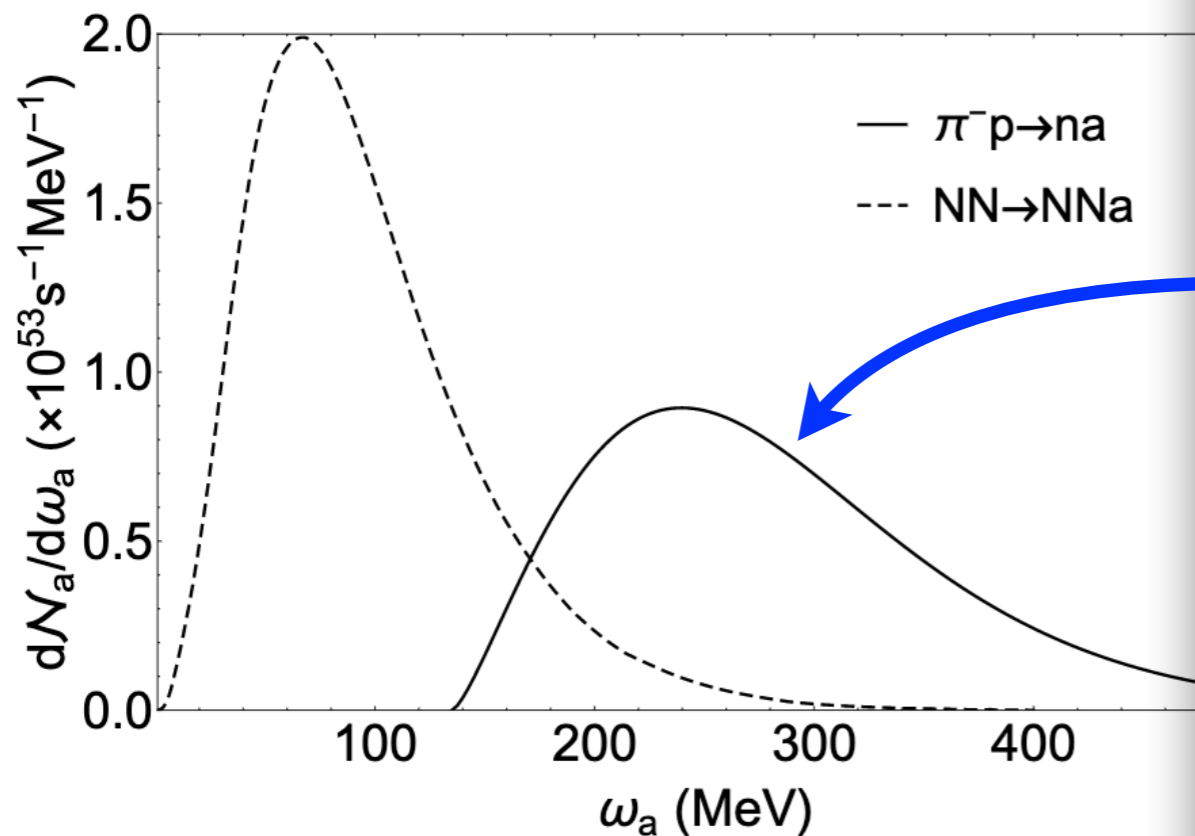
Pion induced $\propto g_{aN}^2$

P. Carenza, B. Fore, M.G., A. Mirizzi, S. Reddy, Phys.Rev.Lett. 126 (2021)

Pion abundance was underestimated. Breakthrough result in

B. Fore and S. Reddy, Phys. Rev. C 101, 035809 (2020)

Supernova axions



Significant theoretical progress in last 2 years

- Pions in SN: Larger than expected fraction of π^-

B. Fore and S. Reddy, *Phys.Rev.C* 101 (2020);

B. Fore et al., arXiv:2301.07226 (2023)

- Significance of the $\pi + N \rightarrow N + a$ processes. Dominates the axion emission rate.

Harder spectrum.

P. Carena et al., *Phys.Rev.Lett.* 126 (2021);

A. Lella et al, *Phys.Rev.D* 107 (2023) 10

- Inclusion of the axion-pion contact interaction. Significant contribution to $\pi + N \rightarrow N + a$.

Irrelevant contribution to $N + N \rightarrow N + N + a$

K. Choi et al., *JHEP* 02 (2022) 143

- Inclusion of the Δ resonance contribution in pion process

Ho, Kim, Ko, Park, *Phys.Rev.D* 107 (2023) 7

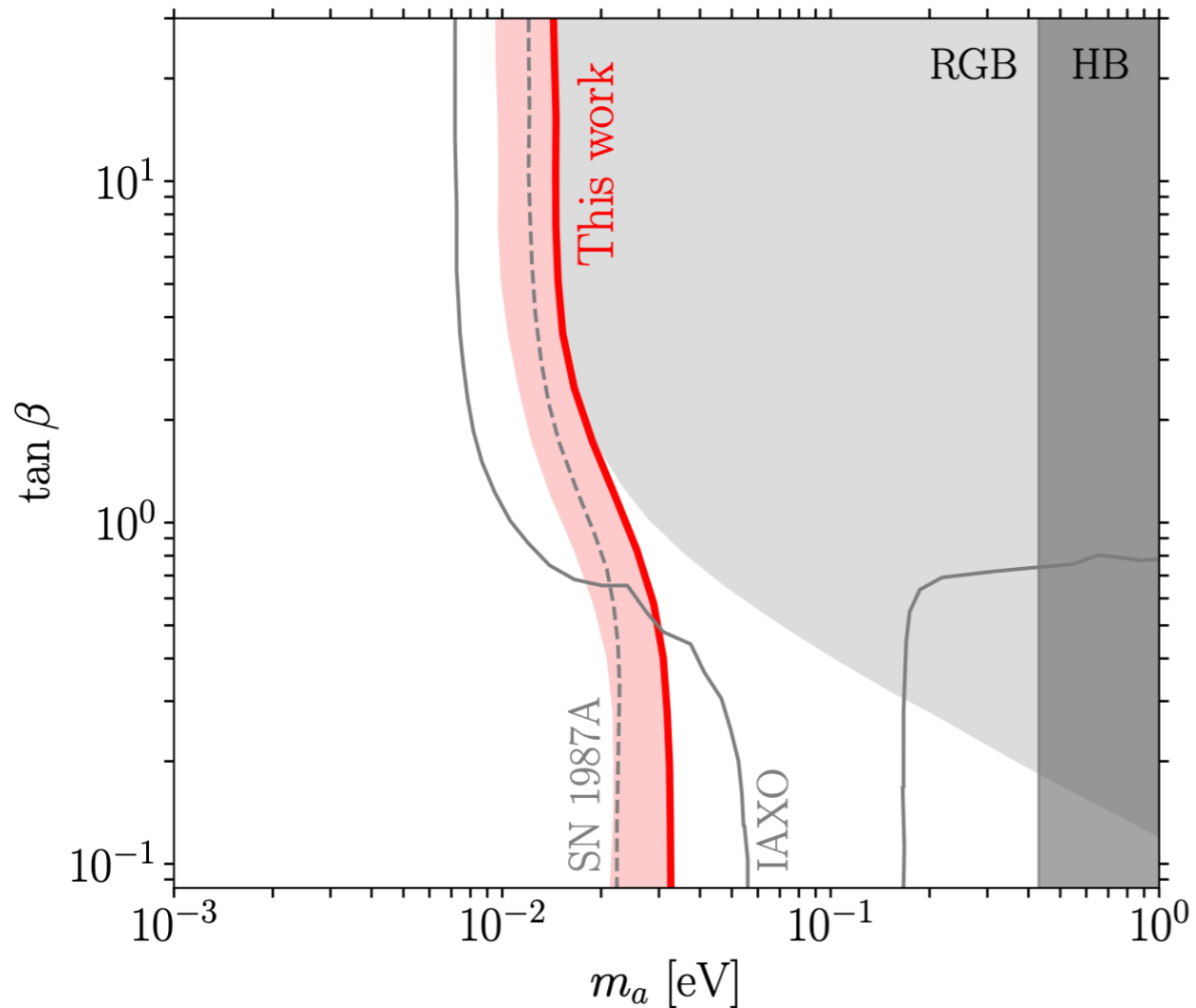
- Direct detection of SN axions

Ge, Hamaguchi, Ichimura, Ishidoshiro, Kanazawa, *JCAP* 11 (2020);

Vonk, Guo, Meißner, *Phys.Rev.D* 105 (2022)

Neutron Stars

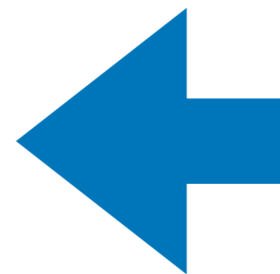
A lot of progress also with the Neutron Star bound



The cooling of a NS can be observed through many years. Exotic cooling would modify these observations.

- D M. Beznogov et al. *Phys.Rev.C* 98 (2018) 3
- K. Hamaguchi et al. *Phys.Rev.D* 98 (2018) 10
- Armen Sedrakian, *Phys.Rev.D* 99 (2019) 4
- M. Buschmann et al., *Phys.Rev.Lett.* 128 (2022) 9

Bound on the DFSZ axion



M. Buschmann et al., *Phys.Rev.Lett.* 128 (2022) 9

Axion Telescopes?

Axions could be excellent astrophysics messengers.

They could be far superior to photons and neutrinos to study some aspects of stellar evolution.

Dedicated axion experiments could be used to access the solar core and to learn about various solar properties:

- Solar magnetic field

C. A. J. O'Hare, A. Caputo, A. J. Millar, E. Vitagliano [Phys.Rev.D 102 \(2020\) 4](#)



- Solar temperature profile

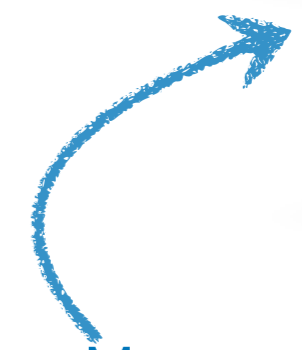
S. Hoof, J. Jaeckel, L. J. Thormaehlen, [arXiv:2306.00077](#)

- Solar chemical composition

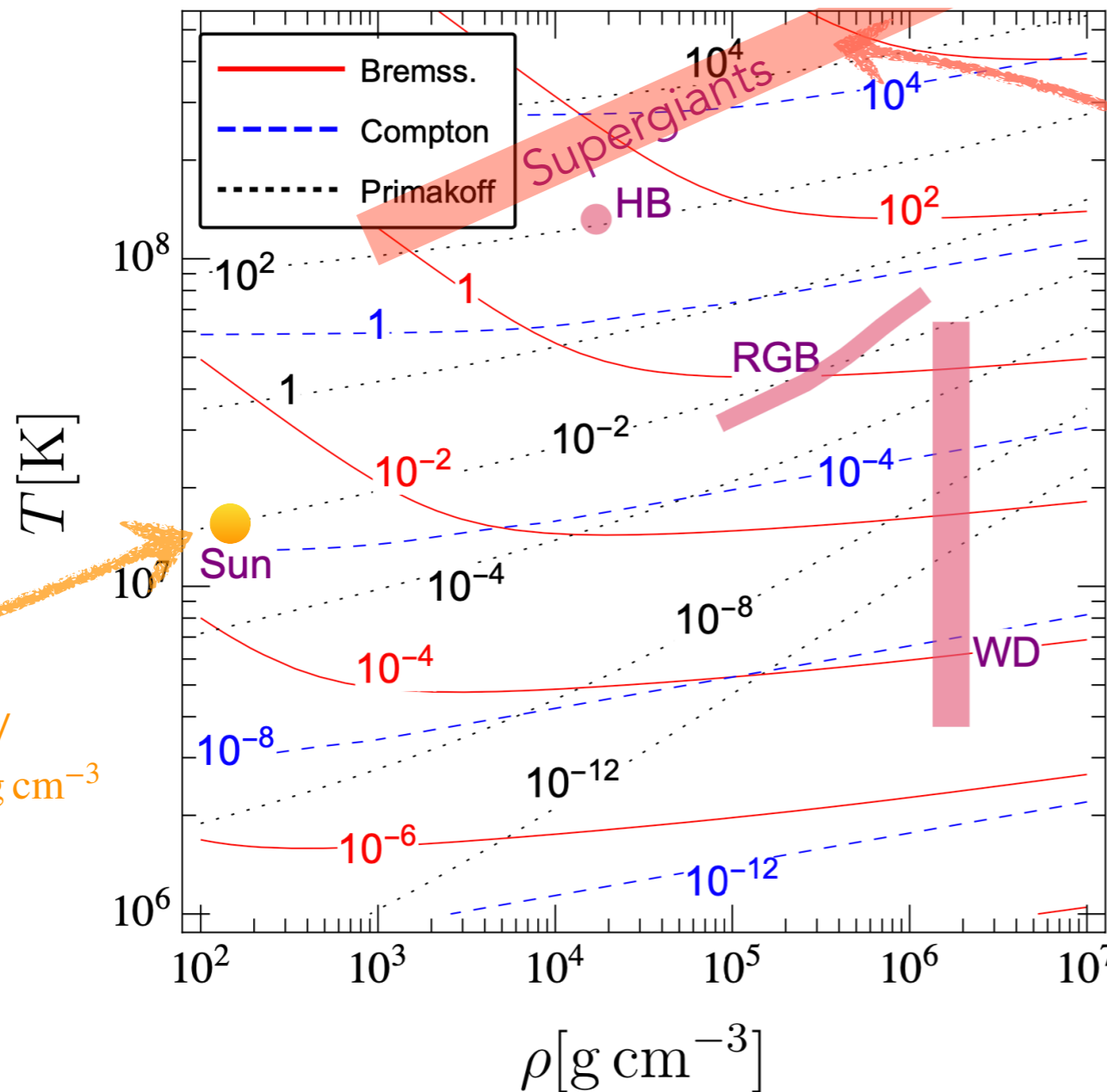
J. Jaeckel, L. J. Thormaehlen, [Phys.Rev.D 100 \(2019\) 12](#)

Other stars?

-  SN
 $T_c \simeq 30 \text{ MeV}$
 $\rho_c \simeq 3 \times 10^{14} \text{ g cm}^{-3}$
-  NS



Monster Stars
(See talk by A. Lella)



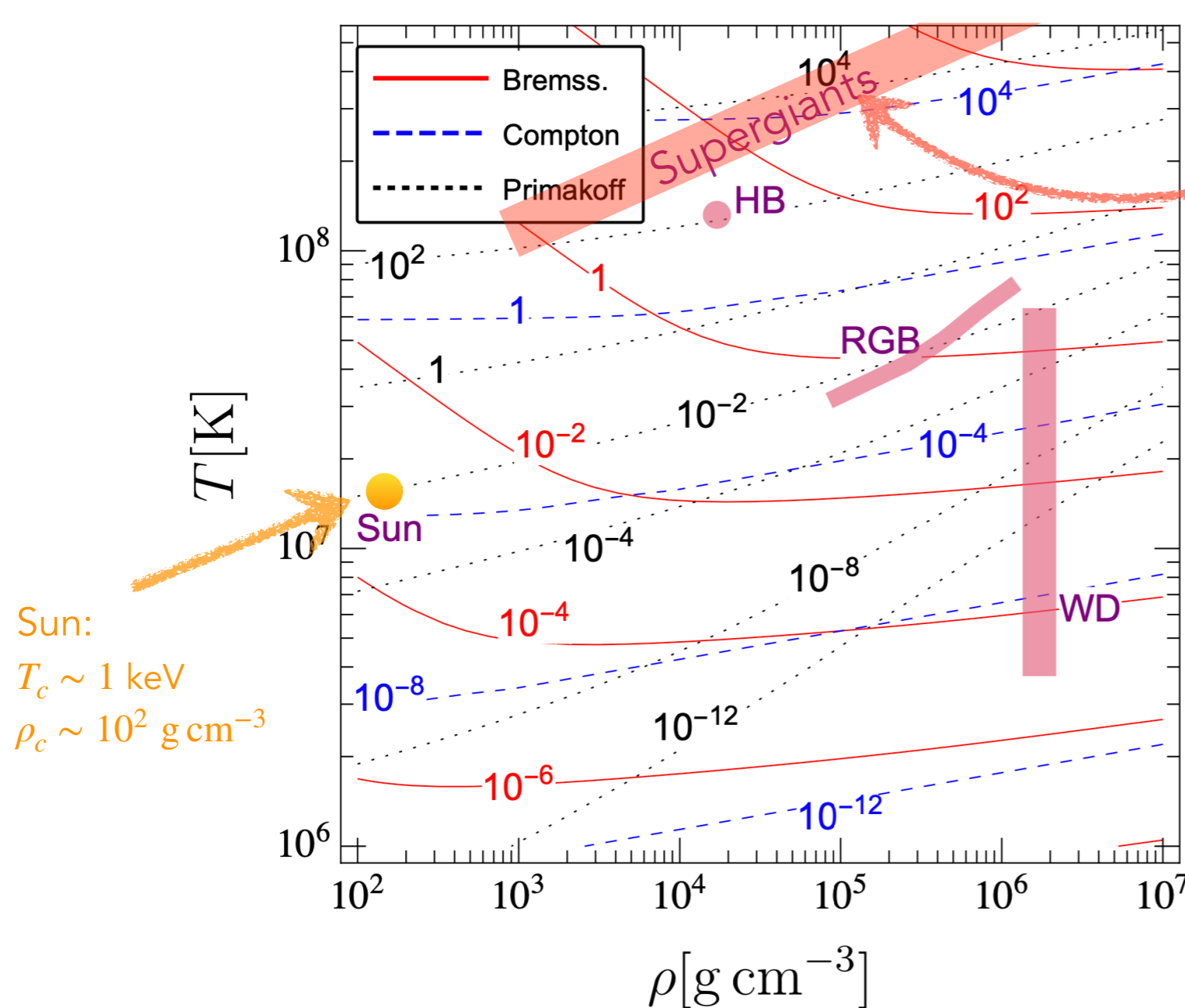
Sun:
 $T_c \sim 1 \text{ keV}$
 $\rho_c \sim 10^2 \text{ g cm}^{-3}$

Supergiants:
 T_c and ρ_c depend on
 mass and
 evolutionary stage

The sun is quite an unremarkable
 star... but yet, likely, our best bet

Supergiant Stars

Axion production is very sensitive to temperature



Supergiant stars are much hotter than the sun, especially in late evolutionary stages
 → efficient axion production.

