

Proton Decay Matrix Elements from Lattice QCD

Y. Kuramashi (Univ. of Tsukuba)
for CP-PACS/JLQCD Collabs.

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O. CP-PACS/JLQCD Collabs.

members

S. Aoki
M. Fukugita
S. Hashimoto
K-I. Ishikawa
N. Ishizuka
Y. Iwasaki
K. Kanaya
T. Kaneko

Y. Kuramashi
M. Okawa
T. Onogi
Y. Taniguchi
A. Ukawa
T. Yoshie'

Projects

- 2+1 flavor full QCD (SW + RG) $m_\pi = 20, 2(2.6)_{-0.5}^{+0.6}$ MeV
 $a \approx 0.12$ fm $16^3 \times 32$
 $a \approx 0.1$ fm $20^3 \times 40$
 $a \approx 0.07$ fm $28^3 \times 56$ } $m_\pi/m_\rho \approx 0.6 - 0.8$
 ● 12/10/09
- heavy quark physics
 charm physics using relativistic heavy quark action
- proton decay matrix elements
- π - π scattering
 phase shift in $I=2$ channel
- NEDM
 some preliminary results, not yet completed
- running coupling, nonperturbative β
 steady progress

I. Introduction

exciting prediction of (SUSY-)GUTs

Experiment

efforts to push lower limit on partial life time of nucleon

Shiozawa, ICHEP2000

$$\bullet p \rightarrow \pi^0 e^+ \quad \tau/B(p \rightarrow \pi^0 e^+) > 4.4 \times 10^{33} \text{ yrs}$$

$$\bullet p \rightarrow K^+ \bar{\nu} \quad \tau/B(p \rightarrow K^+ \bar{\nu}) > 1.9 \times 10^{33} \text{ yrs}$$

improvement by Super-Kamiokande

→ strong constraints on (SUSY-)GUTs

Theory

one of the main sources of uncertainties

$$\langle PS | O^B | N \rangle$$

predictions with various QCD models scatter over wide range

$$\text{max/min} \sim 10, \quad \Gamma \propto |\langle PS | O^B | N \rangle|^2$$

→ important to determine $\langle PS | O^B | N \rangle$ from first principles

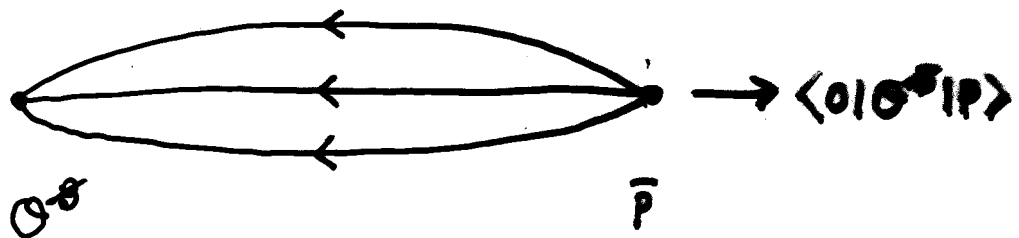
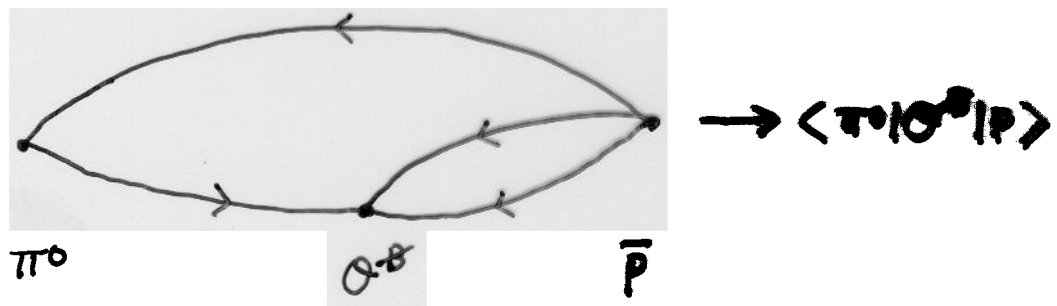
using lattice QCD

Our purpose

- comparison of direct and indirect methods

ex. $p \rightarrow \pi^0 e^+$ decay mode

$$\langle \pi^0 | \mathcal{O}^8 | p \rangle = \langle 0 | \mathcal{O}^8 | p \rangle \frac{1}{\sqrt{3}} (1 + F + D) + \mathcal{O}(P_{\pi^0}^2)$$



- GUT-model-independent calculation of $\langle \pi | \mathcal{O}^8 | n \rangle$

$p \rightarrow \pi^0 e^+$ minimal $SU(5)$ GUT

$p \rightarrow K^+ \bar{\nu}$ minimal SUSY $SU(5)$ GUT

$\vdots$

$\vdots$

→ enumerate independent matrix elements

for $(p, n) \rightarrow (\pi, K, \gamma) + (\bar{\nu}, e^+, \mu^+)$

II. GUT-Model-Independent Analysis on $\langle p | \mathcal{O}^B | N \rangle$
independent matrix elements for nucleon decays
 $(p, n) \rightarrow (\pi, K, \eta) + (\bar{\nu}, e^+, \mu^+)$

III. Indirect Method
chiral lagrangian + $\langle 0 | \mathcal{O}^B | N \rangle$ with lattice QCD

IV. Direct Method
 $\langle p | \mathcal{O}^B | N \rangle$ from 3-point function

V. Continuum limit of $\langle 0 | \mathcal{O}^B | N \rangle$ in quenched QCD

VI. Summary

II. GUT-Model-Independent Analysis on $\langle \text{psl}(0^6 \text{M}) \rangle$

Complete set of dim.=6 operators

low energy effective Hamiltonian is described by

$\underbrace{SU(3)}_{\text{strong}} \times \underbrace{SU(2) \times U(1)}_{\text{electroweak}}$ gauge symmetry

dim.=6 is lowest

$$\epsilon_{ijk} Q^i Q^j Q^k L$$

$$O_{abcd}^{(1)} = (\bar{\psi}_{iAR}^C U_{jbR}) (\bar{Q}_{dRCL} L_{bdL}) \epsilon_{ijk} \epsilon_{abp}$$

$$O_{abcd}^{(2)} = (\bar{Q}_{aiaL}^C Q_{bjbL}) (\bar{U}_{kCR}^C L_{dR}) \epsilon_{ijk} \epsilon_{abp}$$