The axion spectrum would offer a very precise map of the supergiant evolution
 → Excellent telescope for supergiant

Axion telescopes for massive stars

Model	Phase	t_{cc} [yr]	Photons		Axions		
			$\log_{10}(L_{\text{eff}}/L_{\odot})$	$\log_{10}(T_{\text{eff}}/\text{K})$	C	E_0 [keV]	β
0	He burning	155000	4.90	3.572	1.36	50	1.95
1	before C burning	23000	5.06	3.552	4.0	80	2.0
2	before C burning	13000	5.06	3.552	5.2	99	2.0
3	before C burning	10000	5.09	3.549	5.7	110	2.0
4	before C burning	6900	5.12	3.546	6.5	120	2.0
5	in C burning	3700	5.14	3.544	7.9	130	2.0
6	in C burning	730	5.16	3.542	12	170	2.0
7	in C burning	480	5.16	3.542	13	180	2.0
8	in C burning	110	5.16	3.542	16	210	2.0
9	in C burning	34	5.16	3.542	21	240	2.0
10	between C/Ne burning	7.2	5.16	3.542	28	280	2.0
11	in Ne burning	3.6	5.16	3.542	26	320	1.8
12	beginning of O burning	1.4	5.16	3.542	27	370	1.8

Axions are sensitive to the evolution and can pin down t_{cc} from $\sim 10^{-5}$ yr **M.**

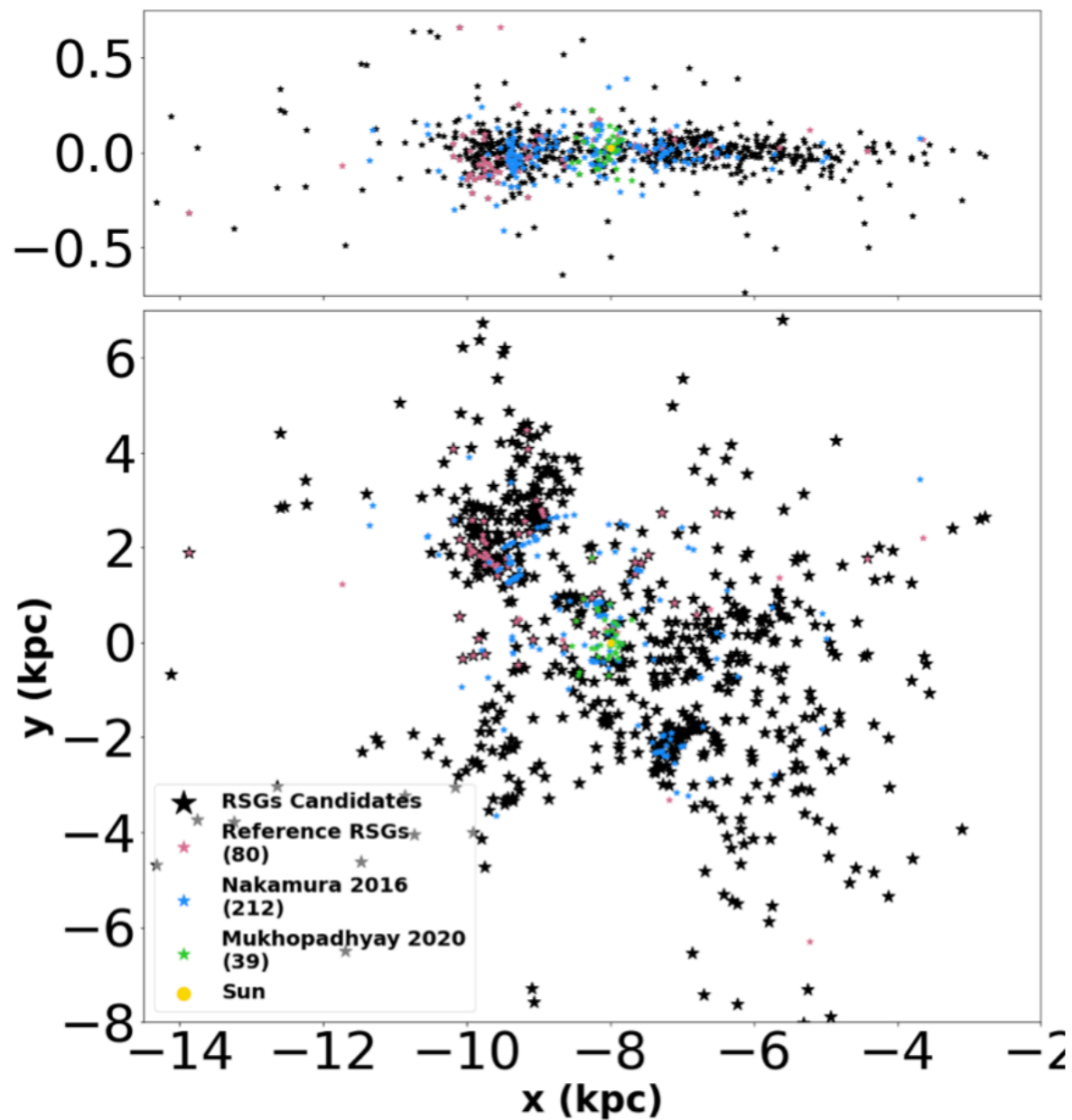
Xiao et al., [Phys.Rev.Lett. 126 \(2021\) 3, 031101](#)

$$\frac{d\dot{N}_a}{dE} = \frac{10^{42} C g_{11}^2}{\text{keV s}} \left(\frac{E}{E_0} \right)^{\beta} e^{-(\beta+1)E/E_0} \quad \text{Axion spectrum}$$

Axions are sensitive to all late evolutionary stages. Surface photons are not.

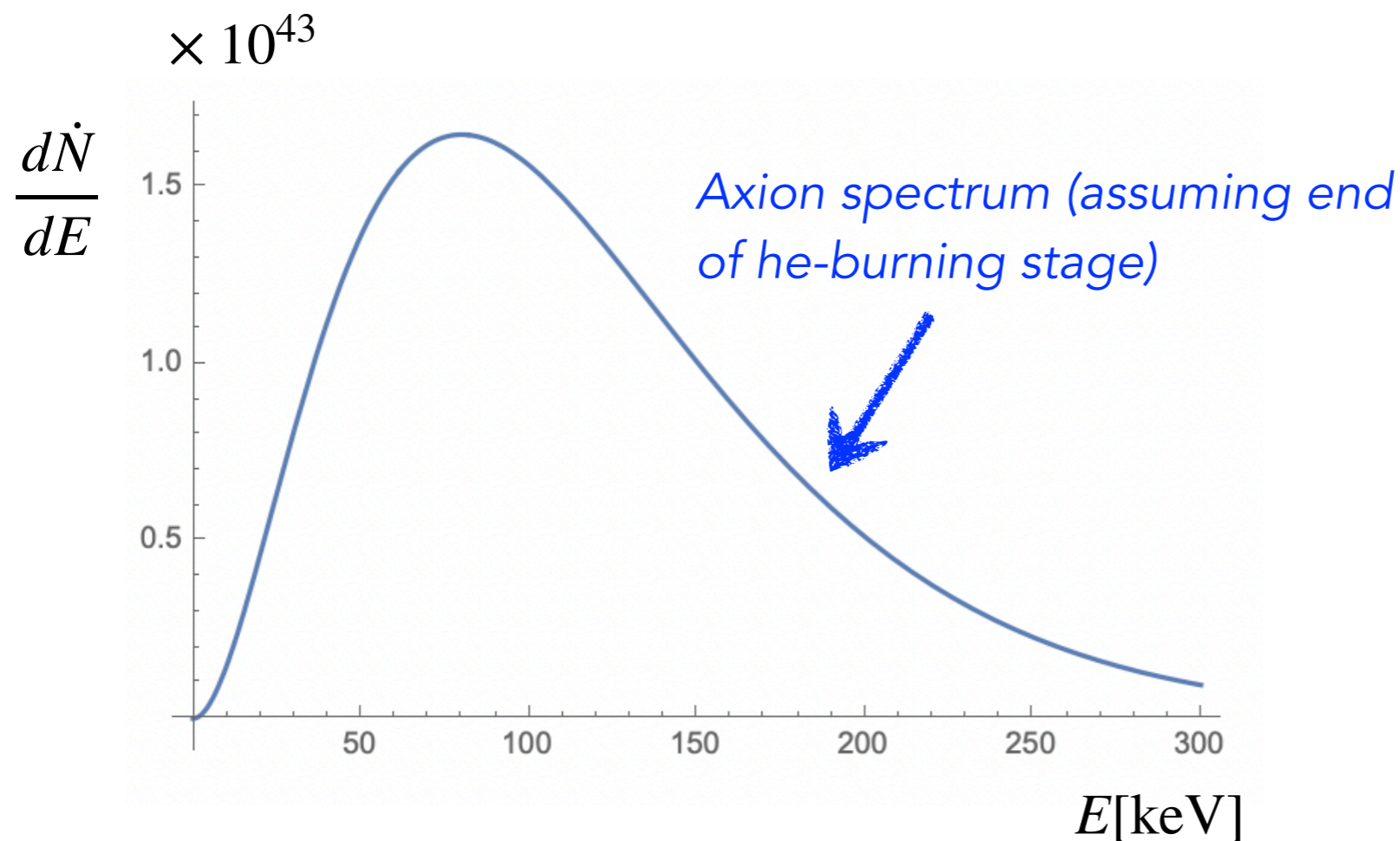
Where should we look ?

Brand new catalog of Red SG, Sarah Healy et al., [arXiv:2307.08785](https://arxiv.org/abs/2307.08785)



Many stars are at a few kpc from the Sun.

Axion telescopes for massive stars. Is it feasible?



Total: $\sim 10^{45}$ axions/s,
(many more than from the sun) peaked at ~ 100 keV

... however, in the case of Betelgeuse (~ 200 pc from us) $\Rightarrow 0(10^3)$ axions $\text{cm}^{-2} \text{s}^{-1}$.

Too little for current experiments!

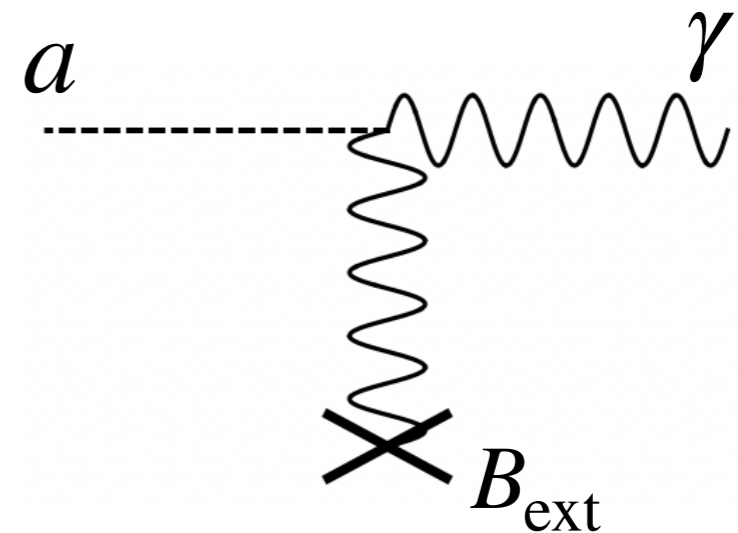
Axion telescopes for massive stars. Is it feasible?

Axions can convert into photons in the magnetic field between us and the star

$$P_{a\gamma} = 8.7 \times 10^{-6} g_{11}^2 \left(\frac{B_T}{1 \mu\text{G}} \right)^2 \left(\frac{d}{197 \text{ pc}} \right)^2 \frac{\sin^2 q}{q^2}$$

(Assuming B uniform)

$g_{11} \leq 6.5$ from helioscope
(CAST) bound



Axion telescopes for massive stars. Is it feasible?

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There is a very high price to pay!

This term effectively limits to $m_a \lesssim 10^{-10} \text{ eV}$

Axion telescopes for massive stars. Is it feasible?

Axions can convert into photons in the **magnetic field** between us and the star

$$P_{a\gamma} = 8.7 \times 10^{-6} g_{11}^2 \left(\frac{B_T}{1 \mu\text{G}} \right)^2 \left(\frac{d}{197 \text{ pc}} \right)^2 \frac{\sin^2 q}{q^2} \quad (\text{Assuming B uniform})$$

$g_{11} \leq 6.5$ from helioscope
(CAST) bound

There is a very high price to pay!

This term effectively limits to $m_a \lesssim 10^{-10} \text{ eV}$

However, if we limit ourselves to $m_a \lesssim 10^{-10} \text{ eV}$, then $q \ll 1$ and the distance (practically) drops from the flux! We may get a very large flux $\sim 100 \text{ keV X-rays}$.

Can we see massive stars with an axion telescope?

Detecting Supernova axions?

General criterion (Raffelt) from observed ν -signal from SN 1987A:

$$\varepsilon_x \lesssim 10^{19} \text{ erg g}^{-1} \text{ s}^{-1} \quad @ \quad \rho = 3 \times 10^{14} \text{ g cm}^{-3}, T = 30 \text{ MeV}$$

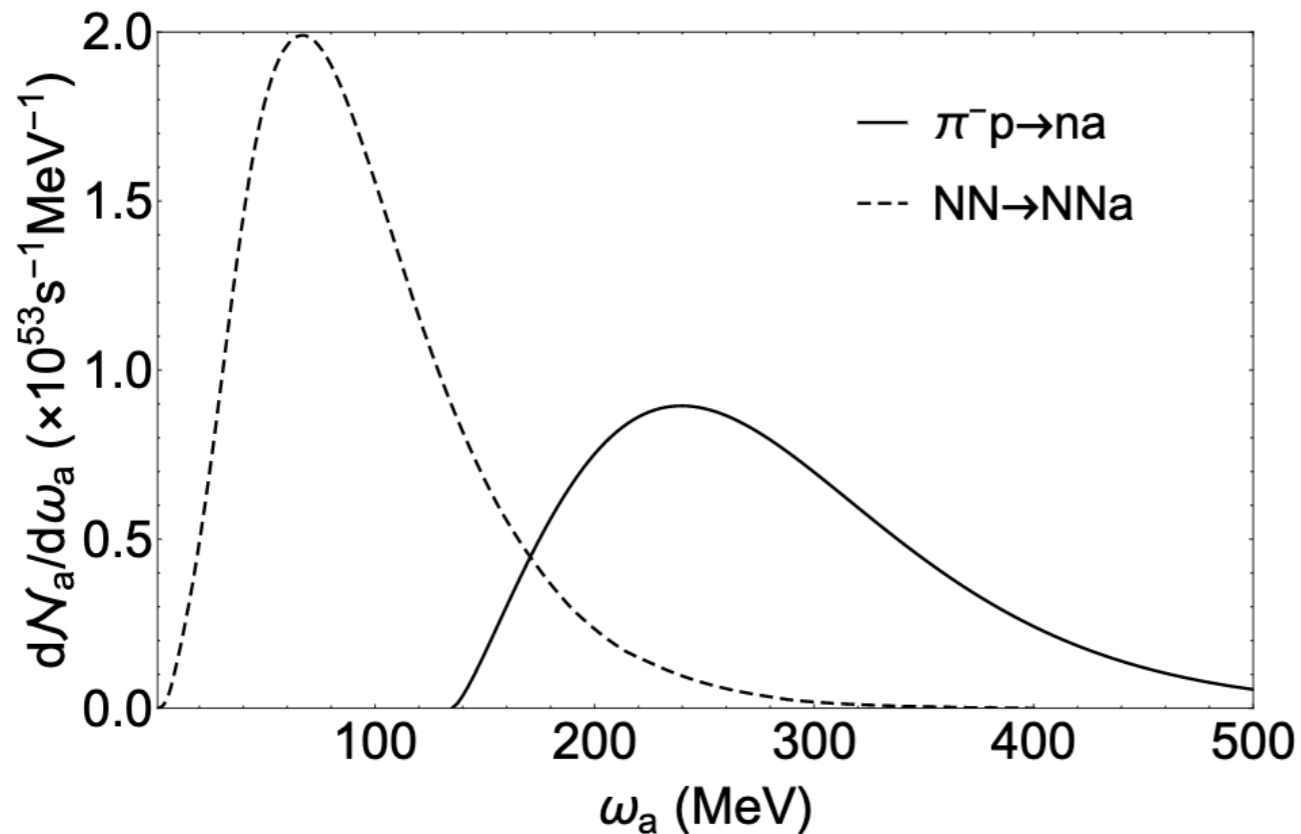
$\Rightarrow \sim 10^{52}$ erg/s for ~ 10 s in axions

Corresponds to $\sim 10^{56}$ axions/s.

About $\sim 10^{13} \text{ cm}^{-2} \text{ s}^{-1}$ axions on Earth from Betelgeuse

Huge flux... but short!

Looking into the SN core



Neutrinos tell us about the neutrino sphere but axions could tell us about the core.

A SN might produce a huge ALP flux on Earth $\sim 10^{13} \text{cm}^{-2} \text{s}^{-1}$ from Betelgeuse, larger than the flux from the Sun. However, short.

*Direct Detection? Water Cherenkov? Helioscopes?
All this is still under study*

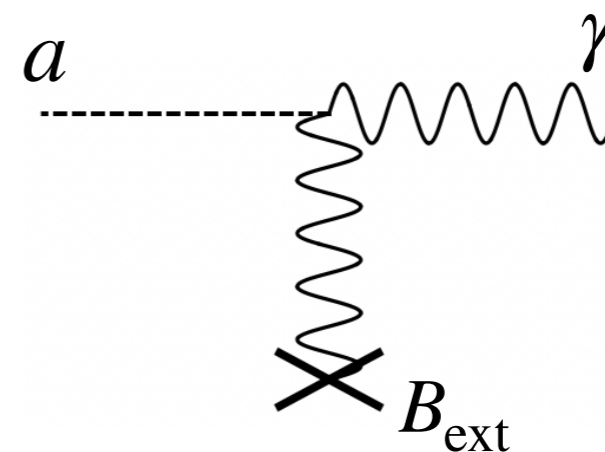
Carenza et al. (2023)
[arXiv:2306.17055](https://arxiv.org/abs/2306.17055)

Fermi LAT as Axion SN-Scope

SN axions:

→ photons in galactic B

M. Meyer, M. G., A. Mirizzi, J. Conrad, M.A. Sánchez-Conde, Phys.Rev.Lett. 118 (2017)



Very efficient at low mass

probes very low (sub neV) mass region, down to

$$g_{a\gamma} \sim 4 \times 10^{-12} \text{ GeV}^{-1}$$

Calore et al. [arXiv:2306.03925](https://arxiv.org/abs/2306.03925)

SN axions:

→ photons from decay into γ

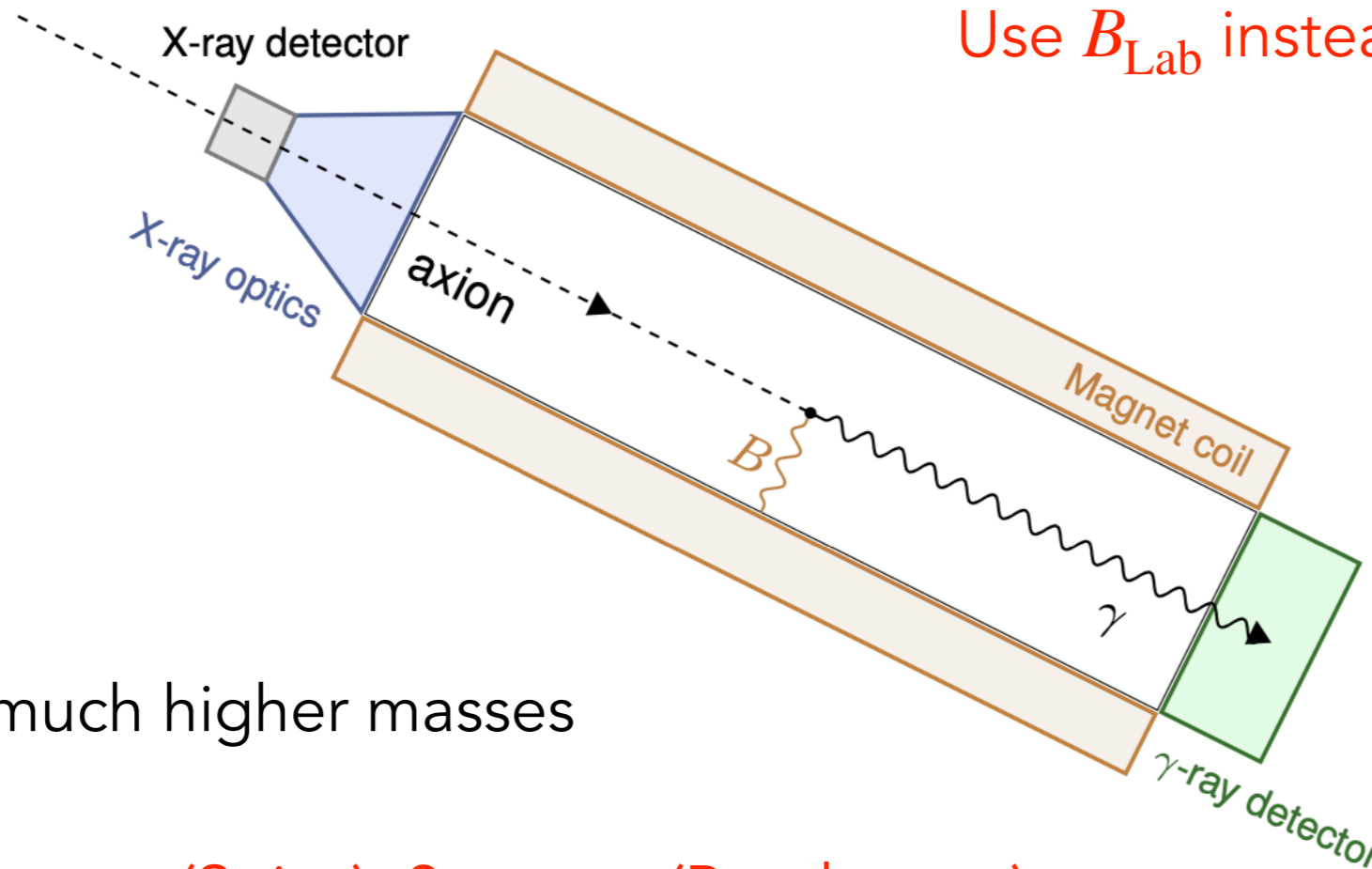
ALP decay into photons: constraints in the massive region $m_a \sim 1 - 100 \text{ MeV}$,

$$g_{a\gamma} \sim 10^{-11} - 10^{-12} \text{ GeV}^{-1}$$

E. Mülle et al., [arXiv:2304.01060](https://arxiv.org/abs/2304.01060)

Helioscopes as Axion SN-Scopes

SN



Recent proposal.

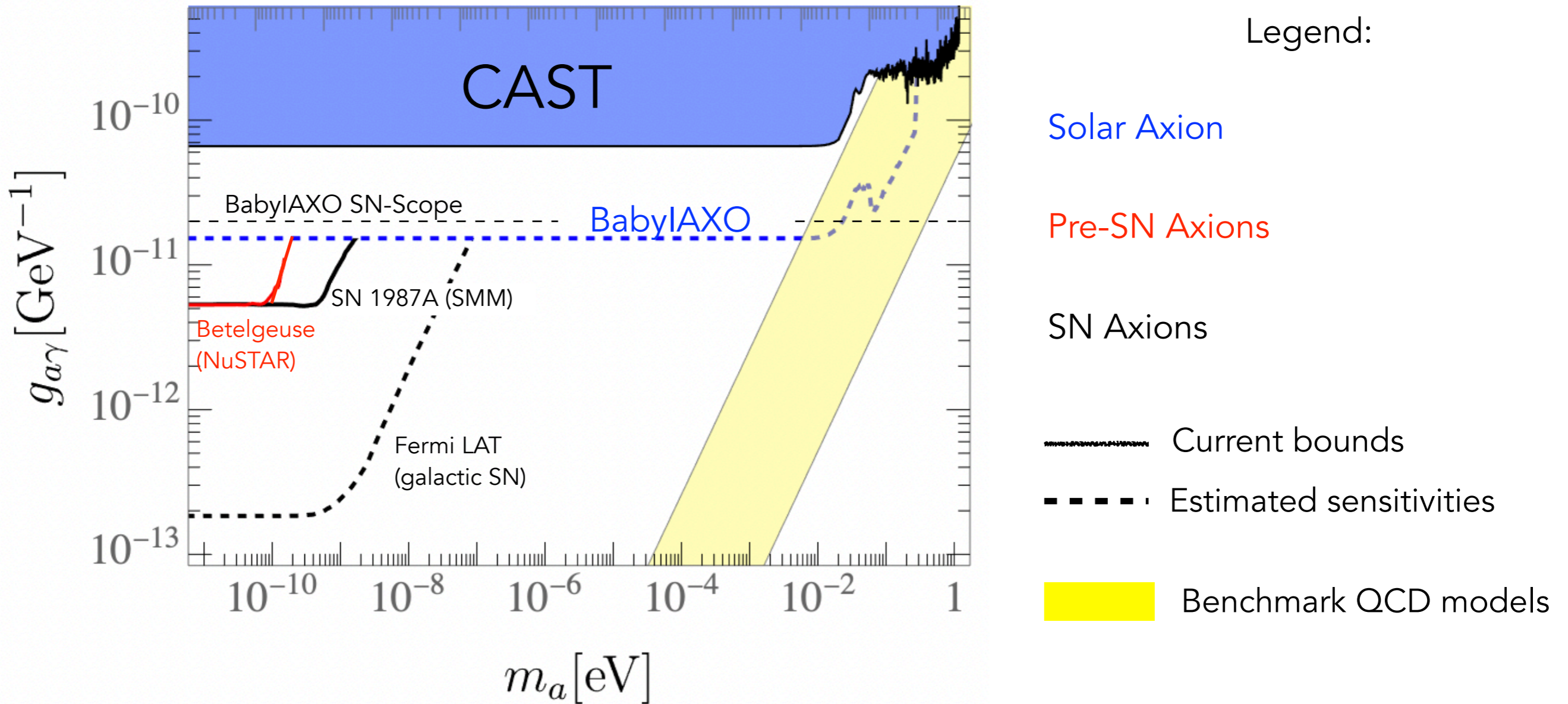
Use B_{Lab} instead of B_{galactic}

Axion helioscope
turned around

Efficient to much higher masses

Up to ~ 12 events (Spica), 2 events (Betelgeuse)
with BabyIAXO setup

Summary: Detecting stellar axions

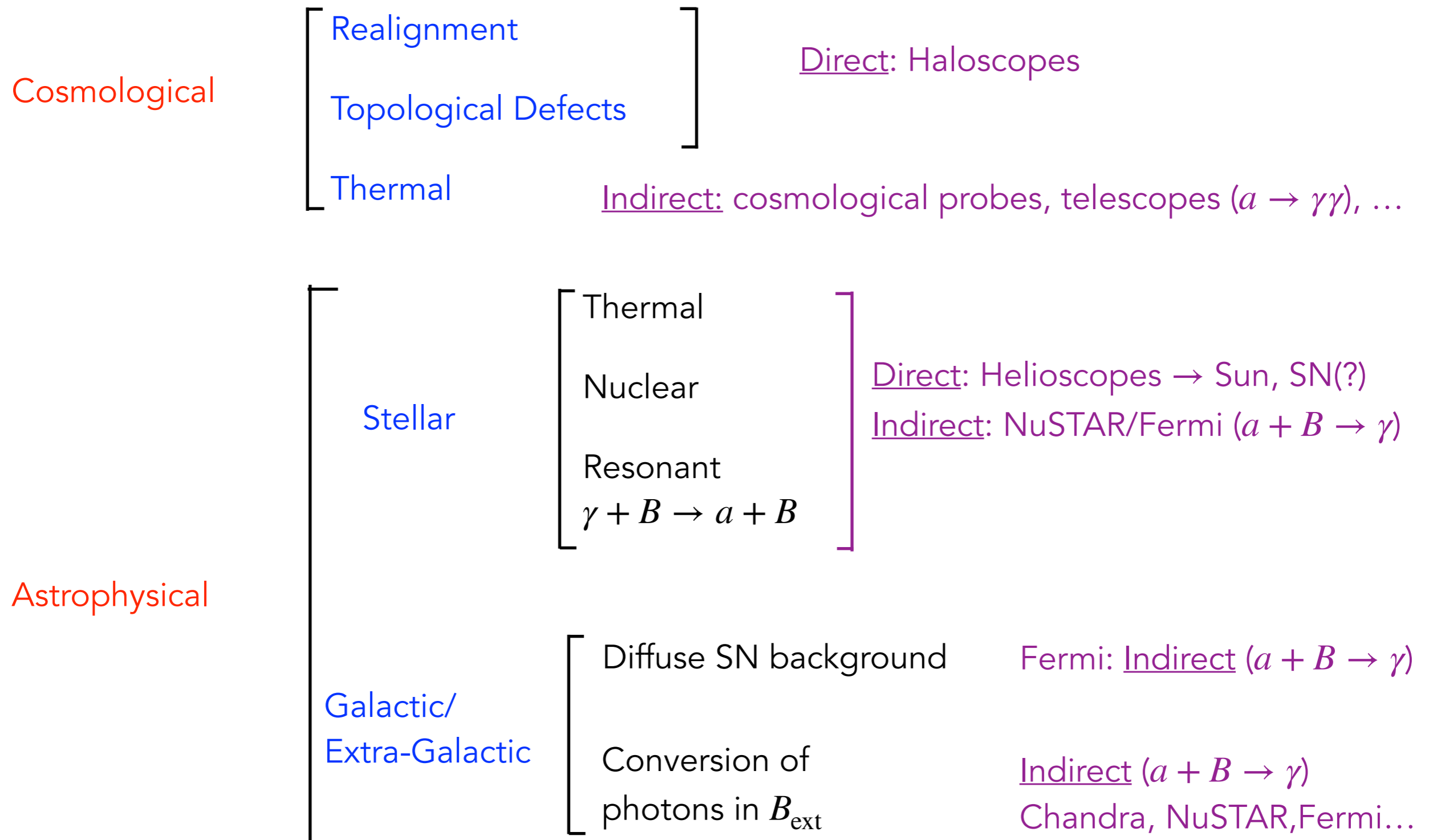


Part 3:
Experimental
Hunts

Natural Axion/ALP sources

Cosmological	[Realignment]	Non-thermal:	$E_a \simeq m_a$
		Topological Defects			
		Thermal		HDM	
Astrophysical	[Stellar	[Thermal	$E_a \sim T_{\text{core}}, \text{ keV or } 100 \text{ MeV (SN)}$
				Nuclear	$E_a \sim \text{keV, MeV}$
				Resonant $\gamma + B \rightarrow a + B$	$E_a \sim \omega_{pl} \text{ (eV in the sun)}$
		Galactic/ Extra-Galactic		Diffuse SN background	$\sim 100 \text{ MeV}$
				Conversion of photons in B_{ext}	High to very high energy

Natural Axion/ALP sources



Hunting down the elusive axions

Our focus: $m_a \lesssim 1\text{eV}$.

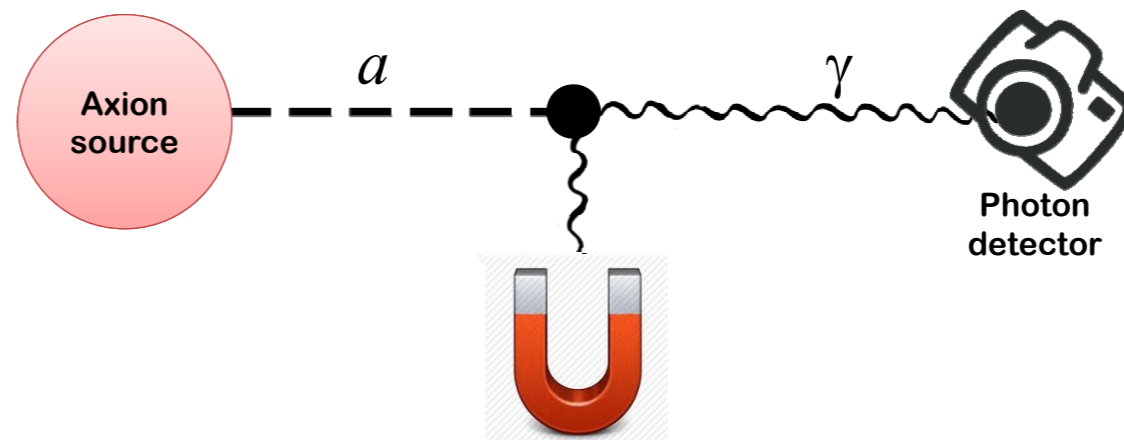
Specific experimental challenges, and combination of specific know-hows

→ cross-disciplinary technology transfer:

E.g., high-field magnets, super-conduction, RF techniques, X-ray optics, low background detection, low radioactivity techniques, quantum sensors, atomic physics, etc.

Most (but not all) of the axion detection strategies rely on the axion-photon coupling $g_{a\gamma}$.

In many (but not all) cases
detection strategies make use
of the axion-photon coupling
 $a \vec{E} \cdot \vec{B}$



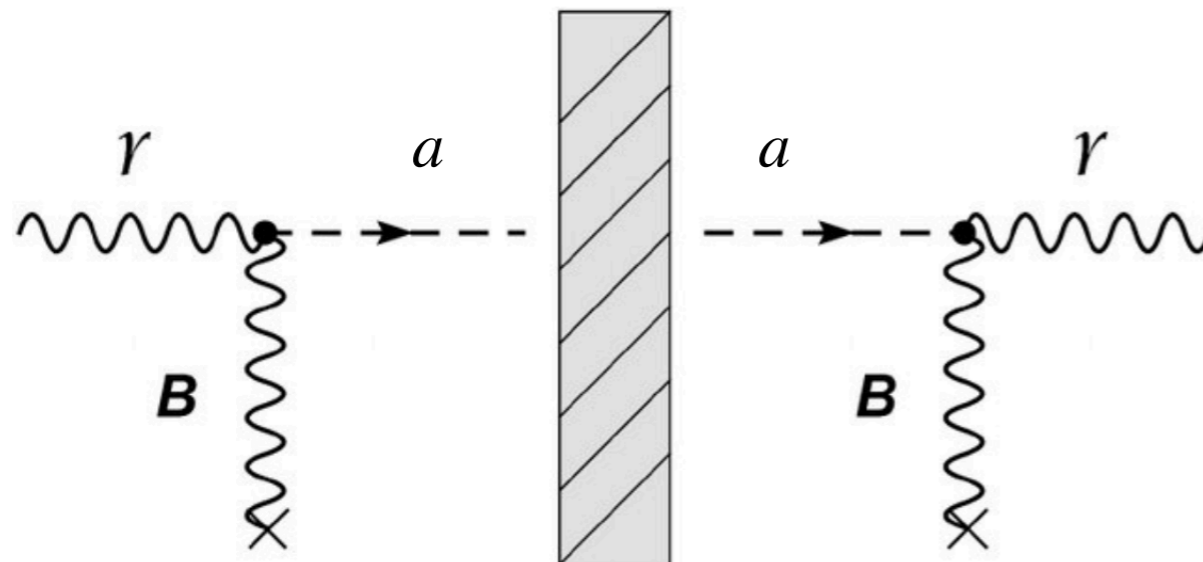
Sikivie (1983)

Detection method	$g_{a\gamma}$	g_{ae}	g_{aN}	$g_{A\gamma n}$	$g_{a\gamma}g_{ae}$	$g_{a\gamma}g_{aN}$	$g_{ae}g_{aN}$	$g_N\bar{g}_N$	Model dependency
Light shining through wall	×								no
Polarization experiments	×								no
Spin-dependent 5th force			×				×	×	no
Helioscopes	×				×	×			Sun
Primakoff-Bragg in crystals	×				×				Sun
Underground ion. detectors	×	×	×			×	×		Sun*
Haloscopes	×								DM
Pick up coil & LC circuit	×								DM
Dish antenna & dielectric	×								DM
DM-induced EDM (NMR)			×	×					DM
Spin precession in cavity		×							DM
Atomic transitions		×	×						DM

Table 3: List of the axion detection methods discussed in the review, with indication of the axion couplings (or product of couplings) that they are sensitive to, as well as whether they rely on astrophysical (axions/ALPs are produced by the Sun) or cosmological (the dark matter is made of axions/ALPs) assumptions. *Also “DM” when searching for ALP DM signals, see section 6.2

From I. Irastorza, J. Redondo,
[Prog.Part.Nucl.Phys. 102 \(2018\)](#)

Light Shining Through a Wall



Everything is under control. However, signal suppressed as $g_{a\gamma}^4$

Relativisti axions:

$$P_{a\gamma} = \left(\frac{g_{a\gamma} B L}{2} \right)^2 \frac{\sin^2(qL/2)}{(qL/2)^2}$$

B = magnetic field

L = magnet length

q = momentum transferred

$$q \simeq \frac{m_a^2 - m_\gamma^2}{2\omega}$$

Lost of coherence for $qL \gtrsim 1$

Light Shining Through a Wall

→ **ALPS** @ DESY and the **OSQAR** @ CERN are active LSW experiments.

Use powerful accelerator dipole magnets, from HERA (ALPS) and LHC (OSQAR).

In both cases the sensitivity drops above $m_a \sim 10^{-4}$ eV.

→ **CROWS** experiment @ CERN

Uses a different wavelength: microwaves!