$$O_{abcd}^{(3)} = (\bar{Q}_{aiaL}^C Q_{bjbL}) (\bar{Q}_{dRCL}^C L_{bdL}) \epsilon_{ijk} \epsilon_{abp} \epsilon_{qrs}$$

$$O_{abcd}^{(4)} = (\bar{\psi}_{iAR}^C U_{jbR}) (\bar{U}_{kCR}^C L_{dR}) \epsilon_{ijk}$$

$$\bar{\psi}^C = \psi^T C, \quad P_{R,L} = \frac{1 \pm \gamma_5}{2}$$

$$i, j, k : SU(3)$$

$$\alpha, \beta, \gamma, \delta : SU(2)$$

$$a, b, c, d : \text{generation}$$

Wainberg
Wilczek - Zee
Abbott - Wise

cf. Fierz transf. for vector and tensor structures

Relevant operators for non-strange final states

$$O_d^{(1)} = (\bar{d}_{iR}^c u_{jR}) (\bar{u}_{kL}^c e_{dL} - \bar{d}_{kL}^c \nu_{dL}) \epsilon_{ijk}$$

$$O_d^{(2)} = (\bar{d}_{iL}^c u_{jL}) (\bar{u}_{kR}^c e_{dR}) \epsilon_{ijk}$$

$$O_d^{(3)} = (\bar{d}_{iL}^c u_{jL}) (\bar{u}_{kL}^c e_{dL} - \bar{d}_{kL}^c \nu_{dL}) \epsilon_{ijk}$$

$$O_d^{(4)} = (\bar{d}_{iR}^c u_{jR}) (\bar{u}_{kR}^c e_{dR}) \epsilon_{ijk}$$

Relevant operators for strange final states

$$\tilde{O}_d^{(1)} = (\bar{s}_{iR}^c u_{jR}) (\bar{u}_{kL}^c e_{dL} - \bar{d}_{kL}^c \nu_{dL}) \epsilon_{ijk}$$

$$\tilde{O}_d^{(2)} = (\bar{s}_{iL}^c u_{jL}) (\bar{u}_{kR}^c e_{dR}) \epsilon_{ijk}$$

$$\tilde{O}_d^{(3)} = (\bar{s}_{iL}^c u_{jL}) (\bar{u}_{kL}^c e_{dL} - \bar{d}_{kL}^c \nu_{dL}) \epsilon_{ijk}$$

$$\tilde{O}_d^{(4)} = (\bar{s}_{iR}^c u_{jR}) (\bar{u}_{kR}^c e_{dR}) \epsilon_{ijk}$$

$$\tilde{O}_d^{(5)} = (\bar{d}_{iR}^c u_{jR}) (\bar{s}_{kL}^c \nu_{dL}) \epsilon_{ijk}$$

$$\tilde{O}_d^{(6)} = (\bar{d}_{iL}^c u_{jL}) (\bar{s}_{kL}^c \nu_{dL}) \epsilon_{ijk}$$

where d denotes generation

$$e_1 = e, \nu_1 = \nu_e$$

$$e_2 = \mu, \nu_2 = \nu_\mu$$

Independent matrix elements

$$N \rightarrow PS + \bar{L}$$

$$(P, n) \quad (\pi, k, \gamma) \quad (\bar{\nu}, e^+, \mu^+)$$

assumption of isospin symmetry ($m_u = m_d$)

$$\langle \pi^0 | \epsilon_{ijk} (u^i C P_{RL} d^j) P_L u^k | P \rangle$$

$$\langle \pi^+ | \epsilon_{ijk} (u^i C P_{RL} d^j) P_L d^k | P \rangle$$

$$\langle K^0 | \epsilon_{ijk} (u^i C P_{RL} s^j) P_L u^k | P \rangle$$

$$\langle K^+ | \epsilon_{ijk} (u^i C P_{RL} s^j) P_L d^k | P \rangle$$

$$\langle K^+ | \epsilon_{ijk} (u^i C P_{RL} d^j) P_L s^k | P \rangle$$

$$\langle K^0 | \epsilon_{ijk} (u^i C P_{RL} s^j) P_L d^k | n \rangle$$

$$\langle \gamma | \epsilon_{ijk} (u^i C P_{RL} d^j) P_L u^k | P \rangle$$

$$|P\rangle \rightarrow -|n\rangle, |n\rangle \rightarrow -|P\rangle$$

$$\langle \pi^+ | \rightarrow \langle \pi^- |, \langle \pi^0 | \rightarrow -\langle \pi^0 |$$

$$\langle K^+ | \rightarrow \langle K^- |, \langle K^0 | \rightarrow \langle K^0 |$$

$$\langle \gamma | \rightarrow \langle \gamma |$$

where

$$\left. \begin{aligned} \langle PS | \mathcal{O}_{LR} | N \rangle &= \langle PS | \mathcal{O}_{RL} | N \rangle \\ \langle PS | \mathcal{O}_{RR} | N \rangle &= \langle PS | \mathcal{O}_{LL} | N \rangle \end{aligned} \right\} \text{parity conservation}$$

All we have to calculate in lattice QCD are
these 14 matrix elements



indirect method

direct method

chiral lagrangian + $\langle \mathcal{O}^B | \pi \rangle$ with lattice

$\langle PS | \mathcal{O}^B | N \rangle$ with lattice

III. Indirect Method

III-1. Tree-level results of chiral lagrangian

Claudson-Wise-Hell

fields

$$\Sigma = \exp\left(\frac{2i\phi}{f}\right) \quad \text{with} \quad \phi = \begin{pmatrix} \frac{\pi^0}{\sqrt{2}} + \frac{1}{\sqrt{6}} & \pi^+ & K^+ \\ \pi^- & -\frac{\pi^0}{\sqrt{2}} + \frac{1}{\sqrt{6}} & K^0 \\ K^- & \bar{K}^0 & -\frac{1}{\sqrt{6}} \end{pmatrix}$$

$$B = \begin{pmatrix} \frac{\Sigma^0}{\sqrt{2}} + \frac{\Lambda^0}{\sqrt{6}} & \Sigma^+ & p \\ \Sigma^- & -\frac{\Sigma^0}{\sqrt{2}} + \frac{\Lambda^0}{\sqrt{6}} & n \\ \Xi^- & \Xi^0 & -\frac{2}{\sqrt{6}}\Lambda^0 \end{pmatrix}$$