Large scale MW LSW studied and proposed in the literature → **STAX**

LSW at X-rays also explored in the past (not large power)

→ Next Gen: **JURIA**. Concept being discussed at the Physics Beyond Colliders (PBC) group at CERN

- L ~ 1km, B ~ 13T, P ~ 2.5 MW, ... Very challenging parameters...
- Physics case to be settled (it may depend if positive signal in other expts)

Relativistic axions:

$$P_{a\gamma} = \left(\frac{g_{a\gamma} B L}{2} \right)^2 \frac{\sin^2(qL/2)}{(qL/2)^2}$$

B = magnetic field

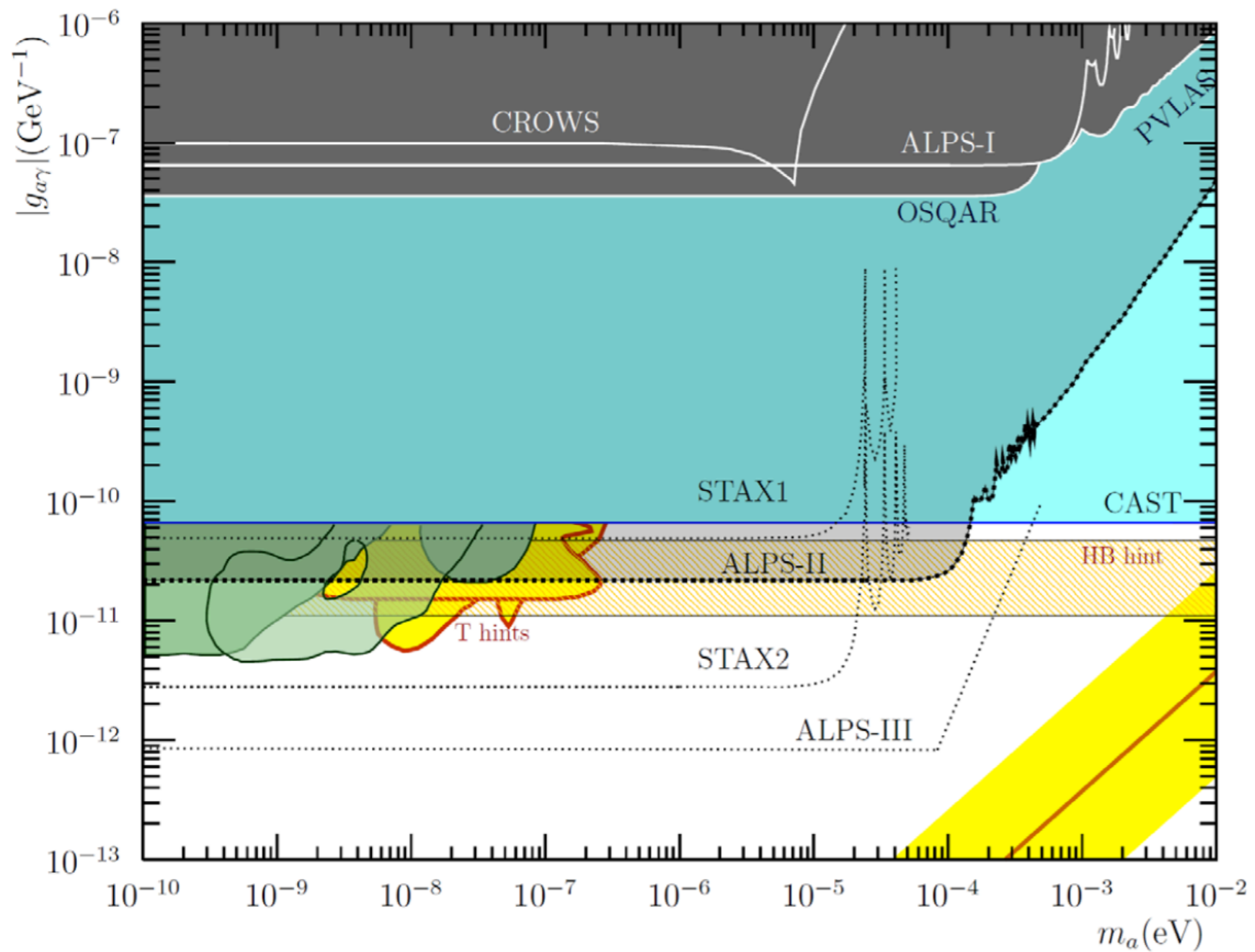
L = magnet length

q = momentum transferred

$$q \simeq \frac{m_a^2 - m_\gamma^2}{2\omega}$$

Lost of coherence for $qL \gtrsim 1$

Light Shining Through a Wall



LSW experiments

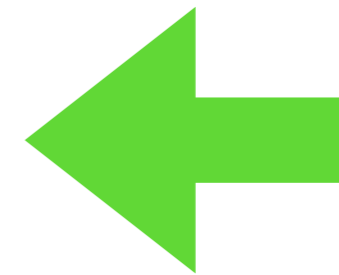


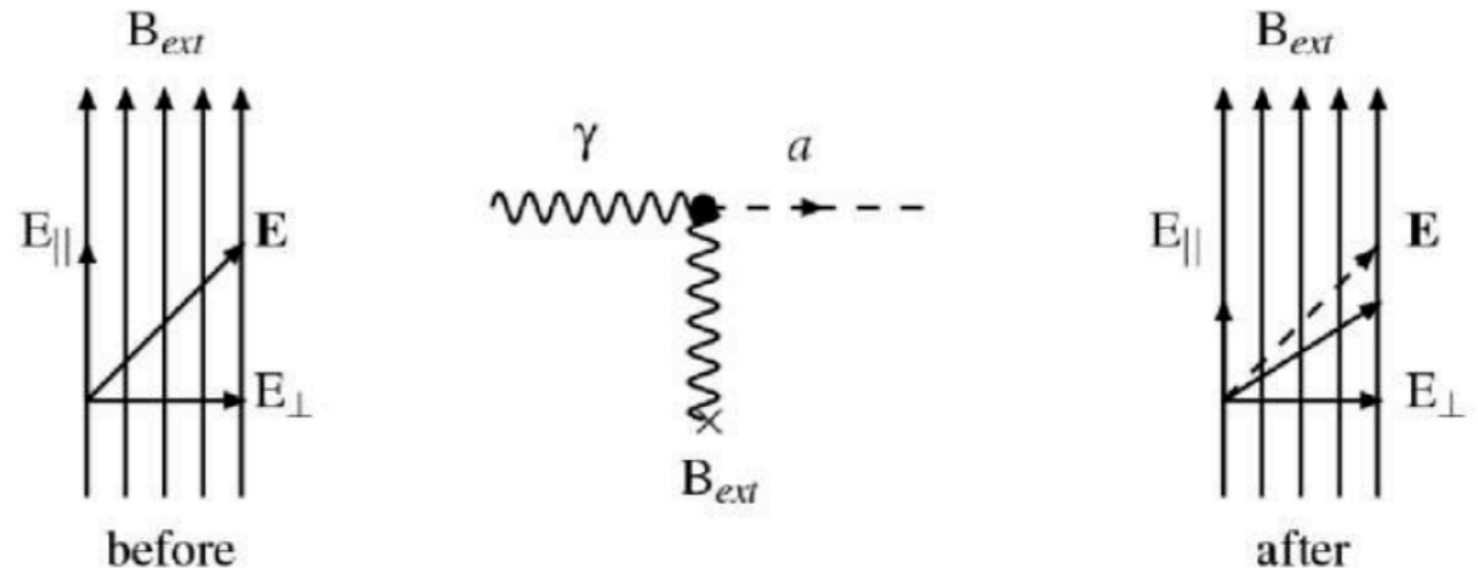
Figure and table from I. Irastorza, J. Redondo, *Prog.Part.Nucl.Phys.* 102 (2018)

Experiment	status	B (T)	L (m)	Input power (W)	β_P	β_R	$g_{a\gamma}[\text{GeV}^{-1}]$
ALPS-I [433]	completed	5	4.3	4	300	1	5×10^{-8}
CROWS [435]	completed	3	0.15	50	10^4	10^4	$9.9 \times 10^{-8} (*)$
OSQAR [434]	ongoing	9	14.3	18.5	-	-	3.5×10^{-8}
ALPS-II [436]	in preparation	5	100	30	5000	40000	2×10^{-11}
ALPS-III [437]	concept	13	426	200	12500	10^5	10^{-12}
STAX1 [438]	concept	15	0.5	10^5	10^4	-	5×10^{-11}
STAX2 [438]	concept	15	0.5	10^6	10^4	10^4	3×10^{-12}

Polarization experiments

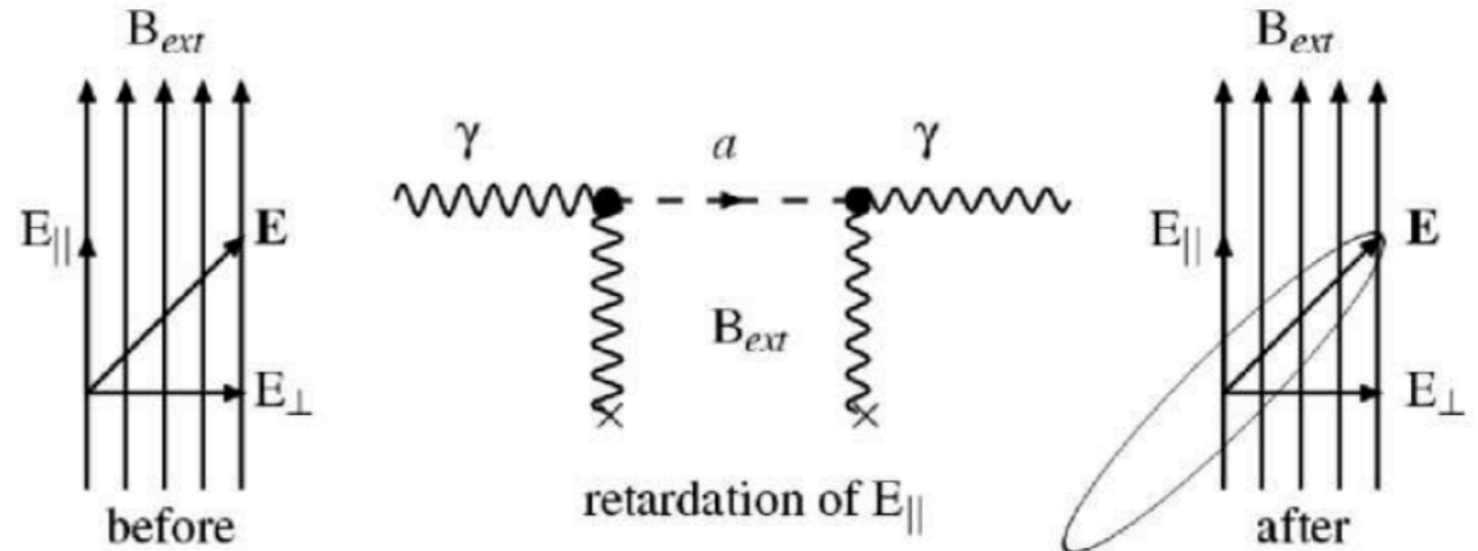
Dichroism:

Production of real particles



Ellipticity:

Production of massive virtual particles



PVLAS experiment: study QED vacuum birefringence (standard effect), but also sensitivity to ALPs:

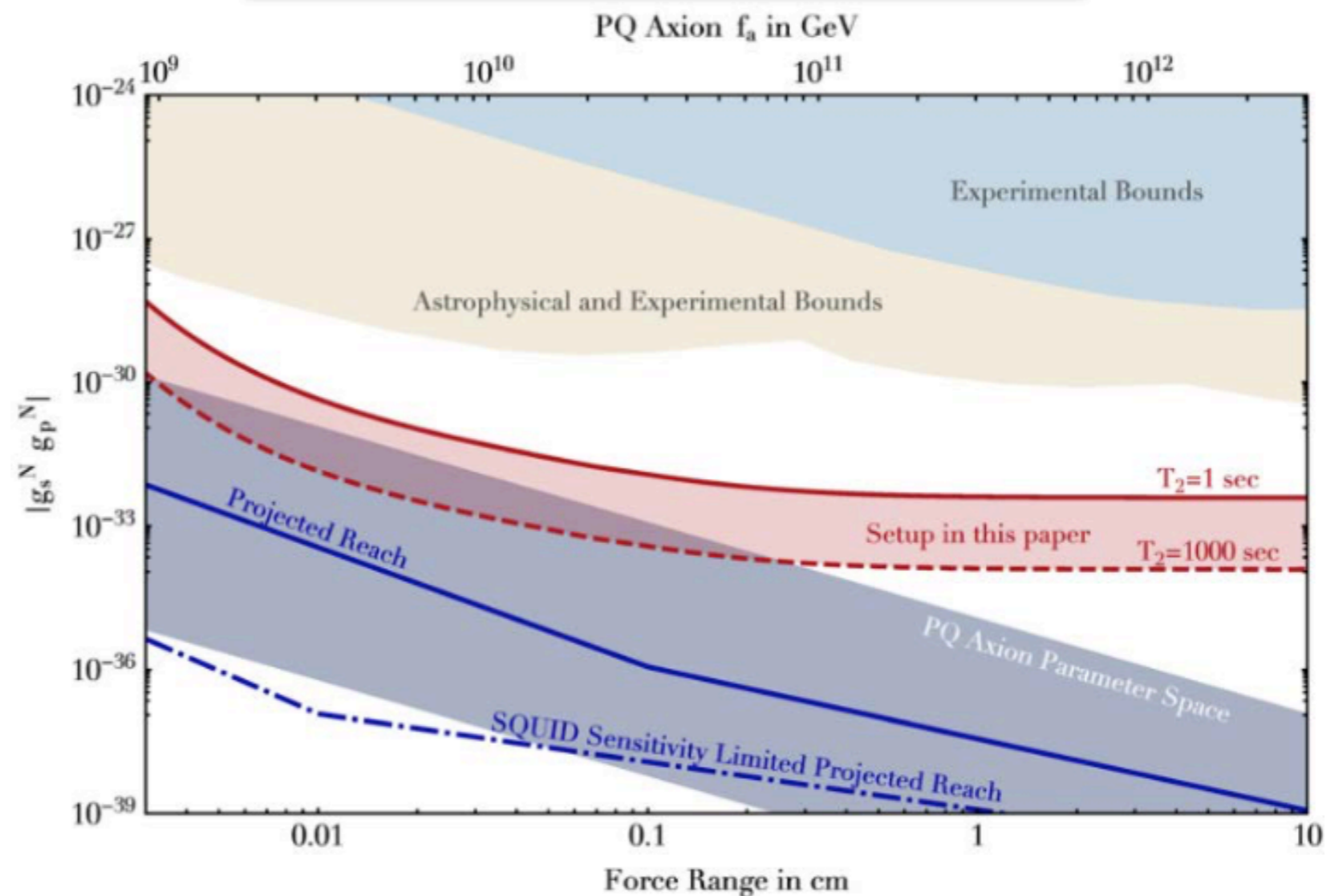
Future project under discussion at PBC: VMB@CERN

Long-range macroscopic forces

Recently proposed: ARIADNE experiment Short-range force by NMR technique
Good prospects for sub-meV axion

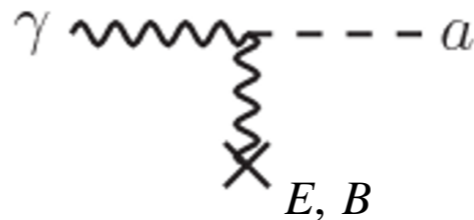
Notice, however, that the bounds shown are valid only under strong theoretical assumptions

Arvanitaki, Geraci
[Phys. Rev. Lett. 113, 161801 \(2014\)](#)



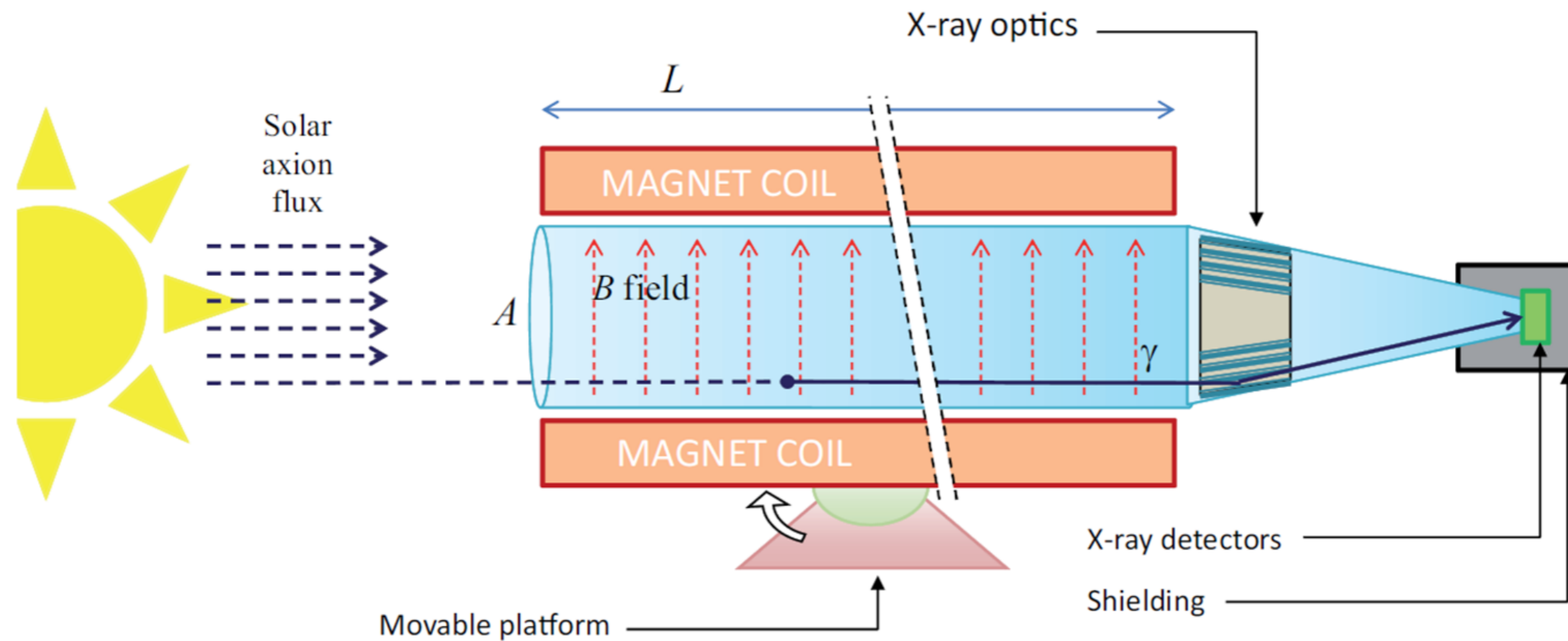
The Hunt for Solar Axions

Coupling	Process	Energy
$g_{a\gamma}$	Primakoff (E)	$\sim (3 - 4) \text{ keV}$
	Primakoff (B)	$\sim (10 - 200) \text{ eV (LP)}$ $\lesssim 1 \text{ keV (TP)}$
g_{ae}	ABC e.g., $e + Z_e \rightarrow Ze + e + a$	$\sim 1 \text{ keV}$
g_{aN}	nuclear reactions	
	$p + d \rightarrow {}^3\text{He} + a$	5.5 MeV
	Nuclear de-excitation	
	${}^{57}\text{Fe}^* \rightarrow {}^{57}\text{Fe} + a$	14.4 keV
${}^7\text{Li}^* \rightarrow {}^7\text{Li} + a$	0.478 MeV	
${}^{83}\text{Kr}^* \rightarrow {}^{83}\text{Kr} + a$	9.4 keV	



Hunting Solar Axions: Sikivie Helioscope

P. Sikivie PRL 51:1415 (1983)



Rescalable: increasing collecting area, length, and B.

Sensitivity

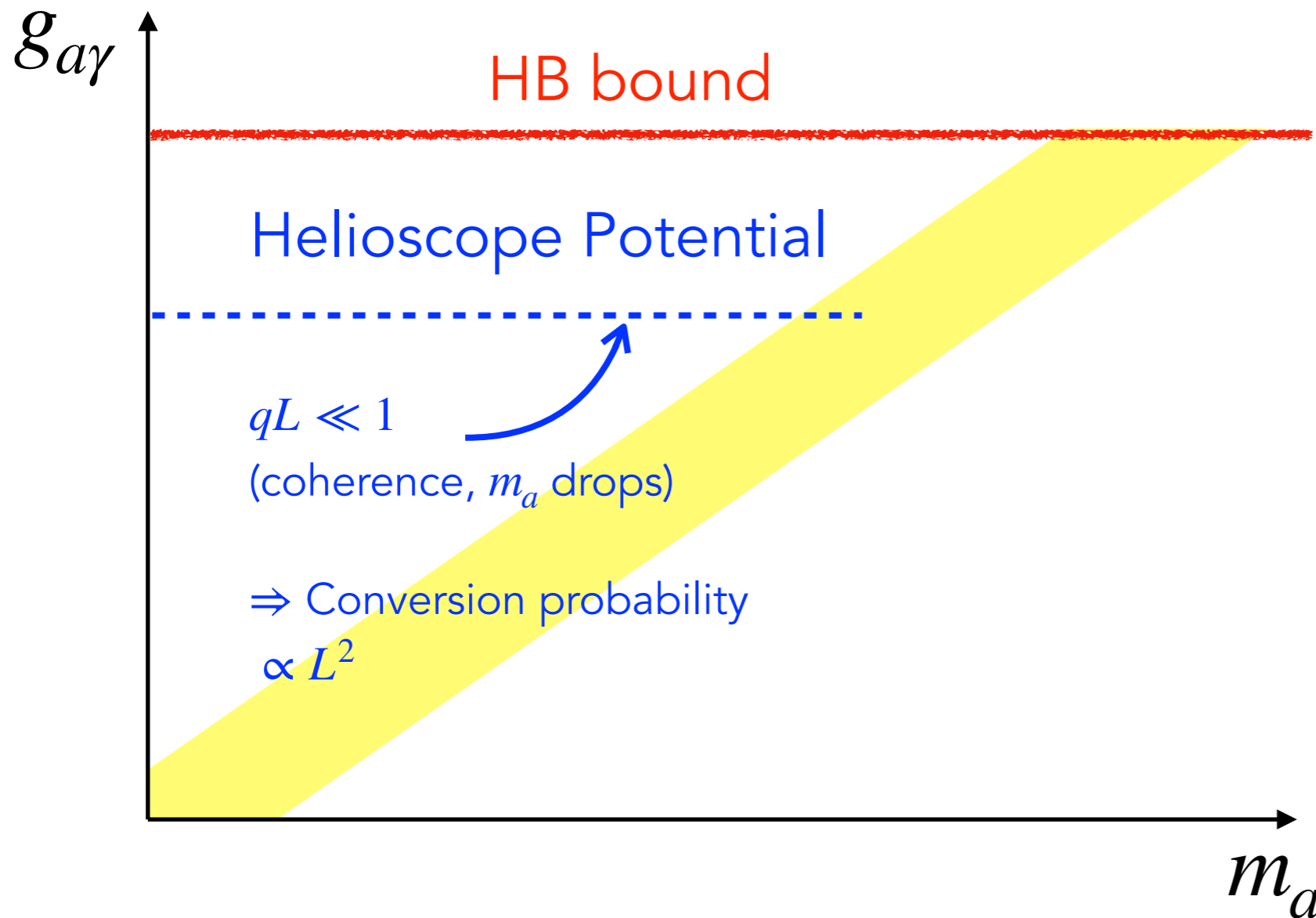
$$P_{a\gamma} = \left(\frac{g_{a\gamma} BL}{2} \right)^2 \frac{\sin^2(qL/2)}{(qL/2)^2}$$

B = magnetic field

L = magnet length

q = momentum transfer

$$q \simeq \frac{m_a^2 - m_\gamma^2}{2\omega}$$



Sensitivity

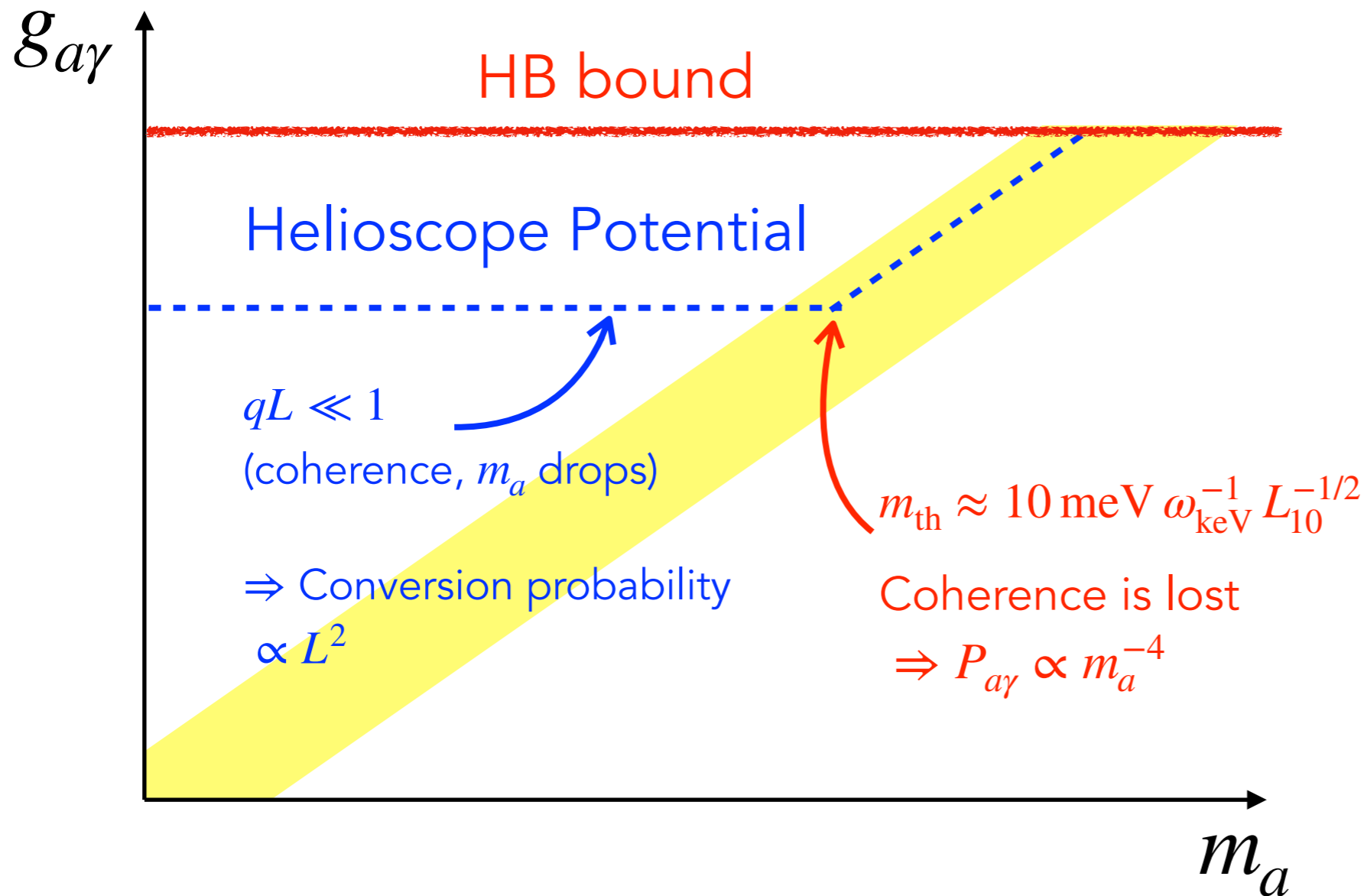
$$P_{a\gamma} = \left(\frac{g_{a\gamma} BL}{2} \right)^2 \frac{\sin^2(qL/2)}{(qL/2)^2}$$

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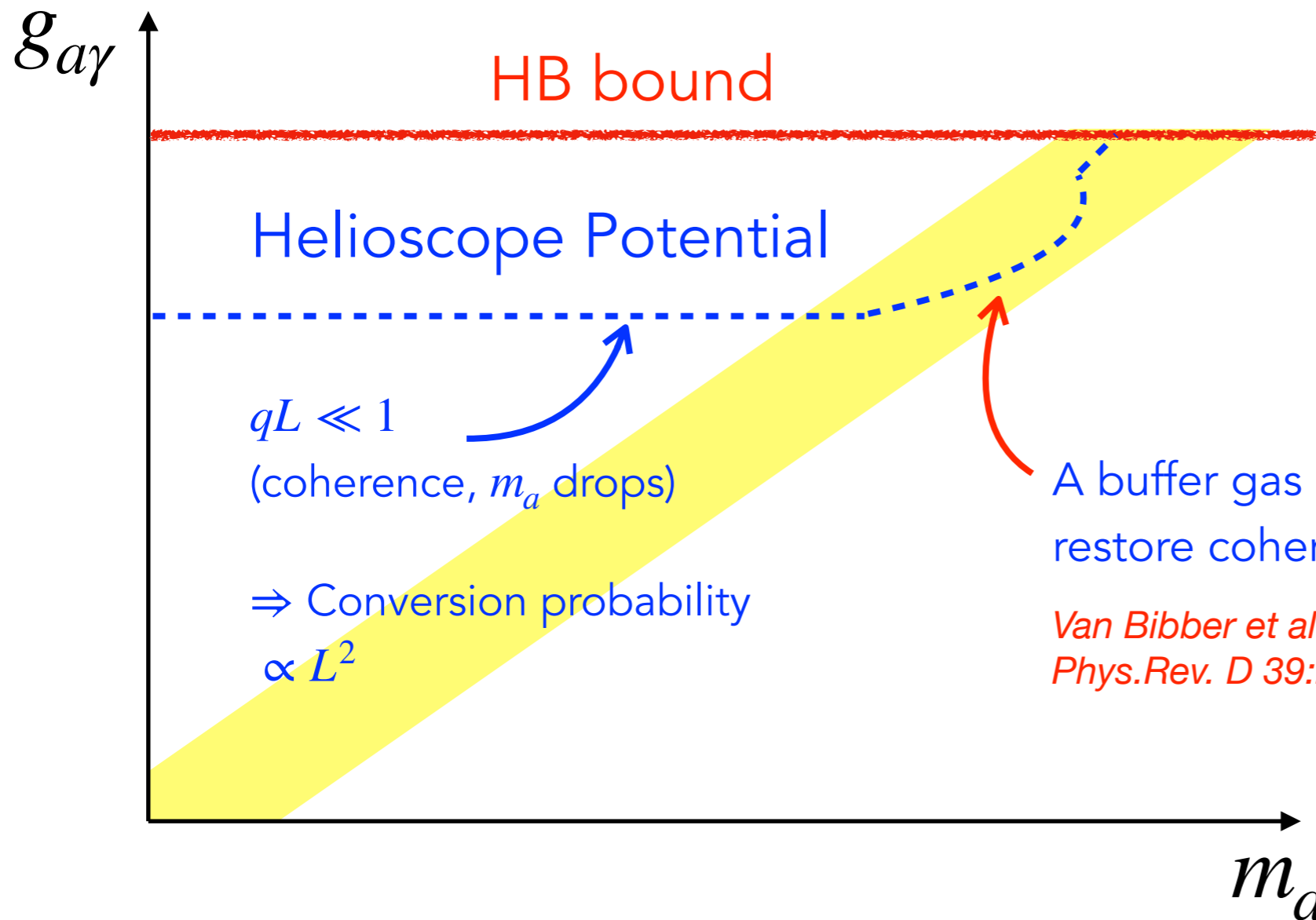
Sensitivity

$$P_{a\gamma} = \left(\frac{g_{a\gamma} BL}{2} \right)^2 \frac{\sin^2(qL/2)}{(qL/2)^2}$$

B = magnetic field

L = magnet length

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$$q \simeq \frac{m_a^2 - m_\gamma^2}{2\omega}$$

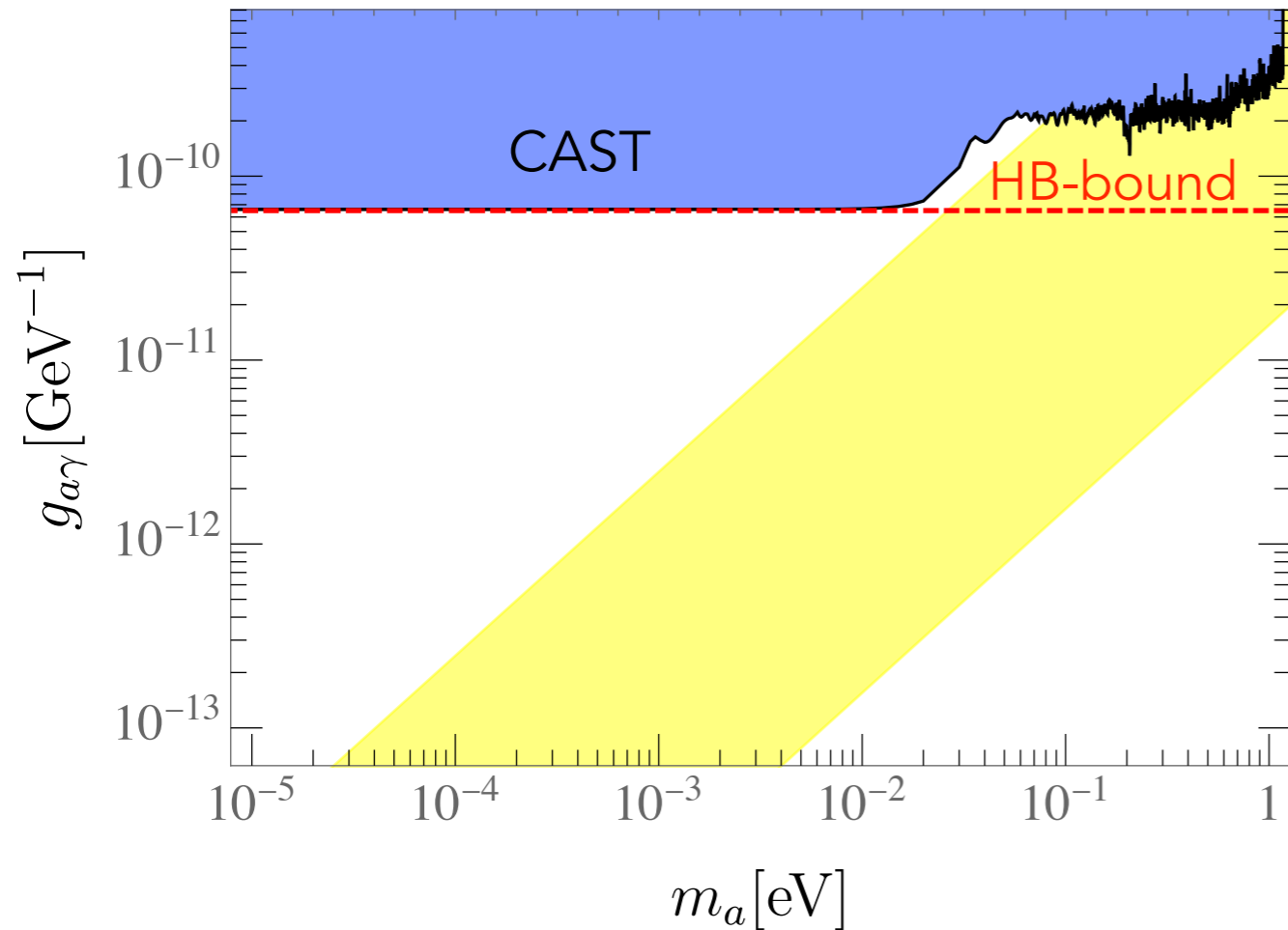
A buffer gas ($m_\gamma \simeq m_a$) can restore coherence

Van Bibber et al.
Phys.Rev. D 39:2089 (1989)

The CERN Axion Solar Telescope (CAST)

Reached the HB bound for the first time

V. Anastassopoulos, et al., Nature Phys. 13 (2017)



Decommissioned LHC test magnet,
 $B=9\text{T}$, $D=43\text{ mm}$, $L= 9.3\text{ m}$

~2 h tracking/day

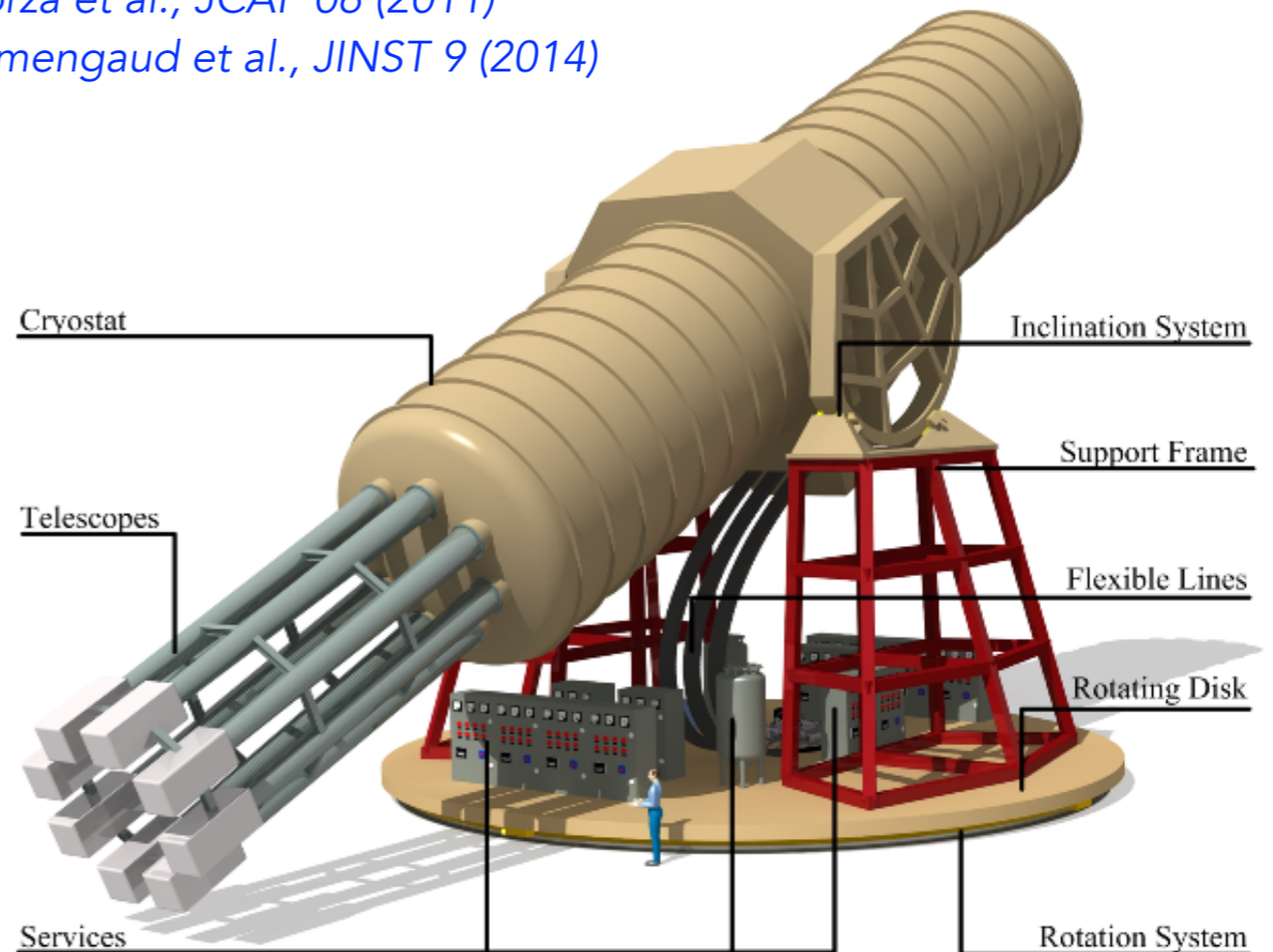
X-ray optics

The International AXion Observatory

Irastorza et al., JCAP 06 (2011)