transformations

$$\Sigma \rightarrow L \Sigma R^\dagger$$

$$B \rightarrow U B U^\dagger$$

$$L \in SU(3)_L, R \in SU(3)_R$$

$$U \in SU(3)_V$$

Action

lowest order of $SU(3)_L \times SU(3)_R$ invariant chiral Lagrangian

$$\begin{aligned}\mathcal{L}_0 = & \frac{f^2}{8} \text{Tr}(\partial_\mu \Sigma (\partial_\mu \Sigma^\dagger)) + \text{Tr} \bar{B} (\gamma_\mu \partial_\mu + M_B) B \\ & + \frac{1}{2} \text{Tr} \bar{B} \gamma_\mu [\xi \partial_\mu \xi^\dagger + \xi^\dagger \partial_\mu \xi] B + \frac{1}{2} \text{Tr} \bar{B} \gamma_\mu B [(\partial_\mu \xi) \xi^\dagger + (\partial_\mu \xi^\dagger) \xi] \\ & - \frac{1}{2} (D-F) \text{Tr} \bar{B} \gamma_\mu \gamma_5 B [(\partial_\mu \xi) \xi^\dagger - (\partial_\mu \xi^\dagger) \xi] \\ & + \frac{1}{2} (D+F) \text{Tr} \bar{B} \gamma_\mu \gamma_5 [\xi \partial_\mu \xi^\dagger - \xi^\dagger \partial_\mu \xi] B\end{aligned}$$

$$\xi^2 = \Sigma, \quad \xi \rightarrow L \xi U^\dagger = U \xi R^\dagger \quad \text{under } SU(3)_L \times SU(3)_R$$

Symmetry-breaking term due to quark mass

$$\begin{aligned}\mathcal{L}_1 = & -v^3 \text{Tr} [\Sigma^\dagger M_q + M_q \Sigma] \\ & - a_1 \text{Tr} \bar{B} (\xi^\dagger M_q \xi^\dagger + \xi M_q \xi) B - a_2 \text{Tr} \bar{B} B (\xi^\dagger M_q \xi^\dagger + \xi M_q \xi) \\ & - b_1 \text{Tr} \bar{B} \gamma_5 (\xi^\dagger M_q \xi^\dagger - \xi M_q \xi) B - b_2 \text{Tr} \bar{B} \gamma_5 B (\xi^\dagger M_q \xi^\dagger - \xi M_q \xi)\end{aligned}$$

Semileptonic baryon decays $\rightarrow F=0.47, D=0.80$

$$v = \frac{f m_\pi^2}{4(m_u + m_d)}$$

octet baryon mass splitting $\rightarrow a_1 \approx -0.5, a_2 \approx 0.95$

b_1, b_2 are not well determined

Construction of operators

under $SU(3)_L \times SU(3)_R$

$$\begin{array}{ll}
 (3, \bar{3}) : O_d^{(1)}, \tilde{O}_d^{(1)}, \tilde{O}_d^{(5)} & \left. \begin{array}{l} \\ \\ \end{array} \right\} \text{parameter } \alpha \\
 (\bar{3}, 3) : O_d^{(2)}, \tilde{O}_d^{(2)} & \\
 (8, 1) : O_d^{(3)}, \tilde{O}_d^{(3)}, \tilde{O}_d^{(6)} & \left. \begin{array}{l} \\ \\ \end{array} \right\} \text{parameter } \beta \\
 (1, 8) : O_d^{(4)}, \tilde{O}_d^{(4)} &
 \end{array}$$

$$\xi B \xi \in (3, \bar{3}), \quad \xi^\dagger B \xi^\dagger \in (\bar{3}, 3), \quad \xi B \xi^\dagger \in (8, 1), \quad \xi^\dagger B \xi \in (1, 8)$$

ex.

$$O_d^{(1)} = \alpha [\bar{e}_{dL}^c \text{Tr}(F \xi B_L \xi) - \bar{\nu}_{dL}^c \text{Tr}(F' \xi B_L \xi)]$$

$$O_d^{(2)} = \alpha \bar{e}_{dR}^c \text{Tr}(F \xi^\dagger B_R \xi^\dagger)$$

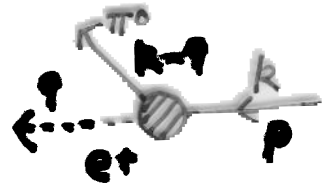
$$O_d^{(3)} = \beta [\bar{e}_{dL}^c \text{Tr}(F \xi B_L \xi^\dagger) - \bar{\nu}_{dL}^c \text{Tr}(F' \xi B_L \xi^\dagger)]$$

$$O_d^{(4)} = \beta \bar{e}_{dR}^c \text{Tr}(F \xi^\dagger B_R \xi)$$

$$F = \begin{pmatrix} 0 & 0 & 0 \\ 0 & 0 & 0 \\ 1 & 0 & 0 \end{pmatrix}, \quad F' = \begin{pmatrix} 0 & 0 & 0 \\ 0 & 0 & 0 \\ 0 & 1 & 0 \end{pmatrix}$$

Results for matrix elements

ex. $P \rightarrow \pi^0 e^+$, $\mathcal{O}_d^{(1)} = \alpha \bar{e}_L^c \text{Tr}(F \xi B_L \xi)$



$$\langle \pi^0 | \alpha \text{Tr}(F \xi B_L \xi) | P \rangle$$

$$= \alpha P_L u_p \left[\frac{1}{\sqrt{2}f} - \frac{D+F}{\sqrt{2}f} \frac{-q^2 + m_N^2}{-q^2 - m_N^2} - \frac{4b_1}{\sqrt{2}f} \frac{m_u m_N}{-q^2 - m_N^2} \right]$$

$$- \alpha P_L i \gamma u_p \left[\frac{D+F}{\sqrt{2}f} \frac{2m_N}{-q^2 - m_N^2} + \frac{4b_1}{\sqrt{2}f} \frac{m_u}{-q^2 - m_N^2} \right]$$

on-shell condition for out-going leptons

$$-q^2 = m_e^2, \quad i \gamma v_e = m_e v_e$$

$$\bar{e}^c \langle \pi^0 | \alpha \text{Tr}(F \xi B_L \xi) | P \rangle$$

$$= \alpha v_e C P_L u_p \left[\frac{1}{\sqrt{2}f} - \frac{D+F}{\sqrt{2}f} \frac{m_e^2 + m_N^2}{m_e^2 - m_N^2} - \frac{4b_1}{\sqrt{2}f} \frac{m_u m_N}{m_e^2 - m_N^2} \right]$$

$$+ \alpha v_e C P_R u_p \left[\frac{1}{\sqrt{2}f} \frac{2m_u m_e}{m_e^2 - m_N^2} + \frac{4b_1}{\sqrt{2}f} \frac{m_u m_e}{m_e^2 - m_N^2} \right]$$

$m_u, m_e \ll m_N$, assume $b_1, b_2 \sim \mathcal{O}(1)$

$$\bar{e}^c \langle \pi^0 | \alpha \text{Tr}(F \xi B_L \xi) | P \rangle \simeq \alpha v_e C P_L u_p \frac{1}{\sqrt{2}f} (1 + D + F)$$

→ unknown parameters α, β ?

Definitions of α, β

$$\Theta_d^{(1)} = \begin{cases} (\bar{d}_{iR}^c u_{jR})(\bar{u}_{kL} e_{dL} - \bar{d}_{kL}^c \nu_{dL}) \epsilon_{ijk} & \text{in quark fields} \\ \alpha [\bar{e}_{dL}^c \text{Tr}(F \tilde{B} L \tilde{B}) - \bar{\nu}_{dL}^c \text{Tr}(F' \tilde{B} L \tilde{B})] & \text{in chiral Lagrangian} \end{cases}$$

$$\langle 0 | \Theta_d^{(1)} | P \rangle \rightarrow \langle 0 | \epsilon_{ijk} (u^i C R d^j) R u^k | P \rangle = \alpha R u^k$$

$$\langle 0 | \Theta_d^{(2)} | P \rangle \rightarrow \langle 0 | \epsilon_{ijk} (u^i C L d^j) R u^k | P \rangle = \beta R u^k$$

We can determine α, β with lattice QCD

NLO effects in indirect method

$$\left(\frac{\vec{p}_\pi}{\Lambda_\chi} \right)^2 \simeq \left(\frac{m_W/2}{4\pi f} \right)^2 \sim 0.25$$

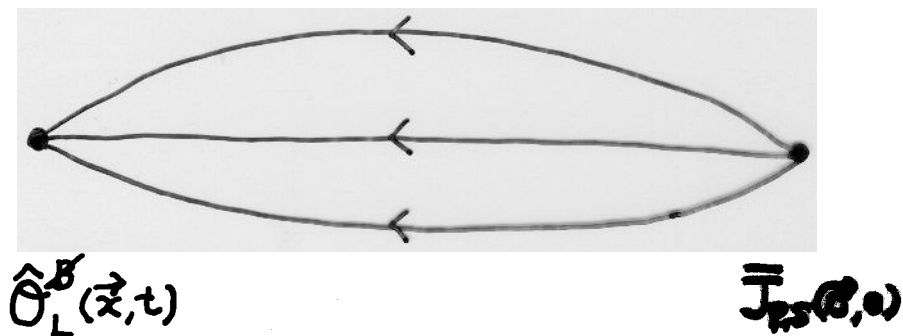
Simulation parameters

Wilson quark action + plaquette gauge action, quenched

$\beta = 6.0$, $L_x \times L_y \times L_z \times L_t = 28 \times 28 \times 48 \times 80$, 100 config.

$K = 0.15620, 0.15568, 0.15516, 0.15464$ ($\approx \frac{3}{8}m_\pi - \frac{9}{8}m_\pi$)

$a^{-1} = 2.30(4)$ GeV from $m_\rho = 776$ MeV



Results for α, β

$$\alpha(N\overline{D}R, \frac{1}{a}) = -0.015(1) \text{ GeV}^3$$

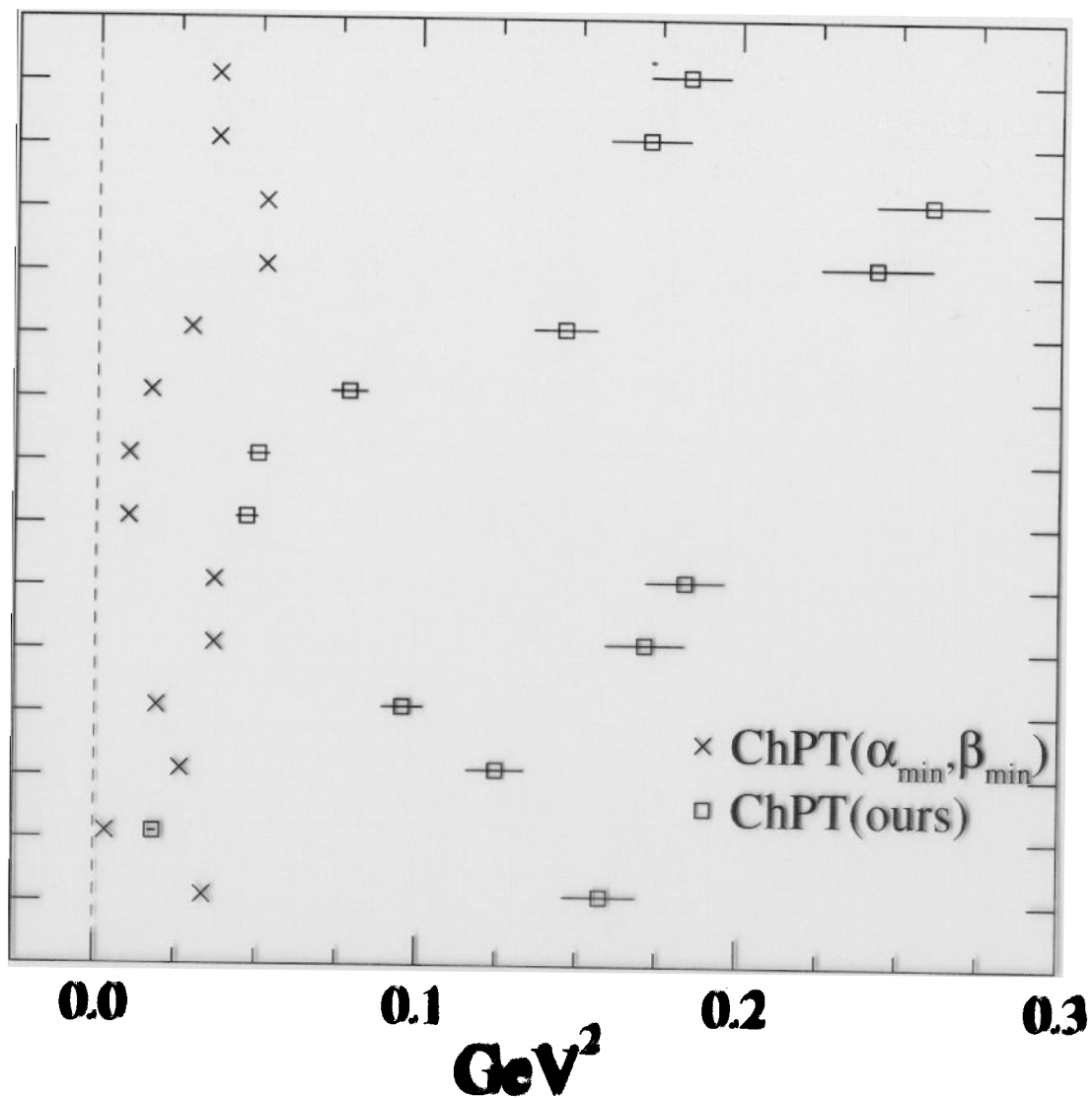
$$\beta(N\overline{D}R, \frac{1}{a}) = 0.014(1) \text{ GeV}^3$$