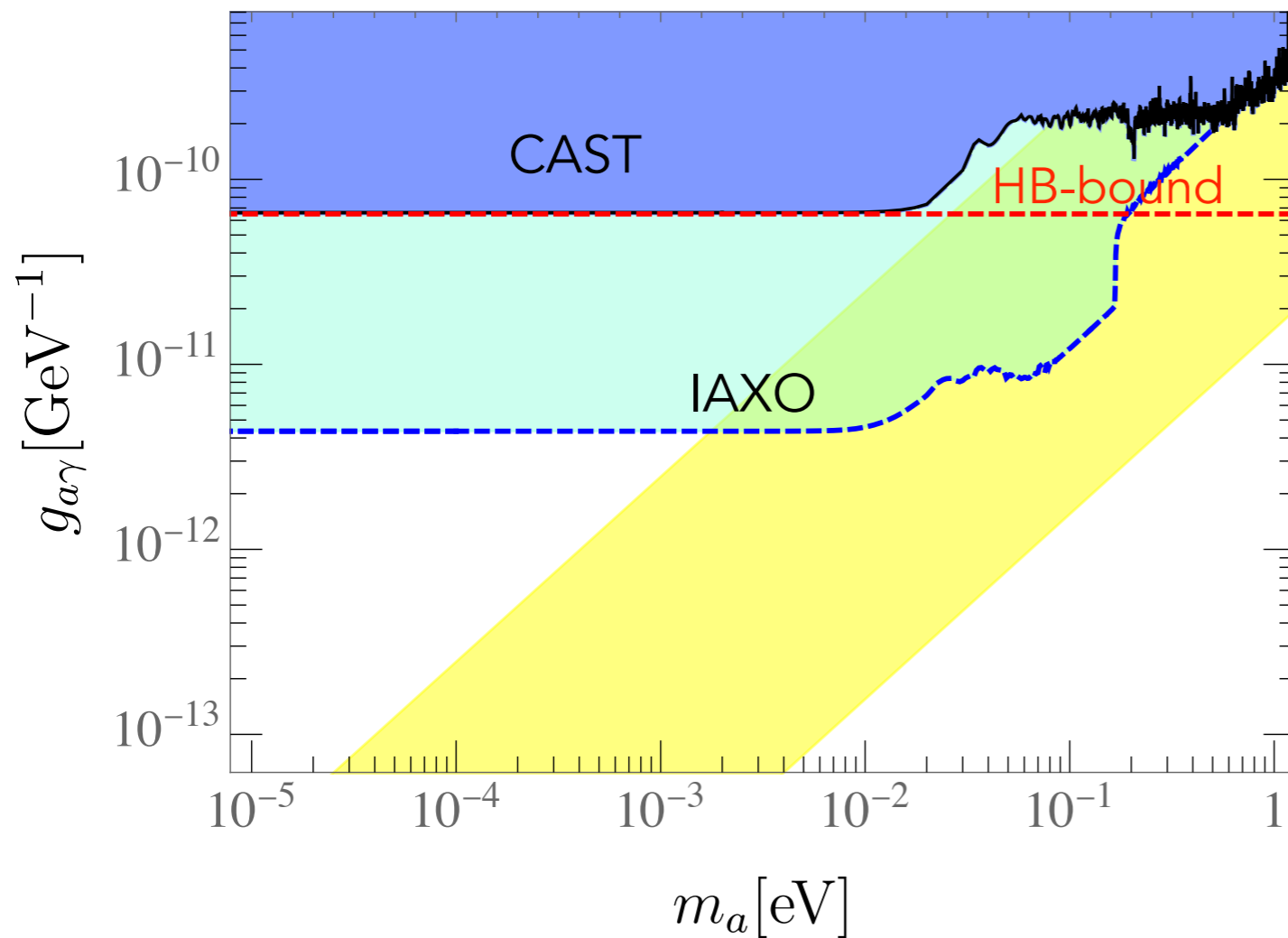
E. Armengaud et al., JINST 9 (2014)

- Large toroidal 8-coil magnet $L = \sim 20$ m
- 8 bores: 60 cm diameter each
- 8 x-ray telescopes + 8 detection systems
- Rotating platform with services



IAXO will consist of a superconducting toroid magnet with eight custom x-ray telescopes that focus the reconverted photons onto ultra-low background detectors.

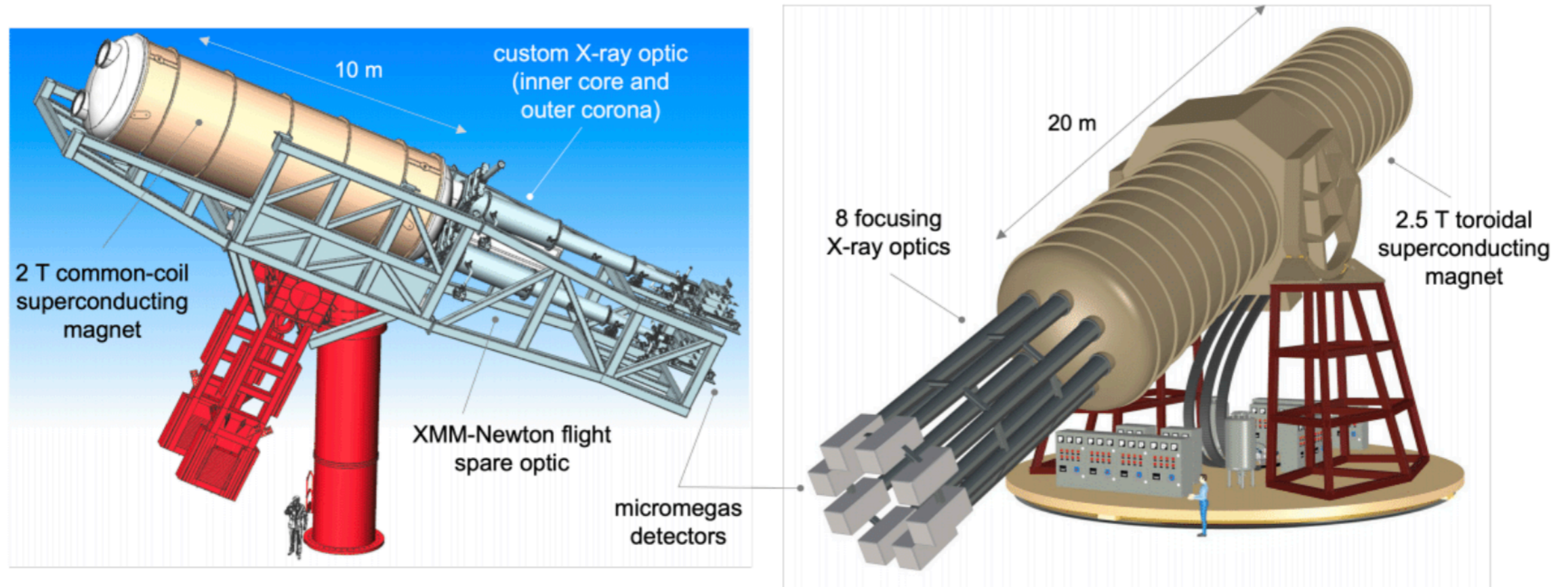
The International AXion Observatory



Strong potential to probe the high (>meV) mass region (stellar window)

Physics potential of the International Axion Observatory (IAXO) JCAP 1906 (2019) 047

BabyIAXO



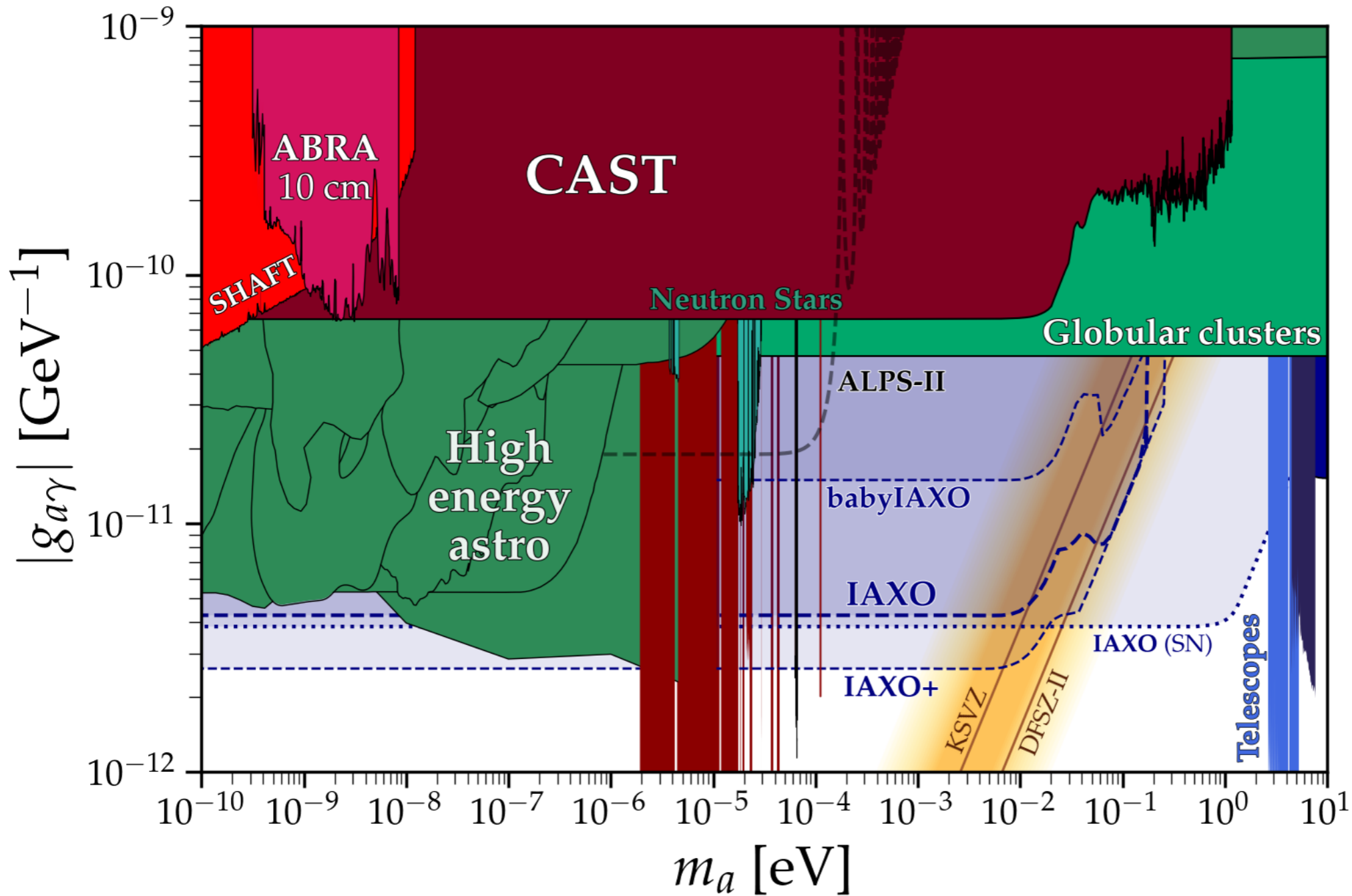
- Prototype: Intermediate experimental stage before IAXO
- Test & improve all systems. Risk mitigation for full IAXO.
- Physics: expected relevant physics outcome (~100 x CAST FOM)



2017 ERC advanced grant by I. Irastorza to support the development

DESY PRC endorsed BabyIAXO in

Heliscope Summary



Other detection strategies for solar axions

Helioscopes based on Axioelectric effect: LUX, XENON1T, ...

Large underground DM detectors.

Axioelectric = axion analog to the photoelectric (pe) effect

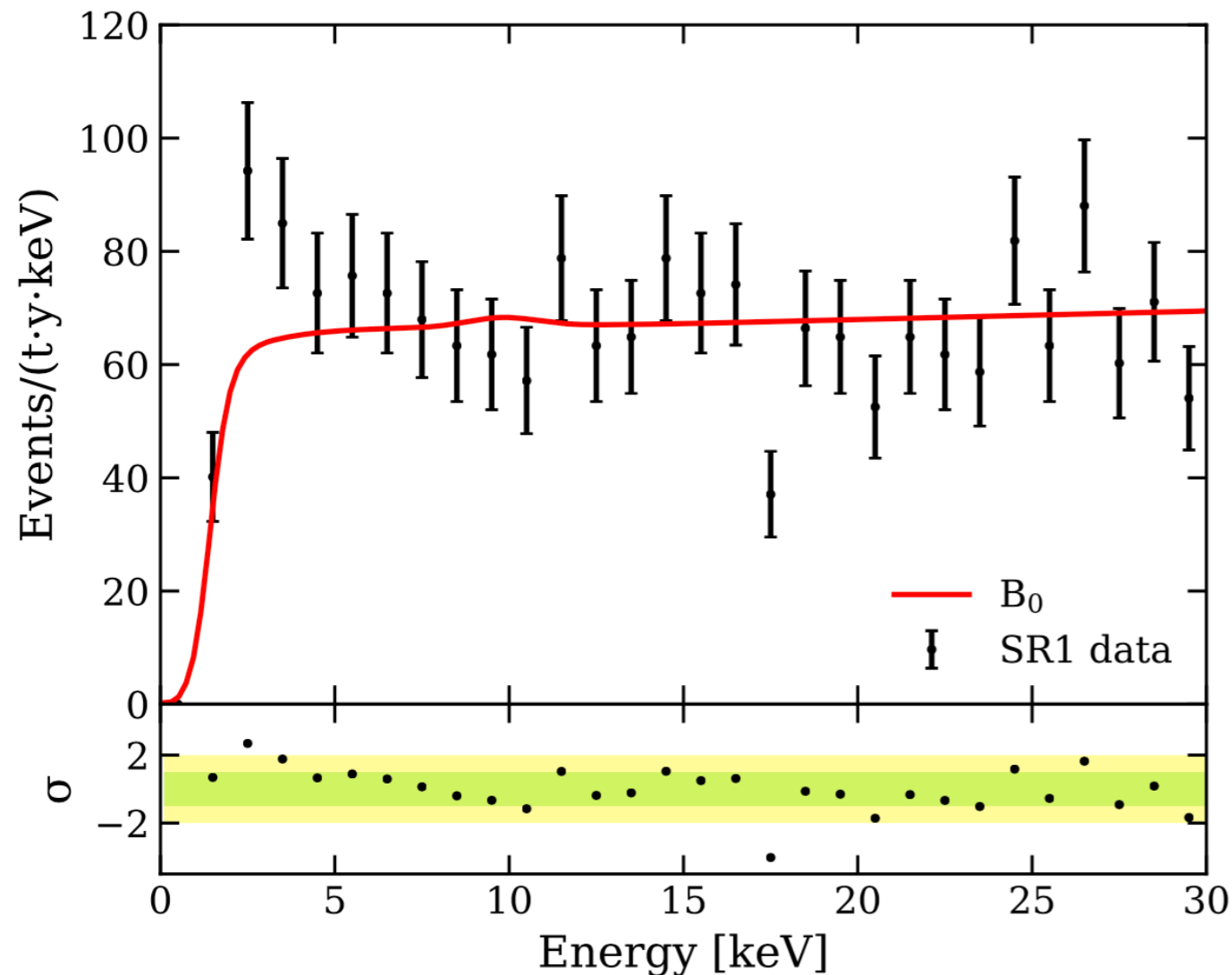
$$\sigma_{\text{ae}} = \sigma_{\text{pe}} \frac{g_{\text{ae}}^2}{\beta} \frac{3E_{\text{a}}^2}{16\pi\alpha m_{\text{e}}^2} \left(1 - \frac{\beta^{2/3}}{3}\right)$$

Low energy suppression $(E_{\text{a}}/m_{\text{e}})^2$

However, they can reach higher masses

Excess Electronic Recoil Events in XENON1T

Solar axions?



Stimulated a lot of interesting work on the low energy frontier

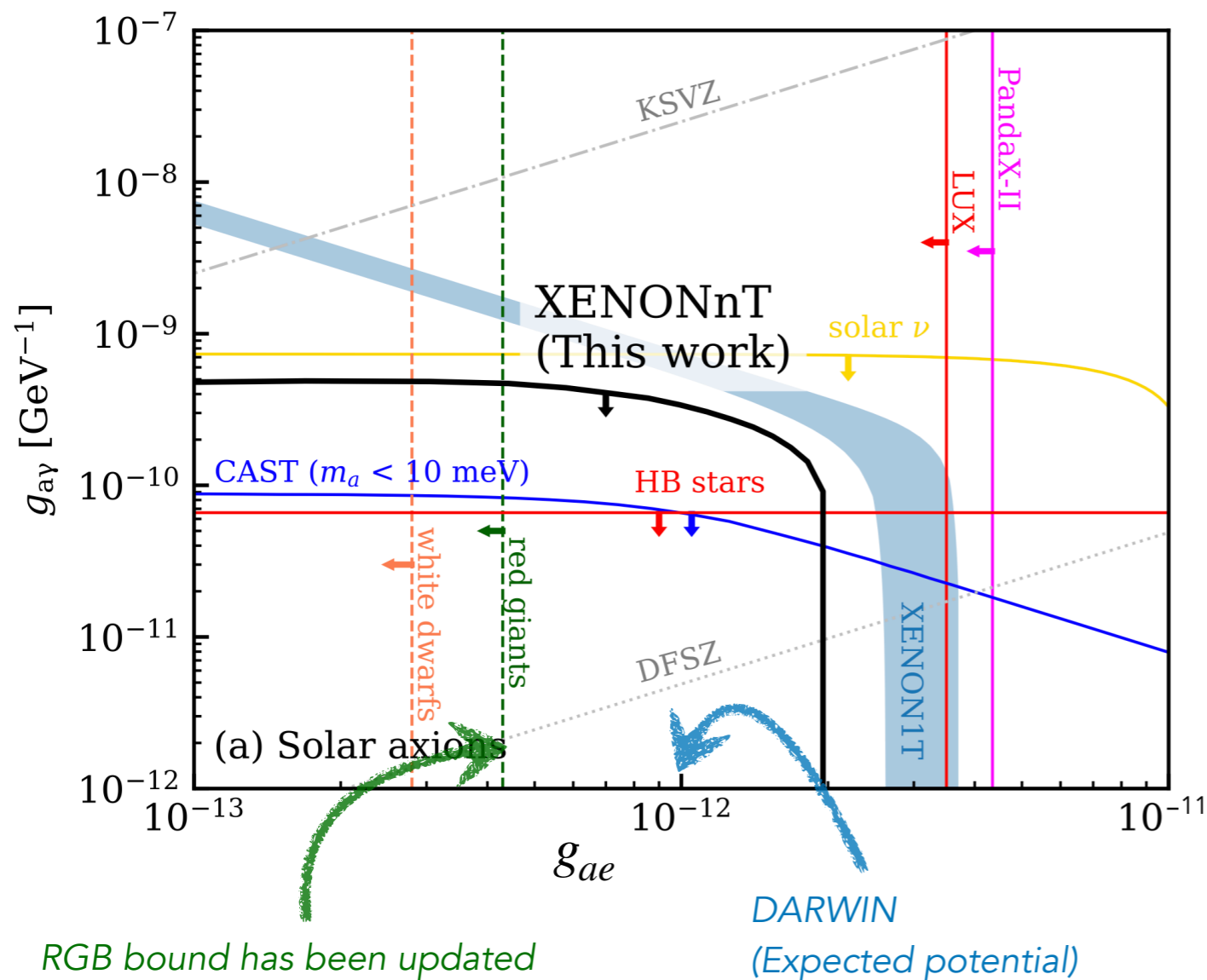
E.g., axions with $g_{ae} \sim 3 \times 10^{-12}$

The value is very large and in tension with stellar evolution
(see talk by O. Straniero)

E. Aprile et al., PHYSICAL REVIEW D 102, 072004 (2020)

New results: XENONnT

Solar axions?