five times larger than $\underbrace{|\alpha| = |\beta| = 0.003 \text{ GeV}^3}$

Smallest among various QCD models

MIT bag Donoghue-Golenich (92)

$-\langle \pi^0 | (ud_R)u_L | p \rangle$
 $\langle \pi^0 | (ud_L)u_L | p \rangle$
 $-\langle \pi^+ | (ud_R)d_L | p \rangle$
 $\langle \pi^+ | (ud_L)d_L | p \rangle$
 $\langle K^0 | (us_R)u_L | p \rangle$
 $\langle K^0 | (us_L)u_L | p \rangle$
 $-\langle K^+ | (us_R)d_L | p \rangle$
 $\langle K^+ | (us_L)d_L | p \rangle$
 $-\langle K^+ | (ud_R)s_L | p \rangle$
 $\langle K^+ | (ud_L)s_L | p \rangle$
 $\langle K^0 | (us_R)d_L | n \rangle$
 $\langle K^0 | (us_L)d_L | n \rangle$
 $\langle \eta | (ud_R)u_L | p \rangle$
 $\langle \eta | (ud_L)u_L | p \rangle$

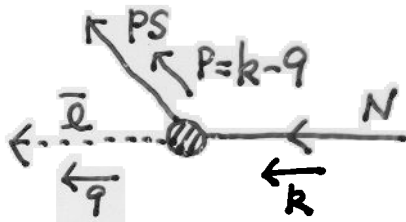


$$|\alpha_{\min}| = |\beta_{\min}| = 0.003 \text{ GeV}^3$$

IV. Direct Method

defects of indirect method can be overcome

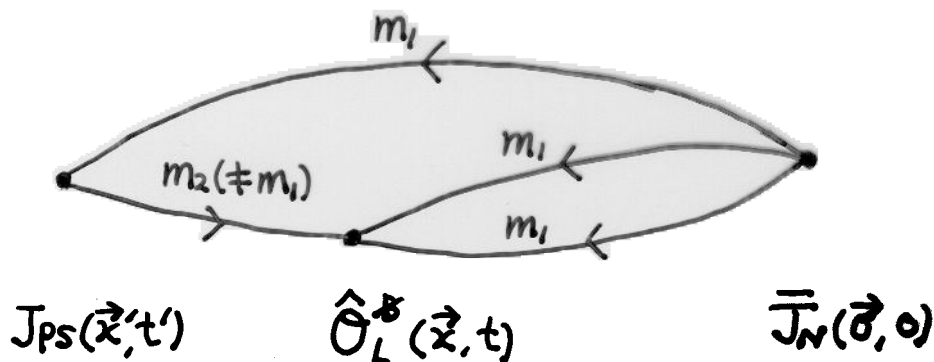
Form factors



$$\langle PS(\vec{P}) | \hat{O}_L^B | N^{(S)}(\vec{k}) \rangle = F_L W_0(q^2) u^{(S)} - F_L W_1(q^2) i \vec{q} u^{(S)}$$

W_1 term is negligible after the multiplication of u (~~$i \vec{q} u^{(S)}$~~)

$W_0(q^2)$ and $W_1(q^2)$ should be disentangled in lattice calculation



Important technical points

• $m_S \neq m_d$ by $m_2 \neq m_1$

• $28^2 \times 48 \times 80$ allows $|\vec{P}|a = \frac{\pi}{14}, \frac{\pi}{24}$

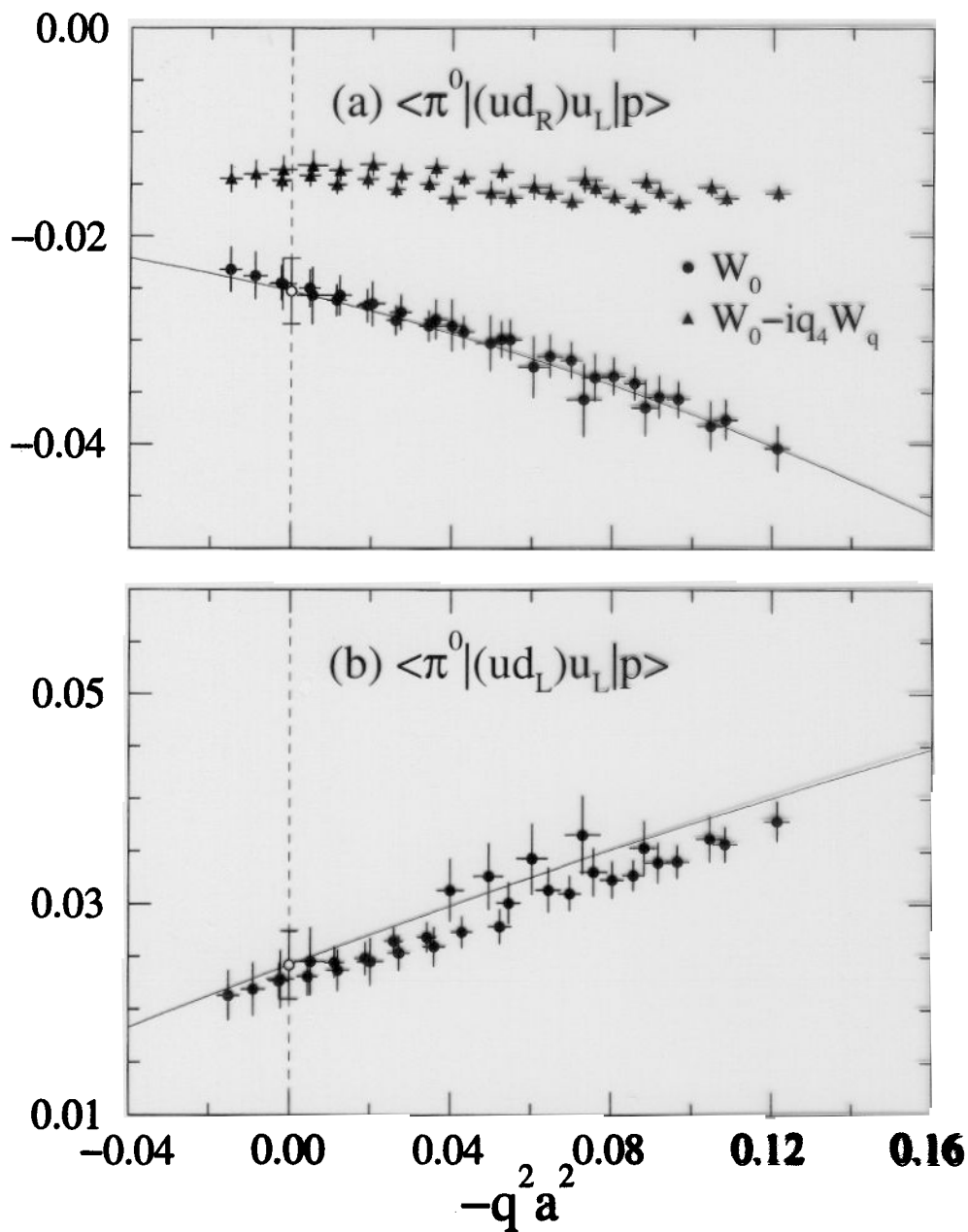
investigate the q^2 dependence

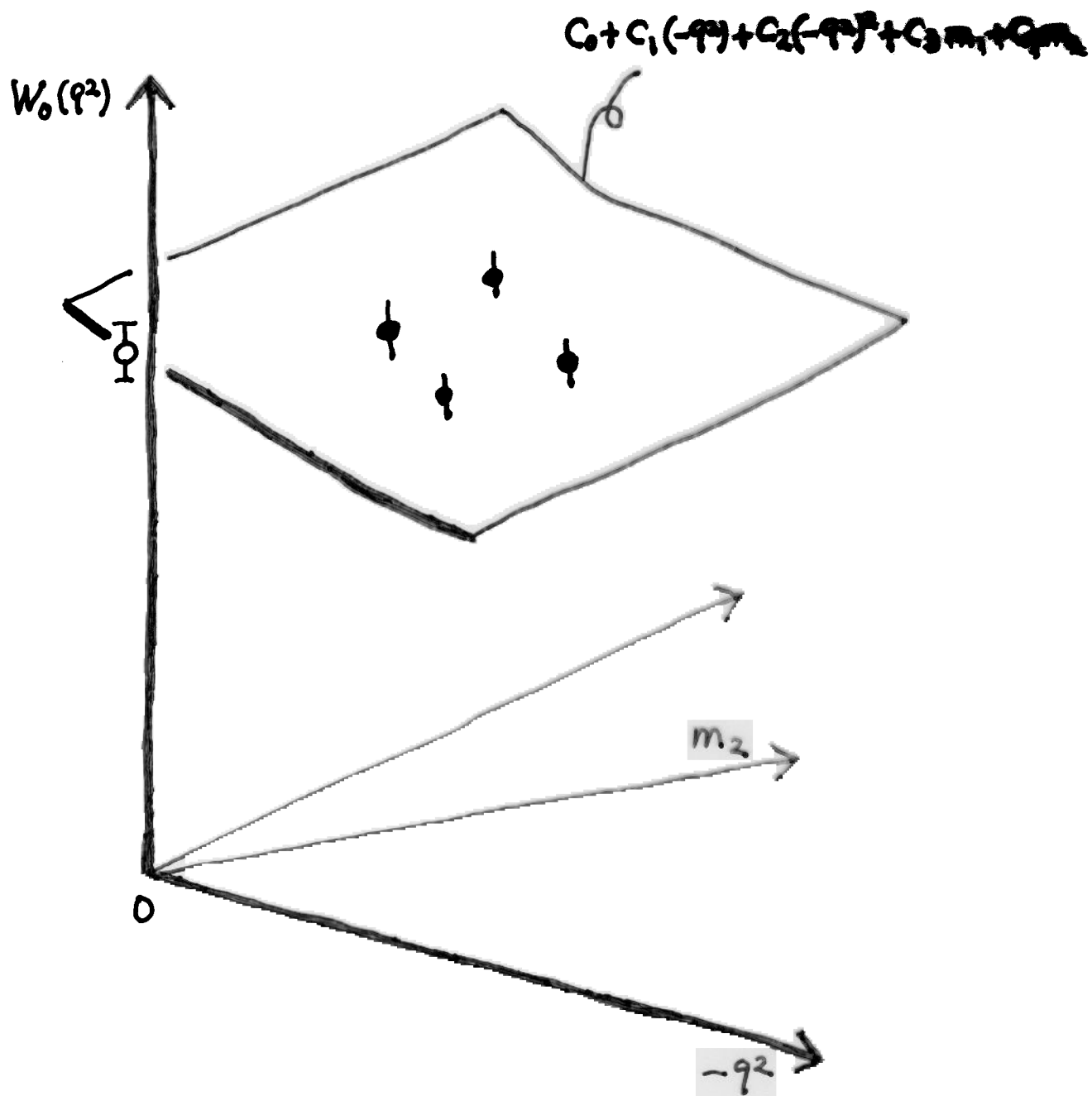
interpolate the results to $q^2 = 0$ point