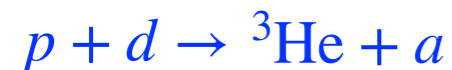
Hint conclusively dismissed by the first science run of the **XENONnT** dark matter experiment (Jul 22, 2022), which confirmed the origin as decays from trace amounts of tritium

$$g_{ae} \lesssim 2 \times 10^{-12}$$

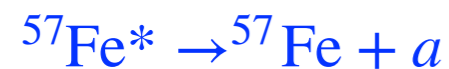
E. Aprile et al., e-Print:
2207.11330 [hep-ex] (2022)

Solar axions from Nuclear Reactions

Recent progress in the search for axions from nuclear reactions in the sun.
Important examples:



- Searched by CAST *JCAP* 03 (2010)
- Borexino *Phys.Rev.D* 85 (2012)
- and using previous SNO data *Phys.Rev.Lett.* 126 (2021)
- Recent analysis of the JUNO sensitivity shows potential to search in unexplored regions G. Lucente, N. Nath, F. Capozzi, MG, A. Mirizzi, *Phys.Rev.D* 106 (2022) 12



- Searched by CAST *JCAP* 12 (2009)
- BabyIAXO potential studied in *Eur.Phys.J.C* 82 (2022)
(See backup slides)



- Searched by Borexino *Eur.Phys.J.C* 54 (2008)
- CAST *JCAP* 03 (2010)

Comprehensive discussion in R. Massarczyk, P.H. Chu, S.R. Elliott, *Phys.Rev.D* 105 (2022)

Axion Dark Matter Searches

For an observed DM density of $\rho \sim 0.2 - 0.56 \text{ GeV/cm}^3$

Axion number density

$$n_a \sim \rho_a/m_a \sim 4 \times 10^{13} \left(\frac{10\mu\text{eV}}{m_a} \right) \text{ axions /cm}^3$$

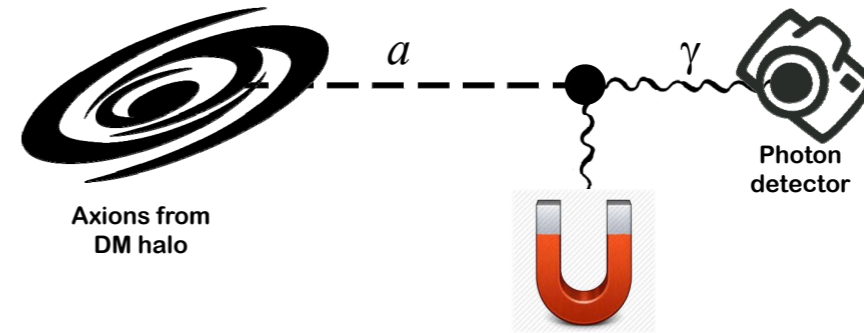
Axion DB wavelength ($v \sim 10^{-3}$):

$$\lambda_{\text{dB}} = \frac{h}{p} = \frac{h}{mv} \simeq 120 \text{ m} \left(\frac{10\mu\text{eV}}{m_a} \right)$$

So, the axion occupation number= number of axions in a reduced de Broglie volume, is $\sim 10^3$ for $m_a \sim 1 \text{ eV}$.

→ Very large. **Axion DM behaves like waves.**

Hunting Axions: Haloscopes



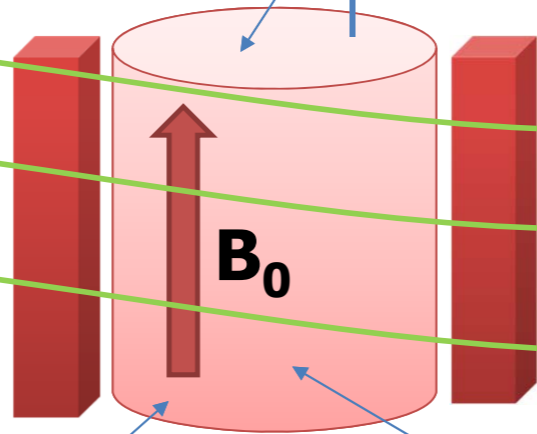
- Assumption: DM is mostly axions
- Resonant cavities (Sikivie, 1983)
 - Primakoff conversion inside a “tunable” resonant cavity
 - Energy of photon = $m_a c^2 + O(b^2)$

Primakoff conversion of DM axions into microwave photons inside cavity

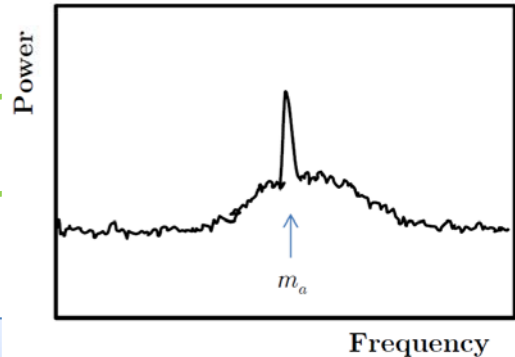
$$P_s = \kappa \frac{Q}{m_a} g_{a\gamma}^2 B_e^2 |\mathcal{G}_m|^2 V \rho_a$$

Axion DM field
Non-relativistic
Frequency \leftarrow axion mass

Cavity dimensions smaller than de Broglie wavelength of axions



If cavity tuned to the axion frequency, conversion is “boosted” by resonant factor (Q quality factor)



Cavity Experiments

P. Sikivie, "Experimental tests of the invisible axion"
[Phys. Rev. Lett. 51 \(1983\) 1415](#)

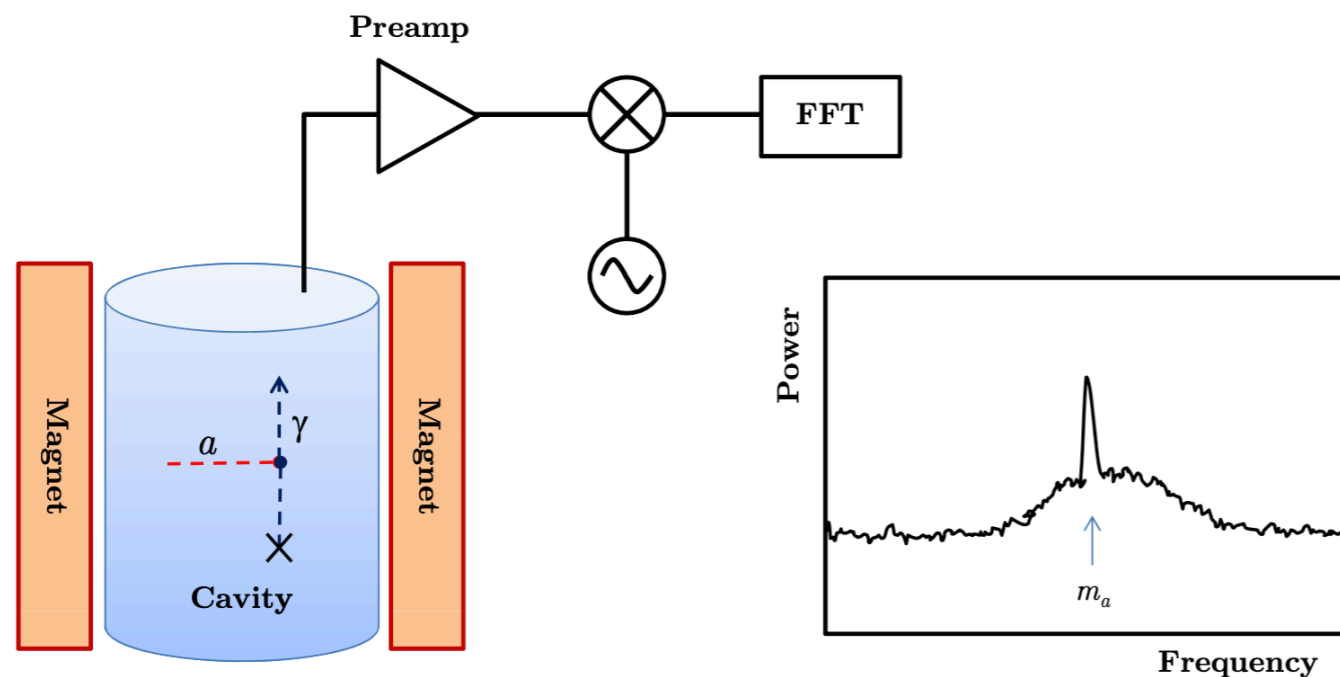


Figure 14: Conceptual arrangement of an axion haloscope. If m_a is within $1/Q$ of the resonant frequency of the cavity, the axion will show as a narrow peak in the power spectrum extracted from the cavity.

Figure and table from I. Irastorza, J. Redondo, [Prog.Part.Nucl.Phys. 102 \(2018\)](#)

Axion production rate greatly enhanced when the resonant frequency of the cavity $\sim m_a$.

The signal (S) to noise (N) as a function of the measurement time (Δt) in a frequency bin ($\Delta\nu$)
 \rightarrow Dicke's radiometer equation

$$\frac{S}{N} = \frac{P_s}{T_{\text{sys}}} \sqrt{\frac{\Delta t}{\Delta\nu}}$$

- P_s = power
- T_{sys} = effective noise (amplifier + thermal fluctuations).

Cavity Experiments

Micro eV mass range:

Most experience.

- ADMX: proven sensitivity to **few μeV**

High Mass:

Difficult: higher masses requires smaller volume \rightarrow lower sensitivity. Possible solutions:

- lower noise
- matching more cavities or new multicavity designs
- More powerful magnets
- Dielectric Haloscopes
- ...

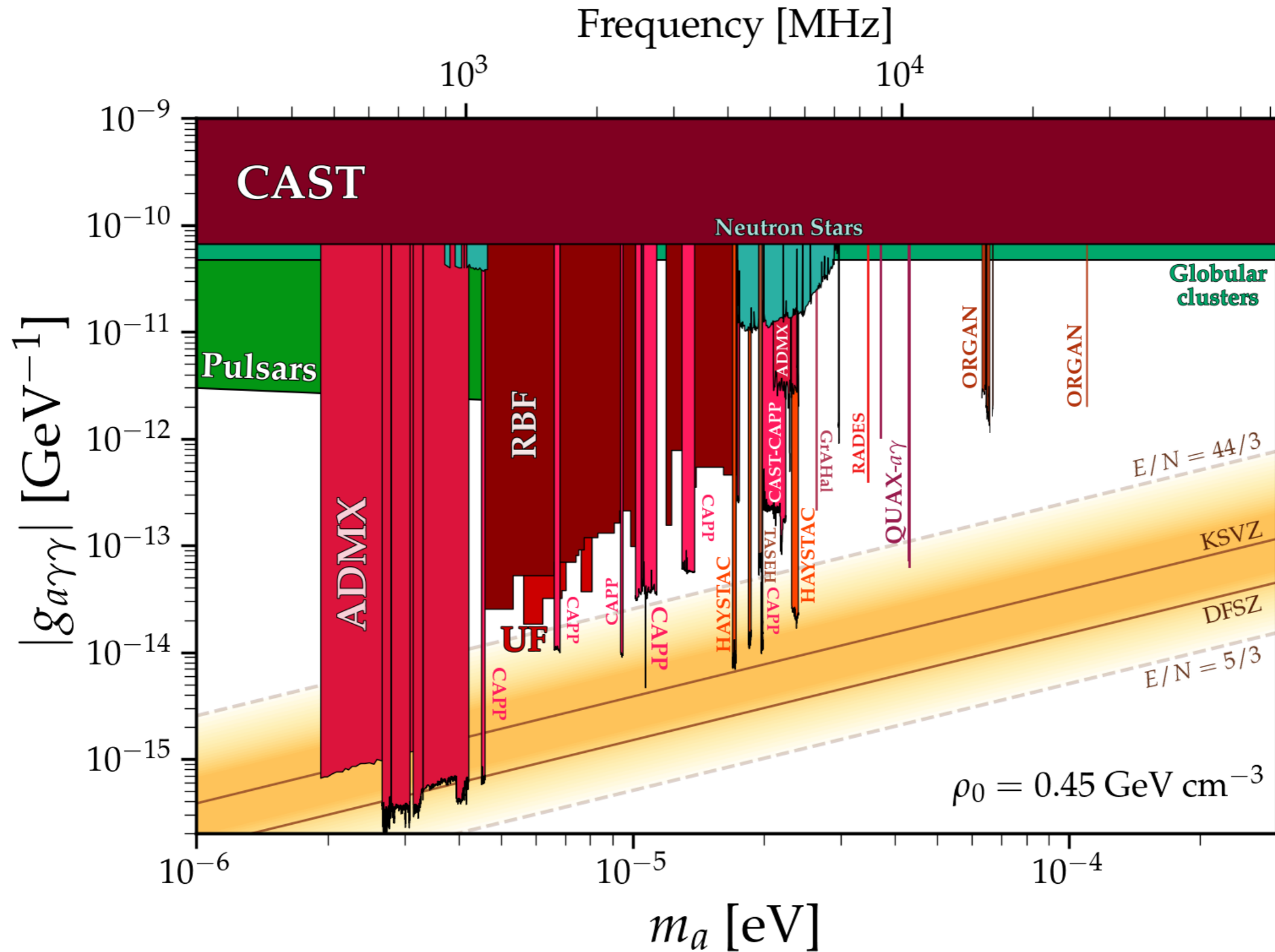
Many projects: HAYSTAC, CAPP, MADMAX,

Low Mass:

Technologically simpler. However, expensive. Needs large magnets

- KLASH/FLASH (concept)
- BabyIAXO (to be built)
- IAXO

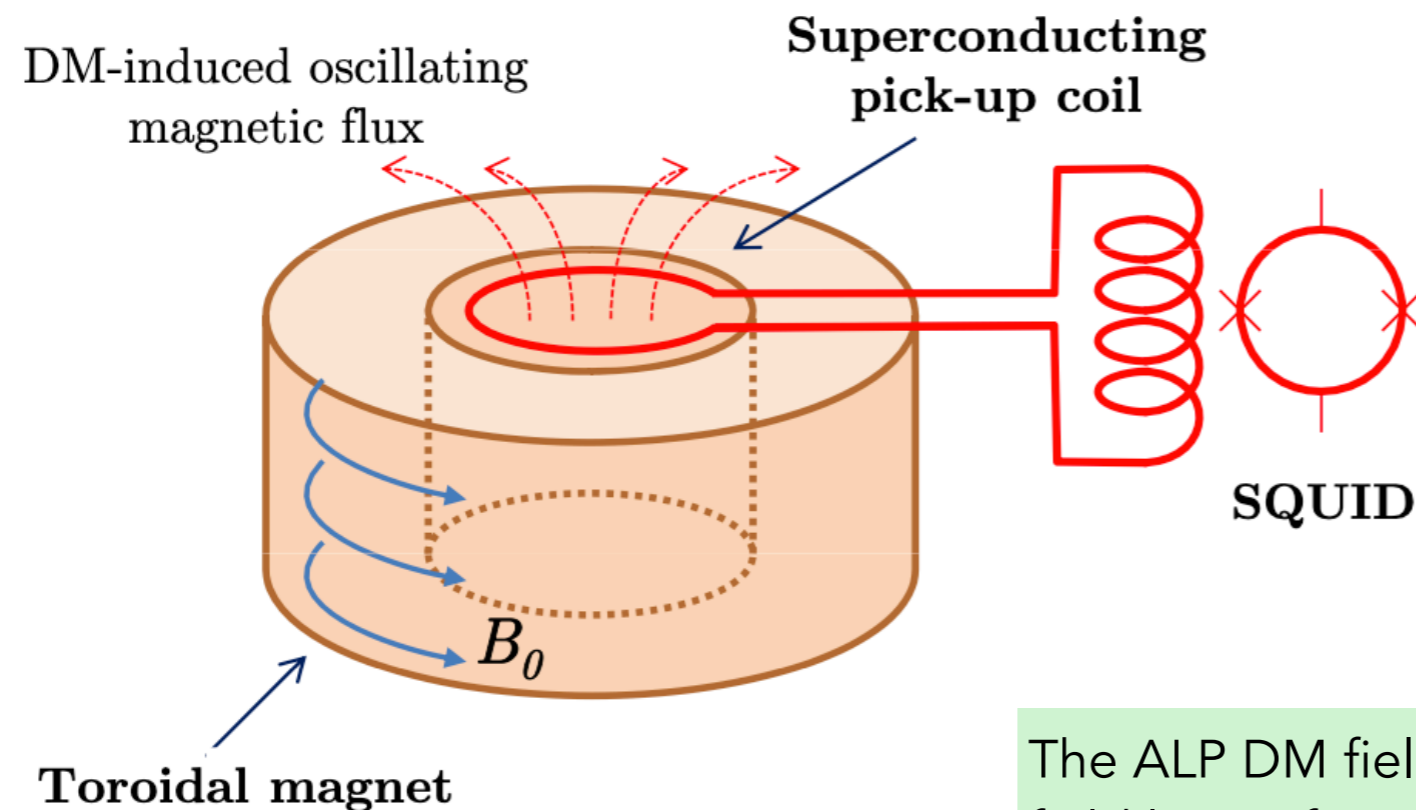
Cavity Experiments



Other DM Detection Strategies

Very low mass:

It becomes very difficult since we cannot have huge haloscopes. New concepts like ABRACADABRA (now Dark Matter Radio)

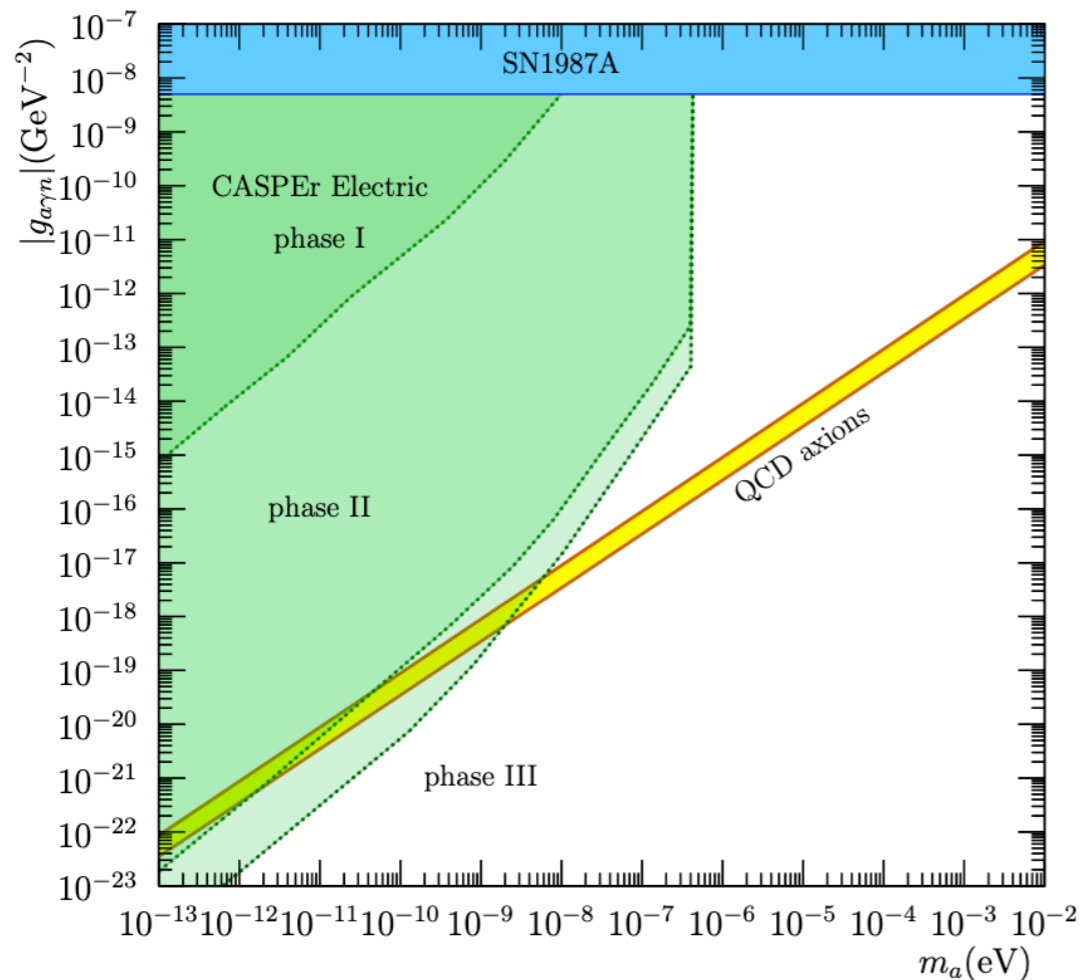


The ALP DM field excites an oscillating E_a field along the field lines of a static toroidal field B_e .