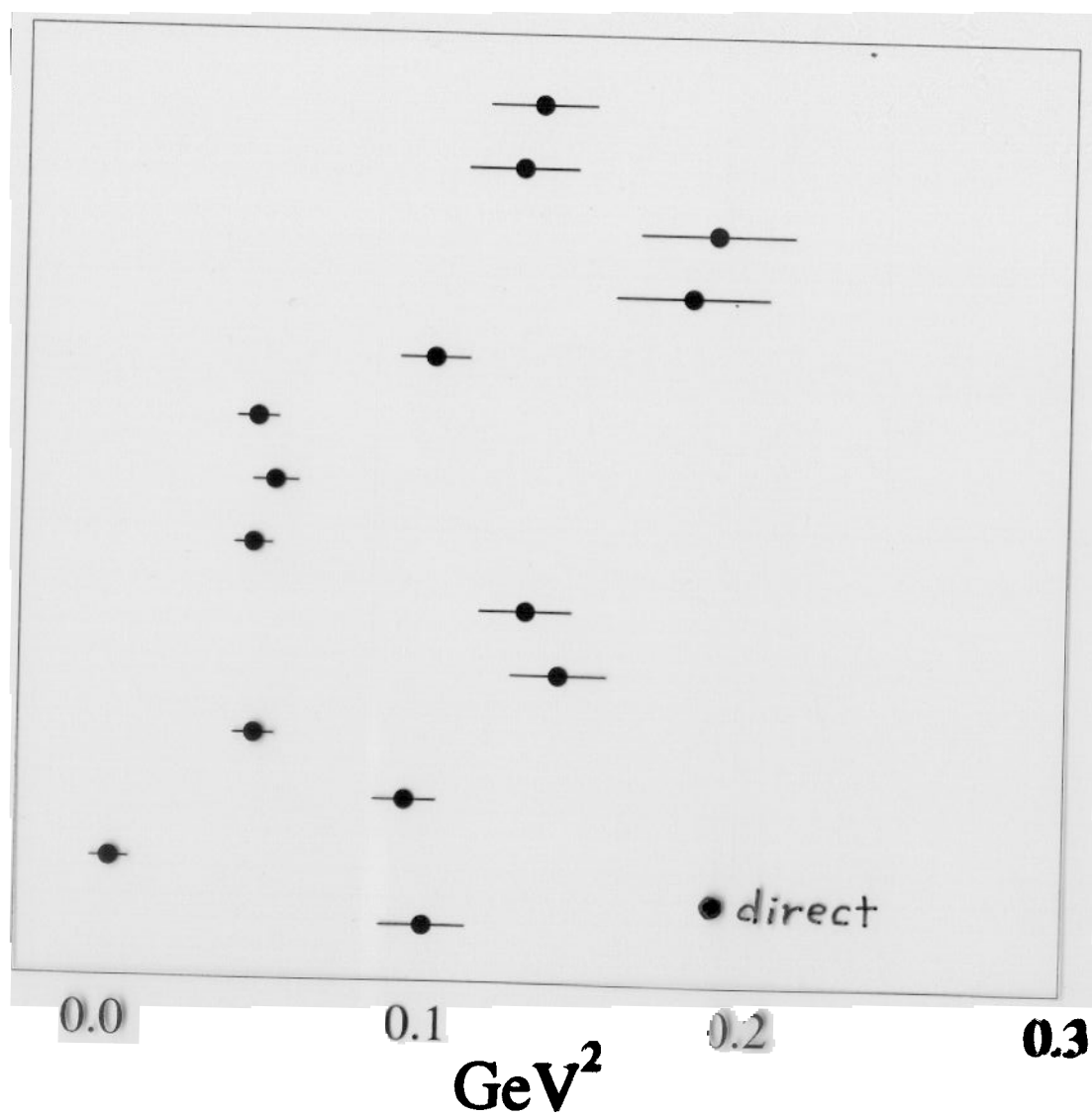
fitting function inspired by chiral lagrangian

$$C_0 + C_1(-q^2) + C_2(-q^2)^2 + C_3 m_1 + C_4 m_2$$

relevant form factor $W(q^2)$







Results for direct method

- roughly comparable with the results for indirect method
 - 3-5 times larger than the tree-level predictions of
Chiral Lagrangian with $M=|p|=0.003 \text{ GeV}^2$
- enhancement of the partial decay width by a factor of ~ 10
- $$\Gamma \propto |\langle PS | \theta^5 | N \rangle|^2$$
- more stringent constraints on GUT models

V. Continuum limit of $\langle 0 | O^B | N \rangle$ in quenched QCD

Systematic errors

- scaling violation
could be large for the Wilson quark action
 - quenched approximation
 - NLO of ChPT for α, β parameters
- remove scaling violation effects for α, β params

Simulation params.

β	$L^3 \times T$	$a [fm]$	#conf
5.9	$32^3 \times 56$	0.1020(8)	300/800
6.1	$40^3 \times 70$	0.0777(7)	200/600
6.25	$48^3 \times 84$	0.0642(7)	140/420

$L \approx 3 fm$ $m_\pi/m_\rho \approx 0.5 - 0.75$ with 4 quark masses

same parameters as the quenched light hadron spectrum
calculated by CP-PACS collab