The oscillating E_a induces an oscillating B_e field along the symmetric axis read by a pickup coil connected to a SQUID.

Spin precession experiments

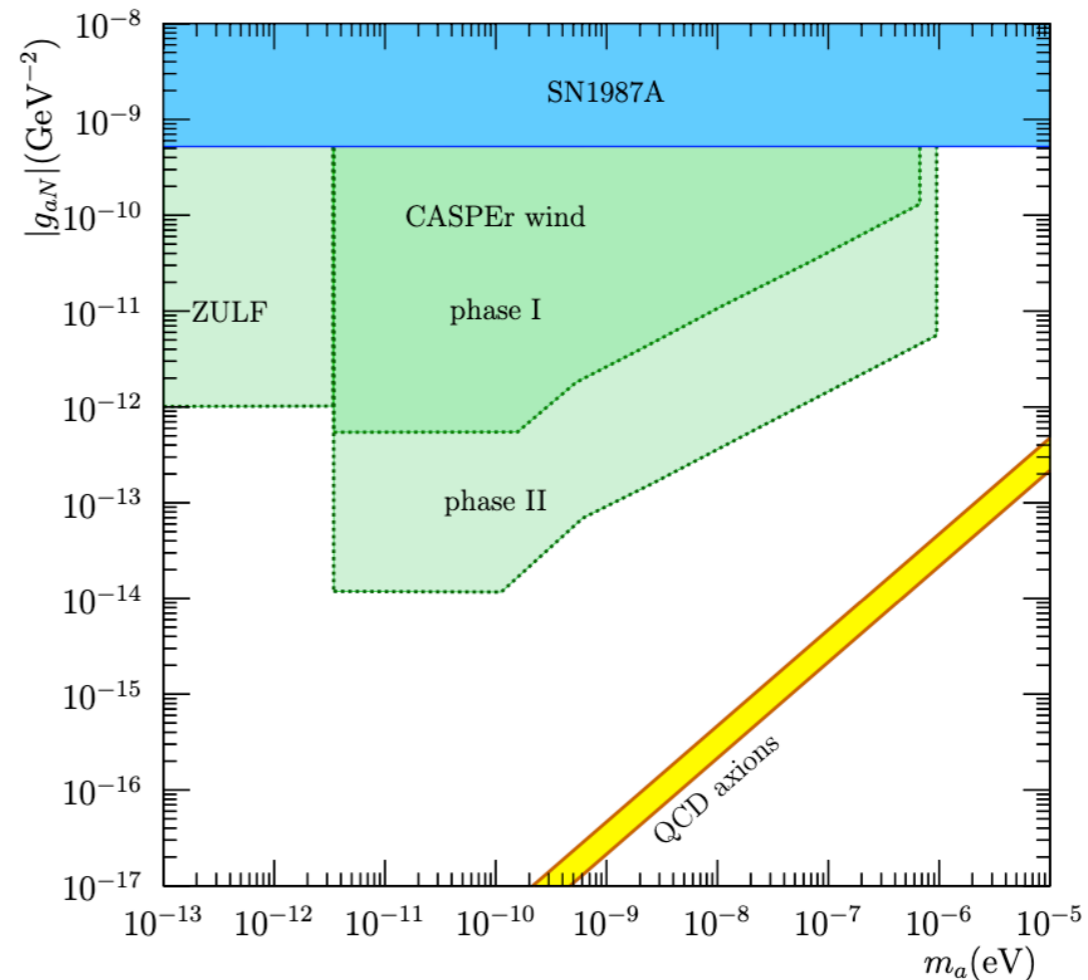
DM-induced spin precession → it can be detected with very sensitive NMR techniques



CASPER electric.

Model independent coupling

to gluons $\frac{a}{f_a} G_{\mu\nu} \tilde{G}^{\mu\nu}$

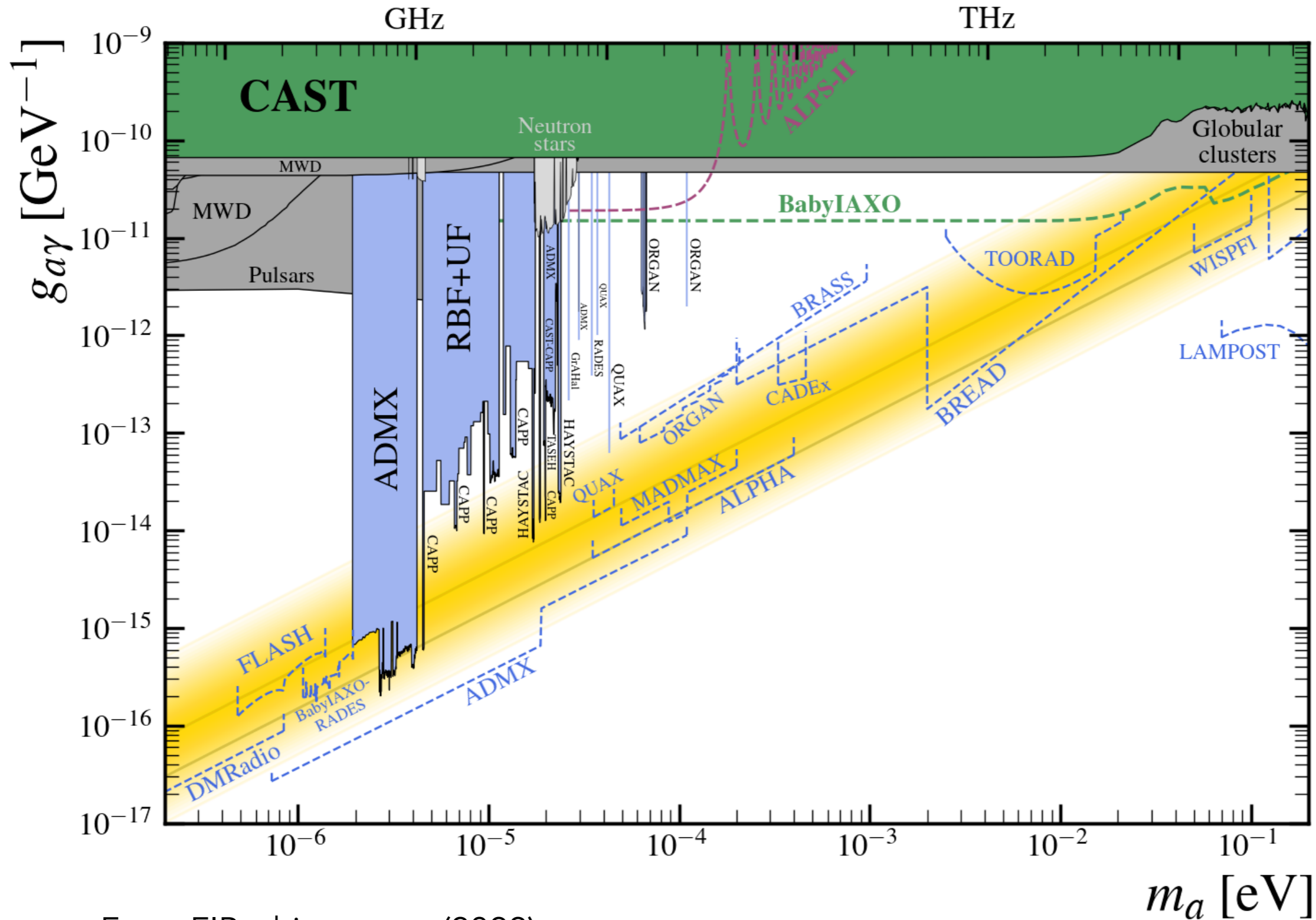


CASPER gradient (formerly, CASPER wind):

Model dependent coupling to fermions:

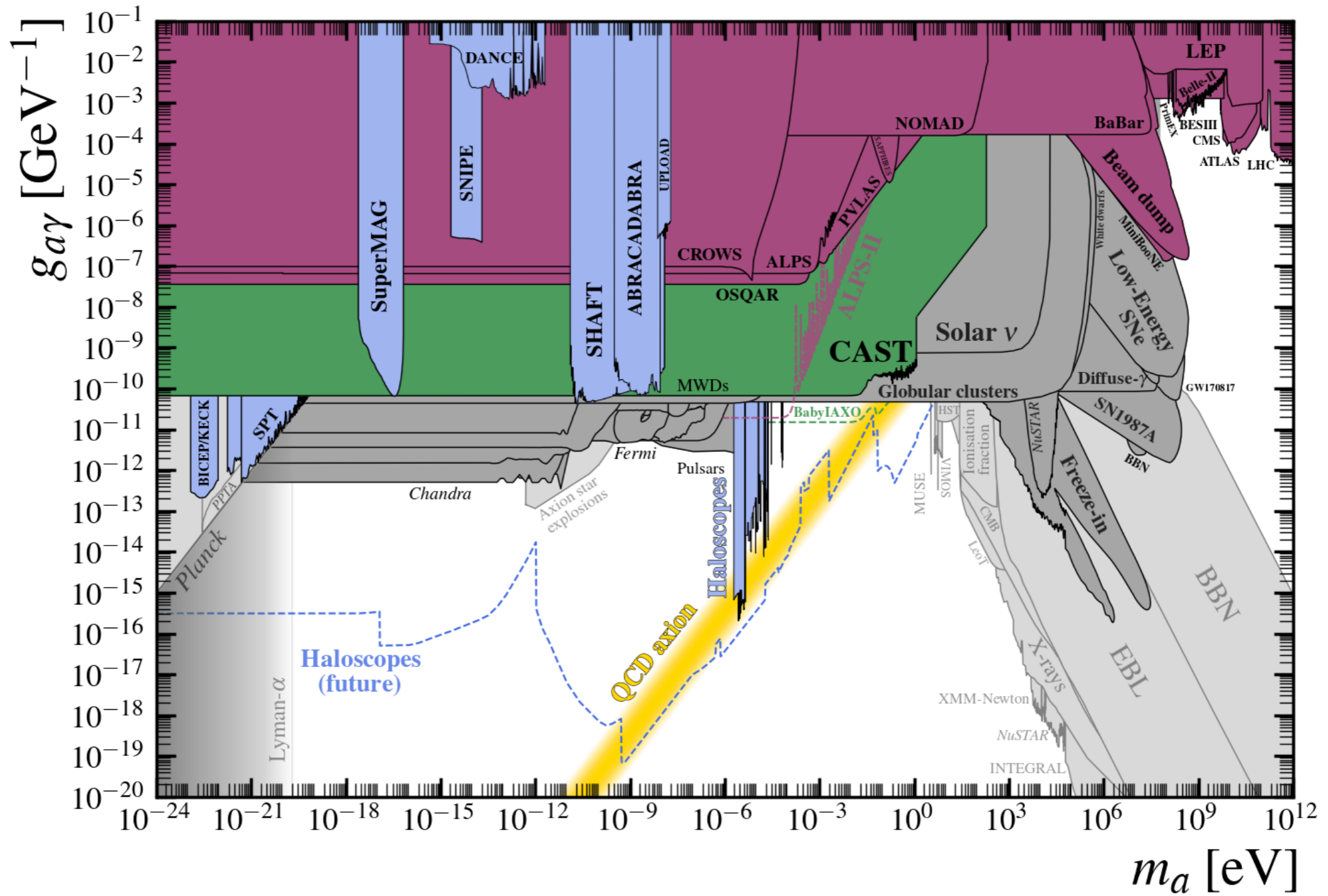
$$\frac{\partial_\mu a}{f_a} \bar{\Psi}_f \gamma^\mu \gamma_5 \Psi_f$$

Future Perspectives

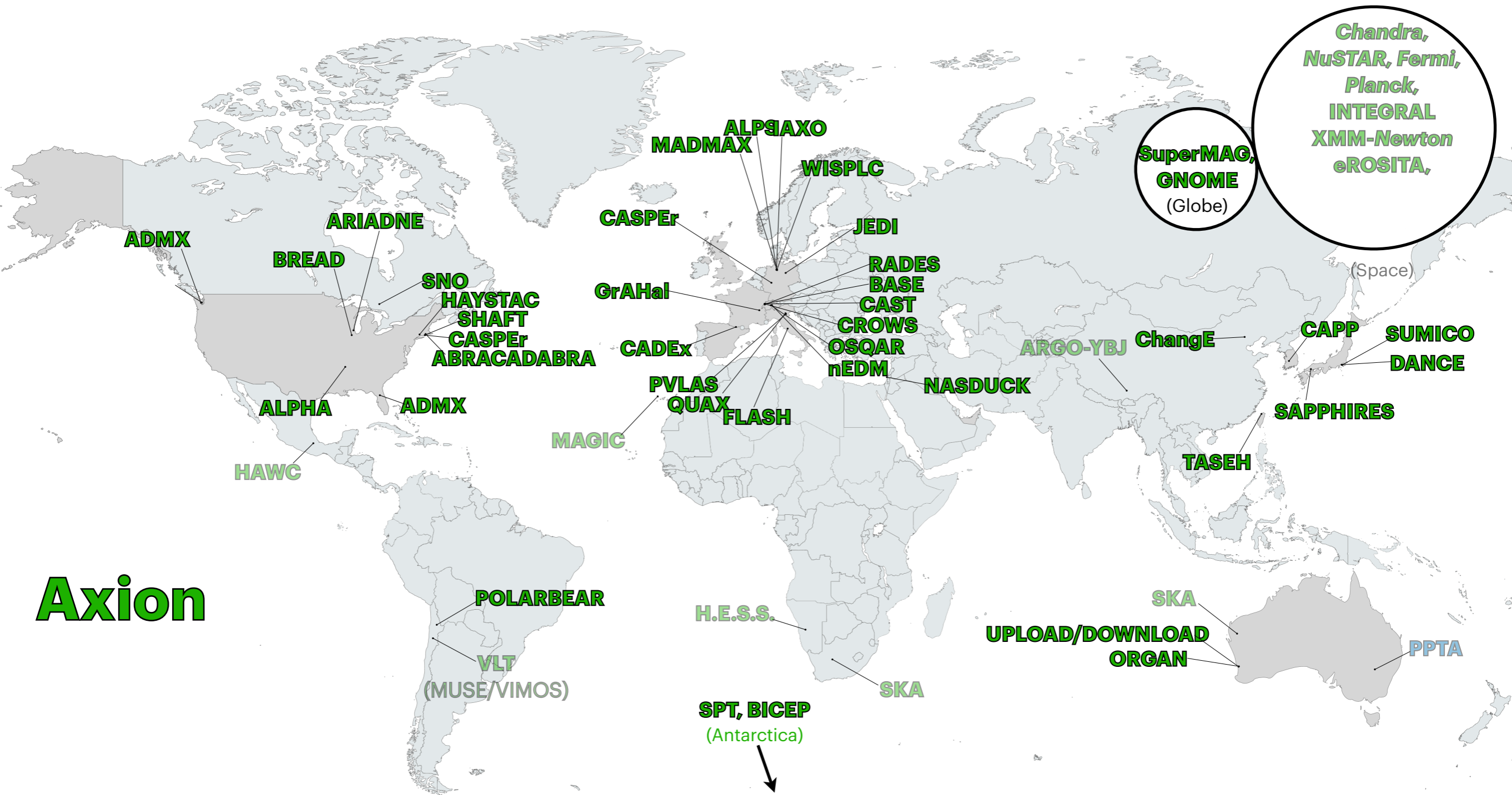


From [FIP white paper \(2022\)](#)

Future Perspectives



Axion



References

- A soft and enjoyable introduction is the (very recent) article by David J. E. Marsh: *Axions for amateurs* [arXiv:2308.16003](https://arxiv.org/abs/2308.16003)
- More technical: L. Di Luzio, M.G., E. Nardi, L. Visinelli, *The landscape of QCD axion models*, [Phys.Rept. 870 \(2020\)](https://arxiv.org/abs/2007.13476)
- Especially good for theory:
 - Villadoro Lectures GGI 2015, GGI 2023
 - Anson Hook, *TASI Lectures*, [arXiv:1812.02669](https://arxiv.org/abs/1812.02669)
- For experiments: I. Irastorza, J. Redondo, *New experimental approaches in the search for axion-like particles*, [Prog.Part.Nucl.Phys. 102 \(2018\)](https://arxiv.org/abs/1805.07427)

[Background information:](#)

- C. P. Burgess, *Introduction to Effective Field Theory*, Cambridge University Press (2020)
- Matthew D. Schwartz, *Quantum Field Theory and the Standard Model*, Cambridge University Press (2013)

Comments and Conclusions

- ALPs, and especially axions, are very well motivated new physics candidates, with very interesting phenomenology.
- Great effort recently to search for axions.