PRD67(2003)034503

except that we drop the largest β and the lightest
quark mass at each β

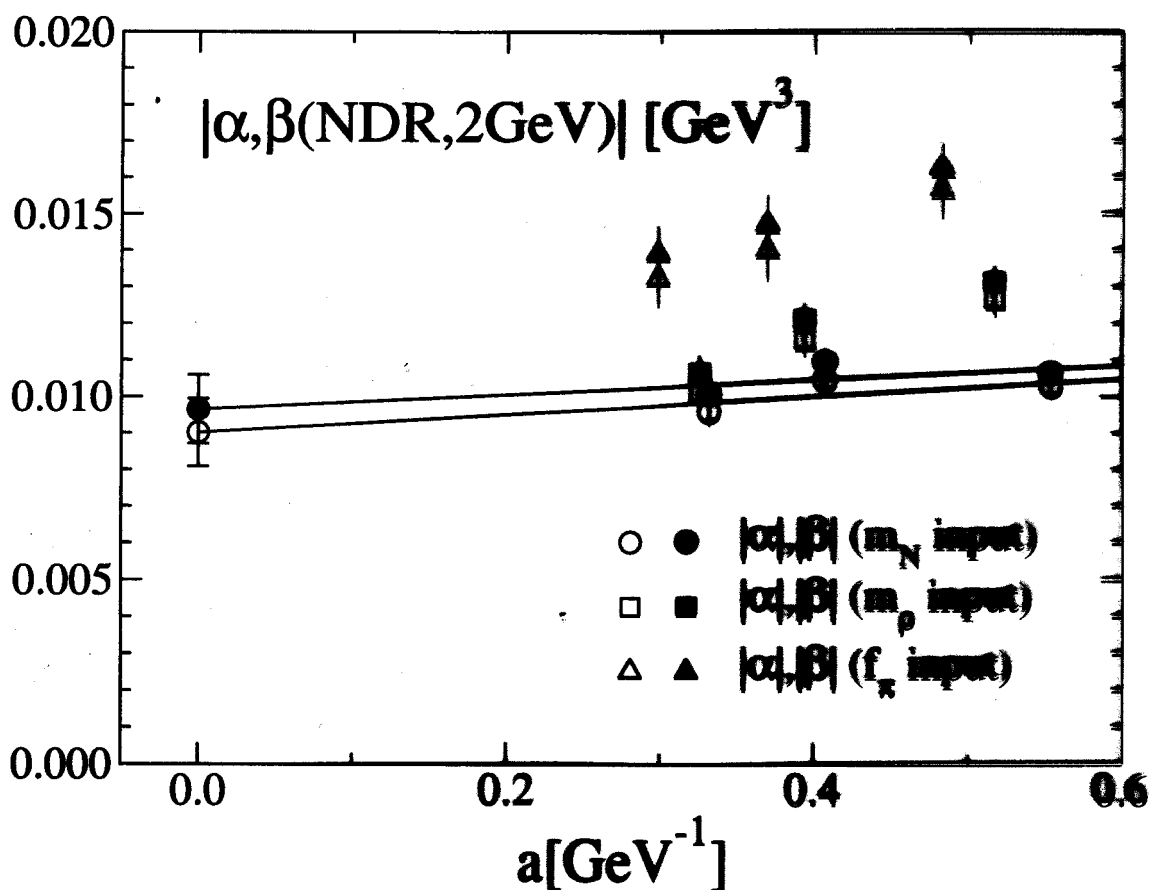
Systematic error coming from scale setting is large

$$m_N, m_\rho, f_\pi$$

$$|\alpha(\text{NDR}, 2\text{GeV})| = 0.0090(09) \left(\begin{smallmatrix} +5 \\ -19 \end{smallmatrix} \right) \text{GeV}^3$$

$$|\beta(\text{NDR}, 2\text{GeV})| = 0.0096(09) \left(\begin{smallmatrix} +6 \\ -20 \end{smallmatrix} \right) \text{GeV}^3$$

→ still larger than $|\alpha| = |\beta| = 0.003 \text{GeV}^3$



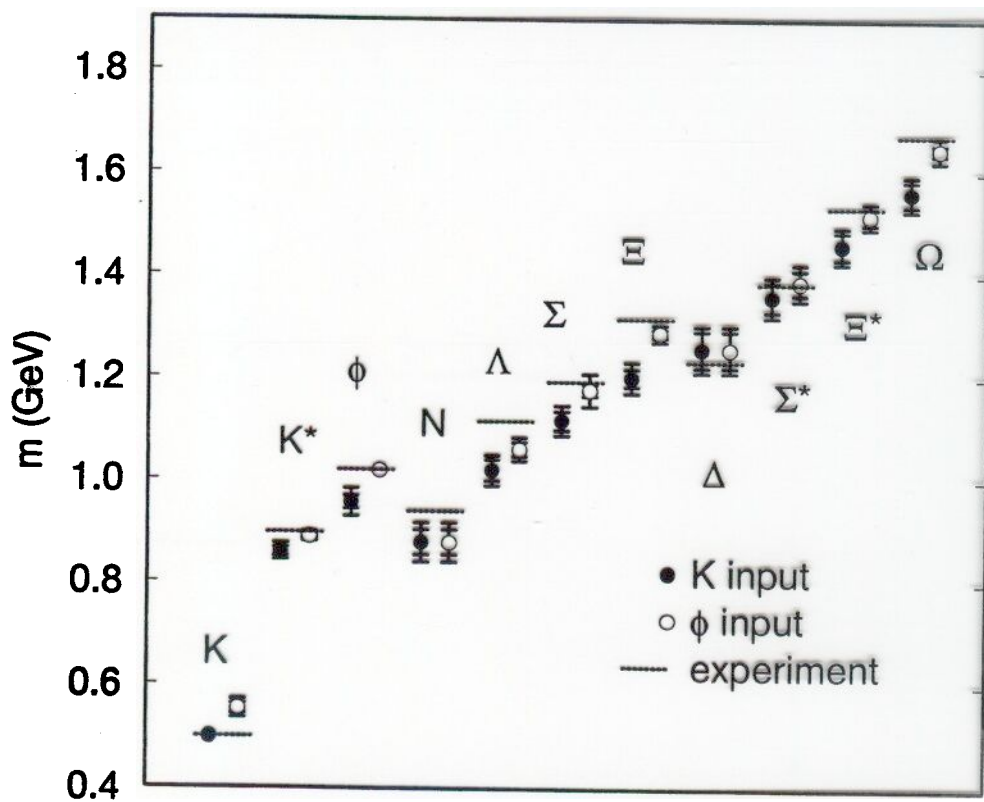
Once we set the lattice spacing by m_ρ

-7% deviation for m_N

+2% for f_π

→ quenching effects

CP-PACS, PRD67(2003)034003



V. Summary

- GUT-model-independent calculation of $\langle PS | \mathcal{O}^B | N \rangle$

$$(P, n) \rightarrow (\pi, K, \gamma) + (\bar{\nu}, e^+, \mu^+)$$

- $\langle PS | \mathcal{O}^B | N \rangle_{\text{direct}}$ are roughly comparable with $\langle PS | \mathcal{O}^B | N \rangle_{\text{indirect}}$ using α, β determined on the same lattice

- $\langle PS | \mathcal{O}^B | N \rangle_{\text{direct}}$ are 3-5 times larger than $\langle PS | \mathcal{O}^B | N \rangle_{\text{indirect}}$ using $|\alpha| = |\beta| = 0.003 \text{ GeV}^3$
→ stronger constraints on GUT models

- Continuum limit in quenched QCD

$$|\alpha(NDR, 2\text{GeV})| = 0.0090(09) \left({}^{+5}_{-19} \right) \text{ GeV}^3$$

$$|\beta(NDR, 2\text{GeV})| = 0.0096(09) \left({}^{+6}_{-20} \right) \text{ GeV}^3$$

- scaling study for $\langle PS | \mathcal{O}^B | N \rangle_{\text{direct}}$ is required

next step

- remove quenching effects
now possible with 2- and 3-flavor QCD
- one-loop calculation of chiral lagrangian